

1 **USE OF SMALL SCALE ELECTRICAL RESISTIVITY TOMOGRAPHY TO IDENTIFY**
2 **SOIL-ROOT INTERACTIONS DURING DEFICIT IRRIGATION**

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10 **Abstract**

11 Plant roots affect the exchanges of mass and energy between the soil and atmosphere. However, it is
12 challenging to monitor the activity of the root-zone because roots are not visible from the soil
13 surface, and root systems undergo spatial and temporal variations in response to internal and
14 external conditions. Therefore, measurements of the activity of root systems are interesting to plant
15 biologists in general, and are especially important for specific applications, such as precision
16 agriculture. This study demonstrates the use of small scale three-dimensional (3-D) electrical
17 resistivity tomography (ERT) to monitor the root-zone of orange trees irrigated by two different
18 regimes: (i) full rate, in which 100% of the crop evapotranspiration (ET_c) is provided, and (ii)
19 partial root-zone drying (PRD), in which 50% of ET_c is supplied to alternate sides of the tree. We
20 performed time-lapse 3-D ERT measurements on these trees from 5 June to 24 September 2015,
21 and compared the long-term and short-term changes before, during, and after irrigation events.
22 Given the small changes in soil temperature and pore water electrical conductivity, we interpreted
23 changes of soil electrical resistivity from 3-D ERT data as proxies for changes in soil water content.
24 The ERT results are consistent with measurements of transpiration flux and soil temperature. The
25 changes in electrical resistivity obtained from ERT measurements in this case study indicate that

26 root water uptake (RWU) processes occur at the 0.1 m scale, and highlight the impact of different
27 irrigation schemes.

28 **Keywords:** deficit irrigation; geophysical methods; soil-root interactions; soil moisture.

29 **1 Introduction**

30 Root activity plays a crucial role in soil-plant-atmosphere systems because it connects the different
31 domains and facilitates the exchange of water and nutrients necessary for plant growth (Liu et al.,
32 2016; Yang et al., 2016). An assessment of the mass exchange dynamics within the soil-plant
33 system may help to identify the characteristics of the root system that are most important for water
34 uptake (Jayawickreme et al., 2014; Parsekian et al., 2015). This assessment may also have practical
35 implications, in that it could improve of precision agriculture (PA), especially when optimization of
36 water resources is required (Consoli and Papa, 2013).

37
38 Geophysical methods (Vereecken et al., 2006; Allred et al., 2008; Binley et al., 2015) are
39 potentially effective for monitoring of soil-root interactions. In particular, the effect of plant growth,
40 phenological stage, nutrient availability, and soil texture on plant root distribution dynamics ,
41 combined with the intermittent nature of water inputs, lead to great variability in root water uptake
42 (RWU) (Van Noordwijk et al. 2015). These patterns can be difficult to identify, even when using
43 dense networks of point sensors that measure soil moisture dynamics (Jayawickreme et al., 2008,
44 2014).

45
46 Traditionally, researchers estimated soil moisture content by gravimetric analysis of extracted
47 samples or use of techniques that measure its dielectric properties. These techniques, albeit often
48 accurate, are point measurements, and cannot provide sufficient information on the spatial
49 distribution of state variables for reliable mass balance assessments. Remote sensing techniques
50 generally have limited penetration depth (Robinson et al., 2008). Thus, the interpretation of RWU
51 as a spatially distributed system remains a challenge. In this respect, there is a growing demand for

52 near-surface observing technologies (e.g. geophysical methods) to study agriculturally significant
53 phenomena in the soil (Bitella et al., 2015). Recent studies (Cassiani et al., 2015; Consoli et al.,
54 2017; Satriani et al., 2015) demonstrated that these techniques can improve irrigation operations by
55 providing information regarding the optimal amounts and timing of irrigation. Geophysical methods
56 can also provide indirect high-resolution information on soil moisture distribution, and this can
57 prevent excessive water depletion, especially when water deficit conditions are imposed, such as
58 when using the irrigation technique of partial root-zone drying (PRD) (Romero-Conde et al., 2014).
59 In particular, given the specificity of PRD, geophysical applications may provide identification of
60 changes in soil moisture.

61

62 PRD is an irrigation strategy in which half of the root system is in a drying state, and the other half
63 is irrigated; the wet and dry parts are alternated at a frequency that depends on the type of crop,
64 growing stage, and soil water content (Zhang et al., 2001). This strategy may decrease water use
65 and canopy vigor, maintain crop yields because crops take up water from the wet soil zones, and
66 increase crop quality due to changes in abscisic acid (ABA) production (Brillante et al., 2015). Few
67 studies of the magnitude of soil moisture variations in PRD have used geophysical applications.
68 Electrical resistivity tomography (ERT) is considered one of the most effective geophysical
69 methods used in agriculture and environmental studies. This is a minimally-invasive method that
70 provides data with high spatial and temporal resolution (Michot et al. 2003; al Hagrey 2007). More
71 specifically, ERT provides information on the variability of electrical resistivity (ER) of the subsoil;
72 when considered along with water and solute content, it can help to characterize the spatial
73 distribution of water and nutrient uptake (Srayeddin and Doussan, 2009).

74

75 Previous researchers have used ERT to observe transient state phenomena in the soil-plant
76 continuum. In particular, these studies used ERT and other electrical techniques to monitor RWU
77 processes of herbaceous crops in the laboratory (Werban et al., 2008) and in the field (Srayeddin

78 and Doussan, 2009; Garré et al., 2011; Beff et al., 2013; Cassiani et al., 2015; Consoli et al., 2017;
79 Whalley et al., 2017), and demonstrated the match between soil water content variations and
80 temporal changes in ER. However, the effects of pore water electrical conductivity (EC) changes
81 and temperature variations (Samouëlian et al., 2005) must also be considered (Cassiani et al., 2016).
82 Soil texture and composition, including the nature of the solid constituents (particle size distribution
83 and mineralogy) and the arrangement of voids (porosity, pore size distribution, and connectivity),
84 can lead to time-invariant heterogeneities in the ER. Thus, a one-to-one relationship between ER
85 and soil moisture content cannot be assumed, and the effect of the other factors must be considered
86 on a case-by-case basis. The variability of these factors must be restricted by use of time-lapse
87 measurements or independent measurements with a calibration equation (Michot et al., 2003).
88
89 Michot et al. (2003) used 2-D time-lapse ERT monitoring to identify soil drying patterns in shallow
90 soil, where root activity is more intense (see also Whalley et al., 2017). Other authors used ERT in
91 eco-physiological studies of fruit crops, such as oranges (Cassiani et al., 2015; Moreno et al., 2015),
92 apples (Boaga et al., 2013; Cassiani et al., 2016), olive and poplar trees (al Hagrey, 2007), and
93 natural forests (Nijland et al., 2010; Robinson et al., 2012). Mares et al. (2016) and Wang et al.
94 (2016) recently used ERT on tree trunks to determine cross-sectional water distribution and identify
95 preferential flow into stems through multi-height measurements. However, Brillante et al. (2015)
96 noted that few eco-physiological studies have used ERT in parallel with monitoring of plant water
97 status, and that further investigations are needed to answer new questions about plant-soil
98 relationships, and to increase the use of new techniques for water management in agriculture. These
99 previous studies (Table 1) show the potential of ERT for agricultural applications, even though
100 difficulties remain in the interpretation of measured ER patterns, especially in field settings. The
101 major difficulties are that ER is a function of a number of soil properties and state variables (as
102 noted above) and that rapid changes in the soil-plant-atmosphere continuum, such as passage of an
103 infiltration front after irrigation and/or a heavy rainfall, require measurements with high temporal

104 resolution to avoid aliasing (Koestel et al., 2009). Finally, RWU processes have high spatial
105 variability, and require a resolution of at least 0.1 m (Michot et al., 2003).

106 < Table 1 here please >

107

108 In this study, we performed 3-D ERT time-lapse monitoring of heterogeneous sites in an orange
109 orchard to:

- 110 i. Verify the reliability of a small scale ERT setup to qualitatively monitor the soil-root
111 interaction in the presence of two irrigation treatments—full drip irrigation vs. partial root-
112 zone drying—at different time scales;
- 113 ii. Identify the active RWU patterns and their time evolution, by integrating time-lapse ERT
114 data with ancillary measurements for the different water treatments.

115 **2 Materials and Methods**

116 **2.1 Experimental site and irrigation scheduling**

117 We conducted small scale 3-D ERT monitoring in an orange orchard (*Citrus sinensis* (L.) Osbeck)
118 in Eastern Sicily, Italy (37°20' N, 14°53' E, Figure 1) during the 2015 irrigation season (5 June to
119 24 September). The grove belongs to the Citrus and Mediterranean Crops Research Centre of the
120 Italian Council for Agricultural Research and Agricultural Economics Analyses (CREA-ACM,
121 Acireale, Sicily). The trees were 8 years-old, 4 m apart within rows, 6 m apart between rows,
122 (Figure 2), had a mean leaf area index (LAI) of 4.5 m² m⁻², and mean PAR light interception of 75%
123 (Consoli et al., 2017). The climate parameters at the experimental site (global radiation, relative
124 humidity, wind speed and direction, air temperature) were measured and logged hourly using an
125 automatic meteorological station (Siap and Micros s.r.l.), which was installed 15 m from the
126 experimental orchard and surrounded by grass (according to Central Office of Agricultural
127 Ecology-UCEA procedure). The climate of the region is semi-arid Mediterranean, with warm and
128 dry summers. The study period was fairly dry, with total rainfall of about 100 mm (from a few
129 episodic events). The crop reference evapotranspiration rate (ET₀, Allen et al. 1998) was 697 mm;

130 the average daily temperature was about 25°C ($\pm 5.8^\circ\text{C}$), and the relative humidity was 70%
131 ($\pm 26\%$). The maximum daily temperature at the experimental site occasionally reached 40°C during
132 the monitoring period.

