

1 **Spectrochemical analyses of growth phase-related bacterial responses**  
2 **to low (environmentally-relevant) concentrations of tetracycline and**  
3 **nanoparticulate silver**

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21

## 22 **Abstract**

23 Exposure to environmental insults generally occurs at low levels, making it  
24 challenging to measure bacterial responses to such interactions. Additionally,  
25 microbial behaviour and phenotype varies in differing bacterial types or growth  
26 phases, likely giving rise to growth- or species-specific responses to environmental  
27 stimuli. The present study applied a spectrochemical tool, infrared (IR) spectral  
28 interrogation coupled with multivariate analysis, to investigate the growth- and  
29 species-specific responses of two bacterial strains, Gram-negative *Pseudomonas*  
30 *fluorescens* and Gram-positive *Mycobacterium vanbaalenii*, to low concentrations of  
31 tetracycline, nanoparticulate silver (AgNP) or mixtures thereof. Results indicate the  
32 tendency for tetracycline-induced biospectral alterations to occur in outer-cellular  
33 components, *e.g.*, phospholipids or proteins, while AgNPs-induced changes are  
34 mainly associated with proteins ( $\sim 964\text{ cm}^{-1}$ ,  $\sim 1485\text{ cm}^{-1}$ ,  $\sim 1550\text{ cm}^{-1}$ ,  $\sim 1650$   
35  $\text{cm}^{-1}$ ). The primary altered targets are correlated with bacterial membranes or  
36 outer-cellular components. Furthermore, significant lipid changes at  $1705\text{-}1750\text{ cm}^{-1}$   
37 were only present in *P. fluorescens* cells compared to *M. vanbaalenii*, owing to  
38 differences in cell wall structure between Gram-positive and -negative bacteria. This  
39 study also found distinct biospectral alterations in non-log phase compared to log  
40 phase, confirming bacterial growth-dependent responses to environmental exposures.  
41 It implies that previous studies on log phase only may underestimate the impacts from  
42 exposures of interest *in situ*, where bacteria stay in different growth stages. Our work  
43 proves the feasibility of biospectroscopy in determining bacterial responses to  
44 low-level environmental exposures in a fast and efficient manner, revealing sufficient  
45 biochemical information continuously through growth phases. As a nondestructive  
46 approach, biospectroscopy may provide deeper insights into the actual and *in situ*  
47 interactions between microbes and environmental stimuli, regardless of the exposure  
48 level, growth phase, or bacterial types.

49



## 55 **Introduction**

56 The impacts of environmental insults on microorganisms have been widely studied.  
57 Antibiotics are a group of antimicrobial agents capable of causing environmental  
58 insults of major concern from both a scientific and public perspective. A significant  
59 number and quantity of antibiotics have been extensively applied in human and  
60 veterinary medicine<sup>1</sup>. Various means by which these drugs and their metabolites enter  
61 the environment post-excretion have been examined. The most common way by  
62 which antibiotics are discharged is *via* sewage treatment plants with ultimate release  
63 into surface or groundwater. Previous studies point to >40 different categories of  
64 antibiotics found in groundwater and even drinking water, ranging from nanogram per  
65 liter to microgram per liter<sup>1-4</sup>. Strikingly, residues of veterinary antibiotics enter the  
66 environment in a much more direct way through application of liquid manure as  
67 fertilizer<sup>5,6</sup>.

68 Additionally, nanoparticles (NPs) may also pose high risks to our living  
69 environment. Nanoparticulate silver (AgNP) is one of the most widely-used NPs,  
70 occurring in analytical pathogen-detecting devices, as antibacterial additives in  
71 commercial products (clothing, food containers, wound dressings, implant coatings,  
72 and refrigerators), and in ultrafiltration membranes for water purification<sup>7-9</sup>. The  
73 abuse and widespread usage of antibiotics and AgNPs may exacerbate risks of  
74 antimicrobial resistance<sup>10</sup>. Although many studies have addressed such consequences  
75 in living microorganisms, they usually employ very high-level exposures of  
76 antibiotics and AgNPs in the laboratory, generally 100- or even 1000-fold greater than  
77 exposures in real-world scenarios. Thus, the *in-vitro* outcome of high-level exposure  
78 in reflecting real-world impacts is always questioned<sup>11,12</sup>.

79 Bacteria play a critical role in geochemical processes and are ubiquitously present  
80 in the environment as a unique group of microorganisms. They can be used  
81 advantageously to study the impacts of environmental exposures, but their  
82 communities are incredibly complicated with regards to composition, functions and  
83 dynamics<sup>13-16</sup>. Gram staining depending on cell membrane structure, for instance, is  
84 the general classification method for categorizing bacteria into Gram-positive or  
85 -negative groupings. The fundamental difference between these two categories is  
86 membrane structure, *i.e.*, there is only a thin peptidoglycan layer (~2-3 nm) between  
87 the cytoplasmic membrane and the outer membrane of Gram-negative bacteria while

88 Gram-positive bacteria exhibit a thick peptidoglycan layer of 30 nm but lack an outer  
89 membrane<sup>17</sup>. Differing attributes of membrane structure may result in distinct  
90 responsive behaviors towards environmental exposures, for instance towards toxicity  
91 and anti-biocide actions<sup>18</sup>. Additionally, growth phase is another major concern in  
92 studying bacterial communities. Bacterial growth is typically divided *via* growth rate,  
93 *i.e.*, lag phase, acceleration phase, exponential phase (log-phase), retardation phase,  
94 stationary phase, and phase of decline. In previous studies, log-phase has been the  
95 most investigated, but this condition is seldom found in the natural environment due  
96 to rarity of optimal growth conditions<sup>19</sup>. Instead, stationary- to death-phase  
97 representing nutrient depletion circumstances may be more representative<sup>20</sup>.

98 To date, a wide range of techniques have been used to assess bacterial responses  
99 to environmental exposures, such as Christensen test-tube method (CTT)<sup>21, 22</sup>, Congo  
100 red agar method (CRA)<sup>23</sup>, IcaADB gene detection using polymerase chain reaction  
101 (PCR)<sup>24, 25</sup>, and pulsed-field gel electrophoresis (PFGE)<sup>26, 27</sup>. However, these  
102 approaches target specific endpoints and are not feasible for diagnosing bacterial  
103 responses to environmental exposures. CTT and CRA primarily require access to pure  
104 cultures. The others are molecular-based, defining the genotype of a whole  
105 community rather than the individual phenotype or behavior. For instance,  
106 distinguishing the functions or behaviours of individual bacterial cells within a  
107 bacterial biofilm is almost impossible *via* molecular-based methods, owing to the  
108 enormous diversity of bacterial strains and complexity in community structure.  
109 Additionally, these approaches have to be performed under restrictive laboratory  
110 conditions<sup>28-30</sup>, making it unachievable to discriminate bacterial phenotypes within  
111 complex bacterial communities in real environmental samples. Therefore, an  
112 increasing need for novel high-throughput approaches is raised, allowing one to  
113 analyze the real environmental microbiota *in situ* *via* a non-destructive means.

