

KSVZ axion model with quasidegenerate minima: A unified model for dark matter and dark energy

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We consider the possibility that dark matter and dark energy can be explained by the minimal Kim-Shifman-Vainshtein-Zakharov axion model. This is possible if the lowest energy minimum of the scalar potential has zero energy density, as can occur in theoretical models of vacuum energy cancellation based on spacetime averaging and in models based on energy parity. Dark energy is then understood as being due to the energy density of the metastable electroweak vacuum relative to a second quasidegenerate minimum. The requirement of quasidegenerate minima is a nontrivial condition which completely determines the form of the potential for a given value of the axion decay constant, f_a , and the Pecci-Quinn (PQ) scalar self-coupling, λ_ϕ . The existence of the second quasidegenerate minimum imposes a new lower bound on the axion decay constant, $f_a \geq 2.39 \times 10^{10} \lambda_\phi^{-1/4}$ GeV. If the PQ symmetry is broken after inflation, then the lower bound on f_a implies a lower bound on the amount of axion dark matter, $\Omega_a/\Omega_{\text{dm}} \geq (0.28 - 0.46)\lambda_\phi^{-0.291}$, where the range is due to the uncertainty in the amount of axion dark matter produced by vacuum realignment, cosmic strings, and domain walls. Therefore, at least 30% of dark matter must be due to axions if $\lambda_\phi \lesssim 1$. If axions constitute all of the dark matter then the value of f_a , and so the form of the scalar potential, is completely fixed for a given value of λ_ϕ , with only a weak dependence on λ_ϕ . This will allow the inflation and postinflation evolution of the model to be quantitatively studied for a given inflation model and dimensionally natural values of λ_ϕ .

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I. INTRODUCTION

The Standard Model (SM) has been successful in explaining the observed nature of strong and electroweak interactions. However, there are fundamental questions which are not addressed by the SM. Here, we will consider an existing extension of the SM, the Kim-Shifman-Vainshtein-Zakharov (KSVZ) version of the Pecci-Quinn (PQ) axion model [1,2], which is presently able to address two of these questions, the strong CP problem and the nature of dark matter. We will show that in the case in which the energy density is zero at the lowest minimum of the scalar potential, the KSVZ axion model can also account for dark energy in the form of the energy density of the electroweak vacuum state relative to a second minimum. We will also show that the requirement that the scalar potential is very close to the limit of degenerate

vacuum states is a nontrivial constraint. This has implications for the minimum amount of axion dark matter and for the form of the scalar potential for a given axion decay constant.

One class of models which can account for the lowest energy minimum being at zero energy density are those based on spacetime averaging, where the energy averaged over spacetime adds a negative constant energy density to the Lagrangian. If the Universe is in the lowest energy vacuum state over most of the volume of spacetime, then the energy of that vacuum state will be cancelled. The first model of this type was the universe multiplication model proposed in Ref. [3]. Other more recent approaches include vacuum sequestering [4] and a model based on nonlocal constraints [5]. A different approach, which can also result in the lowest energy minimum having zero energy, is based on energy parity [6]. In this case, the energy density of the lowest energy minimum is zero if the mirror universe occupies the same vacuum state. In all of these models, all of which seek to explain the absence of a large vacuum energy density, the total energy of one of the vacuum states is effectively subtracted away. This also means that there can be no cosmological constant. Therefore, the observed dark energy density must be explained by the potential energy of a scalar field.

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The KSVZ axion model adds a singlet complex scalar field, the PQ scalar, Φ , to the scalar sector of the SM. The axion is the angular mode of Φ . The axial anomaly couples the axion to the QCD topological term $G\tilde{G}$, which generates a potential for the axion after the QCD phase transition. The axion field cancels the CP -violating QCD θ -angle at the minimum of this potential, solving the strong- CP problem.

Extending the SM by the addition of Φ modifies the classical scalar potential of the model to a function of two scalar fields, h and ϕ , where h is the electroweak doublet Higgs scalar and ϕ is the radial mode of the PQ scalar. The minimum of the classical potential for a given value of h is then a curving trajectory in the (h, ϕ) plane. Therefore, the question of the stability of the electroweak vacuum is quite different from the case of the SM. In addition, the observed SM Higgs boson is a mixture of ϕ and h . As a result, the electroweak doublet Higgs quartic coupling can be larger than the SM Higgs quartic coupling, which is determined experimentally from the Higgs mass. The effect of increasing the electroweak Higgs doublet quartic coupling is to increase the stability of the electroweak vacuum with respect to quantum corrections. The combined effect of the classical modification of the scalar potential and the increase of the electroweak Higgs doublet quartic coupling was first discussed in Ref. [7], in which it was called the scalar threshold effect.

In this paper, we will show how the combination of the classical scalar potential and quantum corrections can allow the existence of a second vacuum, which is at a slightly lower energy than the electroweak vacuum. In the case in which there is zero energy density at the lowest energy minimum of the potential, the observed dark energy density can then be explained by the vacuum energy of the metastable electroweak minimum relative to the lowest energy minimum. (The possibility of metastable dark energy has previously been considered in Refs. [8–13].) Combined with axion dark matter, the KSVZ axion model can then serve as a minimal model for both dark matter and dark energy as well as provide a solution to the strong- CP problem.

In the case in which the PQ symmetry is restored after inflation and subsequently broken, as may be necessary in order to satisfy axion isocurvature constraints, the axion dark matter density is determined for a given value of f_a . We will show that the requirement of a quasidegenerate second minimum imposes a lower bound on $\lambda_\phi^{1/4} f_a$, where λ_ϕ is the PQ scalar self-coupling. This in turn imposes a lower bound on the density of axion dark matter.

In addition, we will show that the form of the potential is entirely determined by the value of $\lambda_\phi^{1/4} f_a$. Therefore, in the case of PQ symmetry breaking after inflation, the potential is determined by the axion dark matter density for a given value of λ_ϕ , with only a weak dependence on this unknown coupling.

Our paper is organized as follows. In Sec. II, we review the KSVZ axion model and explain our method for determining the quantum corrections to its potential. In Sec. III, we discuss the conditions for a second quasidegenerate vacuum as a function of the axion decay constant. In Sec. IV, we discuss the cosmology of the quasidegenerate potential, in particular for the case in which the PQ symmetry is broken after inflation. In Sec. V, we present our conclusions.