133 < Figure 1 here please >

134

135 The soil is fairly uniform in the top 0.1 m, consisting of a sandy-loam texture (69.7% sand, 10.5%
136 of clay, and 19.8% of silt) and a small percentage of organic matter (1.25%). The mean water
137 content at field capacity (FC, $pF = 2.5$) was 28% and the mean wilting point (WP, $pF = 4.2$) was
138 14%. The bulk density was 1.32 g cm⁻³ (Aiello et al., 2014). Further analyses of soil texture and
139 bulk density were conducted on samples collected at depths of 0.2, 0.4, and 1.0 m. The irrigation
140 water had medium salinity ($EC_{25^\circ\text{C}}$ of 2.02 dS m⁻¹), an alkaline reaction, and a pH of 7.30.

141

142 Irrigation rates were determined by crop evapotranspiration (ET_c) and adjusted according to rainfall.
143 ET_c was calculated by multiplying ET_0 (obtained from the Penman-Monteith equation (Allen et al.,
144 1998; Allen et al., 2006) by the seasonal crop coefficient (K_c) for orange orchards (0.7 according to
145 FAO-56). The ET_c was further adjusted using a reduction coefficient (0.68), which depends on
146 canopy size with respect to the area of each tree (Consoli et al., 2014). From 5 Jun to 24 Sep 2015,
147 irrigation was supplied to the orchard early in the morning, 3 times per week. Two different
148 irrigation regimes were tested (Figure 2): (i) a control treatment (T1), in which trees received
149 sufficient water to replace 100% of the ET_c , and (ii) a partial root-zone drying treatment (PRD, T2),
150 in which trees received 50% of the ET_c on alternate sides of the root-zone. All trees in T1 and T2
151 were drip irrigated using two lateral surface pipes (about 0.3 m from the trunk) per tree row; each
152 lateral consisted of six 4 L h⁻¹ drippers (spaced 0.62 m apart) per tree. Irrigation in T2 was applied
153 only to one lateral pipe, and the system was switched to the other fortnightly. At the end of the
154 irrigation season, the total irrigation water applied to T1 was 266.4 mm, and that applied to T2 was
155 158.2 mm, a 41% difference.

156

157 The changes in soil water content (SWC; $\text{m}^3 \text{m}^{-3}$) were monitored using soil moisture sensors
158 (ECH₂O probe, Decagon, Inc.), which were calibrated in the laboratory using the gravimetric
159 method. Sensors were installed at a depth of 0.3 m from the soil surface in T1 and T2. In T2, soil
160 moisture probes were installed at the eastern and western sides of each tree's trunk (Figure 2).

161

162 Soil temperature was measured using thermocouple probes (TVAC, Campbell Sci.) that were
163 placed 0.1 and 0.8 m below the soil surface (Figure 2). If necessary, temperature changes were
164 monitored to correct for their effect on ER.

165 < *Figure 2 here please* >

166

167 The EC of soil pore water was monitored to evaluate its effect on the changes in soil ER, and to
168 make corrections if needed. In particular, pore water in T1 and T2 was extracted using ceramic
169 suction lysimeters (Soil Solution Access Tube, SSAT by IRRROMETER Company, Inc.) installed at
170 a depth of 0.3 m (Figure 3). The EC of the pore water was then measured in the laboratory using an
171 HD2106.2 conductivity meter (delta OHM, Italy). The EC of irrigation water from wells and drip
172 lines was also monitored (Table 2).

173 < *Table 2 here please* >

174

175 **2.1.1 Tree transpiration measurements**

176 Water consumption at the individual tree level was continuously monitored using the heat pulse
177 velocity sap flow technique (HPV, Swanson and Whitfield, 1981). Tree transpiration was measured
178 on 2 trees in T1 and 2 trees in T2. This technique consists of measuring the temperature variation
179 produced by a 1-2 s heat pulse at two temperature probes positioned orthogonally, on either side of
180 a linear heater that was inserted into the trunk to a depth of approximately 0.1 m. In particular, one
181 4 cm probe with 2 thermocouples (Tranzflo NZ Ltd., Palmerston North, NZ) was positioned in the

182 trunk of each tree. The probe was oriented on the southern side of the trunk, 20 cm from the ground,
183 and wired to a data-logger (CR1000, Campbell Sci., USA) used for heat-pulse control and
184 measurements at sampling intervals of 30 min. The temperature measurements were obtained from
185 ultra-thin thermocouples that were placed 5 and 15 mm into the trunk. Data were processed, as
186 described by Green et al. (2003), to estimate transpiration from an integration of sap flow velocity
187 over sapwood area. Specifically, the volume per unit time of sap flow in a tree stem was estimated
188 by multiplying the sap flow velocity by the cross sectional area of conducting tissue. For this
189 purpose, the fraction of wood ($F_M = 0.48$) and of water ($F_L = 0.33$) in the sapwood was determined
190 on trees in which sap flow probes were installed. In particular, F_M and F_L were measured in wood
191 samples (5 mm diameter, 40 mm length) taken with an increment borer near the probe sets. The
192 calculation of F_M and F_L requires measurements of fresh weight, oven-dried weight, and immersed
193 weight (Si et al., 2009). A wound-effect correction (Green et al. 2003; Motisi et al., 2012; Consoli
194 and Papa, 2013) was used on a per-tree basis. Table 3 summarizes the main manufacturing
195 characteristics of the sensors used in this study.

196 < Table 3 here please >

197

198 **2.2 3-D ERT time-lapse monitoring**

199 **2.2.1 ERT acquisition scheme**

200 Small scale 3-D ERT monitoring of the soil was conducted near 2 orange trees in T1 and 2 trees in
201 T2 (Figure 2). The 3-D ERT set-up (Figure 3) was an expanded version of previously tested
202 schemes (Boaga et al., 2013; Cassiani et al., 2015, 2016), and used surface and buried electrodes
203 (204 total), so there was a three-dimensional arrangement of electrodes around each tree. For each
204 tree, the setup consists of 9 boreholes (1.2 m deep, green circles in Figure 3), each housing 12
205 electrodes (vertically spaced at 0.1 m), plus 96 surface electrodes (spaced at 0.26 m on a regular
206 square grid). The boreholes were spaced 1.3 m apart on a square grid, thus delimiting 4 quarters
207 (q1, q2, q3, and q4), one of which (q4) was centered at the tree. Each quarter represents the minimal

208 unit of 3-D ERT acquisition, with 72 electrodes, and surrounded a soil area of about 1.3 m × 1.3 m
209 at a depth of 1.2 m.

210 < *Figure 3 here please* >

211

212 The measurements were performed in an attempt to determine long-term variations (with an
213 irrigation season) and short-term variations (within a day) during the entire irrigation season (5 Jun
214 to 24 Sep 2015). The 3-D ERT long-term monitoring, using all 204 electrodes (Figure 3), was
215 conducted at the following times:

- 216 – First ERT monitoring period: 8-10 June 2015, when no irrigation was supplied;
- 217 – Second ERT monitoring period: 14-17 July 2015, 1 month after onset of irrigation;
- 218 – Third ERT monitoring period: 21-24 September 2015, at the end of irrigation.

219 At the beginning of each ERT monitoring period, one ERT acquisition was conducted on the full
220 204 electrode setup (Table 4) and used as the “background” dataset for the short-term time-lapse
221 data.

222 < *Table 4 here please* >

223

224 During the second and third monitoring periods, there was full acquisition of data from all four
225 quarters of T1 and T2 at the end of irrigation. The complexity of the time-lapse processes, due to
226 irrigation and water redistribution, required more frequent data acquisition. Thus, hourly ER data on
227 q4 were recorded for T1 and T2 (Cassiani et al., 2015, 2016) (Table 5).

228 < *Table 5 here please* >

229

230 **2.2.2 3-D ERT data processing and inversion**

231 The acquisition procedure described above produced 48 independent datasets, each based on data
232 from 72 electrodes: 40 datasets were from long-term monitoring, including the acquisitions before
233 and after irrigation (see Table 4); 8 dataset were from the hourly time-lapse data (Table 5).

234

235 All data were acquired using a ten-channel resistivity meter (Syscal Pro 72 Switch, IRIS
236 Instruments) and the same acquisition scheme. In particular, a complete skip-zero dipole-dipole
237 pattern was used, in which the current dipoles and potential dipoles are both of minimal size,
238 because they consist of neighboring electrodes along the boreholes or at the surface. Direct and
239 reciprocal resistance data were acquired to estimate measurement errors (Binley et al., 1995; Daily
240 et al., 2004). In each quarter (72 electrodes), nearly 5000 resistance measurement were acquired,
241 including direct measurements and reciprocals, and each quarter survey lasted 25 min. The pulse
242 duration was 250 ms per measurement cycle, and the target voltage was 50 mV for the current
243 injection. The contact resistances of the electrodes were checked to ensure their suitability for
244 injection of current and accurate measurement of potential differences. Most of the electrodes had
245 excellent contact with the ground (i.e. contact resistance was always less than 5 k Ω), even when the
246 soil was relatively dry.

247

248 To produce the inverted resistivity 3-D images, we used the R3t code (Binley, 2013). Unstructured
249 tetrahedral meshes were generated using Gmsh (<http://geuz.org/gmsh/>, Geuzaine and Remacle,
250 2009). The data collected (Table 4) were inverted to consider all 4 quarters in the same inversion
251 scheme. The short-term time-lapse data (Table 5) were inverted using only the 72 electrodes
252 surrounding q4.

