

## **Abstract**

Notch signalling is a conserved developmental pathway involved, *inter alia*, in cell-fate decision, morphogenesis and tissue patterning. Extensive research has linked this pathway with a variety of malignancies, cancer stem cell renewal, induction of epithelial-to-mesenchymal transition and tumour angiogenesis. These data indicate that Notch can act as both a tumour suppressor and an oncoprotein, depending upon cellular context and identify it as a potential therapeutic target in cancer treatment. This review discusses the implications of Notch in a number of hematologic and solid malignancies and some of the currently available inhibitors developed against this pathway as potential cancer therapeutics.

**Key words:** Notch, cancer, receptor, ligand, signalling

## Introduction

Notch signalling is an evolutionary conserved pathway present in most multicellular organisms. It was first described by Dexter<sup>1</sup> who observed the appearance of a notch in the wings of *Drosophila melanogaster*. This feature became the namesake of the ‘notch’ gene and was later found to be the consequence of an X-linked, dominant mutation that causes irregular tissue loss in the wings of the fruit fly. In 1917, Morgan<sup>2</sup> identified the alleles of the gene which was later analysed and sequenced in successive years by two different groups<sup>3,4</sup>.

## Physiological roles of Notch signalling

The Notch pathway regulates a variety of cell fate decisions and cellular processes in invertebrate and vertebrate embryonic development and continues to remain involved in adult tissue homeostasis<sup>5</sup>. During embryonic development, Notch is involved in four main processes; (1) lateral inhibition which determines cell fates and spatial patterning (for example, segregation of neural and epidermal lineages or selection of a sensory organ precursor during neurogenesis)<sup>6</sup>, (2) asymmetric cell fate division as a mechanism to generate cell diversity and differentiate cells prior to mitosis through cell polarity regulation, (3) boundary formation – e.g. boundary establishment between prospective somites during somitogenesis or the formation of dorsal and ventral margins in the wing imaginal disc, (4) endocrine gland development through the activities of the Notch target genes *Hes1* and *Hes5*<sup>7-9</sup>. Notch-mediated signals are able to control divergent programs of differentiation in many tissues, including muscle, skin, pancreas and the vascular, nervous and hematopoietic systems<sup>10</sup>. In the adult body, Notch is involved in renewal and maintenance of various organs such as kidneys, lungs, liver, muscle and bone<sup>11</sup>.

## The Molecular biology of Notch signalling

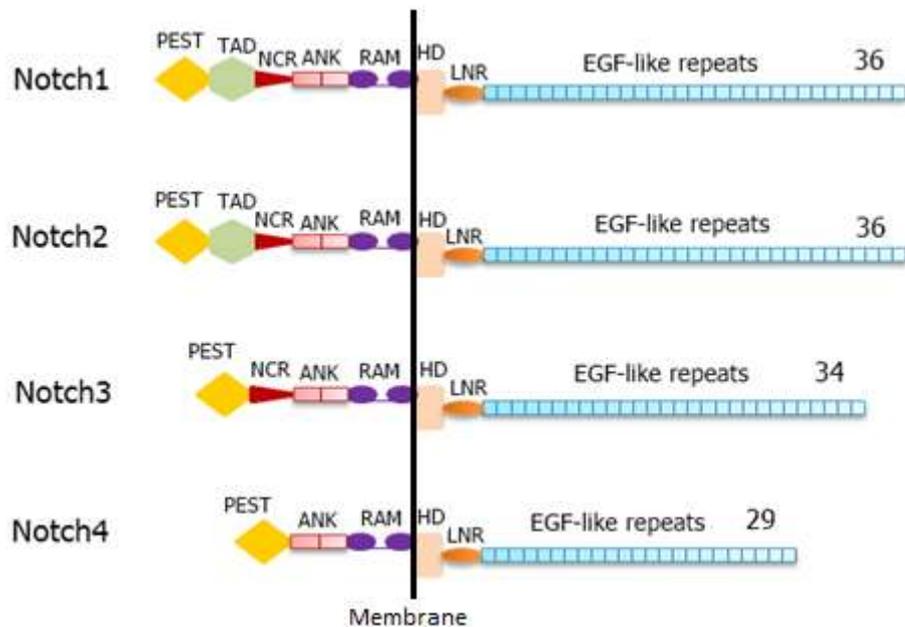
### Notch receptors – structure and maturation

Notch (Fig.1) is a 300 kDa single-pass, transmembrane protein<sup>4,7,12</sup> that can act as both a receptor and a transcription factor. While *Drosophila* has only one Notch receptor, mammals express four (Notch1-4). The extracellular domains of Notch1 and Notch2 contain a tandem array of 36 epidermal growth factor (EGF)-like repeats, while Notch3 and Notch4 have 34 and 29 repeats, respectively<sup>4,13</sup>. Only two of these EGF-like repeats, 11 and 12, are necessary for receptor-ligand interaction. The EGF-like repeats are followed by three cysteine-rich, LIN Notch repeats (LNRs)<sup>14</sup>. The Notch intracellular domain is also structurally complex and consists of a RBP-Jκ/CBF1 association module (RAM) domain, six ankyrin repeats, a proline, serine, glutamic acid and threonine-rich (PEST) domain and, finally, a transactivation domain (TAD) which is absent from Notch3 and Notch4<sup>13-16</sup>.

The Notch receptor is proteolytically cleaved at the S1 site (between the EGF-like and LNR repeats) whilst in the Golgi apparatus<sup>15</sup> by a furin-like convertase (Fig.2). This event yields two fragments that are held together non-covalently through a juxtamembrane heterodimerisation domain (HD)<sup>17</sup>. Also in the Golgi apparatus, Notch is subject to glycosylation, performed by O-fucosyltransferase and Fringe<sup>12,13,15,18,19</sup>. Vertebrates have three Fringe homologues; Radical, Manic and Lunatic<sup>8</sup>.