II. SCALAR POTENTIAL OF THE KSVZ AXION MODEL

A. Classical scalar potential

We will consider the minimal KSVZ axion model in the following, which adds a singlet complex scalar field to the scalar sector of the SM.¹ The Lagrangian fermion term added to the SM is

$$\mathcal{L}_Q = y_Q \bar{Q}_R Q_L \Phi + \text{H.c.} \quad (1)$$

Here, Q is a triplet of fermions under $SU(3)_c$, which is a singlet under the electroweak group, and $\Phi = \phi/\sqrt{2}e^{ia/f_a}$ is the PQ scalar, where ϕ is the radial PQ scalar and a is the axion. These terms are invariant under an axial global $U(1)_{\text{PQ}}$ symmetry where $\Phi \rightarrow e^{i\alpha}\Phi$ and $Q \rightarrow e^{i\alpha\gamma_5}Q$. The axial anomaly of the $U(1)_{\text{PQ}}$ symmetry couples the axion to the QCD topological term, which generates the necessary potential to solve the strong- CP problem.²

The scalar potential of the model is given by

$$V(H, \Phi) = \lambda_h \left(|H|^2 - \frac{v^2}{2} \right)^2 + \lambda_\phi \left(|\Phi|^2 - \frac{f_a^2}{2} \right)^2 + \lambda_{h\phi} \left(|H|^2 - \frac{v^2}{2} \right) \left(|\Phi|^2 - \frac{f_a^2}{2} \right), \quad (2)$$

where H is the Higgs doublet. As a function of the electroweak doublet Higgs field h and radial PQ scalar ϕ , this becomes

$$V(h, \phi) = \frac{\lambda_h}{4} (h^2 - v^2)^2 + \frac{\lambda_\phi}{4} (\phi^2 - f_a^2)^2 + \frac{\lambda_{h\phi}}{4} (h^2 - v^2)(\phi^2 - f_a^2). \quad (3)$$

To determine the minima of this potential, we will consider the minimum in the ϕ direction as a function of h , $\phi(h)$, then follow the trajectory of this minimum in the (h, ϕ) plane. $\phi(h)$ is given by

¹For a previous study of the KSVZ axion model with the scalar threshold effect, see Ref. [14].

²In the case in which there is only one triplet, Q , the domain wall number N_{DW} is equal to 1. In this case, there is no axion domain wall problem.

$$\phi^2(h) = \frac{2}{\lambda_\phi} \left(\frac{\mu_\Phi^2}{2} - \frac{\lambda_{h\phi}}{4} h^2 \right), \quad (4)$$

where

$$\mu_\Phi^2 = \lambda_\phi f_a^2 + \frac{\lambda_{h\phi}}{2} v^2. \quad (5)$$

This is true when $h < h_c$, where h_c is the value at which $\phi^2(h) = 0$ and the $U(1)_{\text{PQ}}$ symmetry is restored,

$$h_c = \sqrt{\frac{2\mu_\Phi^2}{\lambda_{h\phi}}}. \quad (6)$$

In this, we have assumed that $\lambda_{h\phi} > 0$. If $\lambda_{h\phi} < 0$, then the $U(1)_{\text{PQ}}$ symmetry is not restored as h increases, in which case there can be no degenerate minima. Since $\mu_\Phi^2 \approx \lambda_\phi f_a^2$ to a good approximation for natural values of the couplings, we can also write Eq. (6) as

$$h_c = \left(\frac{4\lambda_\phi}{\lambda_{h\phi}^2} \right)^{1/4} \times \lambda_\phi^{1/4} f_a. \quad (7)$$

This will be important in the following. The potential along the minimum trajectory as a function of h when $h < h_c$ is then

$$V(h, \phi(h)) = - \left(\lambda_h - \frac{\lambda_{h\phi}^2}{4\lambda_\phi} \right) \frac{v^2}{2} h^2 + \frac{1}{4} \left(\lambda_h - \frac{\lambda_{h\phi}^2}{4\lambda_\phi} \right) h^4 + \frac{1}{4} \left(\lambda_h - \frac{\lambda_{h\phi}^2}{4\lambda_\phi} \right) v^4. \quad (8)$$

At $h > h_c$, $\phi(h) = 0$, and so the potential along the minimum trajectory becomes

$$V(h, 0) = -\frac{\mu_H^2}{2} h^2 + \frac{\lambda_h}{4} h^4 + \Lambda, \quad (9)$$

where

$$\mu_H^2 = \lambda_h v^2 + \frac{\lambda_{h\phi}}{2} f_a^2 \quad (10)$$

and

$$\Lambda = \frac{\lambda_h}{4} v^4 + \frac{\lambda_\phi}{4} f_a^4 + \frac{\lambda_{h\phi}}{4} v^2 f_a^2. \quad (11)$$

The potential along the minimum trajectory depends purely on the combination $\lambda_{h\phi}^2/\lambda_\phi$. The only explicit dependence on the PQ scalar couplings $\lambda_{h\phi}$ and λ_ϕ in our analysis is in the relation between h_c and f_a in Eq. (7), where there is a weak $\lambda_\phi^{1/4}$ dependence.

A key feature of the potential along the minimum as a function of h is the change in behavior of the h^4 term at $h = h_c$, where the effective quartic coupling changes from $\lambda_h - \lambda_{h\phi}^2/4\lambda_\phi$ at $h < h_c$ to λ_h at $h > h_c$. This jump in the value of the effective quartic coupling will play an important role in producing the degenerate minimum of the potential at h close to h_c once quantum corrections are included.

In addition to creating a two-field potential, the addition of the PQ scalar also modifies the input value of the Higgs doublet quartic coupling λ_h at the electroweak scale. This is due to mixing between h and ϕ . On expanding the field around the electroweak vacuum at $(h, \phi) = (v, f_a)$ as $h = \hat{h} + v$ and $\phi = \hat{\phi} + f_a$, the mass matrix of \hat{h} and $\hat{\phi}$ becomes

$$\begin{pmatrix} \frac{\lambda_{h\phi}}{2} f_a^2 + 3\lambda_h v^2 - \mu_H^2 & \lambda_{h\phi} v f_a \\ \lambda_{h\phi} v f_a & \frac{\lambda_{h\phi}}{2} v^2 + 3\lambda_\phi f_a^2 - \mu_\Phi^2 \end{pmatrix}. \quad (12)$$

Using $f_a^2 \gg v f_a \gg v^2$, the eigenvalues of this matrix are

$$m_{h_{\text{SM}}}^2 \approx 2 \left(\lambda_h - \frac{\lambda_{h\phi}^2}{4\lambda_\phi} \right) v^2 \quad (13)$$

and

$$m_{\phi'}^2 \approx 2\lambda_\phi f_a^2, \quad (14)$$

where h_{SM} is the observed SM Higgs boson with $m_{h_{\text{SM}}} = 125$ GeV and ϕ' is the orthogonal mass eigenstate. The mass eigenstates are related to \hat{h} and $\hat{\phi}$ by

$$h_{\text{SM}} = \alpha \hat{h} - \beta \hat{\phi} \quad (15)$$

and

$$\phi' = \alpha \hat{\phi} + \beta \hat{h}, \quad (16)$$

where

$$\beta = \frac{\lambda_{h\phi} v}{2\lambda_\phi f_a} \ll 1; \quad \alpha = \sqrt{1 - \beta^2} \approx 1. \quad (17)$$

