1	Do doctors' attachment styles and emotional intelligence influence patients' emotional	
2	expressions in primary care consultations? An exploratory study using multilevel	
3	analysis	
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## 1 Abstract

2 *Objective:* To investigate whether and how doctors' attachment styles and emotional

3 intelligence (EI) might influence patients' emotional expressions in general practice

4 consultations.

- 5 *Methods:* Video recordings of 26 junior doctors consulting with 173 patients were coded
- 6 using the Verona Coding Definition of Emotional Sequences (VR-CoDES). Doctors'
- 7 attachment style was scored across two dimensions, avoidance and anxiety, using the
- 8 Experiences in Close Relationships: Short Form questionnaire. EI was assessed with the
- 9 Mayer-Salovey-Caruso Emotional Intelligence Test. Multilevel Poisson regressions modelled
- 10 the probability of patients' expressing emotional distress, considering doctors' attachment
- 11 styles and EI and demographic and contextual factors.
- 12 *Results*: Both attachment styles and EI were significantly associated with frequency of
- 13 patients' cues, with patient- and doctor-level explanatory variables accounting for 42% of the
- 14 variance in patients' cues. The relative contribution of attachment styles and EI varied
- depending on whether patients' presenting complaints were physical or psychosocial innature.
- 17 *Conclusion*: Doctors' attachment styles and levels of EI are associated with patients'
- 18 emotional expressions in primary care consultations. Further research is needed to investigate
- 19 how these two variables interact and influence provider responses and patient outcomes.
- 20 Practice Implications: Understanding how doctors' psychological characteristics influence
- 21 PPC may help to optimise undergraduate and postgraduate medical education.

#### 1 **1. Introduction**

Effective patient-provider communication (PPC) is an integral part of high-quality healthcare [1, 2]. In addition to aiding effective diagnosis, treatment, referral and decision-making, effective PPC confers a number of patient benefits, including greater satisfaction with the standard of care, increased understanding of health concerns and treatment options, better recall of information and increased treatment adherence [3-10]. As such, PPC is identified by regulatory bodies as a core component of clinical practice [11, 12], and is an integral part of undergraduate and postgraduate medical education curricula worldwide [1, 13-16].

9 Effective PPC arguably plays a particularly valuable role in primary care, given that, in the United Kingdom, primary care consultations often represent patients' first access to medical 10 or mental health services [17], yet last, on average, only 7 to 10 minutes [18]. However, there 11 remains substantial variation in primary care providers' ability to identify and respond to 12 patients displaying signs of emotional distress, indicating a need for targeted investigation of 13 14 the factors associated with individual differences in their PPC [19]. Two related psychological theories may provide a theoretical framework for understanding why providers 15 demonstrate different PPC behaviours when faced with the same situational stimuli: 16 attachment theory, and the theory of emotional intelligence (EI) [20-25][26-34]. 17

18 Attachment theory is a theory of psychosocial development, which posits that individuals form enduring patterns of interpersonal behaviour through internalisation of interactions with 19 20 their primary carer(s) in infancy [35]. These patterns are represented cognitively in the form of an internal working model (IWM) of attachment, which subsequently influences behaviour 21 22 in close relationships throughout the lifespan, particularly care-giving or care-seeking relationships such as the patient-provider relationship [23, 35]. Two main dimensions of adult 23 24 attachment have been proposed: attachment anxiety (characterised by habitual preoccupation and over-involvement in close relationships combined with fear of abandonment), and 25 26 attachment avoidance (characterised by difficulty in trusting others, devaluation of close relationships and avoidance of intimacy) [36]. Emotional intelligence develops in childhood 27 28 partly as a function of attachment style [37], and can broadly be defined as the ability to understand, perceive, use and manage their own and others' emotions [38]. As such, EI is a 29 30 multifaceted ability which encompasses skills in not only empathy (the ability to understand and share another's emotions) but also in emotional regulation, management and self-31 perception [38]. 32

Prior research indicates that both attachment style and EI are independently associated with
PPC, particularly providers' abilities to acknowledge and respond to patients' cues of

emotional distress [20, 22, 39-42]. However, whilst attachment is thought to remain relatively
stable throughout the lifespan [43], EI is developmental [44] and can be enhanced throughout
medical education using targeted educational interventions [45, 46].