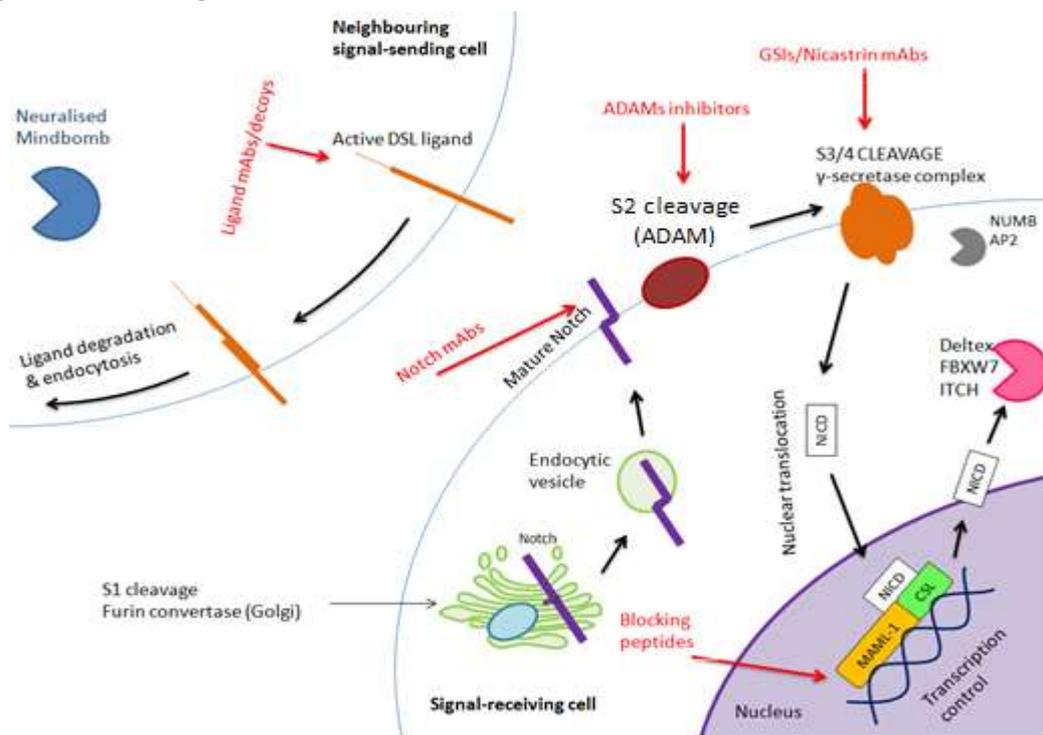
### Canonical and non-canonical Notch signalling

The mature Notch heterodimers are held in an auto-inhibited state by a juxtamembrane negative regulatory region (NRR), located between the transmembrane and ligand-binding regions of the



**Figure 1. The modular domain structure of mammalian Notch receptors.**

The extracellular domain of all Notch receptors contains multiple epidermal growth factor (EGF)-like repeats and three LIN Notch repeats (LNR) followed by the heterodimerization domain (HD). The cytosolic domain consists of a RBP-J $\kappa$ /CBF1 association module (RAM) domain and several ankyrin repeats (ANK). In addition, Notch receptors 1-3 contain a Notch cytokine response (NCR) region and Notch 1 and 2 contain a transactivation domain (TAD). Finally, all four receptors possess a proline, serine, glutamic acid and threonine-rich (PEST) domain.



**Figure 2. Trafficking and proteolysis of Notch.**

The Notch receptor is proteolytically cleaved at the S1 site in the Golgi apparatus by a furin-like convertase. At the cell surface, Notch undergoes S2 cleavage by members of the ADAM family. The residual C-terminal fragment then undergoes S3/4 cleavage by the  $\gamma$ -secretase complex yielding the Notch intracellular domain (NICD) which translocates to the nucleus where it can modulate transcriptional events through its displacement of co-repressors associated with CSL. Mastermind-like (MAML) protein is then recruited to form a ternary complex. Possible therapeutic intervention points are shown in red.

protein<sup>20, 21</sup>. This prevents activation of the Notch pathway by physically blocking the S2 cleavage site until a suitable ligand binds to the Notch receptor<sup>20</sup>. Upon ligand binding, the receptor undergoes a conformational change exposing the S2 site allowing proteolytic cleavage (Fig.2)<sup>8, 20</sup>. Cleavage occurs at the S2 site, between Ala-1710 and Val-1711, and is mediated by a disintegrin and metalloproteinase (ADAM) 17 also known as tumour necrosis factor alpha (TNF $\alpha$ )-converting enzyme (TACE)<sup>22, 23</sup>.

Proteolysis of Notch by TACE is followed by cleavage at the S3 site (Fig. 2) mediated by  $\gamma$ -secretase, a multiprotein complex consisting of Pen2, nicastrin, Aph-1 and presenilin, with the aspartyl proteinase catalytic site located in the presenilin protein<sup>8, 23-25</sup>. Nicastrin promotes the maturation and proper trafficking of other proteins in the complex<sup>26, 27</sup>. S3 cleavage leads to the cytoplasmic release of Notch intracellular domain (NICD) (Fig.2) which then translocates to the nucleus<sup>28</sup>.

Once in the nucleus (Fig.2), the NICD can modulate transcriptional events through its interaction with the DNA-binding factor CSL (CBF1, Suppressor of Hairless, Lag-1) that acts as both a transcriptional repressor and activator<sup>29</sup>. NICD displaces the co-repressors associated with CSL and together they recruit co-activators from the Mastermind-like (MAML) protein family to form a ternary complex<sup>8, 30, 31</sup>. Formation of the ternary complex depends on the ankyrin repeats of the Notch receptor<sup>31, 32</sup>. Table 1 provides a list of some of the more extensively studied target genes regulated in this manner.