114 Biospectroscopy has a long history of application in microbiology since the  
115 1960s<sup>31, 32</sup>. Attributes of biospectroscopy include non-destructive, non-invasive,  
116 high-throughput and label-free, which provide many advantages for the investigation  
117 of environmental and biochemical dynamic changes in low-level exposure  
118 circumstances. In recent studies, biospectroscopy has proved sensitive to  
119 physiological and morphological alterations resulted from low-level environmental  
120 exposures<sup>33-35</sup>. Specifically, infrared (IR) spectroscopy exploits the principle that

121 biochemical bonds perform some degree of vibrations induced by stretching, bending,  
122 scissoring or twisting after energy absorption at particular wavelengths. The  
123 “biochemical-cell fingerprint” region is located within the mid-IR region, which is the  
124 most information-rich about biochemical structures<sup>36</sup>. Through assessing derived  
125 spectral peaks or alterations, *i.e.*, bio-fingerprints, the biochemical structure of  
126 interrogated targets can be revealed<sup>37-39</sup>. Additionally, Raman spectroscopy, as a  
127 complementary method to IR, can provide information on chemical bonds and  
128 composition even under a hydrated environment<sup>40, 41</sup>. Furthermore, another merit of  
129 biospectroscopy over other techniques is that it allows investigations to be undertaken  
130 *in situ* in real-time, which can generate continuous biochemical information through  
131 the entire biological processes rather than only obtaining static results from specific  
132 time points.

133 As a broad-spectrum of antibiotic, tetracycline is effective against both Gram-  
134 positive and -negative bacteria with only a few exceptions<sup>42</sup>, having a history of  
135 several decades usage in animal feeds and consequently probably more ubiquitous in  
136 the natural environment than other in-house antibiotics (*e.g.*, kanamycin)<sup>43</sup>. The  
137 present study therefore chose tetracycline as the studied antibiotic, discriminating and  
138 assessing bacterial responses to tetracycline and AgNPs *via* biospectroscopy coupled  
139 with multivariate analysis. Additionally, recent studies have reported the synergistic  
140 antibacterial effects of antibiotics and metals or NPs<sup>44</sup>, *e.g.*, amoxicillin and AgNPs<sup>45</sup>,  
141 but its effects on bacterial physiology remains unclear. Our work also considered and  
142 interrogated microbial responses to the binary-exposure of tetracycline and AgNPs *via*  
143 IR spectroscopy. Regarding the real-world scenario, our results distinguished the  
144 distinct biospectral alterations in different bacterial types and growth phases, helping  
145 one to understand the bacterial behaviour post-exposure to low-level antimicrobials.  
146 We aim to demonstrate that biospectroscopy approaches can characterise  
147 physiological features of bacteria and lend profound insights into the relationship  
148 between these and bacterial responses to environmental insults.

149

## 150 **Materials and methods**

### 151 *Sample preparation*

152 Unless stated otherwise, all the chemicals used in this study were purchased from  
153 Sigma-Aldrich (UK). The AgNPs (catalogue no. 730785, Sigma-Aldrich, UK) have a  
154 10-nm particle size at a stock concentration of 0.02 mg/mL, dissolved in aqueous  
155 buffer with sodium citrate as stabilizer. Two classic soil bacterial strains,  
156 *Mycobacterium vanbaalenii* PYR-1 (Gram-positive) and *Pseudomonas fluorescens*  
157 (Gram-negative), were selected owing to their ubiquity and wide distribution in the  
158 soil environment and their well-known physiological behaviour<sup>36, 46-48</sup>. These two  
159 strains were cultured in Luria-Bertani (LB) broth at 30±2°C with 150 rpm shaking for  
160 24 h. Bacterial growth was measured every 10 min by optical density at 600 nm  
161 (OD<sub>600</sub>) with a microplate reader (FLUOstar Omega, BMG Labtech, UK). AgNPs,  
162 tetracycline or AgNPs-tetracycline mixture was added into cell suspensions in early  
163 log-phase (OD<sub>600</sub>=0.6), respectively. To mimic the low-level exposure in natural  
164 environment<sup>49-52</sup>, the exposure concentration was set as 4 µg/L for AgNPs and 1 µg/L  
165 for tetracycline. One millilitre of bacterial suspension was taken 2, 8 and 24 h  
166 post-exposure, representing log-phase, stationary-phase and death-phase, respectively.  
167 For each sample, bacterial cells were harvested by centrifugation at 4000 relative  
168 centrifugal force (rcf) for 5 min, and the cell pellets were subsequently washed three  
169 times with sterile deionized water and 70% ethanol to fix bacteria and remove  
170 residues of growth media. The fixed samples were then applied onto Low-E slides for  
171 subsequent spectrochemical analysis.

### 172 *Spectrochemical analysis*

173 IR spectra were acquired *via* a Bruker TENSOR 27 FTIR spectrometer (Bruker Optics  
174 Ltd., UK) equipped with a Helios ATR attachment containing a diamond internal  
175 reflection element (IRE). Instrument parameters were set at 32 scans and 16 cm<sup>-1</sup>  
176 resolution. A total number of 30 spectra were acquired per sample through the ATR  
177 magnification-limited viewfinder camera. Before measuring each new specimen, the  
178 crystal was cleaned with deionized water, and background readings were retaken.

179 Raman spectra were acquired *via* an InVia Renishaw Raman spectrometer  
180 (Renishaw Inc. Gloucester, Gloucestershire, UK). After calibration, sample slides  
181 were placed on an operating stage (a Renishaw automated 100 nm encoded XYZ

182 stage), and a 50× objective (numeral aperture 0.75) was applied to focus on the cell  
183 pellet. The parameters of measurement included: grating scan type (extended);  
184 spectrum range (400 to 1800  $\text{cm}^{-1}$ ); configuration (Laser, 785 nm edge); grating (1200  
185 l/mm 633/790); exposure time (30 s); accumulations (1); and, laser power (100%).  
186 When all the parameters were set up, a map measurement was used for analyzing each  
187 colony. The spectrometer's entrance slit of 50  $\mu\text{m}$  combined with a 1200 lines/mm  
188 ( $\sim 1.0 \text{ cm}^{-1}$  spectral resolution) diffraction grating dispersing Raman signals onto a  
189 master Renishaw Pelletier cooled charge coupled detector (CCD). A white light  
190 camera mounted on the microscope was used to obtain the darkfield images and  
191 visualize locations for spectral acquisition. For each captured picture of a cell pellet,  
192 25 spectra were randomly obtained.