253

254 The strategy used for ERT data processing and inversion consisted of:

- 255 1. Reciprocal error identification (i.e. calculation of the error between direct and reciprocal
256 measurements of resistance) (Binley et al., 1995);
- 257 2. Inversion of resistance data using Occam's approach (Binley, 2015), in which the target
258 mismatch between measured and computed resistance data is based on the error estimated in step
259 1 (above); more specifically, three different inversion strategies were adopted:

260 2.1. Inversions to produce 3-D ER absolute “background” images (Table 4) in all quarters for
261 both treatments; in this case two error levels (10% and 16%) were used, (see step 1 above).
262 Different error levels at different times may be caused by: (i) a weak signal to noise ratio in
263 the dipole-dipole scheme, particularly when there are large separations between current and
264 potential electrode pairs (Binley and Kemna, 2005). Even though this may not be crucial at
265 the small scale of this application, it may lead to different errors under different soil
266 conditions; (ii) dry soils can produce a vacuum at the soil-root interface (Carminati et al.,
267 2009), and this can produce anomalies in the current signal;

268 2.2. Inversions to produce images of 3-D ER changes before and after irrigation (daily time
269 scale, Table 4). These relative inversions (“time-lapse resistivity inversions”) are calculated
270 from ratios (d_r , Eq. 1) between the ERT resistances before and after irrigation:

$$d_r = \frac{d_t}{d_0} \cdot F(\sigma_{ohm}) \quad (1)$$

271
272 where d_t and d_0 are the resistance values at time t and time 0 (background), and $F(\sigma_{ohm})$ is
273 the resistance, obtained by running the forward model for an arbitrary conductivity (100
274 Ω m). This calculation was performed simultaneously for all quarters in T1 and T2 using a
275 10% error level. The time-lapse resistivity ratio images show changes relative to the
276 reference (initial) value;

277 2.3. Time-lapse resistivity inversions of the individual quarters containing trees (q4 in Figure 3,
278 Table 5) using the same approach as above, but an error level of 5%.

279 Note that the error level used in ratio inversion was difficult to estimate, because it is not directly
280 available from the reciprocity check. However, use of about 50% of the error estimated for each of
281 the two datasets in the inversion is common practice (Cassiani et al., 2006), because systematic
282 errors are removed from the time-lapse analysis.

283 3 Results

284 3.1 Ancillary data observed during the 3-D ERT monitoring

285 Figure 4 shows the irrigation rates for T1 and T2 (eastern and western sides of the root apparatus),
286 and the timing of 3-D ERT measurements during the June-September 2015 study period. The SWC
287 ($\text{m}^3 \text{m}^{-3}$) results (see Figure 2 for locations of sensors) for the PRD treatment (Figure 4) show the
288 expected alternating drying and wetting cycles on opposite sides of the tree. The results for the T1
289 treatment show that the SWC remained close to field capacity (FC, $0.28 \text{ m}^3 \text{m}^{-3}$).

290 < Figure 4 here please >

291

292 Figure 5 shows the hourly changes in SWC recorded during the 3-D ERT monitoring. The first ERT
293 survey, which was at the beginning of the irrigation season (8-10 Jun 2015; days of the year [DOY]:
294 159-160, Figure 5a) had SWC values well below the FC for T1 and T2, and the values were close
295 to, and sometimes below, the wilting point (WP, $0.14 \text{ m}^3 \text{m}^{-3}$). A rainfall event (effective rainfall:
296 23 mm) occurred on DOY 160, and this increased the SWC. During the second ERT survey (14-17
297 Jul 2015, DOY 195-198), one month after the beginning of irrigation, the SWC remained fairly
298 close to the FC for T1. The SWC was slightly lower than the FC for T2 on the west side of the plot
299 (Figure 5b), the region that was irrigated during the prior week (Figure 4), but was higher than the
300 WP on the east side. During the third ERT survey (21-24 Sep 2015, DOY: 264-267), the SWC
301 values were similar on both sides for T2, most likely because of the high soil moisture (west
302 side: $0.18 \text{ m}^3 \text{m}^{-3}$, east side: $0.22 \text{ m}^3 \text{m}^{-3}$) at the end of the irrigation season.

303 < Figure 5 here please >

304

305 Average soil temperature variations were approximately 2°C during ERT data acquisition.
306 Considering that ER changes 2% for each 1°C change in temperature (Friedman, 2005),
307 temperature only had a negligible effect on the inferred changes in SWC (Nijland et al., 2010).
308 Figure 6 shows the hourly values of soil temperature recorded at depths of 0.1 m and 0.8 m.

309 Measurements of soil pore water and irrigation water indicate moderate salinity (Table 2), with
310 $EC_{25^{\circ}C}$ values in the range of 2-3 $dS\ m^{-1}$. These values should not affect the sensitivity of our ERT
311 measurements.

312 < Figure 6 here please >

313

314 During the ERT measurements, the daily average tree transpiration rate was up to 1.9 $mm\ d^{-1}$ in T1,
315 and 0.9 $mm\ d^{-1}$ in T2, and the average rate of crop evapotranspiration (ET_c) was 2.1 $mm\ d^{-1}$. The
316 transpiration values were fairly steady during the middle of the day (from 12:00 a.m. to 04:00 p.m.
317 LST), most likely due to physiological responses that reduced water losses, such as partial closure
318 of leaf stomata (Motisi et al., 2012).

319 **3.2 Seasonal changes in ERT data**

320 The ERT data had excellent quality, as indicated by the low mean reciprocal errors (T1: 2.6% \pm
321 1%, T2: 2.9% \pm 0.9%). Moreover, a large percentage of the data had reciprocity errors below 10%.
322 Most of the ERT inversions converged after 6 to 8 iterations when using a designated error level of
323 10% to 16%.

324

325 Table 4 shows the performance of the inverse model in absolute mode (i.e. resistivity at the
326 beginning of each ERT survey), in terms of the number of iterations needed to reach the solution,
327 amount of data used in the inversion, computational time, number of rejected measurements, and
328 final root mean square (RMS) for an error level of 16%. Most of the data converged after fewer
329 than 5 iterations.

330

331 Figure 7 shows the 3-D-electrical resistivity ($\Omega\ m$) images derived from background acquisitions
332 during June, July, and September of 2015 (Table 4) in T1 (Figure 7a) and T2 (Figure 7b) and the
333 ER profiles, averaged within selected soil layers (0.0-0.2 m; 0.4-0.6 m; 0.6-0.8 m; 0.8-1.0 m; 1.0-
334 1.2 m) of the soil volume for T1 (Figure 7c) and T2 (Figure 7d).

335 < Figure 7 here please >

336

337 Figure 7 indicates that from June to September, the mean ER reduction was from 59 (± 31) Ω m to
338 18 (± 4) Ω m in T1, and from 65 (± 34) Ω m to 40 (± 7) Ω m in T2. These differences reflect
339 differences in irrigation. At the end of the irrigation season (September), the mean reduction of ER
340 in the soil profile (0.0-1.0 m) was 69% in T1 and 38% in T2. The greatest variability of ER was in
341 the shallowest soil layer (0.0-0.2 m), in which the mean resistivity was 118 to 16 Ω m in T1 and
342 139 to 39 Ω m in T2.

343

344 Figure 8 shows box-plot that split the ERT data from June, July, and September into quartiles, and
345 the ER distribution for T1 and T2 at depths of 0.0-0.2 m (Figure 8a and d), 0.4-0.6 m (Figure 8b and
346 e), and 1.0-1.2 m (Figure 8c and f). Application of an analysis of variance (ANOVA) to the ERT
347 dataset indicated no significant differences between the resistivity zones in T1 and T2 at
348 significance levels of 0.05, 0.01, and 0.001.

349 < Figure 8 here please >

350

351 The ER values for T1 decreased regularly around the median from June to September 2015,
352 showing a clear pattern during the irrigation phase (Figures 8a, b, and c). This is in good agreement
353 with distribution of the SWC measurements during the same time (Figures 4 and 5). The ER values
354 for T2 had no clear changes over time, possibly due to the smaller irrigation volume (Figures 8d, e,
355 and f).

356 **3.3 Evidence of RWU patterns from ERT data**

357 Figure 9 shows the time-lapse ratios of ER for T1 (Figure 9a and b) and T2 (Figure 9c and d),
358 relative to background (Figure 7). A value of 100% indicates no change from the background;
359 higher values indicate increases and lower values indicate decreases. Archie's law (1942) and other

360 empirical relationships (Waxman and Smits, 1968; Brovelli and Cassiani, 2011) allow calculation
361 of changes in soil moisture from changes in ER.

362 < Figure 9 here please >

363

364 We also analyzed results in which there were more frequent time-lapse measurements (July and
365 September; Table 5). Figures 10a and 11a show examples of the time-lapse resistivity ratio for q4,
366 and Figures 10b and 11b show the hourly transpiration flux (mm h^{-1}) of the irrigated trees in T1 and
367 T2. On 15 July 2015, at the end of the irrigation (time 03, Table 5), about 40% of the soil volume in
368 q4 (treatment T1, Figure 10a) had a marked decrease in the resistivity ratio, due to progression of
369 the irrigation front. This change in ER decreased from the top soil to the bottom-most layer. The
370 results are the same for the 2 previous time steps in q4 (data not shown). In particular, at time 01
371 there was decrease in ER of 4% in the soil volume, and at time 02 there was a decrease in ER of
372 10% in the soil volume (Table 5). Only at the end of irrigation (time 03, Figure 10a), q4 in T1 had
373 an increase ER in 7% of the soil volume. The higher ER values (indicating drier soil) were recorded
374 at depths of 0.6-0.8 m, exactly when plant transpiration was maximal (Figure 10b). Thus, at the
375 spatial and temporal scales used here, the correspondence between ER and transpiration flux
376 increases due to changes in RWU.

377 < Figure 10 here please >

378

379 Figure 11a shows the time-lapse results on 24 September 2015 (time 03) at the end of irrigation
380 (Table 5) for T2. These results indicate a slight decrease of ER in 2-7% of the monitored soil
381 volume at the eastern side (which received irrigation at that time). There were 2 volumes of
382 resistivity changes: (i) at the irrigated eastern side, ER decreased in 22% of the monitored soil
383 volume, mostly in the top 0.4 m, close to the two active drippers. This decline in ER accounted for
384 5% of the monitored volume at time 01, and 13% at time 02 (Table 5); (ii) at the non-irrigated

385 western side, a slight ER increase of 3% occurred to a depth of 0.4 m. Even in this case, the
386 maximum increase was when plant transpiration was greatest (Figure 11b).