**Table 1: Known Notch target genes and their roles (adapted<sup>33, 34</sup>).**

Target gene	Role/Function
Hes1, Hes5, Hes7	encode nuclear proteins that suppress transcription <sup>35</sup>
Hey1, Hey2, HeyL	encode nuclear proteins that suppress transcription <sup>36</sup>
Nanog	embryonic stem cell marker <sup>34</sup>
CD25	interleukin-2 receptor, pre-T cell receptor $\alpha$ chain <sup>33</sup>
cyclin D1	encodes a protein involved in regulating cell cycle progression <sup>37</sup>
CDK2	encodes a protein involved in regulating cell cycle progression <sup>37</sup>
DTX1	encodes Deltex-1, a E3 ubiquitin ligase <sup>38</sup>
c-Myc	proto-oncogene involved in growth control, differentiation and apoptosis <sup>39</sup>
p21 <sup>WAF1</sup>	cyclin-dependent kinase inhibitor, regulates cell cycle progression <sup>40</sup>
NF $\kappa$ B	encodes a protein complex that controls a large number of cellular processes <sup>41</sup>
Ifi-202, Ifi-204, Ifi-D3	encode interferon-inducible proteins <sup>42</sup>
ADAM19	a disintegrin and metalloprotease; cleaves cell surface proteins <sup>43</sup>
Bcl-2	apoptosis regulator <sup>44</sup>
HoxA5, HoxA9, HoxA10	regulators of animal development <sup>45</sup>
Slug	transcriptional repressor <sup>46</sup>
Survivin	apoptosis regulator <sup>47</sup>
NRARP	Notch negative regulator <sup>48</sup>
GATA3	transcription factor <sup>49</sup>

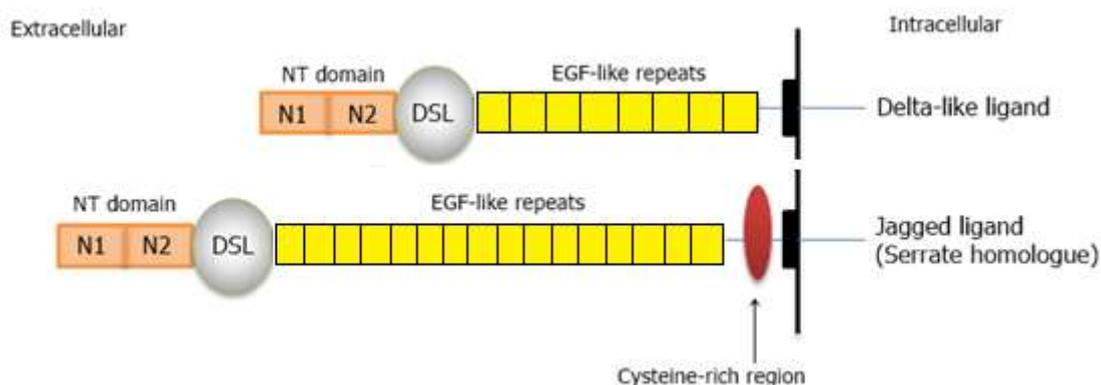
Protein degradation is seen as an effective means of regulating the Notch signalling pathway as it keeps the levels of the NICD just above a functional threshold<sup>8</sup>. The stability of the NICD is regulated by several E3 ubiquitin ligases (Deltex, Itch/AIP4, NEDD4, FBXW7 and Cbl) and polyubiquitination targets the fragment for either lysosomal degradation or recycling to the plasma membrane, both via endocytosis<sup>50, 51</sup>. Numb, a cytoplasmic negative regulator of Notch that acts upstream of the  $\gamma$ -secretase complex, and AP2 are also able to promote Notch degradation (Fig.2)<sup>50, 52, 53</sup>.

While canonical Notch signalling is able to mediate a number of biological processes, a non-canonical function of Notch has also been reported through the activity of ligands such as F3/contactin, DLK1-2, DNER and EGFL7. Non-canonical Notch is able to activate transcription independently of CSL. For instance, Notch can post-translationally target Wnt/ $\beta$ -catenin signalling<sup>54</sup> or promote the maturation of CD4<sup>+</sup> and CD8<sup>+</sup> without formation of a ternary complex<sup>50</sup>.

### Notch ligands – structure and proteolysis

Canonical Notch ligands are type I transmembrane proteins, part of the DSL (Delta/Serrate/Lag2) family and only affect the activity of adjacent cells expressing the receptor<sup>7</sup>. The mammalian genome encodes five distinct ligands; Delta-like ligand (DLL) 1, 3 and 4 along with Jagged1 and 2<sup>14, 55</sup>. Although, recently, it has been suggested that DLL3 inhibits rather than activates Notch signalling<sup>56</sup>. The effects of certain ligands on mammalian Notch signalling may vary, depending on which of the four receptors is involved.

DSL ligands share a commonly structured extracellular region which is comprised of an N-terminal domain followed by a DSL domain and multiple EGF-like repeats (both calcium and non-calcium binding), the latter of which are essential for the interaction with Notch (Fig.3)<sup>5, 57</sup>. The N-terminal domain consists of an N1 cysteine-rich region and an N2 cysteine-free region with a conserved glycosphingolipid-binding motif<sup>58</sup>. The extracellular region of the DSL ligands differs in terms of the number of EGF-like repeats as well as the presence of a cysteine-rich region in Serrate/Jagged ligands that shares partial homology with the Von Willebrand factor. In addition, some DSL ligands present a PDZ motif<sup>5</sup>.



**Figure 3. The modular domain structure of mammalian Notch ligands.**

The mammalian genome encodes five distinct ligands; Delta-like ligand (DLL) 1, 3 and 4 along with Jagged1 and 2. Each ligand contains an epidermal growth factor (EGF)-like repeat region (15-16 repeats in Jagged ligands and 6-8 in DLLs), a DSL (Delta/Serrate/Lag2) region and N1 and N2 N-terminal regions. Additionally, the Jagged ligands also have a conserved cysteine-rich region.