### 193 *Spectrochemical data processing*

194 All the initial data generated from ATR-FTIR spectroscopy were analyzed using  
195 MATLAB R2011a (TheMathsWorks, Natick, MA, USA) coupled with the IRootLab  
196 toolbox (<http://irootlab.googlecode.com>)<sup>53</sup>. The acquired IR spectra were cut to the  
197 biochemical-cell fingerprint region (1800 - 900  $\text{cm}^{-1}$ ), undertaken rubberband baseline  
198 correction and normalized to Amide I (1650  $\text{cm}^{-1}$ ). Multivariate analysis of principal  
199 component analysis-linear discriminant analysis (PCA-LDA) was applied to the  
200 pre-processed data to derive ten uncorrelated principal components (PCs) from  
201 acquired spectra, which account for >99% of the total variance and also maximize  
202 inter-class variance whilst minimizing intra-class variance<sup>54-56</sup>. Cross-calculation was  
203 subsequently performed to mitigate risk resulting from LDA overfitting<sup>57</sup>. The  
204 PCA-LDA loadings using (n-1) samples (n = number of samples in dataset) was  
205 trained *via* leave-one-out cross-validation and then calculated the scores of the rest  
206 sample. This process was performed for all scores within the test. To investigate roles  
207 of reactive oxygen species (ROS) in antibiotic action and resistance, the  
208 CySS-to-protein ratio was calculated from derived Raman spectra by dividing the  
209 intensity of cysteine band (CySS, 668  $\text{cm}^{-1}$ ) by that of protein band (1447  $\text{cm}^{-1}$ )<sup>34</sup>.

### 210 *Statistical analysis*

211 Statistical significance of differences and variance analysis (*P*-value <0.05) of  
212 biospectral alterations among different treatments of bacterial types and growth  
213 phases was performed using one-way analysis of variance (ANOVA) with Tukey's



214 post-hoc test. All statistical analyses were carried out in GraphPad Prism 6.  
215 Multivariate regression trees (MRT) were used to analyze the influence of bacterial  
216 type, growth phase and exposure on biospectral alterations using the R package  
217 “mvpart”. Herein, Gram-positive (*M. vanbaalenii*) and Gram-negative (*P. fluorescens*)  
218 strains were assigned as 1 and 0. For growth phase, the log-phase, stationary-phase,  
219 and death-phase were assigned as 1, 2 and 3, respectively. The exposure of AgNPs,  
220 tetracycline and their mixtures were assigned as 1, 2 and 3, respectively.

221

## 222 **Results and discussion**

### 223 *Growth curves post-exposure to AgNPs and tetracycline*

224 Growth curves (Figure 1) for *M. vanbaalenii* (Figure 1A) and *P. fluorescens* (Figure  
225 1B) show that both strains exhibit approximately a 3 h lag-phase and the log-phase  
226 starts some 3.5 h after initial culture. Both enter the stationary-phase at approximately  
227 11 h ( $OD_{600}=1.2$ ). After about 30 h culture, significant fluctuations indicate the death  
228 phase stage for both strains. There was no significant difference regarding the growth  
229 between control (non-exposure) and exposure groups ( $P >0.05$ ). Although previous  
230 work reports a remarkable inhibition of bacterial growth post-exposure to AgNPs<sup>58</sup>,  
231 the exposure levels herein (4 ng/mL) are much lower than the previous study (10-100  
232  $\mu\text{g/mL}$ ) and it therefore appeared not to significantly impact either bacterial strain.  
233 Similarly, low concentrations of tetracycline did not induce any apparent changes on  
234 the respective growth curves either. A previous study reports that *Synechocystis* sp.  
235 exposure to 1  $\mu\text{g/L}$  of tetracycline for five days exhibited no apparent effect, possibly  
236 because of natural variability in tetracycline resistance<sup>59</sup>. Additionally, *M. vanbaalenii*  
237 and *P. fluorescens* are both environmental bacteria widespread in natural habitats  
238 (water and soil), and they are reported to tolerate insults from low-level exposures of  
239 antimicrobials<sup>36</sup>. Thus, their growth curves are hardly affected by low-level exposures  
240 to AgNPs or tetracycline.

### 241 *Growth-dependent spectrochemical alterations derived from IR spectra*

242 Raw spectra reveal very limited information because low-level exposures may only  
243 induce miniscule alterations (Figure 1C and 1D). Multivariate analysis assists in  
244 highlighting the spectral changes and key distinguishing biomarkers representing

245 spectral differences. Previous studies indicate that most features align along linear  
246 discriminant one (LD1), which includes most of the spectral information (Figure 2)<sup>34</sup>,  
247 <sup>36</sup>. The observed segregation in PCA-LDA scores plots (Figure 2A and 2E) is  
248 significant ( $P < 0.05$ ), indicating distinct biospectral alterations between the growth  
249 phases. The results of one-way ANOVA [see Electronic Supporting Information (ESI)  
250 Table S1 and S2] also demonstrate the statistically significant means of all  
251 segregation categories in each growth phase ( $P < 0.05$ ).

252 Biospectral discriminant peaks and their tentative assignments illustrate the  
253 interactions between cellular components in different growth stages (Figure 3). The  
254 spectra of *M. vanbaalenii* show a broad range of variations in stationary-phase  
255 compared to log-phase (Figure 3A), including glycogen ( $\sim 1018 \text{ cm}^{-1}$ ), carbohydrate  
256 ( $\sim 1165 \text{ cm}^{-1}$ ), symmetric phosphate stretching vibrations ( $\nu_s \text{PO}_2^-$ ;  $\sim 1088 \text{ cm}^{-1}$ ), COO-  
257 symmetric stretching vibrations of fatty acids and amino acid ( $\sim 1377 \text{ cm}^{-1}$ ), lipid  
258 ( $\sim 1701 \text{ cm}^{-1}$ ), and proteins ( $\sim 1474 \text{ cm}^{-1}$ )<sup>60</sup>. The main discriminant peaks in  
259 death-phase include Amide I ( $\sim 1650 \text{ cm}^{-1}$ ), Amide II ( $\sim 1550 \text{ cm}^{-1}$ ), glycogen ( $\sim 1018$   
260  $\text{cm}^{-1}$ ), and proteins ( $\sim 1481 \text{ cm}^{-1}$ )<sup>36, 60</sup>. In contrast, the major spectral features of *P.*  
261 *fluorescens* are identical in stationary-phase and death-phase (Figure 3E), mainly  
262 comprising proteins and lipids, *i.e.*, Amide I ( $\sim 1650 \text{ cm}^{-1}$ ), Amide II ( $\sim 1550 \text{ cm}^{-1}$ ),  
263 and lipids ( $1705\text{-}1750 \text{ cm}^{-1}$ )<sup>34, 35</sup>. For both strains, the degrees of all the  
264 growth-related alterations illustrate an increasing tendency from stationary-phase to  
265 death-phase, possibly attributed to cellular differentiation under nutrient depleted  
266 conditions in stationary-phase and death-phase, which cause the changing cell wall  
267 structure to adapt to growth circumstances<sup>20, 61, 62</sup>. The spectral differences between  
268 the two strains is principally contributed by cell membrane structure in that  
269 Gram-negative bacteria contain two lipid-associated bilayers compared to  
270 Gram-positive cells<sup>17</sup>. The extra membrane in Gram-negative strains (*P. fluorescens*)  
271 might increase more detectable alterations related to proteins and lipids across growth  
272 phases.