387 *< Figure 11 here please >*

388 **4 Discussion**

389 **4.1 Seasonal changes in ERT data**

390 Overall, the most notable features of the absolute inversions in Figure 7 are that areas of high
391 resistivity (above 100 Ω m) were most common at depths between 0.4 and 1.0 m at the beginning of
392 the irrigation phase. However, during the irrigation season, these higher ER zones were smaller in
393 magnitude. This is particularly notable in the presence of fairly conductive pore water (2-3 dS m⁻¹)
394 that immediately calls for drier unsaturated conditions to give bulk ER well above 100 Ω m. It is
395 difficult to explain how such highly resistive features can exist at localized depths, without
396 considering that local RWU is reasonably intense at this depth from November to May, when the
397 trees were not irrigated. Only the very small-scale anomalies observed close to the surface, which
398 are smaller than the spatial resolution of our method, can be attributed to inversion artefacts (Kim et
399 al., 2009) or to heterogeneous direct evaporation patterns from the top soil, with soil fracturing in
400 conditions of extreme dryness. At greater depths, water depletion can be attributed to root activity.

401

402 One of the most interesting aspects of the patterns of high resistivity (Figure 7) is that they all seem
403 to change substantially over time. This is strong evidence against the wide-spread belief that most
404 of the electrical signals from roots are due to their large lignified structures (Amato et al. 2008;
405 Rossi et al. 2011). In fact, the effect of large roots can be mistaken for the combined effects of
406 strong soil drying that roots exert on nearby soil due to water uptake. Our results seem more
407 consistent with this latter explanation.

408 **4.2 Evidence of RWU patterns from ERT data**

409 The daily time course images (Figure 9) show fairly complex patterns of ER caused by the
410 irrigation and soil moisture depletion from RWU processes (Cassiani et al., 2015, 2016). As for the

411 absolute ER inversions (Figure 7), there is evidence that the activity of the root system was driven
412 by: (i) the need to use irrigation water from June to September, which explains the development of a
413 shallow roots near the drippers, and (ii) the need for the tree to take up water during the non-
414 irrigated period by searching for water deeper in the soil profile.

415

416 These patterns of increasing and decreasing ER may be challenging to explain. However, we can
417 interpret some of these phenomena:

- 418 - As irrigation occurs in a very localized region of the broader area that is monitored by ERT,
419 it is not surprising that ER tends to decline largely in correspondence to changes at the
420 drippers, creating very consistent patterns that extend from the surface to the bottom of the
421 monitored soil volume (depth of about 1 m);
- 422 - Certain areas exhibited increases in ER, irrespective of the application of irrigation water.
423 This is likely because transpiration during the hotter times of the day exceeds the amount of
424 irrigation water, and the corresponding SWC is likely to be lower in the afternoon than early
425 morning. The same effect was observed by Cassiani et al. (2015) in another orange orchard.
426 An unusual characteristic of the results presented here is that some areas of increasing
427 resistivity are at depths where deepest roots occur. In fact, comparison of the higher
428 resistivity zones (Figure 7) with the zones in which resistivity increased (Figure 9) shows a
429 remarkable correspondence;
- 430 - The amount of irrigation water was greater for T1 than T2, so the variations in ER tend to be
431 greater in T1, especially during the extreme heat of July, when all the irrigation water in T2
432 was transpired at nearly all monitored depths.

433

434 Our comparison of the hourly ER changes for T1 and T2 indicate 5 key features. First, the
435 resistivity decreases in the soil volume as the irrigation front progresses. Second, the increases of
436 resistivity occur when there are higher transpiration fluxes. Third, greater increases of ER occurred

437 at the drier side of the plot for T2. Fourth, the soil depth that exhibited ER changes was 50% larger
438 in T1 than in T2. Fifth, in general, the finer time resolution provided by single quarter acquisition
439 can help detect processes linked to RWU that modify SWC on an hourly scale, although
440 comparisons of patterns before and after irrigation alone are more difficult to interpret (Figure 9).

441

442 Our measurements of the likely RWU distribution should be compared with previous estimates
443 from the literature. Under micro-irrigation (as in our study), orange trees tend to develop shallow
444 root systems, with depths of 0.3 to 0.4 m depending on the soil type (Usman et al., 2016, Iyengar
445 and Shivananda, 1990). A previous study in which there was micro-irrigation of an 8-year old sweet
446 orange (*Citrus sinensis* (L.) Pers.) indicated 70-90% of its active roots were in the top 0.3 m of soil,
447 and at a radial distance of 1.2 m from the trunk (Kotur et al., 1998). Our results indicate that
448 although a large fraction of the RWU area is probably in the top 0.4 m of soil, as also reported by
449 Cassiani et al. (2015) in a similar orchard, there were also deeper RWU areas, particularly before
450 irrigation (based on the high ER patterns in Figure 7); this region remains important during
451 irrigation if there is sufficient water to reach the deeper root structures (Figure 10). In fact, a recent
452 excavation of a 1.3 m-deep soil pit at the site indicated the presence of significant root hair systems
453 at this depth (data not shown).

454 **5 Conclusion**

455 The study documents the effectiveness of the 3-D ERT technique for a small scale application, in
456 which changes in ER are due to changes in soil water. We observed clear patterns of wetting and
457 drying in the soil profiles at seasonal, daily, and hourly time resolutions. These patterns were driven
458 by the irrigation operations and by plant transpiration due to RWU. The 3-D ERT results also
459 indicated that the scale of the quarter plot (about 1.7 m²) was the minimum needed to capture the
460 main processes at the soil-root interface in our experimental setting. This 3-D ERT study also
461 highlights the complexity of RWU processes, and the need to control for several ancillary ground-
462 based data, including soil temperature, soil pore solution EC, plant transpiration, and soil

463 evaporation. Due to the complexity and heterogeneity of the soil-root system studied here, an
464 integration of hydrological and geophysical modelling might improve the analysis of recorded ER
465 anomalies. Finally, ERT may be considered a useful tool for precision irrigation strategies, in
466 particular for identifying the location of the subsoil where RWU occurs, and may therefore improve
467 the efficiency of irrigation. Future developments of this research should attempt to consider the
468 assimilation of ERT with ancillary measurements into a general hydrological model.

469

470 We can make several specific conclusions concerning soil-root processes and monitoring
471 methodology:

- 472 - Shallow and deep root zones both appear to be active during different times of the growing
473 season, depending on water availability. This partly contradicts the view that micro-irrigated
474 systems only tend to draw RWU from the shallowest soil layers;
- 475 - Electrical resistivity methods are more sensitive to the effects of RWU on soil moisture
476 content, and thus to changes in electrical resistivity over time, than to the ligneous nature of
477 large roots. This is confirmed by the disappearance and appearance of high resistivity
478 patterns in our dataset, a result that is not compatible with the presence of stable, large, and
479 resistive roots;
- 480 - Time-intensive monitoring provides more valuable information than occasional
481 measurements conducted under specific transient conditions. This emphasizes the need for
482 permanently installed monitoring systems to record processes at the hourly time scale.

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499
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664

665 **Figure captions:**

666 **Figure 1:** (a) Location of the study site in Sicily (Italy, © 2015 Google); (b) experimental orange
667 orchard; and (c) orange trees at the study site.

668 **Figure 2:** Schemes of the irrigation treatments (T1, full drip irrigation; T2, partial root zone drying
669 [PRD]) at the study site, location of sensors for measurement of soil temperature, soil moisture, and
670 tree transpiration, and the small scale ERT installations.

671 **Figure 3:** Small scale 3-D ERT monitoring scheme at (a) T1 (b) and T2. The orange circle
672 represents the portion of trees trunks within q4; the black points indicate the locations of the surface
673 and buried electrodes; the blue dotted lines indicate the irrigation pipelines; and the blue circles
674 indicate the suction cups.

675 **Figure 4:** Daily changes in soil water content (SWC, $\text{m}^3 \text{m}^{-3}$) for T1 and T2 from 5 Jun to 24 Sep
676 2015.

677 **Figure 5:** Hourly changes in soil water content (SWC, $\text{m}^3 \text{m}^{-3}$) during the 3-D ERT monitoring
678 from (a) Jun 8-10, (b), Jul 14-17, and (c) Sep 21-24.

679 **Figure 6:** Hourly changes of soil temperature at depths of 0.1 m and 0.8 m during each 3-D ERT
680 monitoring period.

681 **Figure 7:** Absolute inversions of background datasets collected during long-term ERT monitoring
682 in 2015 (8-10 Jun, 14-17 Jul, and 21-24 Sep) for (a) T1 and (b) T2 and average electrical resistivity
683 (Ωm) as a function of depth for (c) T1 and (d) T2.

684 **Figure 8:** Box-plots of the distribution of ER for (a, b, c) T1 and (d, e, f) T2 at different soil layers.

685 **Figure 9:** Time-lapse resistivity ratio for T1 and T2, with correction for background conditions,
686 during (a, c) Jul 2015 and (b, d) Sep 2015.

687 **Figure 10:** (a) Change in the resistivity ratio volume at time 03 (after the end of irrigation) relative
688 to time 00 (before irrigation, background) and (b) timing of tree transpiration rate (mm h^{-1})
689 measurements, irrigation, and ERT measurements. Data are for T1 on 15 Jul 2015.

690 **Figure 11:** (a) Change in the resistivity ratio volume at time 03 (after the end of irrigation) relative
691 to time 00 (before irrigation, background) and (b) timing of tree transpiration rate (mm h^{-1})
692 measurements, irrigation, and ERT measurements. Data are for T2 on 24 Sep 2015.

693

694

695 **Table 1:** Studies that used ERT to study soil-root interactions (ordered chronologically, then
 696 alphabetically), and their specific field applications in relation to the aims of the present study.