Delta and Notch accumulate in endocytic vesicles and a number of recent studies have shown that ligand immobilisation and endocytosis of the extracellular domain of Delta is necessary for signal activation<sup>57, 59</sup>. Like their receptors, DSL ligands undergo O- and N-linked glycan modifications<sup>19</sup> and are proteolytically processed by ADAMs and  $\gamma$ -secretase<sup>60-63</sup>. Ligand ectodomain shedding produces membrane bound C-terminal fragments that compete with Notch for  $\gamma$ -secretase cleavage and lead to the loss of Notch signalling<sup>62</sup>. The DSL ligand intracellular domain contains multiple lysine residues that can act as sites for the attachment of ubiquitin by E3 ligases. Neutralised and Mindbomb are ubiquitin ligases that influence Notch signalling through their interaction with DSL ligands<sup>64, 65</sup>. Neutralised interacts with Delta and promotes its internalisation and degradation through ubiquitination; the resultant loss of Delta at the cell surface is thought to indirectly promote Notch signalling by relieving the cis-inhibition imposed by Delta. Mindbomb also ubiquitinates and upregulates Delta endocytosis but, in contrast to Neutralised, it functions exclusively in the activation of trans-Notch signalling.

## **Notch signalling in cancer**

Notch is one of the key pathways in embryonic development and, as such, it is not surprising that irregularities in Notch signalling have been associated with various genetic physical disorders and cancers. Examples of genetic diseases resulting from dysfunctional Notch signalling include Alagille syndrome which results from mutations in Jagged1 or Notch2<sup>11, 66</sup>, spondylocostal dysostosis linked to DLL3 mutations<sup>10</sup>, and CADASIL (cerebral autosomal dominant arteriopathy with subcortical infarcts and leukoencephalopathy) caused by Notch3 mutations<sup>67</sup>.

In terms of cancer, Notch has been shown to influence carcinogenesis through its extensive cross-talk with other signalling pathways linked to development. Notch activates the PI3kinase/Akt pathway which inhibits apoptosis<sup>68-70</sup> and operates in an interdependent fashion with the Ras/Mitogen Activated Protein Kinase (MAPK)<sup>71, 72</sup>, NF- $\kappa$ B and PPAR $\gamma$  pathways<sup>73, 74</sup>. Furthermore, Notch also interacts with and/or influences the expression of receptor tyrosine kinases such as fibroblast growth factor receptor (FGFR)<sup>75-77</sup>, vascular endothelial growth factor receptor (VEGFR)<sup>78-80</sup> and epidermal growth factor receptor (EGFR)<sup>81, 82</sup>. Notch and the transforming growth factor- $\beta$  (TGF- $\beta$ ) signalling pathways play critical roles during development<sup>83-85</sup> and several interactions between Notch and the Wnt/ $\beta$ -catenin<sup>86-88</sup> and Hedgehog pathways have also been established<sup>89, 90</sup>

Dysregulation of the Notch pathway has been associated with a wide range of solid tumours and hematologic malignancies. However, depending on the tissue and organ site in which it is expressed, the Notch pathway can be either oncogenic or tumour suppressive (Table 2).

## **Notch signalling in an oncogenic role**

### **T-cell acute lymphoblastic leukaemia**

T-cell acute lymphoblastic leukaemia (T-ALL) is an aggressive malignant disease affecting mainly children and adolescents. The survival rate is up to 80% but patients that relapse show a poor prognosis<sup>91</sup>. Notch signalling is essential for T-cell lineage commitment and oncogenic Notch signalling has been well documented in T-ALL<sup>51, 92</sup>. In fact, more than 50% of human T-ALL cases exhibit mutations in the HD domain and/or PEST domain of Notch1 which is required during

**Table 2: Oncogenic and tumour suppressive roles of Notch in a variety of cancers (adapted<sup>11, 13, 93</sup>).** ● Oncogene, ● Tumour suppressor, ● Oncogene and tumour suppressor

	Type of cancer	Function	Notch/Ligand	
Hematologic malignancies	Acute myeloid leukaemia	●	Notch1-2 , DLL1 and DLL4 tumour suppressor; Jagged2 oncogene	
	B-cell acute lymphoblastic leukaemia	●	Notch1-4	
	B-cell chronic lymphocytic leukaemia	●	Notch1-2, Jagged1-2	
	Chronic myelomonocytic leukaemia	●	Undetermined	
	Chronic lymphocytic leukaemia	●	5-12% Notch1 mutations	
	Diffuse large B-cell lymphoma	●	Notch2	
	Hodgkin's lymphoma	●	Notch1	
	Mantle cell lymphoma	●	~10% Notch1 mutations	
	Marginal zone lymphoma	●	Notch2	
	Multiple myeloma	●	Notch1-2, Jagged1-2 oncogenes; Notch1 tumour suppressor	
	T-cell acute lymphoblastic leukaemia	●	Notch1-3 and DLL4 oncogenes; Notch2 tumour suppressor; 60% Notch1 and 30% FBXW7 mutations	
	Solid tumours	Adenocarcinoma of the lung	●	Notch1, Notch3, Jagged2
		Breast cancer	●	Notch1-2, Notch4, Jagged1 oncogenes; Notch2 tumour suppressor
Cervical cancer		●	Notch1-2, Jagged1-2, DLL4 oncogenes; Notch1 tumour suppressor	
Cholangiocarcinoma		●	Notch1; 35% FBXW7 mutations	
Colorectal cancer		●	Notch1-2, Jagged1-2, DLL4; 8%-9% FBXW7 mutations	
Cutaneous squamous cell carcinoma		●	60-70% Notch1 and >25% Notch2 mutations	
Glioblastoma multiforme		●	Notch1-2, Jagged1, DLL1 oncogenes; Notch1 tumour suppressor	
Head and neck squamous cell carcinoma		●	15-20% Notch1 mutations; Notch1 can be an oncogene and a tumour suppressor	
Hepatocellular carcinoma		●	Notch1-2	
Lung squamous cell carcinoma		●	5-10% Notch1 mutations; Notch2	
Medulloblastoma		●	Notch1 tumour suppressor; Notch2 oncogene	
Melanoma		●	~50% Notch1 overexpression	
Non-small cell lung cancer		●	10%Notch1 mutations; Notch3; Jagged2	
Ovarian cancer		●	Undetermined	
Pancreatic cancer		●	Jagged2 (90%) and DLL4 (50%) overexpression; Notch2, Notch4 oncogene; Notch1 tumour suppressor	
Prostate cancer		●	Notch1 tumour repressor, Jagged1 oncogene	
Renal cell carcinoma		●	Undetermined	
Small cell lung cancer	●	Notch1, Notch2		