Although the SM Higgs h_{SM} has only a very small admixture of $\hat{\phi}$, the large value of f_a relative to v causes a significant shift of the relation between the SM Higgs mass and the Higgs doublet quartic coupling, λ_h . The SM Higgs quartic coupling, $\lambda_{h_{\text{SM}}}$, which is defined by $m_{h_{\text{SM}}}^2 = 2\lambda_{h_{\text{SM}}} v^2$, is related to λ_h by

$$\lambda_{h_{\text{SM}}} = \lambda_h - \frac{\lambda_{h\phi}^2}{4\lambda_\phi}. \quad (18)$$

Thus, λ_h is generally larger than $\lambda_{h\text{SM}}$. The effect of the two-field potential and the increase of λ_h relative to $\lambda_{h\text{SM}}$ is equivalent to the scalar threshold effect discussed in Ref. [7], where the heavy PQ scalar is integrated out to form an effective single field Higgs potential. In our analysis, we will work with the full two-field scalar potential, without integrating out the heavy PQ scalar.

B. Quantum corrections to the scalar potential

In order to calculate the quantum corrections to the potential, we need to calculate the effective action as a function of two scalar fields. For a single scalar field, the effective action can be expressed as a functional Taylor series,

$$\Gamma[\varphi] = \sum_n \frac{1}{n!} \int d^4x_1 \dots d^4x_n \Gamma^{(n)}(x_1, \dots, x_n) \varphi(x_1) \dots \varphi(x_n), \tag{19}$$

where φ is the classical field. The effective potential then corresponds to the sum of One Particle Irreducible (1PI) Green's functions with all external particles at zero momentum,

$$V(\varphi) = - \sum_n \frac{1}{n!} \Gamma^{(n)}(p_i = 0; \mu) \varphi^n, \tag{20}$$

where $\Gamma^{(n)}(p_i; \mu)$ are the 1PI Green's functions in momentum space, renormalized at a scale μ in a given renormalization scheme. On performing the sum over n , the effective potential to one-loop order becomes the tree-level (i.e., classical) potential plus the one-loop Coleman-Weinberg (CW) correction.

In the case with two scalar fields φ and χ , the effective action is a straightforward generalization of the functional Taylor expansion to the case with two scalar fields. The corresponding effective potential is therefore of the form

$$V(\varphi, \chi) = - \sum_{l,m} \frac{1}{l!m!} \Gamma^{(l,m)}(p_i = 0; \mu) \varphi^l \chi^m. \tag{21}$$

The functions $\Gamma^{(l,m)}(p_i; \mu)$ are 1PI Green's functions with l external φ fields and m external χ fields. However, there is then a problem when using perturbation theory to calculate the effective potential in the case in which one of the fields has a large value compared to the renormalization scale of the theory while the other field remains small. For example, if φ is large compared to the initial renormalization scale, μ_0 , while $\chi \sim \mu_0$, then on summing over the terms with only the φ fields, we will get a contribution to the effective potential which is just the conventional single field CW correction. We therefore need to run μ up to $\mu \sim \varphi$ to keep this contribution to the effective potential perturbative. However, this will then cause the CW correction calculated

from the sum over only the χ terms to have a large logarithm, $\ln(\chi/\mu)$. Therefore, it is difficult to use perturbation theory to calculate the effective potential at points (φ, χ) where the magnitudes of φ and χ are very different.

Although there are multiscale renormalization group methods which attempt to address this problem [15–18] (and which are still being developed [19–21]), in this first analysis of the potential, we will neglect the quantum effects of the $\lambda_{h\phi}$ and λ_ϕ by setting them to zero in the one-loop Green's function calculations. This will produce the correct potential if the classical modification of the potential due to the ϕ field and its mixing with the electroweak doublet Higgs field dominates the effect of the quantum corrections due to $\lambda_{h\phi}$ and λ_ϕ . This will be true if these couplings are sufficiently small. In this case, we can set the one-loop contribution to $\Gamma^{(l,m)}$ in Eq. (21) to zero for $m \neq 0$ and for any internal ϕ lines, in the case in which φ is identified with h and χ with ϕ . The quantum corrections to the effective potential then reduce to the sum over h in the effective potential Eq. (21), in which case the quantum corrections at the point (h, ϕ) are simply the SM CW corrections calculated for the field h . This will allow us to use the existing two-loop SM Renormalization Group (RG) equations to calculate the effective potential in a well-defined limit. It is always possible choose both $\lambda_{h\phi}$ and λ_ϕ to be small enough that quantum corrections due to these couplings are negligible, while still obtaining a degenerate vacuum purely due to the classical effects of $\lambda_{h\phi}$ and λ_ϕ . This is because main effects of the axion sector scalars on the stability of the electroweak vacuum, namely the classical modification of the quartic electroweak doublet coupling λ_h relative to the SM Higgs coupling $\lambda_{h\text{SM}}$ and the form of the potential along the minimum trajectory, $V(h, \phi(h))$, both depend only on the combination $\lambda_{h\phi}^2/\lambda_\phi$, which can be large even if $\lambda_{h\phi}$ and λ_ϕ are small.

We define the tree-level classical potential using couplings evaluated at the renormalization point $\mu = m_t$ in the $\overline{\text{MS}}$ scheme to be $V_0(h, \phi; \mu = m_t)$. There will also be a quantum correction to this potential at the point (h, ϕ) , which we denote by $\Delta V_q(h, \phi)$. In order to calculate the quantum correction to the potential, we will start from the electroweak vacuum state, $(h, \phi) = (v, f_a)$. We first consider the potential in the direction corresponding to the electroweak doublet Higgs scalar h . Having calculated the correction at (h, f_a) , we next fix the corresponding value of h and move in the ϕ direction to the point of interest on the minimum trajectory, $(h, \phi(h))$. (The trajectory is schematically illustrated in Fig. 1.) Since we are neglecting the quantum corrections due to $\lambda_{h\phi}$ and λ_ϕ , only the classical potential changes as we move from (h, f_a) to $(h, \phi(h))$. The result of this is that the quantum corrected potential at the point $(h, \phi(h))$ is given by

$$V(h, \phi(h)) = V_0(h, \phi(h); \mu = m_t) + \Delta V_q(h, f_a). \tag{22}$$

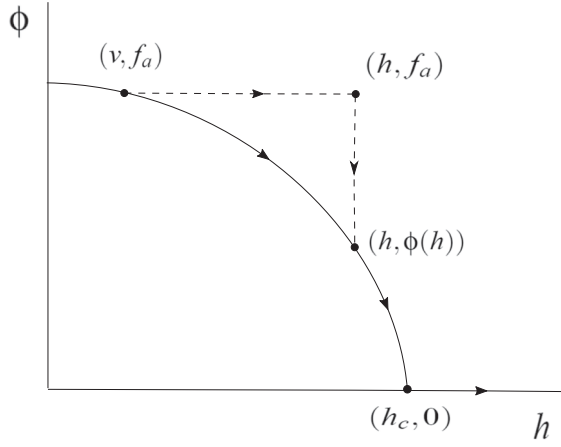


FIG. 1. Illustration of the trajectory of the minimum of the potential in the ϕ direction as a function of h , $(h, \phi(h))$ (solid line) and the trajectory used to calculate the quantum correction to the potential (dashed line).