### 273 *Spectrochemical alterations with AgNPs/tetracycline exposure*

274 Although the exposures herein are low-level, characterizable effects can be identified  
275 post-exposure to AgNPs, tetracycline or their mixtures *via* biospectroscopy coupled  
276 with multivariate analysis. The key alterations in *M. vanbaalenii* post-exposure to  
277 AgNPs include glycogen ( $\sim 1022 \text{ cm}^{-1}$ ), proteins ( $\sim 1485 \text{ cm}^{-1}$ ),  $\nu_s \text{PO}_2^-$  ( $\sim 1088 \text{ cm}^{-1}$ ,

278 1092  $\text{cm}^{-1}$ ), carbohydrate ( $\sim 1165 \text{ cm}^{-1}$ ), lipids ( $\sim 1705 \text{ cm}^{-1}$ ,  $1709 \text{ cm}^{-1}$ ), Amide I  
279 ( $\sim 1670 \text{ cm}^{-1}$ ), and protein phosphorylation ( $\sim 964 \text{ cm}^{-1}$ ) (Figure 3B)<sup>33, 36</sup>. Tetracycline  
280 exposure led to discriminating alterations in *M. vanbaalenii* in Amide III ( $\sim 1269$   
281  $\text{cm}^{-1}$ ), protein phosphorylation ( $\sim 964 \text{ cm}^{-1}$ ), glycogen ( $\sim 1022 \text{ cm}^{-1}$ ), Amide I ( $\sim 1609$   
282  $\text{cm}^{-1}$ ,  $1612 \text{ cm}^{-1}$ ,  $1659 \text{ cm}^{-1}$ ), COO- symmetric stretching vibrations of fatty acids  
283 and amino acid ( $\sim 1408 \text{ cm}^{-1}$ ), and lipids ( $\sim 1701 \text{ cm}^{-1}$ ,  $1713 \text{ cm}^{-1}$ )<sup>60</sup> (Figure 3C).  
284 However, for *P. fluorescens*, the biomarkers in both individual treatments are further  
285 concentrated on proteins ( $\sim 1650 \text{ cm}^{-1}$ ,  $\sim 1550 \text{ cm}^{-1}$ ) and lipids ( $1705\text{-}1750 \text{ cm}^{-1}$ )<sup>34, 36</sup>  
286 (Figure 3F and 3G).

287 In binary exposure treatments, more complex profile differences in spectral  
288 alterations in ATR-FTIR spectra are observed. The primary changes in *M.*  
289 *vanbaalenii* are consistent with proteins ( $\sim 1650 \text{ cm}^{-1}$ ) and lipids ( $1705\text{-}1750 \text{ cm}^{-1}$ ) in  
290 all growth phases (Figure 3D)<sup>34, 36</sup>. In contrast, the exposure-associated spectral  
291 alterations in *P. fluorescens* vary significantly with growth phase (Figure 3H), *e.g.*,  
292 the biomarkers in stationary-phase with binary effects include glycogen ( $\sim 1053 \text{ cm}^{-1}$ ),  
293 Amide I ( $\sim 1609 \text{ cm}^{-1}$ ), COO- symmetric stretching vibrations of fatty acids and  
294 amino acid ( $\sim 1389 \text{ cm}^{-1}$ ), asymmetric phosphate stretching vibrations ( $\nu_{\text{as}}\text{PO}_2^-$ ;  $\sim$   
295  $1196 \text{ cm}^{-1}$ ), lipid ( $\sim 1732 \text{ cm}^{-1}$ ), and Amide II ( $\sim 1508 \text{ cm}^{-1}$ )<sup>35, 60</sup>. They change to  
296 lipid ( $\sim 1709 \text{ cm}^{-1}$  and  $1751 \text{ cm}^{-1}$ ), Amide I ( $\sim 1609 \text{ cm}^{-1}$ ), Amide II ( $\sim 1543 \text{ cm}^{-1}$ ),  
297  $\nu_{\text{as}}\text{PO}_2^-$  ( $\sim 1211 \text{ cm}^{-1}$ ) and glycogen ( $\sim 1053 \text{ cm}^{-1}$ )<sup>36, 60</sup> in death-phase.

298 In general, most spectral alterations post-exposure are associated with lipids and  
299 proteins indicating bacterial cell membranes are primary targets, probably because  
300 tetracycline or AgNPs penetrate bacterial cells *via* passive diffusion and inhibits  
301 bacterial growth by disturbing protein synthesis or altering membrane structure<sup>63</sup>.  
302 Through growth phases, Gram-negative bacteria inhibit a broad range of alterations  
303 associated with lipids, *e.g.*, ( $1705 - 1750 \text{ cm}^{-1}$ ), which is absent in Gram-positive  
304 bacteria, mainly attributed to their different cell wall composition. The rigidity and  
305 extended cross-linking may reduce target sites on cell wall for environmental  
306 exposure and increase difficulties in antimicrobial penetration<sup>44</sup>.

### 307 *Factors influencing spectrochemical alteration*

308 From conducted spectral analysis, factors inducing IR spectral alterations can be  
309 classified as intrinsic and external categories. The inherent one includes bacterial type

310 (Gram-positive or Gram-negative) and growth phase, and the external category refers  
311 to the types of exposure. These factors function and interact simultaneously, with  
312 distinct impacts on microbial responses to environmental exposures. To quantify the  
313 importance of each factor, multivariate regression tree (MRT) analysis was conducted  
314 through the isolated discriminating biomarkers (characteristic peaks). The MRT graph  
315 illustrates the relationship of spectral variations and impact factors with four splits  
316 according to bacterial types, growth phase and exposure groups, explaining 90.8% of  
317 spectral variance (Figure 5).

318 Spectral variation is first split by bacterial type which accounts for 65.6% of the  
319 total variation, owing to more changes associated with membrane components  
320 observed in *P. fluorescens* (Gram-negative) than *M. vanbaalenii* (Gram-positive), e.g.,  
321 proteins and phospholipid-derived fatty acids. Multivariate analysis also illustrates  
322 various spectrochemical alterations between *P. fluorescens* and *M. vanbaalenii*. For  
323 example, significant lipid changes (1705-1750  $\text{cm}^{-1}$ ) present in *P. fluorescens*  
324 treatments are absent in *M. vanbaalenii* treatments. The cell membrane of  
325 Gram-negative bacteria contains two lipid associated bilayers, which is likely to  
326 increase the influence of applied exposure on the cell wall structure, while there is  
327 only one lipid bilayer in the membrane and a thick ring of peptidoglycan and teichoic  
328 acid of Gram-positive bacteria<sup>17</sup>. This difference may influence the structural integrity  
329 and eventually the microbial response to external stimuli. Furthermore, both  
330 Gram-positive and -negative bacteria from stationary phase show a comprehensive  
331 range of alterations in cellular components (i.e., Amide I, II, III,  $\nu_{\text{as}}\text{PO}_2^- / \nu_{\text{s}}\text{PO}_2^-$ ,  
332 glycogen, carbohydrates, lipids, etc.; Figure 6), indicating many underlying biological  
333 activities. This can be primarily attributed to a growing cell wall from log to  
334 stationary phase, which increases the amounts of membrane components<sup>64</sup> and  
335 induces changes on the surface of the bacterial envelope and protein synthesis<sup>20</sup>. Such  
336 changes may have considerable influence on the rigidity of the cells, resistance to  
337 environmental changes, as well as immunochemical properties. Results of both MRT  
338 and multivariate analyses therefore suggest bacterial type as the primary intrinsic  
339 factor determining IR alterations post-exposure to AgNP or tetracycline.