several stages of normal early T-cell development<sup>51</sup>. The majority of these mutations consist of single amino acid substitutions, insertions, and deletions. The HD domain mutations induce ligand independent signalling, while C-terminal mutations lead to the partial or complete deletion of the PEST domain and increase the half-life of the NICD<sup>11, 51</sup>. Less than 1% of all T-ALL cases show a t(7;9) chromosomal translocation that results in a truncated form of the Notch1 protein through the translation of a series of truncated mRNAs. These truncated alleles lack the NRR region of the receptor and have been associated with ligand-independent activation<sup>16, 51, 94</sup>.

## **Breast cancer**

Notch plays a crucial role in mammary development and, as a result, abnormalities in the pathway lead to mammary tumourigenesis. The first indication of a link between Notch and breast cancer came with the finding that the Notch4 locus is the integration site for the mouse mammary tumour virus<sup>95</sup>. Since then Notch has been shown to exert its oncogenic effects in breast cancer through cooperation with a number of growth promoting proteins and pathways including Ras<sup>71</sup>, enhanced cyclin A and B expression, activation of Akt signalling with an associated reduction in apoptosis, and inhibition of p53 and Foxo3a<sup>69, 96-98</sup>. Notch also induces expression of the transcriptional repressor, Slug, and concomitantly promotes epithelial-to-mesenchymal transition (EMT) in E-cadherin-negative breast cancer cells<sup>99</sup>. Furthermore, the aberrant activation of Notch signalling through the RBP-Jk pathway has been linked to human breast cancer as it leads to the accumulation of the NICD which is able to transform normal breast epithelial cells into cancerous cells<sup>100</sup>. Finally, loss of Numb has been identified in more than 50% of human mammary carcinomas leading to enhanced Notch signalling<sup>101</sup>.

In terms of specific receptor and ligand species, the over-expression of Notch1, 3, 4 and Jagged1 has been linked to poor overall survival in breast cancer patients<sup>96, 98, 101-104</sup> while Notch2 has been shown to induce apoptosis and have a tumour suppressive role in breast cancer lines<sup>105</sup>. Notch3 plays an important role in the proliferation of ErbB2-negative breast tumour cells<sup>106</sup> whilst tumours expressing high levels of Notch4 appear highly vascularised and aggressive<sup>105</sup>.

## **Melanoma**

Melanomas are highly aggressive neoplasms that are unresponsive to most common therapies. The Notch pathway is important for the survival of immature melanocytes through the inhibition of apoptosis<sup>107</sup>. Notch1 has been shown to enhance primary melanoma cell growth whilst having little effect on metastatic cells<sup>108</sup>. The authors also showed that Notch expression was mediated by  $\beta$ -catenin and its functional inhibition reversed the effects of Notch on tumour growth and metastasis. The promotion of primary melanoma progression by Notch1 is also thought to occur through the activation of the MAPK/PI3-kinase/Akt pathways and the upregulation of N-cadherin expression<sup>109</sup>. Dysregulated Notch1 also promotes melanomagenesis under hypoxic conditions through its interaction with PI3/Akt and NF- $\kappa$ B signalling. Low oxygen is able to upregulate Notch1 signalling via stabilisation of HIF-1 $\alpha$  (hypoxia-inducible factor-1 $\alpha$ )<sup>68</sup>.

## **Notch signalling in a tumour suppressor role**

### **Skin carcinomas**

In contrast to its oncogenic role in melanoma progression, Notch1 has been identified as a tumour suppressor in mouse basal cell and squamous cell carcinomas of the skin where it interacts with the Wnt and Hedgehog pathways<sup>108, 110</sup>. In keratinocytes, Notch signalling is able to promote

differentiation and suppress tumourigenesis. Activated Notch1 leads to keratinocyte growth arrest by increasing p21<sup>WAF1/Cip1</sup> expression (cyclin/CDK inhibitor) through RBP-jK-dependent transcription<sup>111</sup>. p21 also acts as a negative transcriptional regulator of Wnt expression downstream of Notch1<sup>112</sup>. Notch1 can also suppress the expression of p63 (a modulator of Notch1-dependent transcription) in both human and murine keratinocytes<sup>113</sup>. Deletion of Notch1 results in epidermal and corneal hyperplasia<sup>111</sup> while Notch1 deficiency is associated with the upregulation of Gli2 which leads to the development of basal-cell tumours<sup>114</sup>.

### **Small cell lung carcinoma**

Small cell lung carcinoma (SCLC) is a neuroendocrine subtype of lung cancer with a high mortality rate. Notch signalling has a tumour suppressive role in SCLC as indicated by the loss-of-function mutations in human tumours. The Notch family of receptors is affected by genomic alterations in 25% of SCLC cases<sup>115</sup>. The majority of the identified mutations are heterozygous and mainly cluster in the EGF-like repeat region of Notch1. Most aberrations are frameshift and nonsense mutations as well as substitutions<sup>116</sup>. Notch signalling is able to cause growth arrest associated with G<sub>1</sub> cell cycle block and upregulate the expression of p21<sup>waf/cip1</sup> and p27<sup>kip1</sup> in SCLC cancer cell lines with cycle arrest being linked to the repression of HASH1 and induction of the MAPK/Ras pathway<sup>117</sup>.