To compute $\Delta V_q(h, f_a)$, we run the two-loop SM RG equations in the $\overline{\text{MS}}$ scheme from the electroweak vacuum (with $\mu = m_t$) to $\mu = h$ to obtain the couplings at this renormalization point. We then add the one-loop CW correction in the $\overline{\text{MS}}$ scheme, ΔV_{CW} .

The tree-level potential along (h, f_a) at $h \gg v$ is simply

$$V_0(h, f_a; \mu) \approx \frac{\lambda_h(\mu)}{4} h^4. \quad (23)$$

Thus,

$$\Delta V_q(h, f_a) = \frac{\lambda_h(\mu = h)}{4} h^4 - \frac{\lambda_h(\mu = m_t)}{4} h^4 + \Delta V_{\text{CW}}(h; \mu = h). \quad (24)$$

Therefore, from Eqs. (22) and (24), the quantum correction to the potential along the minimum trajectory $(h, \phi(h))$ is given by simply replacing the $\lambda_h h^4/4$ term by $\lambda_h(\mu = h) h^4/4$ and adding the one-loop CW term. Thus, at $h < h_c$, the quantum corrected potential is

$$\begin{aligned} V(h, \phi(h)) = & - \left(\lambda_h - \frac{\lambda_{h\phi}^2}{4\lambda_\phi} \right) \frac{v^2}{2} h^2 \\ & + \frac{1}{4} \left(\lambda_h(\mu = h) - \frac{\lambda_{h\phi}^2}{4\lambda_\phi} \right) h^4 \\ & + \frac{1}{4} \left(\lambda_h - \frac{\lambda_{h\phi}^2}{4\lambda_\phi} \right) v^4 + \Delta V_{\text{CW}}(h; \mu = h), \end{aligned} \quad (25)$$

where all couplings are evaluated at $\mu = m_t$ except for $\lambda_h(\mu = h)$. At $h > h_c$, where $\phi(h) = 0$, the potential along the minimum trajectory becomes

$$V(h, 0) = -\frac{\mu_H^2}{2} h^2 + \frac{\lambda_h(\mu = h)}{4} h^4 + \Lambda + \Delta V_{\text{CW}}(h; \mu = h). \quad (26)$$

III. QUASIDEGENERATE MINIMA OF THE POTENTIAL

To calculate the potential, we will use the two-loop SM RG equations given in Appendix A of Ref. [22] and the one-loop SM CW potential in the $\overline{\text{MS}}$ scheme given in Ref. [23]. We use the initial values for the SM couplings in the $\overline{\text{MS}}$ scheme at $\mu = m_t$ as given in Ref. [24]: $\lambda_h(m_t) = 0.12604$, $y_t(m_t) = 0.93690$, $g_2(m_t) = 0.64779$, $g_Y(m_t) = 0.35830$, $g_3(m_t) = 1.6666$, and $m_t = 173.34$ GeV.

To explain dark energy, we require an effectively degenerate second minimum of the scalar potential at $V = 0$ in addition to the electroweak vacuum. (The tiny increase of the electroweak vacuum relative to the second minimum is negligible on the scale of the potential.) The potential is a function of a single parameter, which can be taken to be the combination $\lambda_{h\phi}/\sqrt{\lambda_\phi}$. To obtain the degenerate minimum, our procedure is to first consider the potential with $h_c \gg h_1$, where h_1 is the value of h at which $V = 0$ for a given value of $\sqrt{\lambda_{h\phi}}/\sqrt{\lambda_\phi}$ when $h_c \gg h_1$. We next set h_c , the value of h at which $\phi(h) = 0$, to a value close to h_1 . The effect is to change the value of the quartic coupling from $\lambda_h(\mu) - \lambda_{h\phi}^2/4\lambda_\phi$ at $h < h_c$ to $\lambda_h(\mu)$ at $h > h_c$ (where $\mu = h$). The jump in the quartic coupling causes the potential, which had been approaching zero at $h < h_c$, to increase at $h > h_c$, hence generating a minimum. In practice, we have to adjust h_c to a value which is a little below h_1 in order to obtain a degenerate minimum with $V = 0$ once the RG running of $\lambda_h(\mu = h)$ is included. The value of h_c then determines the value of $\lambda_\phi^{1/4} f_a$ via Eq. (7).

In Table I, we show³ the values of $\lambda_\phi^{1/4} f_a$, h_{\min} , h_{\max} , and $V_{\max}^{1/4}$ for the case of degenerate minima as a function of $\lambda_{h\phi}/\sqrt{\lambda_\phi}$. Here, h_{\min} is the value of h at the degenerate minimum, h_{\max} is the value of h at the maximum of the potential between the electroweak vacuum and the second vacuum along the minimum trajectory, and $V_{\max}^{1/4}$ gives the value of potential at the maximum. We find that there is a finite range $\lambda_\phi^{1/4} f_a$ for which a degenerate minimum is possible, between 0.10 and 0.297. The upper bound corresponds to values of h at the degenerate minimum which are greater than the Planck scale, which we assume is a natural cutoff for the effective theory. The lower bound corresponds to values of $\lambda_{h\phi}^2/\lambda_\phi$ which are too small to

³The potential minima for the values of $\lambda_{h\phi}/\sqrt{\lambda_\phi}$ in Tables I and II are only approximately degenerate, to an accuracy determined by the degree of tuning of $\lambda_\phi^{1/4} f_a$ for a given value of $\lambda_{h\phi}/\sqrt{\lambda_\phi}$ and the precision of the code. As can be seen from Figs. 1–4, the potentials are very close to degenerate relative to the scale of the potentials, with the deviation of the degenerate minimum at h_{\min} from zero being of the order of 10^{-3} of the potential at its maximum.

TABLE I. Values of $\lambda_{h\phi}/\sqrt{\lambda_\phi}$ and the corresponding values of $\lambda_\phi^{1/4}f_a$ and h_{\min} for which the second quasidegenerate minimum of the potential is obtained. We also show the values h_{\max} and $V_{\max}^{1/4}$ at the maximum of the potential along the minimum trajectory.