340 Growth phase also shows significant impacts on bacterial spectra after  
341 environmental exposure. The Gram-negative group is further split in the MRT graph  
342 by growth phase (i.e., log-phase vs. stationary-phase and death-phase), owing to

343 increasing alterations of membrane components along with the growth stage, which  
344 explains 13.2% of total variation (Figure 5). Similarly, multivariate analysis  
345 demonstrates the same growth-dependent results. Post-exposure to AgNP for instance,  
346 the spectra of both strains in log-phase are clearly separated from those in  
347 stationary-phase or death-phase (Figure 2B-D). The induced alterations from growth  
348 phases are associated with various cellular components. Specifically in log-phase, IR  
349 spectral biomarkers reflecting the major alterations of *M. vanbaalenii* post-exposure  
350 to AgNP are Amide I ( $\sim 1612\text{ cm}^{-1}$ ), COO- symmetric stretching vibrations of fatty  
351 acids and amino acids ( $\sim 1381\text{ cm}^{-1}$ ), lipid ( $\sim 1717\text{ cm}^{-1}$ ), glycogen ( $\sim 1011\text{ cm}^{-1}$ ),  
352  $\nu_{\text{as}}\text{PO}_2^-$  ( $\sim 1215\text{ cm}^{-1}$ ), and carbohydrate ( $\sim 1165\text{ cm}^{-1}$ ) (Figure 6A)<sup>36, 60</sup>. However,  
353 samples from stationary-phase exhibit a contrasting profile consisting only of  
354 alterations of proteins ( $\sim 1377\text{ cm}^{-1}$ ) and Amide I, II, III ( $\sim 1609\text{ cm}^{-1}$ ,  $1566\text{ cm}^{-1}$ ,  $1254$   
355  $\text{cm}^{-1}$ ) (Figure 6B)<sup>33</sup>. In tetracycline or tetracycline-AgNP mixture treatments, the  
356 most-induced biomarkers in log-phase shift to proteins, *i.e.*, Amide I ( $\sim 1163\text{ cm}^{-1}$ ,  
357  $1616\text{ cm}^{-1}$ ), Amide II ( $\sim 1520\text{ cm}^{-1}$ ,  $1558\text{ cm}^{-1}$ ) and Amide III ( $\sim 1327\text{ cm}^{-1}$ ) (Figure  
358 6A)<sup>33</sup>, but they are associated more with cellular components in stationary-phase,  
359 including Amide I ( $\sim 1589\text{ cm}^{-1}$ ), Amide II ( $\sim 1551\text{ cm}^{-1}$ ), Amide III ( $\sim 1269\text{ cm}^{-1}$ ;  $1273$   
360  $\text{cm}^{-1}$ ), proteins ( $\sim 1485\text{ cm}^{-1}$ ), lipid ( $\sim 1717\text{ cm}^{-1}$ ,  $1721\text{ cm}^{-1}$ ), COO- symmetric  
361 stretching vibrations of fatty acids and amino acid ( $\sim 1342\text{ cm}^{-1}$ ;  $1381\text{ cm}^{-1}$ ),  $\nu_3\text{PO}_2^-$   
362 ( $\sim 1069\text{ cm}^{-1}$ ),  $\nu_{\text{as}}\text{PO}_2^-$  ( $\sim 1196\text{ cm}^{-1}$ ) and glycogen ( $\sim 1018\text{ cm}^{-1}$ ) (Figure 6B)<sup>60</sup>.  
363 Samples from death-phase cultures exhibit distinct patterns as compared to log- or  
364 stationary-phase in that AgNP and tetracycline-AgNP mixture show more impacts on  
365 proteins (Figure 6C). These results indicate the changing physiological profiles of  
366 bacterial cells with growth stage, and the different modifications associated with  
367 cellular components with adaptation to the living environment. For instance, bacteria  
368 facing nutrient depletion are reported to produce more hydrophobic molecules to  
369 protect the starved cells, resulting in less fluid and permeable membranes attributed to  
370 the transformations within the fatty acid composition, which increase protection and  
371 insulation from a stressful environment<sup>20, 61, 62</sup>. Moreover, entering stationary phase, a  
372 wide range of protein synthesis is also altered by the global gene regulatory network,  
373 which tends to swap the core functions from metabolism or catabolism to the  
374 maintenance of cellular viability<sup>20</sup>, possibly explaining more spectral alterations of  
375 proteins in stationary- and death-phase than log-phase.

376 Besides intrinsic factors, external environmental exposure explains 12.0% of total  
377 variation in MRT for Gram-positive (2.7%) and Gram-negative (9.3%) bacteria. For  
378 both strains, segregation mainly results from different spectrochemical alterations  
379 between AgNP exposure and tetracycline/binary groups. The key spectral alterations  
380 in the AgNP category are located at COO- symmetric stretching vibrations of fatty  
381 acids and amino acid ( $\sim 1373\text{ cm}^{-1}$ ), ( $\sim 1736\text{ cm}^{-1}$ ), proteins ( $\sim 984\text{ cm}^{-1}$ ,  $988\text{ cm}^{-1}$ ),  
382 Amide I ( $1612\text{ cm}^{-1}$ ,  $1694$ ), Amide II ( $1543\text{ cm}^{-1}$ ,  $1562\text{ cm}^{-1}$ ), and  $\nu_s\text{PO}_2^-$  ( $1088\text{ cm}^{-1}$ )  
383 (Figure 3B and 3F)<sup>36, 60</sup>. In contrast, changes induced by tetracycline or  
384 tetracycline-AgNP mixture are associated with COO- symmetric stretching vibrations  
385 of fatty acids and amino acid ( $\sim 1373\text{ cm}^{-1}$ ), proteins ( $\sim 984\text{ cm}^{-1}$ ), lipids ( $\sim 1697\text{ cm}^{-1}$ ;  
386  $1732\text{ cm}^{-1}$ ), and Amide I, II ( $\sim 1562\text{ cm}^{-1}$ ,  $1616\text{ cm}^{-1}$ ) (Figure 3C and 3G)<sup>60</sup>.

387 It can be concluded that AgNP-induced spectral changes are mainly associated  
388 with proteins, whereas broader cellular components are affected post-exposure to  
389 tetracycline or tetracycline-AgNP mixture. It might be explained by tetracycline  
390 penetrating cells *via* passive diffusion, which alters bacterial growth by inhibiting  
391 protein synthesis or destroying the membrane. Phospho-lipids or proteins are  
392 therefore more significantly affected as the primary receptors of passively  
393 accumulated tetracycline<sup>63</sup>. Although mechanisms of AgNP interacting with  
394 cytoplasmic membranes and penetrating into cells remain unclear<sup>58, 65</sup>, our data  
395 suggest that AgNP-induced alterations might be derived from some specific active  
396 sites interacting with AgNP, *e.g.*, sulfur-containing proteins following a similar  
397 mechanism as thiol groups of respiratory chain proteins and transport proteins<sup>66-68</sup>.