### **Notch signalling and tumour angiogenesis**

Tumour growth and metastasis is dependent on angiogenesis which is controlled by multiple signalling mechanisms such as the VEGF, FGF and hepatocyte growth factor (HGF) pathways. The Notch signalling pathway plays a pivotal role in vascular development and tumour angiogenesis, from vessel maturation, branching and cell differentiation to cell proliferation, survival and apoptosis<sup>78, 118</sup>. The VEGF and Notch pathways have independent but complementary functions in tumour angiogenesis; VEGF can stimulate the expression of Notch receptors and ligands while Notch is able to regulate the expression of VEGFs<sup>79, 118, 119</sup>. DLL4 haploinsufficiency leads to severe vascular defects in embryos and increased expression of the ligand is associated with human cancers<sup>120, 121</sup>. Many studies have reported that DLL4 acts as a negative regulator of tumour angiogenesis by disrupting vessel density and structure<sup>122-124</sup>. Some data, however, suggest that inhibition of the DLL4/Notch pathway induces vascular neoplasms<sup>79, 119, 125</sup>.

### **Notch signalling and cancer stem cells**

Stem cells are characterised by their capacity for self-renewal and increasing data point toward the existence of cancer stem cells (CSCs). These 'tumour-initiating cells' are self-sustaining and capable of indefinite self-replication all the while showing resistance to chemotherapy and radiation which means that their complete eradication is necessary to obtain a cure for cancer. The Notch pathway, along with Wnt and Hedgehog, is essential for the maintenance of this population of cells and contributes to inflammatory signalling which promotes the stem-like cell phenotype<sup>98, 126</sup>. Recent work has shown that Notch plays a central role in breast and glioma CSCs. Notch1-transformed mouse mammary tumors have been shown to harbour a rare mammary tumor-initiating cell population and the receptor contributes to mammary tumour-initiating activity<sup>127</sup>. The authors demonstrated that Notch1 over-expression was able to increase the rate of tumoursphere formation in murine mammary tumour cell cultures through expression of the embryonic stem cell transcription factor, Nanog. Notch4 signalling has also been shown to be enhanced 8-fold in breast CSCs<sup>103</sup>. In glioblastoma models, knockdown of Notch ligands through RNA interference was found to hinder CSC self-renewal and growth<sup>128</sup>.

## Strategies to regulate Notch signalling for cancer therapy

The range of roles exhibited by Notch signalling in tumorigenesis, angiogenesis and CSC maintenance makes it a viable therapeutic target for cancer. Inhibition of the Notch pathway with various agents from small molecule inhibitors to large molecule antibodies is being actively investigated as there is a need to identify new, less toxic and more efficacious disease treatments.

### Notch-related antibodies

Molecules that target Notch receptors or ligands in a specific manner should, theoretically, reduce the therapeutic complications that arise from using non-selective compounds in cancer treatment. An attractive prospect in this respect is that of monoclonal antibody (mAb) therapy. However, monoclonal antibodies cannot cross the blood-brain barrier so they cannot, unmodified, be used against primary brain tumours and metastases and have a short half-life.

Using phage display technology, mAbs that can recognise specific ligands and receptors have been generated<sup>50</sup>. These antibodies can act as potent inhibitors of the Notch pathway by preventing ligand-receptor interaction or proteolytic cleavage, thus inhibiting the production of the NICD<sup>96, 129</sup>. Aste-Amezaga *et al.*<sup>129</sup> generated two types of mAb against Notch1; one of which recognized the NRR of the receptor and the other of which interacted with the ligand binding domain. Both antibodies were able to downregulate Notch signalling with the NRR-specific antibody having anti-angiogenic effects on tumours. However, the same authors noted that dual Notch1 and Notch2 mAb-mediated inhibition caused gastrointestinal toxicity. Antibodies that bind to overlapping epitopes in the NRR region of Notch3 have been shown to inhibit the pathway by stabilising the auto-inhibited state and preventing proteolysis<sup>21</sup> whilst the antibody, OMP-59R5 (tarextumab), which is a Notch2/Notch3 antagonist, has shown promising results in various cancer models, both as a single agent or in combination with other drugs<sup>130</sup>.

Antibodies against Notch ligands are also under development. An anti-DLL4 antibody<sup>124</sup> and a soluble Dll4-Fc fusion protein<sup>123, 131</sup> have been shown to have anti-tumour activity that disrupts angiogenesis and inhibits tumour growth. OMP-21M18 is an antibody against DLL4 that blocks ligand interaction with Notch1 and Notch4 thus inhibiting the signalling pathway. Multiple early-stage clinical trials are being conducted to test the efficacy of OMP-21M18 as a single agent or in combination with chemotherapy<sup>13, 132, 133</sup>

Finally, A5226A, an antibody against the extracellular domain of nicastrin (a component of the  $\gamma$ -secretase complex), is able to neutralise the activity of  $\gamma$ -secretase without causing any off-target effects<sup>134</sup>.

### Notch signalling decoys

Soluble decoys of the extracellular domain of Notch receptors and ligands appear to inhibit signalling. Funahashi *et al.*<sup>121</sup> employed a construct containing the 36 EGF repeats of rat Notch1 fused to human Fc and demonstrated that it blocked Notch signalling in endothelial cells and impaired tumour neoangiogenesis with a 58% decrease in microvessel density in xenograft models. Monomeric and dimeric forms of DLL-1 generated by fusing the extracellular domain to either a series of myc epitopes or to the Fc portion of human IgG-1 have also been shown to impair the activation of Notch by tethered DLL-1, suggesting a direct competition between soluble and tethered ligands<sup>59</sup>. Similarly, soluble Jagged1 has been shown to repress the function of its transmembrane counterpart<sup>135</sup>. Although not strictly a decoy, the soluble protein, epidermal growth factor-like domain 7 (EGFL7), has been shown to suppress endothelial cell proliferation, sprouting and migration in a manner reminiscent of Notch inhibition<sup>136</sup>. Furthermore, the authors

demonstrated a physical interaction between Notch and EGFL7 suggesting that the latter protein might compete with Notch-ligand binding to inhibit angiogenesis.