$\lambda_{h\phi}/\sqrt{\lambda_\phi}$	$\lambda_\phi^{1/4}f_a$	h_{\max}/GeV	$V_{\max}^{1/4}/\text{GeV}$	h_{\min}/GeV
0.10	2.39×10^{10}	8.89×10^{10}	9.50×10^9	1.26×10^{11}
0.12	3.81×10^{10}	1.26×10^{11}	1.33×10^{10}	1.70×10^{11}
0.14	6.49×10^{10}	1.96×10^{11}	2.05×10^{10}	2.59×10^{11}
0.16	1.22×10^{11}	3.41×10^{11}	3.52×10^{10}	4.48×10^{11}
0.18	2.61×10^{11}	6.85×10^{11}	6.94×10^{10}	8.96×10^{11}
0.20	6.71×10^{11}	1.66×10^{12}	1.64×10^{11}	2.16×10^{12}
0.22	2.23×10^{12}	5.26×10^{12}	5.04×10^{11}	6.80×10^{12}
0.24	1.09×10^{13}	2.46×10^{13}	2.26×10^{12}	3.20×10^{13}
0.26	1.04×10^{14}	2.24×10^{14}	1.93×10^{13}	2.90×10^{14}
0.28	3.94×10^{15}	8.19×10^{15}	6.33×10^{14}	1.06×10^{16}
0.29	9.31×10^{16}	1.90×10^{17}	1.32×10^{16}	2.45×10^{17}
0.297	7.04×10^{18}	1.41×10^{19}	7.95×10^{17}	1.83×10^{19}

sufficiently modify the potential to produce a second degenerate minimum. The lower bound on $\lambda_{h\phi}/\sqrt{\lambda_\phi}$ corresponds to a lower bound on $\lambda_\phi^{1/4}f_a$ equal to 2.39×10^{10} GeV. This lower bound is an important prediction of metastable dark energy in the KSVZ axion model.

In Table II, we give a more detailed set of parameters for the range of $\lambda_\phi^{1/4}f_a$ which is relevant to axion dark matter in the case in which PQ symmetry is broken after inflation (discussed in the next section).

In Fig. 2, we show the potential along the minimum trajectory with a degenerate minimum at the lower limit of the $\lambda_\phi^{1/4}f_a$ range, corresponding to $\lambda_{h\phi}/\sqrt{\lambda_\phi} = 0.1$. In Fig. 3, we show a degenerate minimum with h_{\min} close to the Planck scale, corresponding to $\lambda_{h\phi}/\sqrt{\lambda_\phi} = 0.297$. In Fig. 4, we show the potential for a value of $\lambda_\phi^{1/4}f_a$ which is typical of the case in which dark matter is entirely due to axions in the case of PQ symmetry breaking after inflation. In Fig. 5, we show that the second minimum in the case with $\lambda_{h\phi}/\sqrt{\lambda_\phi} = 0.1$ is metastable, with an unbounded negative potential at large h .

In Fig. 6, we show that it is also possible to obtain inflection-point behavior of the potential, by reducing $\lambda_\phi^{1/4}f_a$ for a given $\lambda_{h\phi}/\sqrt{\lambda_\phi}$ below the case of a degenerate potential. This may have applications to inflection-point inflation in the context of the KSVZ axion model, although we will not explore this possibility further here.⁴

In order to determine the degree of tuning of $\lambda_{h\phi}/\sqrt{\lambda_\phi}$ required in order to explain the observed dark energy density, we have determined the value of the potential in the electroweak vacuum, V_{SM} , as a function of $\lambda_{h\phi}/\sqrt{\lambda_\phi}$ for a

TABLE II. Values of $\lambda_{h\phi}/\sqrt{\lambda_\phi}$ and the corresponding values of $\lambda_\phi^{1/4}f_a$, for values of $\lambda_\phi^{1/4}f_a$ for which dark matter can be due to axions in the case of PQ symmetry breaking after inflation.

$\lambda_{h\phi}/\sqrt{\lambda_\phi}$	$\lambda_\phi^{1/4}f_a$	h_{\max}/GeV	$V_{\max}^{1/4}/\text{GeV}$	h_{\min}/GeV
0.10	2.39×10^{10}	8.89×10^{10}	9.50×10^9	1.26×10^{11}
0.105	2.68×10^{10}	9.62×10^{10}	1.02×10^{10}	1.34×10^{11}
0.11	3.00×10^{10}	1.05×10^{11}	1.11×10^{10}	1.44×10^{11}
0.115	3.38×10^{10}	1.15×10^{11}	1.216×10^{10}	1.56×10^{11}
0.12	3.81×10^{10}	1.26×10^{11}	1.33×10^{10}	1.70×10^{11}
0.125	4.32×10^{10}	1.39×10^{11}	1.47×10^{10}	1.89×10^{11}
0.13	4.92×10^{10}	1.55×10^{11}	1.63×10^{10}	2.07×10^{11}
0.135	5.63×10^{10}	1.74×10^{11}	1.83×10^{10}	2.30×10^{11}
0.14	6.49×10^{10}	1.96×10^{11}	2.05×10^{10}	2.59×10^{11}
0.145	7.50×10^{10}	2.22×10^{11}	2.32×10^{10}	2.90×10^{11}
0.15	8.76×10^{10}	2.54×10^{11}	2.64×10^{10}	3.30×10^{11}
0.155	1.03×10^{11}	2.93×10^{11}	3.04×10^{10}	3.84×10^{11}
0.16	1.22×10^{11}	3.41×10^{11}	3.52×10^{10}	4.48×10^{11}
0.165	1.45×10^{11}	4.00×10^{11}	4.11×10^{10}	5.25×10^{11}
0.17	1.75×10^{11}	4.74×10^{11}	4.84×10^{10}	6.20×10^{11}
0.175	2.13×10^{11}	5.67×10^{11}	5.77×10^{10}	7.41×10^{11}
0.18	2.61×10^{11}	6.85×10^{11}	6.94×10^{10}	8.96×10^{11}
0.185	3.24×10^{11}	8.39×10^{11}	8.44×10^{10}	1.09×10^{12}
0.19	4.07×10^{11}	1.04×10^{12}	1.04×10^{11}	1.35×10^{12}

fixed value of $\lambda_\phi^{1/4}f_a$. We can express V_{SM} as a Taylor expansion in the shift $\Delta(\lambda_{h\phi}/\sqrt{\lambda_\phi})$ from the value of $\lambda_{h\phi}/\sqrt{\lambda_\phi}$ for which $V_{\text{SM}} = 0$,

$$V_{\text{SM}} = k_1 \Delta(\lambda_{h\phi}/\sqrt{\lambda_\phi}) + k_2 \Delta(\lambda_{h\phi}/\sqrt{\lambda_\phi})^2 + \dots \quad (27)$$