398 To further assess the impact of exposure, the inter-category multivariate distances  
399 of each category were also evaluated. PCA-LDA scores plots that compare the  
400 spectrochemical alterations of the two bacterial strains within the same growth phase  
401 are shown in Figure 4. The results illustrate distinct clustering of tetracycline  
402 exposure away from the control category, but not for AgNP categories, whereas the  
403 binary group is located between AgNP and tetracycline categories. The spectral  
404 differences of *M. vanbaalenii* between categories of control and binary exposure are  
405 slightly reduced within 0.1 as compared to the tetracycline exposure category,  
406 indicating that AgNP may confer the exposure effect of tetracycline. Similar  
407 alterations are observed for *P. fluorescens*. Additionally, for both *M. vanbaalenii* and  
408 *P. fluorescens*, the AgNP and tetracycline categories in the stationary- or death-phase

409 shift in an opposite direction from the control, and the binary exposure category  
410 locates closer to the tetracycline exposure group than control or AgNP exposure. This  
411 finding is supported by a range of identical alterations identified in both AgNP and  
412 binary exposure groups from stationary-phase to death-phase (*i.e.*, lipids, Amide I, II,  
413 III,  $v_{as}PO_2^-/v_sPO_2^-$ ).

414 Additionally, the analysis of reactive oxygen species (ROS) was also conducted  
415 to evaluate the exposure impacts by calculating the CySS-to-protein ratio, derived  
416 from Raman spectra (Figure 7), which points to cellular ROS levels<sup>34</sup>. Interestingly,  
417 comparing to control category, only tetracycline-binary exposure appears to increase  
418 ROS level ( $P < 0.05$ ), whereas the induction of AgNP and tetracycline exposure is not  
419 significant. Previous studies report the independent mechanisms of antimicrobials on  
420 the involvement of ROS<sup>69, 70</sup>. The results herein hint at no ROS generation from  
421 individual AgNP or tetracycline exposure, and the spectrochemical alterations more  
422 likely result from the direct inhibition of cell-wall assembly, protein synthesis and  
423 DNA replication. In binary exposure, AgNP penetration across the cell membrane  
424 might increase permeability and result in more tetracycline entering the bacterial cell,  
425 consequently triggering a ROS response<sup>44, 71-74</sup>.

## 426 **Conclusions**

427 The present study demonstrates that spectrochemical techniques coupled with  
428 multivariate analysis are a robust tool for investigating the bacterial response to  
429 environmental exposures, revealing biochemical information longitudinally at  
430 low-level exposures. This approach can be applied to characterize and assess bacterial  
431 alterations effectively post-exposure to a tetracycline and/or AgNP through growth  
432 phases and is potentially feasible for *in situ* interrogation of antimicrobial effects in  
433 real-time. Deeper insights into biospectral alterations in non-log phases pertaining  
434 nutrient depletion conditions, which fits better with the real-world scenario of  
435 microcosms, uncovers the distinct changes of biochemical fingerprints across growth  
436 periods, hinting at an underestimation of antimicrobial effects in previous studies.  
437 Bacterial type is ranked as the primary factor affecting microbial response to  
438 environmental stimuli by MRT analysis, followed by growth phase and types of  
439 antimicrobials. These findings will help our better understanding of the real  
440 interactions between microbes and low-level antimicrobials under natural  
441 environmental conditions, *e.g.*, nutrient depletion.

442

443 **Conflicts of interest**

444 There are no conflicts of interest to declare.

445

446 **Acknowledgement**

447 N.J. was funded by Chinese Academy of Sciences and China Scholarship Council.

448



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602

603 **Figure Captions**

604 **Figure 1.** Growth curves of *M. vanbaalenii* (A) and *P. fluorescens* (B) under AgNP,  
605 tetracycline and AgNP-tetracycline binary exposures. The exposure concentrations  
606 were 4 µg/L for AgNP and 1 µg/L for tetracycline. IR spectral average of *M.*  
607 *vanbaalenii* (C) and *P. fluorescens* (D) in different exposure treatments. The groups  
608 of “Log control”, “Log silver”, “Log tet” and “Log binary” refer to samples collected  
609 at log-phase following treatments of control, silver, tetracycline and binary-exposure,  
610 respectively.

611 **Figure 2.** Exposure effects within different growth phases (scale range of Y axis: -0.2  
612 ~ 0.2). The Y axis refers to the values of LD1. *M. vanbaalenii*: control (A),  
613 post-exposure to AgNP (B), post-exposure to tetracycline (C), and post-exposure to  
614 AgNP-tetracycline mixture (D). *P. fluorescens*: control (E), post-exposure to AgNP  
615 (F), post-exposure to tetracycline (G), and post-exposure to AgNP-tetracycline  
616 mixture (H).

617 **Figure 3.** Vector-cluster analysis of exposure effects within different growth phases.  
618 *M. vanbaalenii*: control (A), post-exposure to AgNP (B), post-exposure to tetracycline  
619 (C), and post-exposure to AgNP-tetracycline mixture (D). *P. fluorescens*: control (E),  
620 post-exposure to AgNP (F), post-exposure to tetracycline (G), and post-exposure to  
621 AgNP-tetracycline mixture (H).

622 **Figure 4.** Exposure effects within the same growth phase. The Y axis refers to the  
623 values of LD1 in range of -0.2 to 0.2. *M. vanbaalenii*: log-phase (A), stationary-phase  
624 (B), and death-phase (C). *P. fluorescens*: log-phase (D), stationary-phase (E), and  
625 death-phase (F).

626 **Figure 5.** Multivariate regression tree (MRT) analysis of environmental variables  
627 explaining the discriminating biomarkers. The scale of the sub-figures represents the  
628 alteration degree (1.0 refers to the average level). Blue bars for wavelengths  
629 representing proteins, yellow bars for phospholipid-derived fatty acids, and grey bars  
630 for other cellular components.

631 **Figure 6.** Vector-cluster analysis of exposure effects within the same growth phase.  
632 *M. vanbaalenii*: log-phase (A), stationary-phase (B), and death-phase (C). *P.*  
633 *fluorescens*: log-phase (D), stationary-phase (E), and death-phase (F).

634 **Figure 7.** Ratio of cysteine (CySS,  $668\text{ cm}^{-1}$ ) to protein ( $1447\text{ cm}^{-1}$ ) derived from  
635 Raman spectra. Data are presented in mean  $\pm$  standard error.