Study	Approach/Data	Crop/Location	Irrigation type	Main output related to the present study
Michot et al. 2003	2-D ERT (32 electrodes), SW and thermal profiles	corn crop / Beauce region (France)	full irrigation by sprinkler system	verify ability of ERT to measure changes in soil water dynamics over time (water infiltration and soil drainage by RWU)
Jayawickreme et al 2008	2-D ERT (84 electrodes), capacitance-type SW probes, and temperature profiles	maple forest and grassland / Michigan (USA)		monitor large seasonal changes in root-zone moisture dynamics by ERT
Srayeddin and Doussan, 2009	2-D ERT (32 electrodes), neutron probe and tensiometers	maize and sorghum / Avignon (France)	fully, moderately or poorly irrigation by sprinkler system	quantify RWU at different water supply levels
Boaga et al., 2013	3-D ERT (72 electrodes) and SW probes	apple orchard (full irrigated) / Maso Majano-Val di Non, Trento (Northern Italy)	drip and sprinkler irrigation	test the capabilities of small-scale ERT in monitoring eco-hydrological processes at the scale of interest for SPA interaction
Brillante et al. 2015	2-D ERT (24 electrodes), stem water potential measurements, and SW probes	vineyards / Aloxe-Corton, Burgundy (France)		monitor plant/- soil water relationships by ERT
Cassiani et al. 2015	3-D ERT (72 electrodes), ET from eddy covariance, sap flow data, and SW probes	orange orchard / Lentini, Sicily region (South Italy)	full drip irrigation	study the feasibility of small-scale monitoring of root zone processes using time-lapse 3-D ERT, ancillary data, and a physical-hydrological model; interpret data using a physical-hydrological model, and derive information on root zone physical structure and its dynamics
Moreno et al. 2015	2-D ERT (96 electrodes), SW and soil temperature probes	orange orchard (full irrigated) / Hadera, Israel	full drip irrigation	monitor root zone dynamics in a semiarid region using ERT
Satriani et al. 2015	2-D ERT (48 electrodes), ground penetrating radar,	dry bean crop / Basilicata Region, (Southern Italy)	no irrigation, intensive and economical drip irrigation	characterize crop roots following different irrigation treatments by ERT

	and SW probes			
Mares et al. 2016	2-D ERT (63 electrodes), sap flow measurements and SW probes	ponderosa pine / Boulder (Colorado)		evaluate application of ERT to identify high-resolution spatial and temporal changes in soil and tree water content
Cassiani et al. 2016	3-D ERT (72 electrodes) and SW probes	apple orchard (full irrigated) / Maso Majano - Val di Non, Trento (Northern Italy)	drip and sprinkler irrigation	test capabilities of small-scale ERT to monitor eco-hydrological processes at the scale of interest for SPA interaction; assess value of unsaturated flow modelling in supporting and validating the conclusions of time-lapse hydro-geophysical monitoring
Whalley et al., 2017	2-D ERT (96 electrodes), electromagnetic induction, soil water content (neutron probe), and soil strength (penetrometer).	23 types of winter wheat, two soil types, Woburn, UK.	no irrigation.	compare methods for phenotyping wheat lines
This study	3-D ERT (204 electrodes), sap flow measurements, SW and soil temperature probes	orange orchard / eastern Sicily (South Italy)	full drip irrigation and PRD technique	verify reliability of small scale ERT to qualitatively monitor soil-root interactions in two different irrigation treatments (full drip irrigation and partial root-zone drying); identify active RWU patterns for the two treatments, and their changes over time, by integrating time-lapse ERT with ancillary measurements

698 **Table 2:** Electrical conductivity (EC, dS m⁻¹ 25°C) of the irrigation water from samples at the
699 wells, samples at the drip lines, and the soil pore water solution extracted by suction cups in July
700 and September 2015.

Monitoring period	EC, dS m ⁻¹ (25°C)		
	Soil pore water	Water sampled from wells	Water emitted by the drip lines
2015			
July	3.03 ± 0.52	2.16 ± 0.20	2.68 ± 0.39
September	1.79 ± 0.11	1.60 ± 0.07	1.72 ± 0.08

701

702 **Table 3:** Accuracy and resolution of the sensors used in the present study.

Sensor	Accuracy	Resolution
ECH ₂ O, Decagon Inc.	±1-2% Volumetric Water Content (VWC) with soil specific calibration	0.1% VWC
TVAC, Campbell Sci.	±0.2%	-25° to 50°C
Tranzflo NZ Ltd., Palmerston North, NZ	±0.2%	0.01 cm hr ⁻¹
HD2106.2, delta OHM Italy	± 0.5% ± 1digit	5 µS/cm – 200 mS/cm

703

704 **Table 4:** Summary of total absolute inversion for 8-10 Jun, 14-17 Jul, and 21-24 Sep for T1 and T2
 705 and an absolute inversion error of 16%.

Survey	Treatment	Dataset characteristics	No. of iterations to converge	Initial no. of measurements	Time for calculation (s)	No. of rejected measurements	RMS
8-10 Jun 2015	T1	background	5	2077	6173	526	1.78
	T2	background	4	2043	5038	349	1.88
14-17 Jul 2015	T1	background	4	3695	11355	659	1.24
		after irrigation	4	3501	8284	609	1.12
	T2	background	5	3590	6027	717	1.06
		after irrigation	6	2833	7105	529	1.14
21-24 Sep 2015	T1	background	4	4067	10606	1024	1.21
		after irrigation	4	4408	10574	875	1.23
	T2	background	5	3342	11591	1001	1.17
		after irrigation	4	2900	5633	462	1.12

706

707 **Table 5:** Times of ERT data acquisition at the different quarters, and irrigation schedules for T1
 708 and T2.

Treatment	Acquisition	Starting time (GMT + 2)	Ending time (GMT + 2)	Irrigation schedule (GMT + 2)	Date
T1	time 00	8.30	8.55	9.00 – 12.00	July 15, 2015
	time 01	9.36	9.51		
	time 02	10.29	10.54		
	time 03	13.33	13.58		
T2	time 00	7.15	7.40	7.45 – 9.55	September 24, 2015
	time 01	8.37	9.02		
	time 02	9.16	9.41		
	time 03	12.27	12.52		

709

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Figure2
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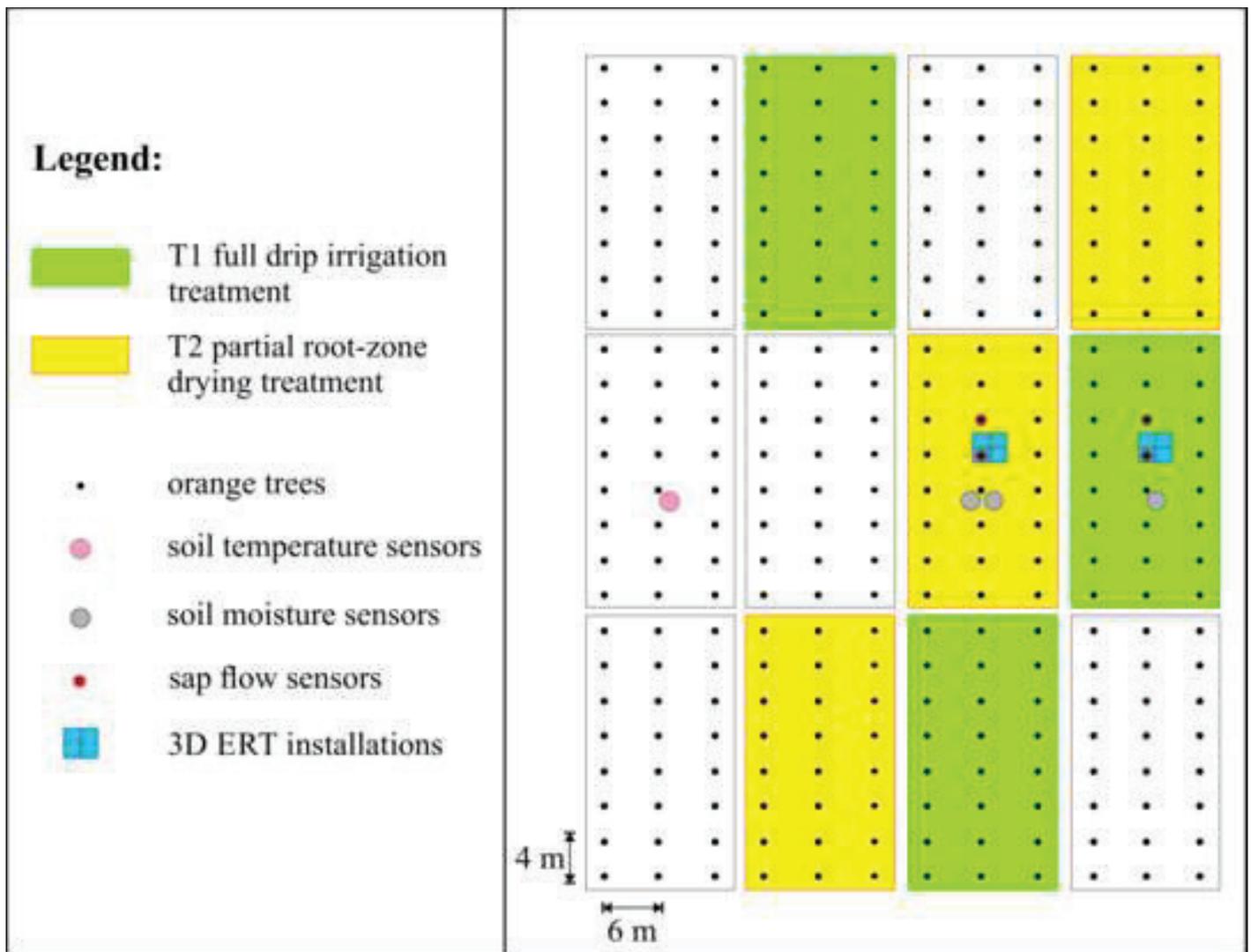