In this, we have defined the energy of the degenerate minimum to be zero, so that a change in $\lambda_{h\phi}/\sqrt{\lambda_\phi}$ from its degenerate value creates a change in V_{SM} . In general, we

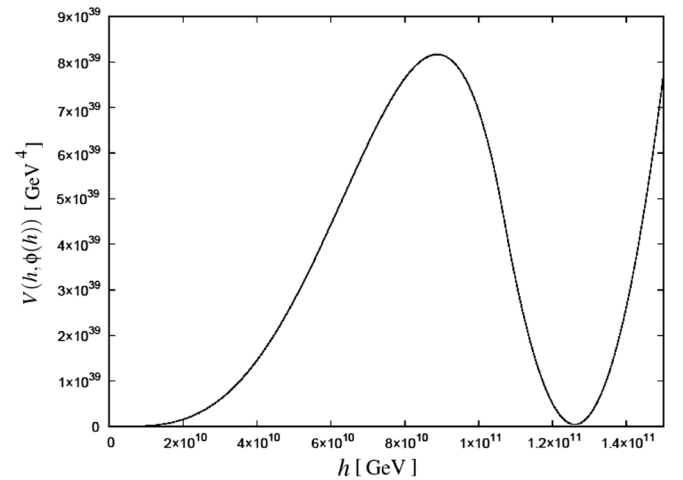


FIG. 2. The scalar potential with quasidegenerate minima along the minimum trajectory when $\lambda_{h\phi}/\sqrt{\lambda_\phi}$ is at the lower bound for quasidegenerate minima to exist, $\lambda_{h\phi}/\sqrt{\lambda_\phi} = 0.1$.

⁴This possibility has recently been studied in Ref. [25].

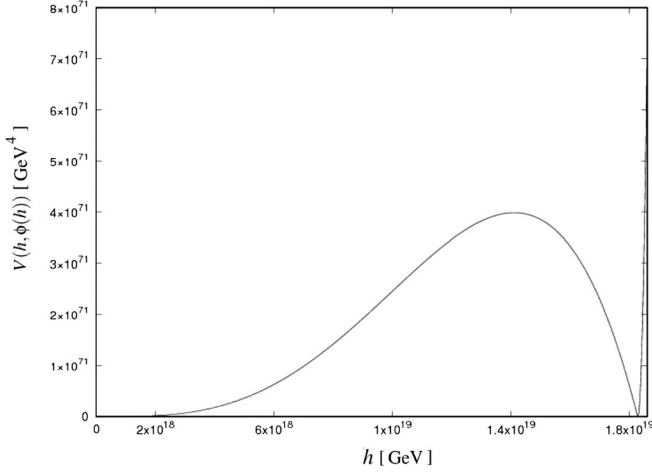


FIG. 3. The scalar potential with quasidegenerate minima along the minimum trajectory when $\lambda_{h\phi}/\sqrt{\lambda_\phi} = 0.297$, corresponding to the upper bound at which the potential minimum is close to the Planck scale.

find that the shift required in order to account for the observed dark energy density, $\rho_{\text{vac}} = 3.9 \times 10^{-47} \text{ GeV}^4$, is $\Delta(\lambda_{h\phi}/\sqrt{\lambda_\phi}) \sim \rho_{\text{vac}}/f_a^4$. For example, for the case in Table II corresponding to a degenerate vacuum with $\lambda_{h\phi}/\sqrt{\lambda_\phi} = 0.11$ and $\lambda_\phi^{1/4} f_a = 3.00 \times 10^{10} \text{ GeV}$, we find that $k_1 = -5.6 \times 10^{42} \text{ GeV}^4$ and $k_2 = 3.5 \times 10^{44} \text{ GeV}^4$. Therefore, in order to account for the observed dark energy density, we require a shift $\Delta(\lambda_{h\phi}/\sqrt{\lambda_\phi}) \approx -5 \times 10^{-90}$. This extremely small shift is a consequence of the large difference between the energy scale of the particle physics model, f_a , and that of the observed dark energy density.

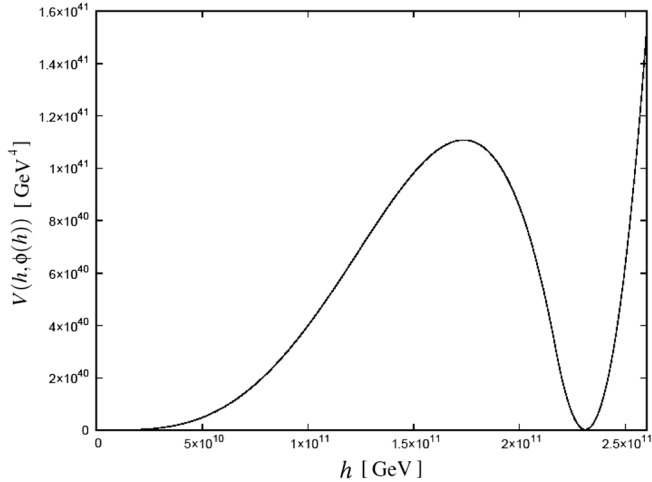


FIG. 4. The scalar potential with quasidegenerate minima along the minimum trajectory for the case in which $\lambda_{h\phi}/\sqrt{\lambda_\phi} = 0.155$. This corresponds to $\lambda_\phi^{1/4} f_a = 1.05 \times 10^{11} \text{ GeV}$, which is typical of the values for which axions can account for dark matter in the case in which PQ symmetry is broken after inflation.

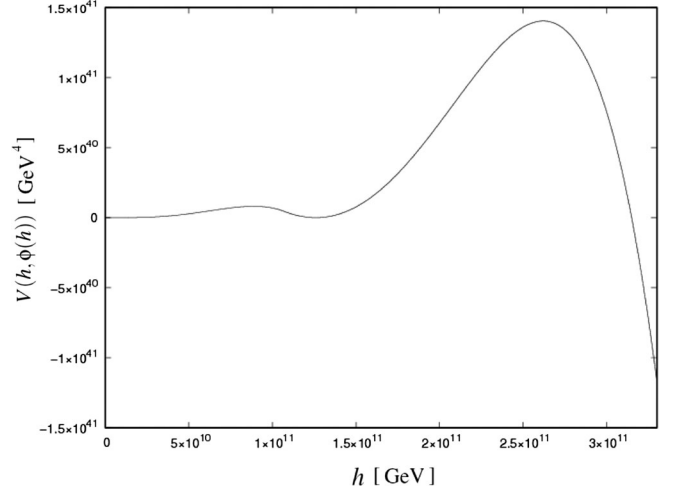


FIG. 5. The unbounded negative potential at large h , for the case $\lambda_{h\phi}/\sqrt{\lambda_\phi} = 0.1$.