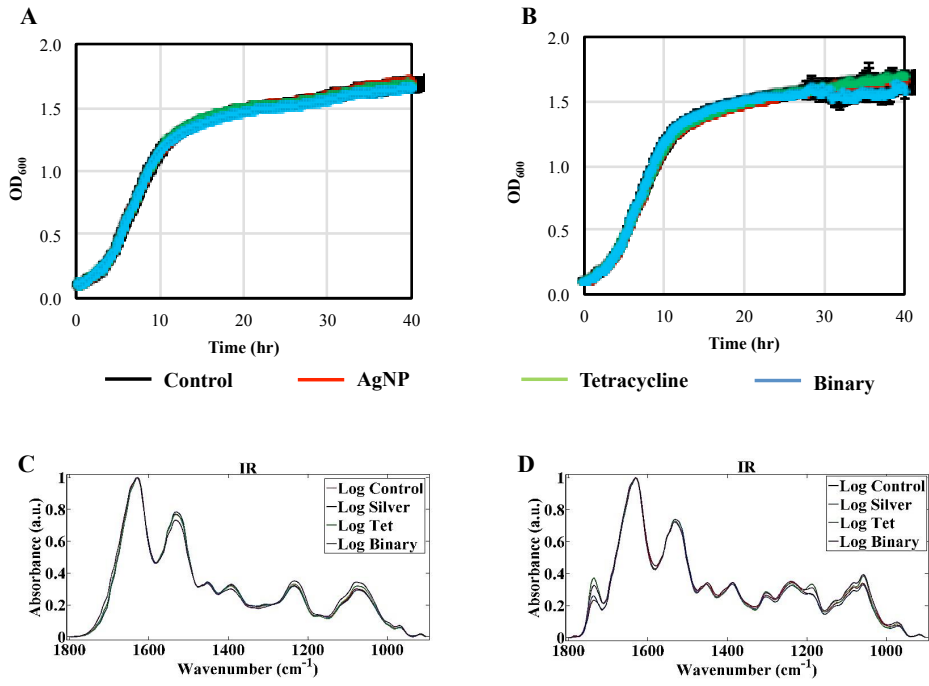
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637 **Figure 1**

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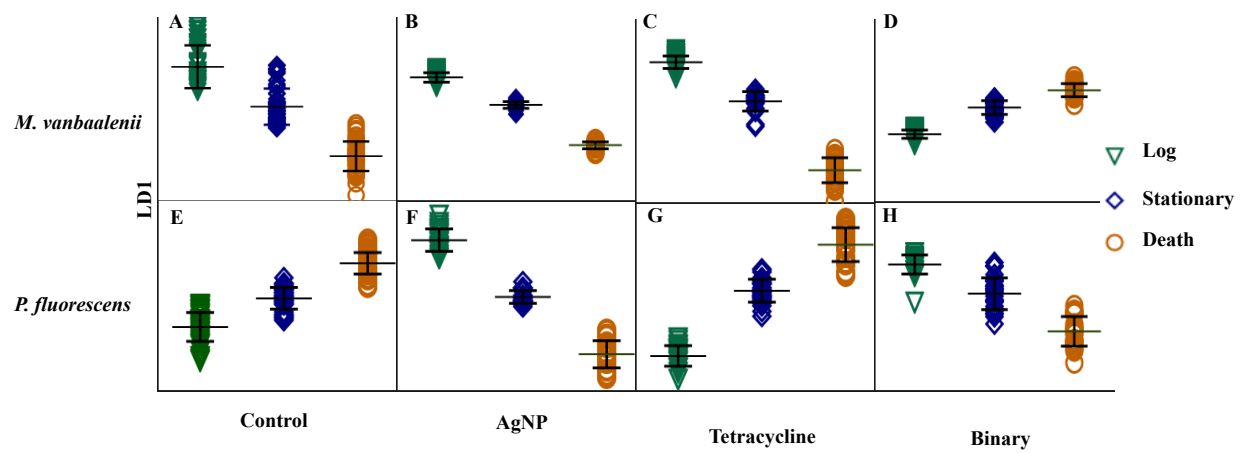
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641 **Figure 2**

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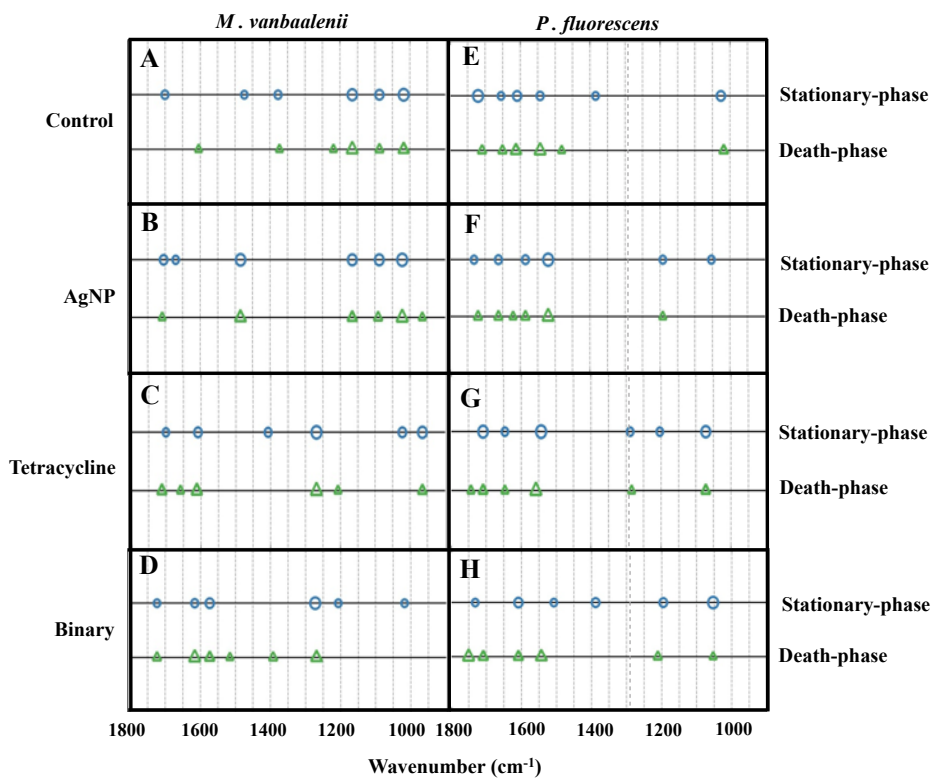


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645 **Figure 3**

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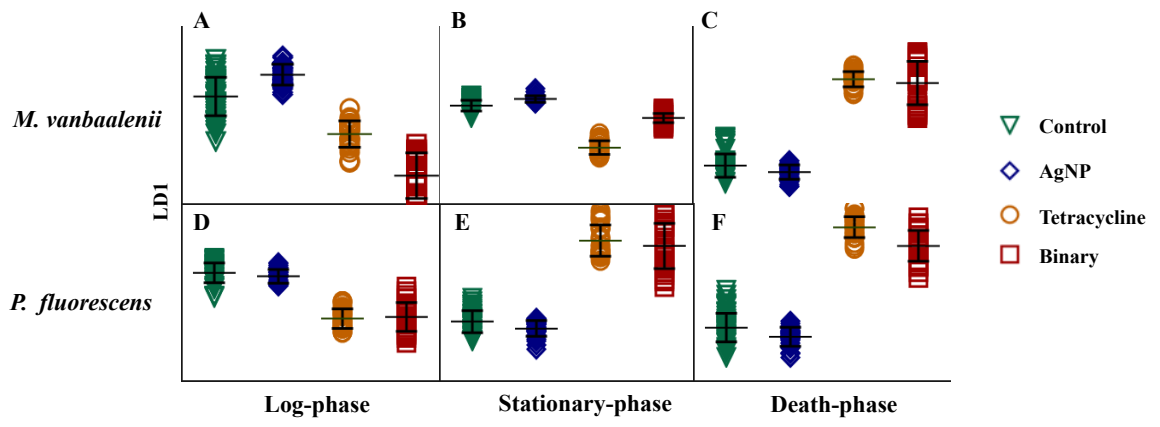