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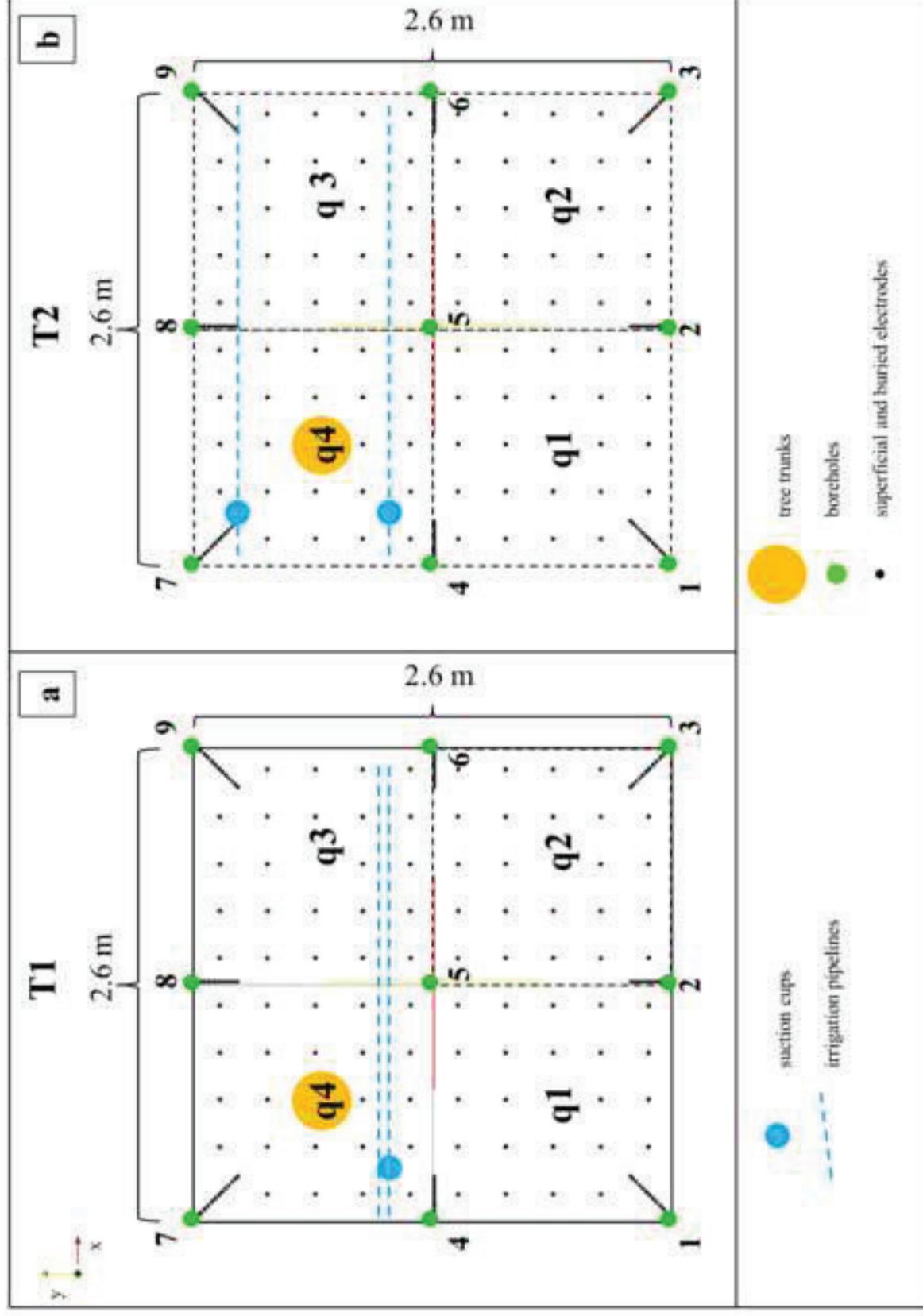


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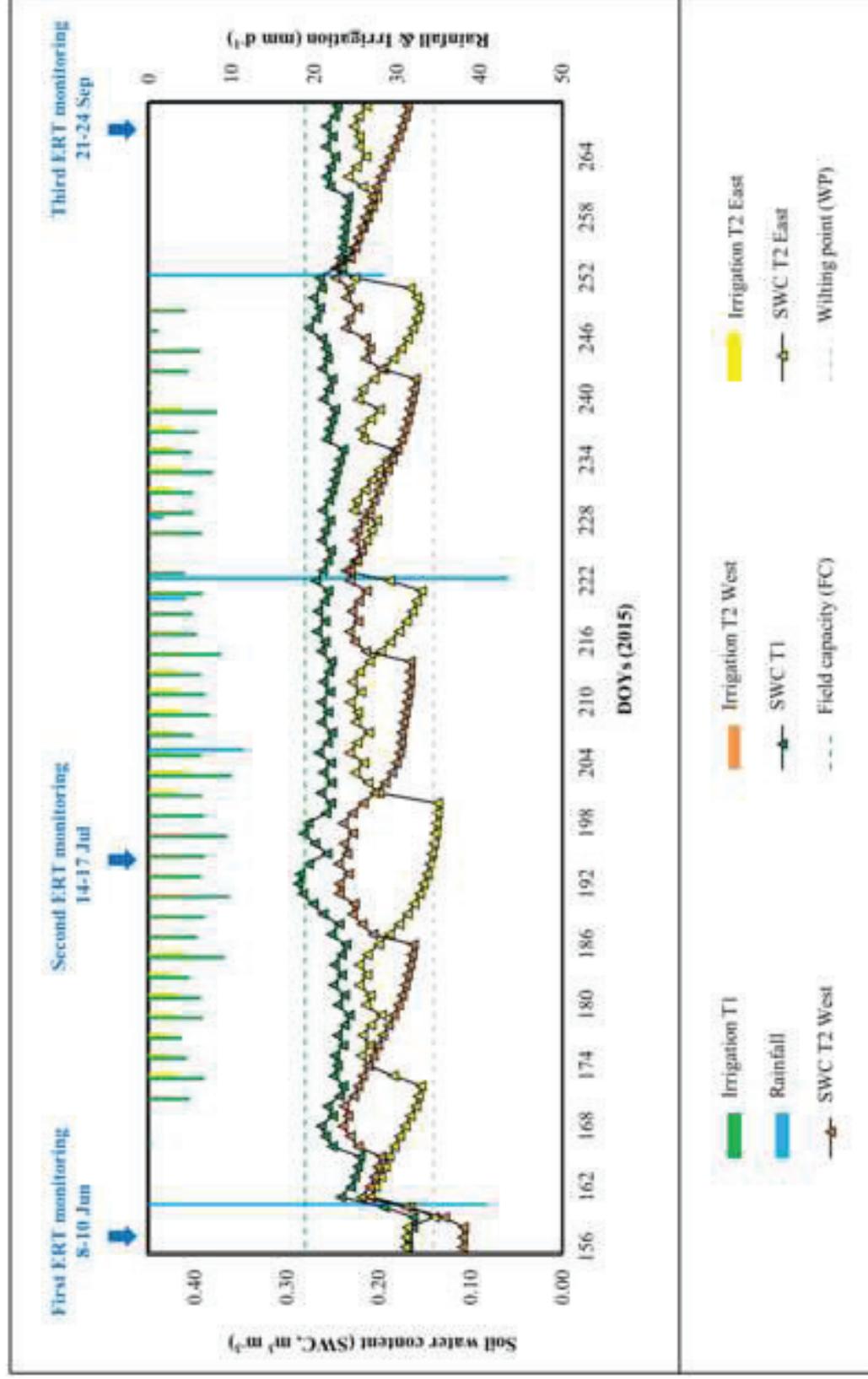


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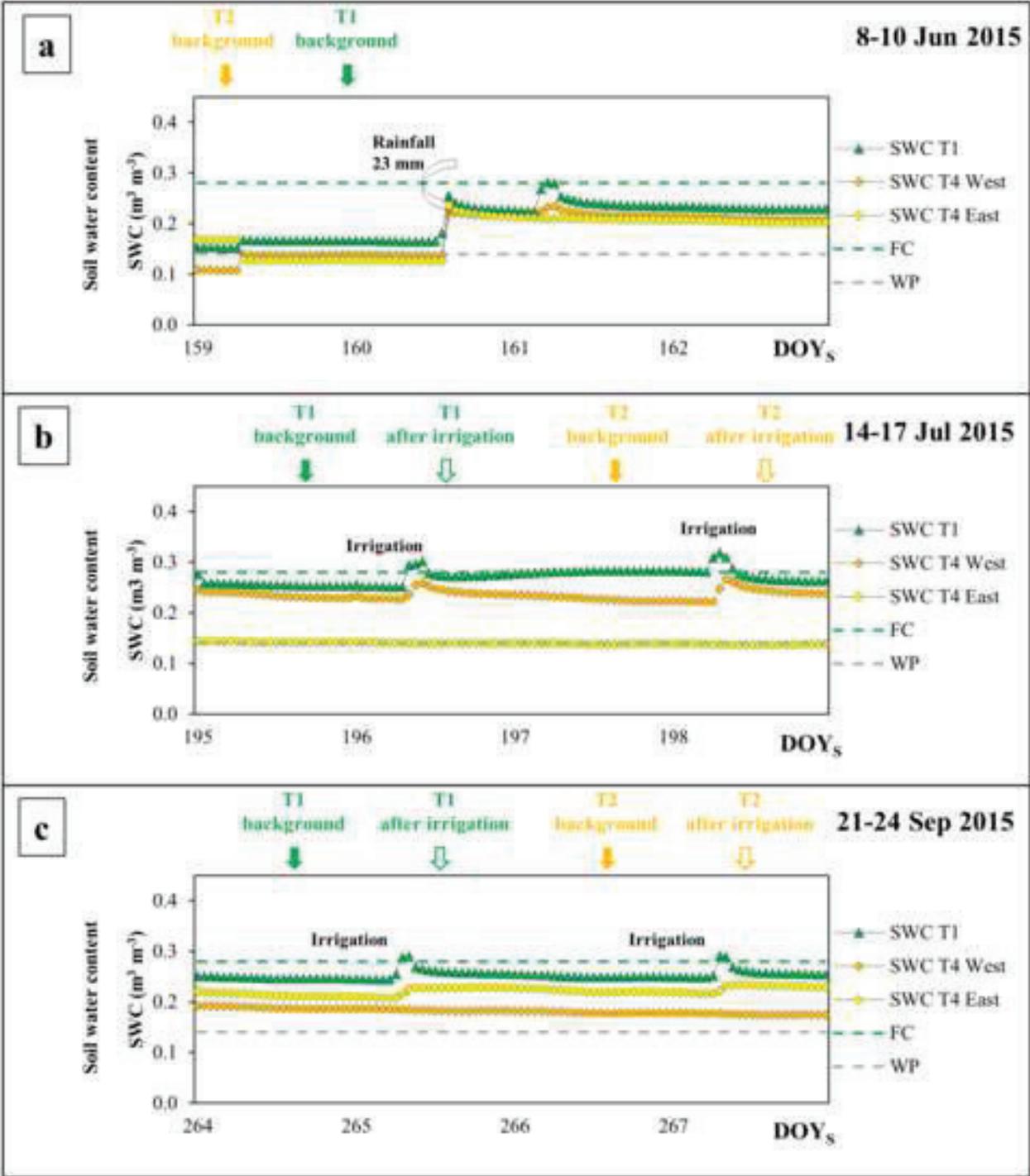