Such tunings are likely to be a feature of any explanation of the observed dark energy density based on a metastable particle physics vacuum.

While the model requires fine-tuning, the naturalness of the tuning will depend upon the underlying mechanism responsible for the near degeneracy of the vacuum states. The observed dark energy density is close to the present baryon and dark matter densities. This coincidence suggests that the dark energy density may be determined by a selection process acting on a landscape of metastable dark energy models with different electroweak vacuum energies. In this case, the apparent fine-tuning of the couplings would be a natural outcome of the selection process.

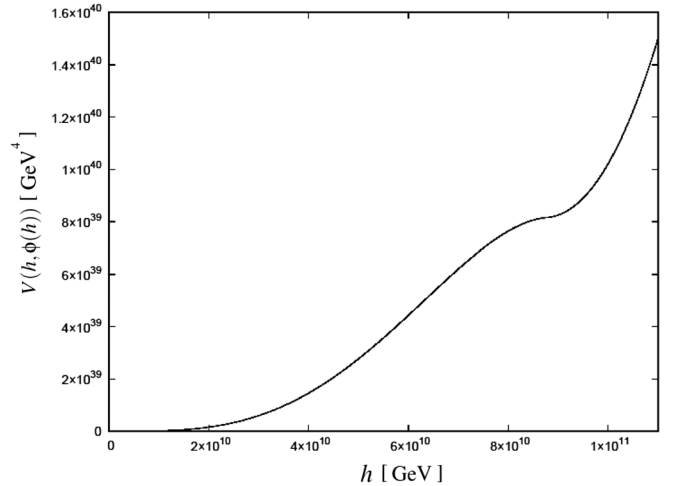


FIG. 6. An example of an inflection point in the potential at $\lambda_{h\phi}/\sqrt{\lambda_\phi} = 0.1$. Although we do not consider inflection points in this article, it is interesting to note that they can easily be found by reducing the value $\lambda_\phi^{1/4} f_a$ from the case of the degenerate potential.

IV. AXION COSMOLOGY WITH A QUASIDEGENERATE POTENTIAL

If the PQ symmetry is broken during inflation such that the Universe is in the electroweak vacuum $(h, \phi) = (v, f_a)$ when observable scales exit the horizon ($N \lesssim 60$), and if axions account for dark matter, then there is a strong constraint from axion isocurvature perturbations. The isocurvature contribution to the total curvature plus entropy power spectrum, α , due to axions is [26]

$$\alpha = \left(\frac{\Omega_a}{\Omega_{\text{dm}}} \right)^2 \frac{8M_{\text{Pl}}^2 \varepsilon}{f_a^2 \theta_a^2}, \quad (28)$$

where $M_{\text{Pl}} = (8\pi G)^{-1/2} = 2.4 \times 10^{18}$ GeV, θ_a is the initial displacement of the axion field angle ($\theta_a = a/f_a$) from the minimum of its potential, and Ω_a and Ω_{dm} are the density in axions and the observed dark matter density, respectively. The present bound on isocurvature perturbations is $\alpha < \alpha_{\text{lim}} = 0.03$ [27]. Using $r = 16\varepsilon$ for the tensor-to-scalar ratio r , we obtain

$$r \lesssim 2\alpha_{\text{lim}} \left(\frac{\Omega_{\text{dm}}}{\Omega_a} \right)^2 \frac{f_a^2 \theta_a^2}{M_{\text{Pl}}^2 \varepsilon}. \quad (29)$$

The axion dark matter density due to the misalignment mechanism is given by Eq. (2.8) of Ref. [28],

$$\Omega_a^{\text{mis}} = 3.8 \times 10^{-4} \theta_a^2 \left(\frac{f_a}{10^{12} \text{ GeV}} \right)^{1.165}. \quad (30)$$

This assumes that the axion mass has the form given in Eq. (22) of Ref. [29] with $\Lambda_{\text{QCD}} = 400$ MeV and $n = 8.16$ [30,31]. Combining Eqs. (29) and (30) gives an upper bound on r from isocurvature perturbations,

$$r \lesssim 7.2 \times 10^{-9} \left(\frac{\alpha_{\text{lim}}}{0.03} \right) \left(\frac{\Omega_{\text{dm}}}{\Omega_a} \right) \left(\frac{f_a}{10^{16} \text{ GeV}} \right)^{0.835}. \quad (31)$$

r is related to the expansion rate during inflation at observable scales, H_{inf} , by

$$H_{\text{inf}} = \pi M_{\text{Pl}} \left(\frac{\mathcal{P}_R r}{2} \right)^{1/2} = 7.9 \times 10^{13} \left(\frac{r}{0.1} \right)^{1/2} \text{ GeV}, \quad (32)$$

using $\mathcal{P}_R^{1/2} = 4.7 \times 10^{-5}$ for the observed curvature power. The isocurvature perturbation upper bound on r then implies an upper bound on the rate of expansion during inflation, H_{inf} , given by

$$H_{\text{inf}} \lesssim 2.1 \times 10^{10} \left(\frac{\alpha_{\text{lim}}}{0.03} \right)^{1/2} \left(\frac{\Omega_{\text{dm}}}{\Omega_a} \right)^{1/2} \times \left(\frac{f_a}{10^{16} \text{ GeV}} \right)^{0.418} \text{ GeV}. \quad (33)$$

Inflation models commonly have H_{inf} larger than 10^{10} GeV (i.e., r larger than 10^{-8}). In such models, in the case in which there is a significant amount of axion dark matter (in

particular, where axions account for all of the dark matter) and f_a is small compared to M_{Pl} , the PQ symmetry must be restored and subsequently broken after inflation in order to satisfy the isocurvature constraint.

In addition, and independently of the isocurvature constraint, if the fields are at the quasidegenerate minimum during inflation, then the electroweak and PQ symmetry must be restored after inflation to allow the fields to subsequently evolve to the electroweak vacuum. Therefore, if the fields are at either of the vacuum states during inflation (and if axions account for a significant amount of dark matter in the case of the electroweak vacuum), the PQ symmetry must be restored and subsequently broken after inflation.