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649 **Figure 4**

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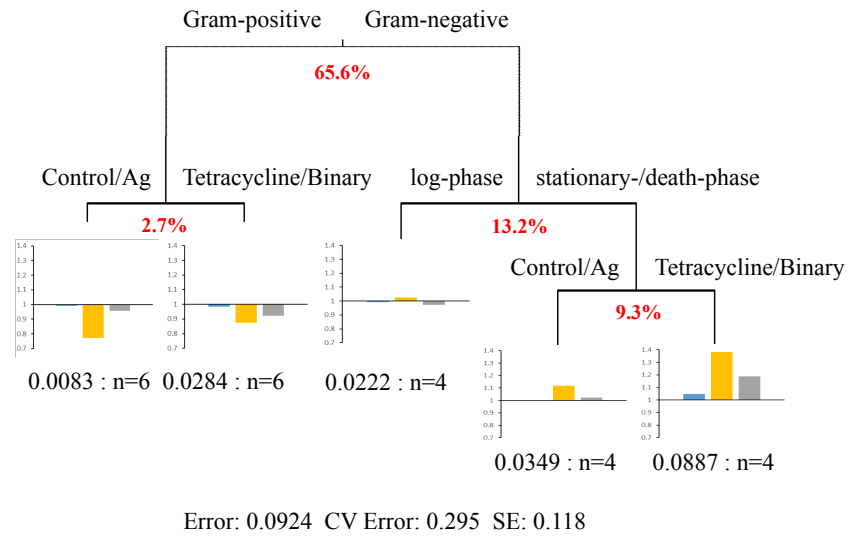
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653 **Figure 5**

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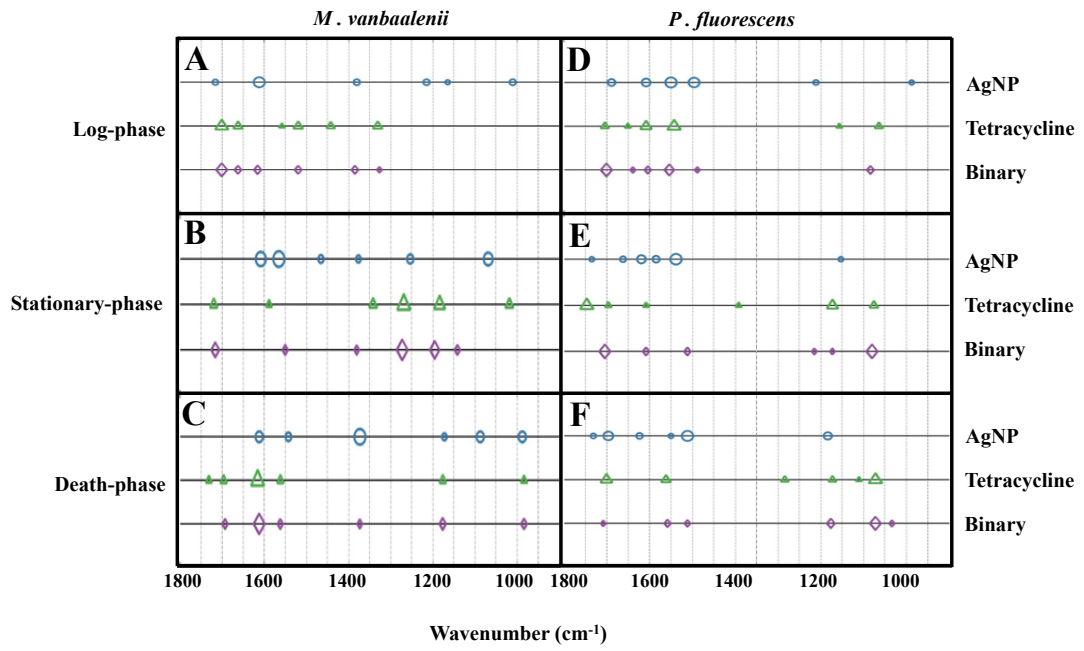
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657 **Figure 6**

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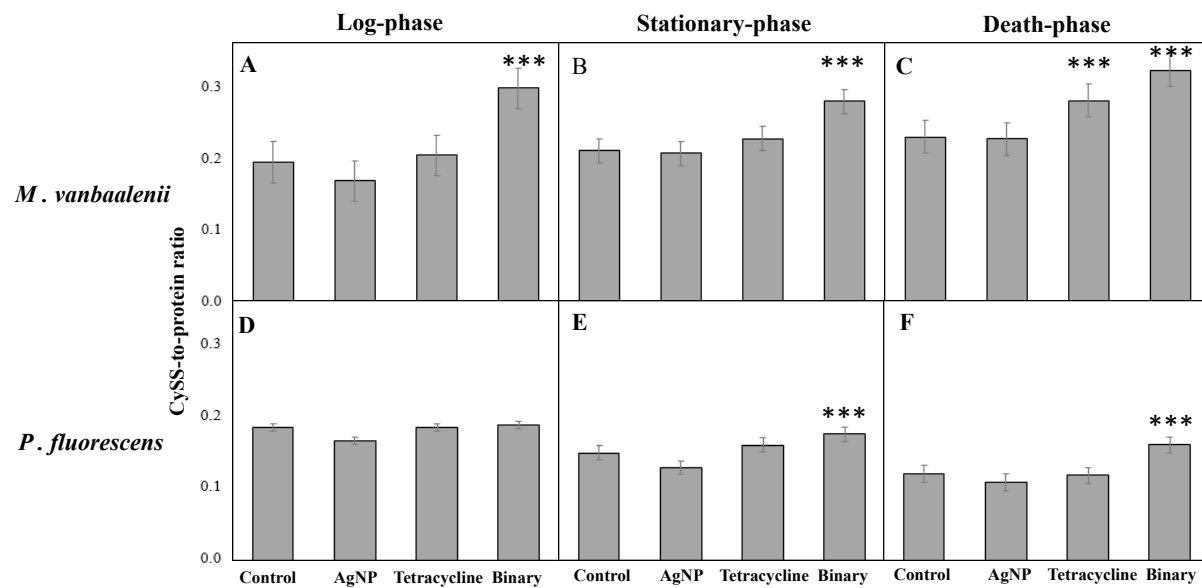


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661 **Figure 7**

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