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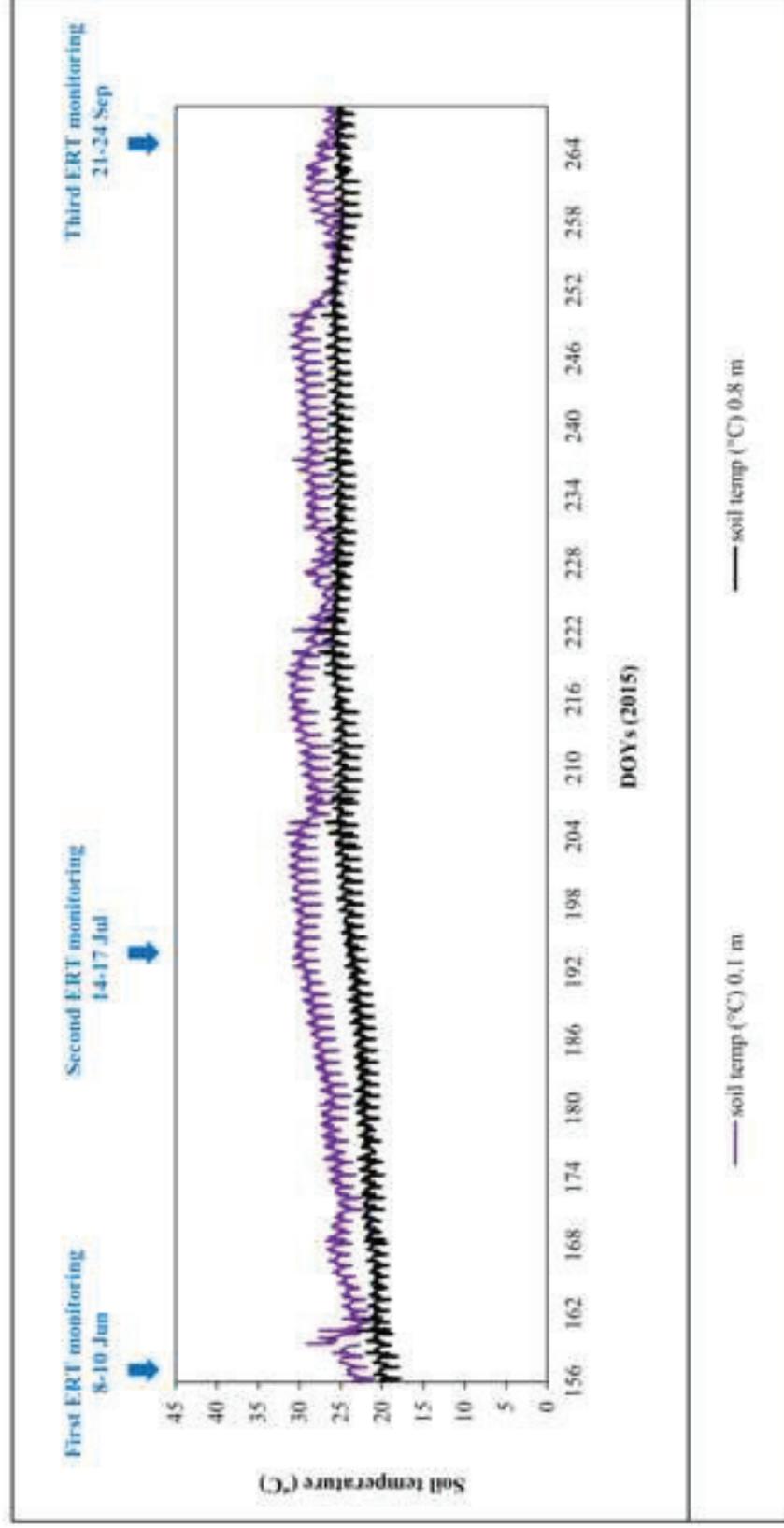


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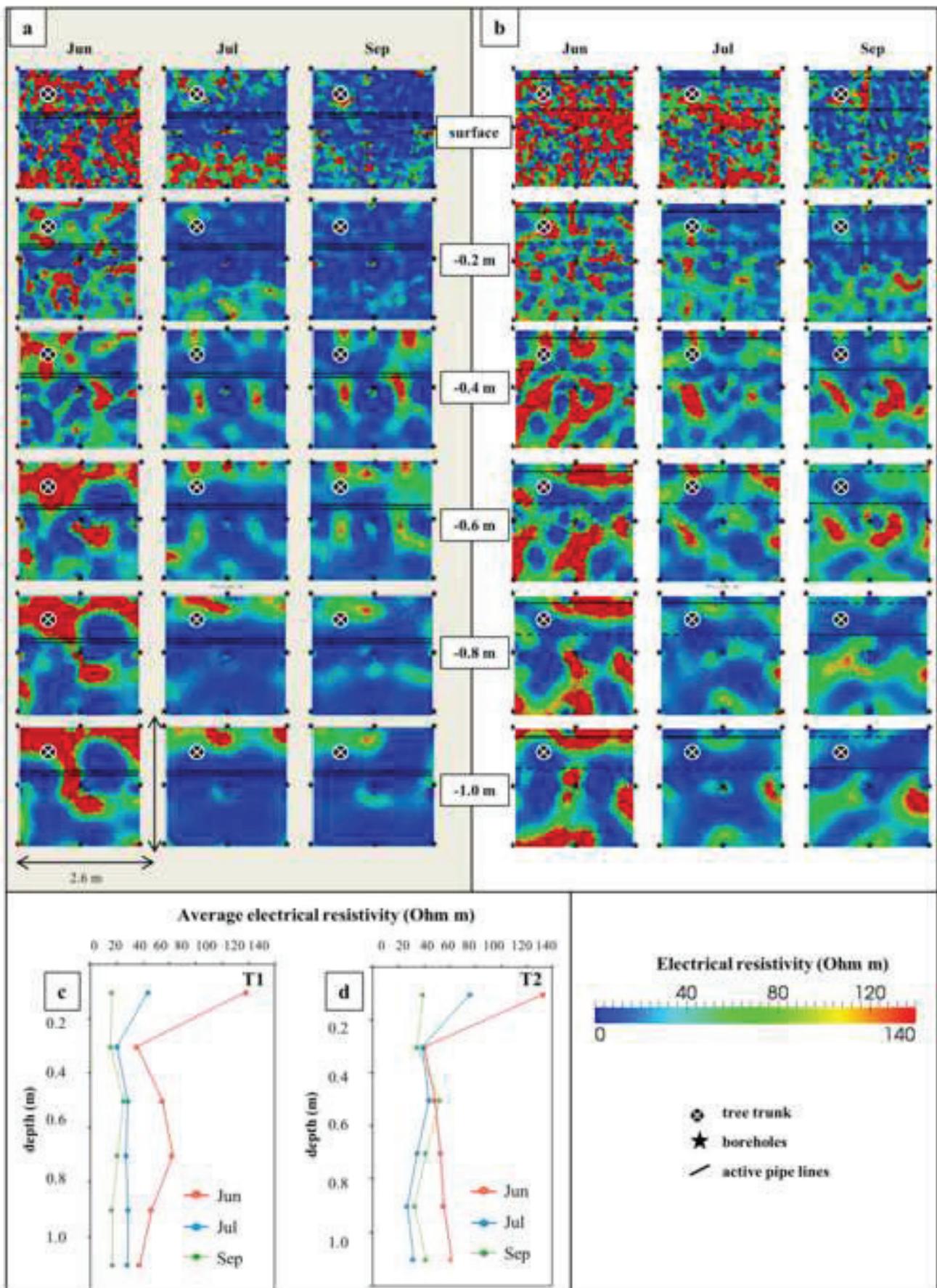