### **$\gamma$ -secretase inhibitors**

Proteolytic cleavage of Notch receptors and/or ligands by the  $\gamma$ -secretase complex is a prerequisite for the downstream transcriptional changes associated with Notch signalling.  $\gamma$ -secretase inhibitors (GSIs), therefore, inhibit Notch signalling by reducing the formation of the NICD. These compounds have cytostatic and cytotoxic activities in various cancer cells. However, the drawback of these effects is that most GSIs are not highly specific as they impair the proteolysis of a range of  $\gamma$ -secretase substrates including DLLs, Jagged, CD44, E-cadherin, amyloid precursor protein and N-cadherin. Two classes of GSIs have been developed with differing specificities; non-transition state inhibitors and competitive inhibitors of the presenilin catalytic site<sup>133</sup>.

RO4929097 is a competitive oral GSI that shows anti-tumour activity in multiple xenograft models. The inhibitor does not block proliferation or induce apoptosis but instead it produces a less transformed phenotype<sup>137, 138</sup>. The compound can impair angiogenesis but its effects in this respect are limited by high tumour levels of IL6 and IL8<sup>139</sup>. RO4929097 has been shown to downregulate the expression of Notch target genes in breast cancer cell lines<sup>126</sup> and to reduce the tumour initiating potential of melanoma cells<sup>140, 141</sup>. In addition, it has also been shown to reduce the expression of Notch target genes (Hes1, 3 and 5) in a dose-dependent manner in glioma tumour-initiating cells<sup>142</sup>. However, it was later shown that RO4929097 had limited anti-tumour activity in established glial tumours but that its efficacy was enhanced when used in combination with various other established chemotherapeutic agents<sup>143</sup>. Similarly, a phase 1 study of patients with a range of refractory solid tumours (predominantly colon) demonstrated a clinical benefit in colon and cervical cancer patients when RO4929097 was used in combination with capecitabine<sup>144</sup>. The former compound seemed to enhance the sensitivity of tumour cells to capecitabine. However, common side effects included grade 3 and 4 toxicities in relation to nausea, vomiting, diarrhoea, fatigue and hypophosphatemia.

PF-03084014 is a non-competitive and selective GSI that has been shown to reduce endogenous NICD levels and downregulate the Notch target genes Hes-1 and cMyc in the T-ALL cell line HPB-ALL<sup>145</sup>. The authors demonstrated growth inhibition of several T-ALL cell lines via cell cycle arrest and the induction of apoptosis. Furthermore, the inhibitor reduced cell proliferation and induced apoptosis in HPB-ALL tumours. Used in combination with fludarabine, PF-03084014 induced selective apoptosis in Notch1-mutated chronic lymphocytic leukaemia cells and upregulation of HRK, a proapoptotic gene<sup>146</sup>. Furthermore, the inhibitor has been shown to exhibit synergistic activity with dexamethasone (a glucocorticoid) in human T-ALL cell lines and primary human T-ALL patient samples<sup>147</sup>. The authors also demonstrated that the combination of compounds was highly efficacious in reducing the tumour burden in a xenograft model of T-ALL and that dexamethasone ablated the gastrointestinal toxicity of PF-03084014. Similarly, synergistic activity of PF-03084014 with docetaxel has been shown in triple-negative breast cancer models. The combination of the two drugs reversed the endothelial mesenchymal transition phenotype, induced apoptosis in bulk tumours and eliminated cancer stem cells<sup>148</sup>.

DAPT (N-[N-(3,5-Difluorophenacetyl)-L-alanyl]-S-phenylglycine t-butyl ester / GSI-IX) is a GSI that has been widely used to evaluate the role of Notch signalling in various cell types such as muscle stem cells, neural stem cells, ovarian cancer cells and tongue carcinoma cells<sup>80, 149, 150</sup>. DAPT blocks cellular proliferation and induces apoptosis through expression of p21 and regulation of cyclin A in Ishikawa endometrial cancer cells<sup>151</sup> and reduces proliferation in adipose derived stem cells via regulation of Notch and Runx2 expression<sup>150</sup>. DAPT can also inhibit Notch1 in gastric cancer cells which in turn leads to EMT inhibition<sup>152</sup>. Synergistic anti-leukaemic activity was observed following the pretreatment of ovarian cell lines resistant to cisplatin with DAPT<sup>153</sup>. Furthermore, the authors demonstrated that the drug combination inhibited tumour growth and

induced G2 cell cycle arrest and apoptosis through modulation of cyclin B1, Bcl-2 and caspase-3. DAPT showed no effect on tumour angiogenesis in colon adenocarcinoma in obese mice<sup>154</sup> but it was able to interfere with VEGF signalling in glioblastomas by decreasing VEGFR1 expression which led to uncoupling of tumour vessel density from vessel function<sup>80</sup>. However, the authors also showed that DAPT increased VEGFR2 expression and enhanced endothelial cell proliferation in combination with VEGF treatment.

MRK-003 is a potent GSI that exhibits good preclinical activity in several T-ALL and breast cancer cell lines. Intermittent treatment (3 days per week) is sufficient to induce Notch1-mediated cell cycle arrest and apoptosis in T-ALL<sup>155</sup>. Combining MRK-003 with trastuzumab (Herceptin) prevents ErbB-2 positive breast tumour recurrence while a combination of MRK-003 with lapatinib significantly reduces tumour growth<sup>156</sup>. MRK-003 treatment promotes caspase-dependent apoptosis and blocks proliferation in non-Hodgkin's lymphoma and multiple myeloma cell lines by decreasing the levels of NICD, Hes1 and cMyc<sup>157</sup>. Moreover, the authors demonstrated that the inhibitor upregulated pAkt expression while downregulating the levels of p21, Bcl-2 and Bcl-XI in multiple myeloma cells and cyclin D1, Xiap and Bcl-XI in non-Hodgkin's lymphoma cells. MRK-003 has also been shown to cause cytotoxicity and growth inhibition in a mouse model of pancreatic ductal adenocarcinoma<sup>158</sup> but appears to reduce oxaliplatin-induced apoptosis in human colon cancer cells by increasing the levels of the anti-apoptotic proteins Mc1-1 and Bcl-xL<sup>159</sup>.