In the case of PQ symmetry breaking after inflation,⁵ the axion dark matter density is due to vacuum realignment and the decay of the cosmic strings and domain walls which form after PQ symmetry breaking and the QCD transition. The resulting dark matter density is related to f_a by Eq. (4.4) of Ref. [28] (using $n = 8.16$),

$$\Omega_a h^2 \approx (1.6 \pm 0.4) \times 10^{-2} \left(\frac{f_a}{10^{10} \text{ GeV}} \right)^{1.165}. \quad (34)$$

Since $\lambda_\phi^{1/4} f_a \geq 2.39 \times 10^{10}$ GeV is necessary in order for a degenerate minimum to exist, we find a lower bound on the amount of axion dark matter in the case in which PQ symmetry is broken after inflation,

$$\Omega_a \geq (0.28 - 0.46) \lambda_\phi^{-0.291} \Omega_{\text{dm}}, \quad (35)$$

where $\Omega_{\text{dm}} h^2 = 0.12$ is the observed dark matter density. Thus, if $\lambda_\phi \lesssim 1$, then at least 30% of the dark matter must be due to axions.⁶ This lower bound is a direct consequence of the assumption that dark energy is due to the energy of the electroweak vacuum relative to a second minimum at zero energy.⁷

⁵This assumes that finite-temperature symmetry breaking from $(h, \phi) = (0, 0)$ is toward the electroweak vacuum $(h, \phi) = (v, f_a)$, rather than the degenerate minimum $(h, \phi) \approx (h_c, 0)$. For small $\lambda_{h\phi}$ and λ_ϕ (as assumed in our analysis), the $T^2 \phi^2$ contribution to the finite-temperature potential will be small compared to the $T^2 h^2$ term. Therefore, we expect that the symmetry will break along the ϕ direction toward the electroweak vacuum.

⁶A similar result will be obtained in the case of PQ breaking during inflation in the case in which the value of θ_a in our vacuum has a typical value, $\theta_a \sim \pi$, since in the case of PQ breaking after inflation we use the root mean square average of θ_a over domains after the PQ breaking transition, $\bar{\theta}_a \approx (\sqrt{2/3})\pi$ [28].

⁷Our axion dark matter bounds are based on the numerical simulation of axion strings and domain walls given in Ref. [28]. We note that there have been very recent developments in axion simulations, which may modify the relation between f_a and the amount of axion dark matter [32,33]. In particular, Ref. [32] indicates a smaller number of axions from cosmic string decay, which would increase the value of f_a necessary to account for a given fraction of dark matter.

If all of the dark matter is due to axions, then the value of f_a is fixed by Eq. (34) to be in the range 4.7×10^{10} to 7.2×10^{10} GeV. The potential is determined by the value of $\lambda_{h\phi}/\sqrt{\lambda_\phi}$, which is fixed (via the degenerate minimum constraint) by the value of $\lambda_\phi^{1/4} f_a$ via Table I. Therefore, up to a weak dependence on λ_ϕ (and uncertainties in the axion dark matter density from cosmic string and domain wall decay), the form of the potential is fixed. This will allow the cosmology of the model to be quantitatively studied. For λ_ϕ in the dimensionally natural range 0.01–1, the uncertainty in $\lambda_\phi^{1/4} f_a$ due to the unknown value of λ_ϕ corresponds to a factor between 0.32 and 1.

V. CONCLUSIONS AND DISCUSSION

We have shown that dark energy in the KSVZ axion model can be understood as being due the energy density of a metastable electroweak vacuum relative to a second minimum of the potential which is at zero energy density. The resulting model is therefore able to account for both the dark matter and dark energy densities as well as to provide a solution to the strong- CP problem. The requirement of a second almost degenerate minimum of the scalar potential imposes a nontrivial constraint on the parameters of the model. In particular, it implies a lower bound on the axion decay constant for a given PQ scalar self-coupling via a lower bound on $\lambda_\phi^{1/4} f_a$. In the case in which PQ symmetry is broken after inflation, this implies a lower bound on the amount of axion dark matter of about 30% of the total dark matter density if $\lambda_\phi \lesssim 1$. In addition, if axions constitute all of the observed dark matter, then the value of f_a is fixed (up to uncertainties in the production of axions from cosmic string and domain wall decay), which fixes the form of the potential up to a weak dependence on $\lambda_\phi^{1/4}$. This will allow the cosmology of the KSVZ model with axion dark matter and metastable dark energy to be quantitatively studied.

The energy density of the lowest energy metastable or stable minimum being equal to zero can arise, for example, in theoretical approaches which explain the cancellation of the vacuum energy density via spacetime averaging, such as vacuum sequestering, and in models with an energy parity. Both of these approaches (more generally, any approach that effectively subtracts off the total energy of one of the vacuum states) will ensure that there is no cosmological constant term, as any constant term in the potential is cancelled. Importantly, this ensures that the large quantum contribution to the vacuum energy density is also cancelled. Dark energy must then be explained either by adding a new quintessence component to the energy density or by a vacuum energy density due to the difference in energy between a pair of vacuum states. We have shown that the KSVZ axion model can provide the necessary pair of vacuum states. The KSVZ axion model with quasidegenerate minima can therefore

serve as a minimal model for dark energy, in the sense that it does not require any additional component to account for dark energy. In this context, the question of the smallness of the observed dark energy density, which requires the couplings to be tuned to a precision of the order of ρ_{vac}/f_a^4 , becomes the question of the underlying physics responsible for the near degeneracy of the vacuum states.

In this first study, we have not included the quantum corrections to the scalar potential due to the PQ scalar couplings $\lambda_{h\phi}$ and λ_ϕ . This will be a good approximation if the classical modification of the potential due to the ϕ field and its mixing with the electroweak doublet Higgs field are the dominant effects. A complete analysis of the effective potential requires a multiscale RG analysis of the two-field scalar potential in order to keep the CW correction to the potential small for all values of h and ϕ . In addition, our analysis has been at next-to-leading order in the SM effective potential. Next-to-next-to-leading order corrections are known to significantly increase the stability of the SM potential [34]. We will return to the full analysis of the KSVZ effective potential, using multiscale RG methods and including next-to-next-to-leading order corrections, in future work.

It has recently been suggested that, in generalizations of the SM Higgs potential which have a second minimum due to the scalar threshold effect, primordial black holes can form via quantum fluctuations [35]. The KSVZ axion model with a degenerate potential, PQ symmetry breaking after inflation and axion dark matter, would therefore provide a well-defined potential of the required form. We will consider this in a future analysis.

More generally, it would be interesting to explore the possible cosmological evolution of the model in different inflation scenarios. In particular, the nonminimally coupled PQ scalar inflation model of Ref. [36] would be interesting in this context. Combined with the quasidegenerate vacuum explanation of the dark energy density, this would provide a minimal model for dark matter, dark energy and inflation, as well as a solution to the strong- CP problem.⁸

Beyond quasidegenerate vacuum states, we have demonstrated the possibility of an inflection point in the potential and therefore the possibility of inflection-point inflation in the KSVZ axion model. This possibility has also recently been studied in Ref. [25]. We will return to inflection-point inflation in the KSVZ axion model in future work.

ACKNOWLEDGMENTS

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⁸An alternative approach, which unifies axion dark matter with inflation, neutrino masses, and baryogenesis, was proposed in Refs. [37,38].

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