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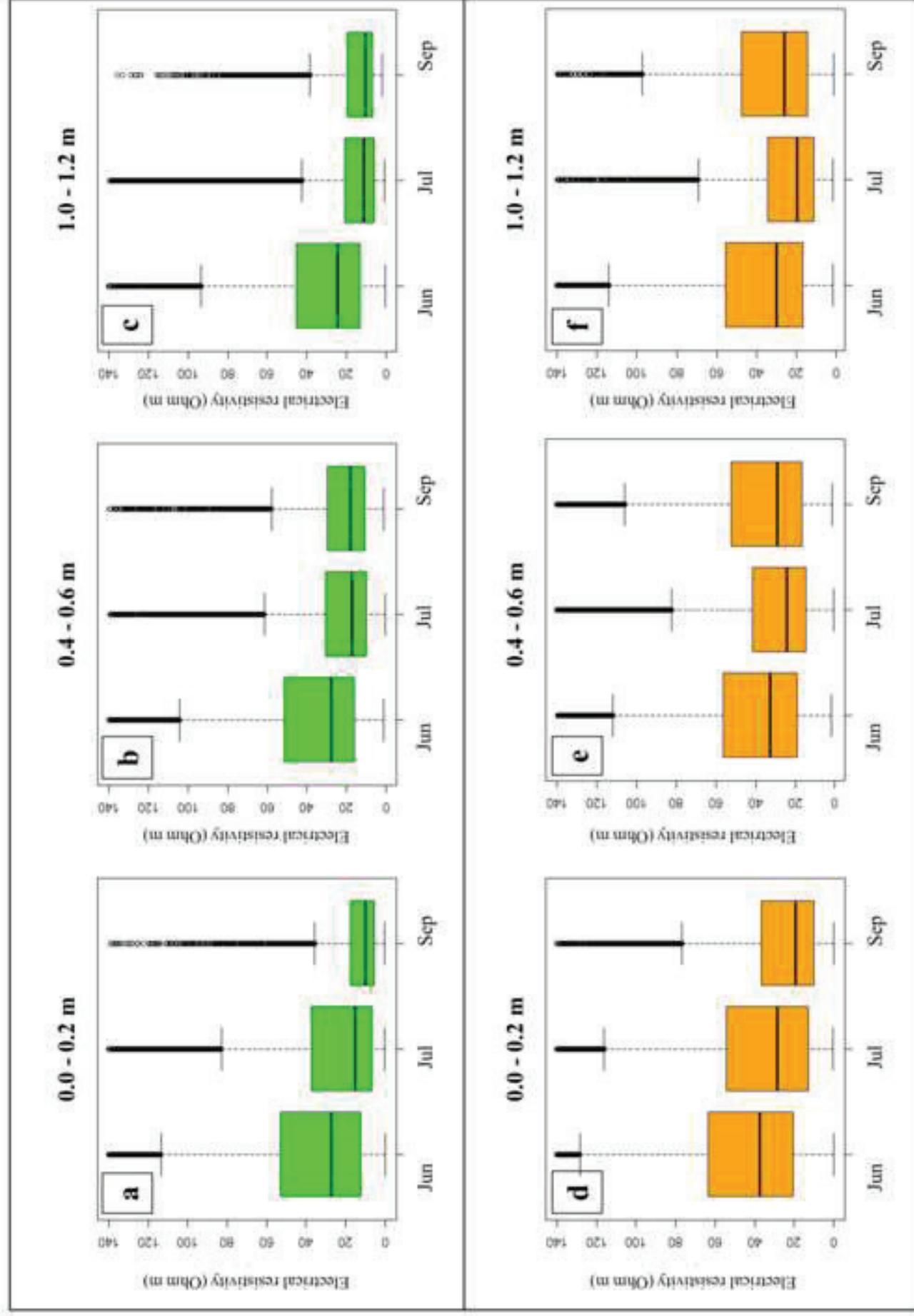


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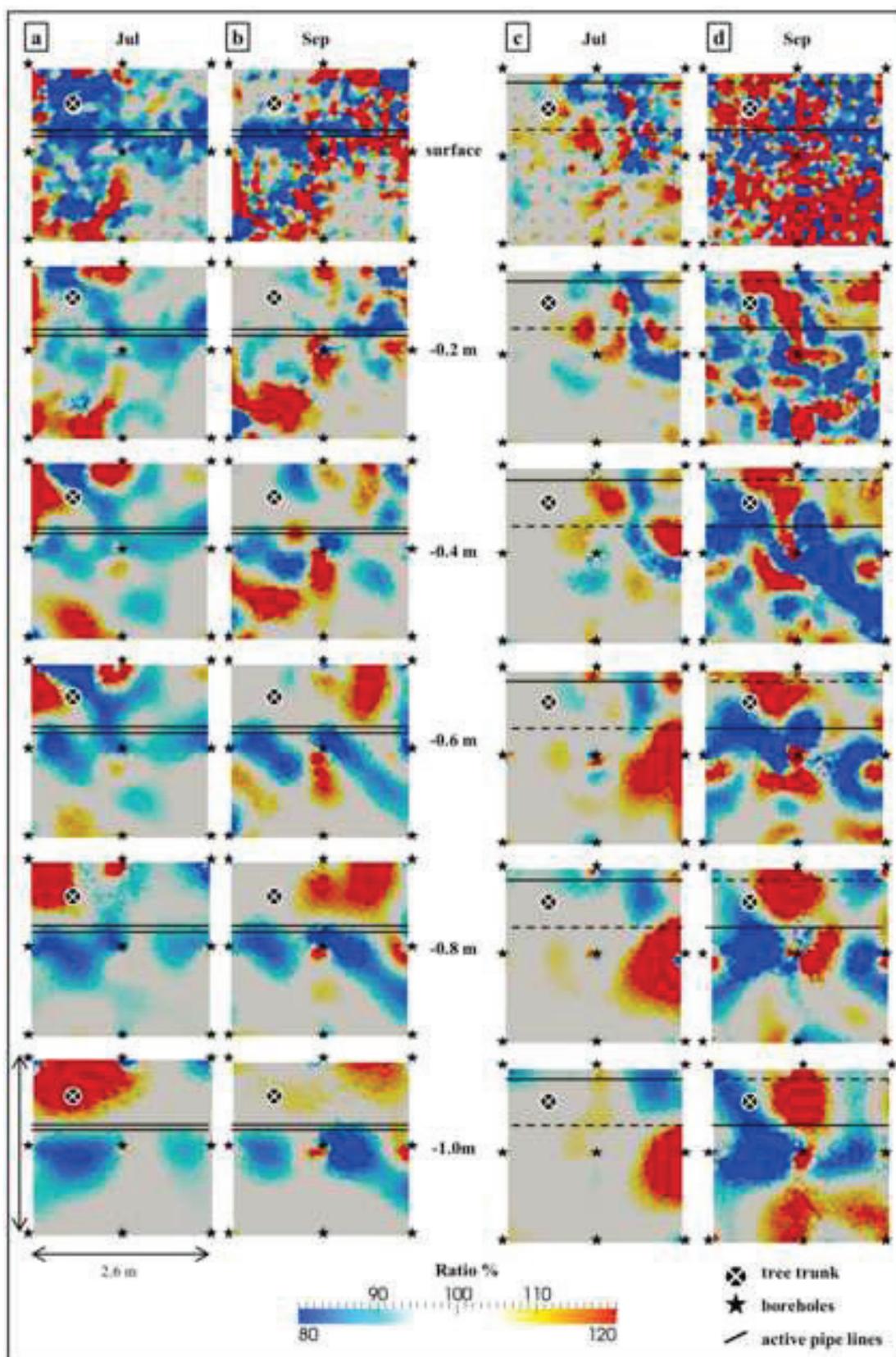


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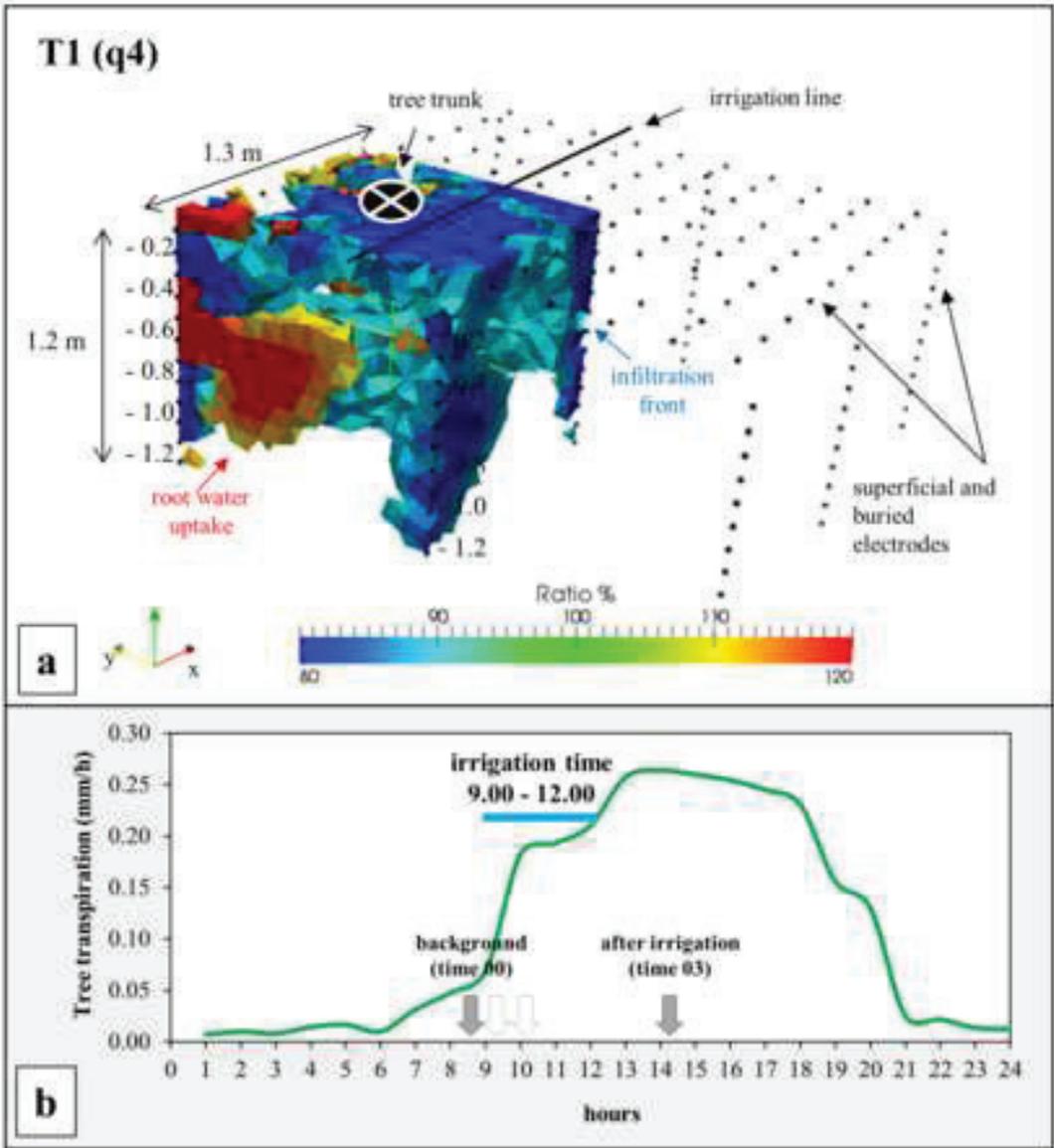


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