Compound E is a selective, non-competitive GSI that has shown anti-tumour activity in several pre-clinical studies. The treatment of T-ALL cell lines with Compound E for 5-7 days has been shown to reversibly inhibit cell proliferation, cause cell cycle block and differentiation (the latter only in some of the cell lines studied)<sup>160</sup>. Treatment of 14 days or longer was required to induce significant apoptosis but the authors also found that Compound E sensitized cells to the effects of dexamethasone raising the potential for the use of the drug combination to obtain efficient therapeutic effects in T-ALL. Another study tested the response of compound E in four T-ALL cell lines in combination with several chemotherapeutic drugs. GSI treatment showed inconsistencies: it led to downregulation of Notch in one line, had no effect on another and induced chemotherapy resistance in two of the cell lines tested<sup>91</sup>.

GSI-I can induce cell cycle arrest and apoptosis in breast cancer cells with estrogen receptor (ER)-negative cell lines showing increased sensitivity<sup>26</sup>. Treatment of precursor-B acute lymphoblastic leukaemia (ALL) blasts with GSI-I induced apoptosis and caused nuclear accumulation of cleaved Notch1 and Notch2 concomitant with an inhibition of the Notch targets Hey2 and Myc<sup>161</sup>

MK-0752 is a novel GSI that has shown promising early results. The drug has been shown to reduce breast cancer stem cell numbers in tumourgrafts and to enhance the efficacy of docetaxel in pre-clinical studies<sup>162</sup>. In a 20-patient pilot study, MK-0752 was tested against early stage ER+ breast cancer and showed significant biomarker response in all tumours through modulation of Notch activity<sup>163</sup>. In another study investigating the effect of MK-0752 on advanced solid tumours, the inhibitor significantly impaired Notch signalling and a limited proportion of patients showed disease stabilization for longer than 4 months (one showed a complete response)<sup>164</sup>.

Although GSIs show promising results in clinical studies, they fail to distinguish between individual Notch receptors and they inhibit other signalling pathways that utilise the  $\gamma$ -secretase complex. This lack of specificity can lead to gastrointestinal toxicity due to the rapid differentiation of progenitor cells into secretory goblet cells in the intestinal crypts (goblet cell metaplasia) being impaired by Notch inhibition. Other GSI-associated adverse effects include skin disorders such as erythema, rash and pruritus or headaches<sup>96, 133, 165</sup>. However, as mentioned earlier, some studies have demonstrated that the combination of GSIs with other drugs such as glucocorticoids might overcome some of these toxicity problems<sup>147</sup>.

## **Blocking peptides**

Agents that interfere with the Notch signalling transcriptional activator complex have also been generated. SAHM1 is a permeable peptide that directly antagonises the assembly of the ternary complex and causes potent suppression of Notch target genes. SAHM1 blocks MAML1 recruitment and forms a transcriptionally inert complex with Notch and CSL. This inhibits Notch signalling and blocks tumour growth without showing gastrointestinal side effects<sup>166</sup>. TR4 is another dominant negative peptide derived from MAML1 that has shown promising results in human mammary and colon xenograft models. Moreover, the compound is able to cross the blood-brain barrier so it can potentially be used against brain tumours<sup>167</sup>.

## Concluding remarks

A plethora of studies have demonstrated how aberrant Notch signalling increases cellular proliferation, induces epithelial-to-mesenchymal transition, maintains the cancer stem cell pool and inhibits apoptosis. The multifaceted role of Notch in cancer development/progression makes it an attractive therapeutic target and its cross-talk with other signalling pathways opens the door for the use of combinational therapeutic strategies. However, the efficacy of any Notch-targeted treatments must be considered in the light of drug specificity and related off-target toxicities. Furthermore, the role Notch signalling in cancer, as in differentiation, is context dependent; it can promote oncogenesis in some tissues and prevent neoplastic transformation in others. Nonetheless, the use of drugs to regulate Notch signalling represents an exciting challenge in the field of cancer therapeutics.

## Abbreviations

ADAM, a disintegrin and metalloproteinase; CADASIL, cerebral autosomal dominant arteriopathy with subcortical infarcts and leukoencephalopathy; CSC, cancer stem cell; CSL, CBF1 Suppressor of Hairless, Lag-1; DAPT, N-[N-(3,5-Difluorophenacetyl)-L-alanyl]-S-phenylglycine t-butyl ester; DLL, Delta-like ligand; DSL, Delta/Serrate/Lag2; EGF, epidermal growth factor; EGFL7, epidermal factor-like domain 7; EGFR, epidermal growth factor receptor; EMT, epithelial-to-mesenchymal transition; ER, estrogen receptor; FGF, fibroblast growth factor; FGFR, fibroblast growth factor receptor; GSI,  $\gamma$ -secretase inhibitor; HD, heterodimerisation domain; HGF, hepatocyte growth factor; HIF-1 $\alpha$ , hypoxia-inducible factor-1 $\alpha$ ; LNR, LIN-12-Notch repeat; mAb, monoclonal antibody; MAML, Mastermind-like; MAPK, Ras/Mitogen Activated Protein Kinase; NICD, Notch intracellular domain; NRR, negative regulatory region; PEST, proline, serine, glutamic acid and threonine-rich; RAM, RBP-J $\kappa$ /CBF1 association module; SCLC, small cell lung carcinoma; T-ALL, T-cell acute lymphoblastic leukaemia; TAD, transactivation domain; TACE, tumour necrosis factor alpha-converting enzyme; TGF- $\beta$ , transforming growth factor- $\beta$ ; TNF $\alpha$ , tumour necrosis factor alpha; VEGF, vascular endothelial growth factor; VEGFR, vascular endothelial growth factor receptor

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