Informed by these data, we developed a theoretically-informed model of PPC in which we 4 5 hypothesised that attachment would indirectly influence providers' PPC by negatively influencing their EI. We tested this model in first- and second-year medical students, 6 7 communicating in a summative Objective Structured Clinical Examinations (OSCE) [20, 22]. In both studies, support for this model was gained, but interestingly, EI had a stronger 8 9 influence when more global PPC competence was considered [47]. Collectively, these data provide insight into the influence of early-year medical students' attachment styles and EI on 10 their PPC during early undergraduate medical education, and have important educational 11 implications for undergraduate medical curricula. However, the generalisability of these 12 findings to real life clinical practice is unclear, given that medical students' PPC with patients 13 14 in simulated settings may differ significantly from their PPC with real patients in a clinical setting [48, 49]. The current study aims to builds on the findings of Cherry et al. [20, 22] by 15 investigating whether and how doctors' attachment styles and emotional intelligence (EI) 16 influence real patients' emotional expressions in general practice (GP) consultations. By 17 18 doing so, we will be better able to make theoretically-informed and evidence-based 19 suggestions on how to improve undergraduate and postgraduate training and education.

#### 20 **2. Methods**

#### 21 **2.1 Ethical approval**

22 UK National Health Service (NHS) ethical approval was granted (reference 10/H1005/64).

## 23 **2.2 Participants and procedure**

Junior doctors and their patients were recruited from 20 GP practices within North West England, UK. Doctors were recruited during their GP placement; patients (aged 18 years or over) were recruited in the order that they attended consecutive appointments with participating GPs. Participation was voluntary and informed written consent was sought. Consultations were video-recorded; the camera was only directed at the doctors, no physical examinations were recorded and only the doctor and patient were present during the consultation.

## 31 2.3 Measures

32 Patients completed a demographic questionnaire assessing age range, perceived health status,

and whether they had seen the doctor before. Doctors completed a demographic questionnaire

34 (assessing age, gender and ethnicity), a measure of adult attachment and a measure of EI.

Adult attachment was assessed using the 12-item Experiences in Close Relationships: Short 1 Form (ECR-SF) questionnaire [50]. Participants rate the extent to which each item describes 2 their feelings about close relationships (e.g. "I need a lot of reassurance that I am loved by 3 my partner") using a 7-point Likert scale. Responses produce two subscale scores, attachment 4 5 avoidance and attachment anxiety, which correspond to the two-dimensional model of adult attachment [36]. Both subscales range from six to 42, with low scores indicating low levels of 6 7 attachment avoidance and/or attachment anxiety. The ECR-SF demonstrates acceptable construct validity with the original ECR, and displays good internal consistency and six-8 9 month test-retest reliability [50]. We did not estimate the internal consistency of the ECR-SF in this sample because our sample size did not exceed the minimum recommended sample 10 size for calculating Cronbach's alpha (REF). 11

EI was assessed using the Mayer-Salovey-Caruso Emotional Intelligence Test (MSCEIT) 12 [44], a 141-item ability-based measure of the perception, facilitation, understanding and 13 management of emotions in oneself and others. Responses produce four Branch scores 14 (Figure 1), from which Area and Total EI scores can be calculated. All are computed as 15 16 empirical percentages positioned on a normal distribution curve (mean = 100; standard deviation = 15). The measure demonstrates high reliability (total EI score of 0.92, 17 18 experiential EI score of 0.90 and strategic EI score of 0.85 [44]); it was not possible to determine the psychometric properties of the MSCEIT in this study given that scores are 19 20 computed by the test publisher.

## 21 2.4 Coding Cues and Concerns

22 The Verona Coding Definition of Emotional Sequences (VR-CoDES) [51], a well-validated coding scheme, was used to code patients' utterances of emotional distress. The VR-CoDES 23 handbook defines a cue as "a verbal or non-verbal hint which suggests an underlying 24 unpleasant emotion and that lacks clarity", and a concern as "a clear and unambiguous 25 26 expression of an unpleasant current or recent emotion where the emotion is explicitly verbalised" [51]. MGC was first trained in the use of the VR-CoDES by IF, an expert coder 27 who helped to develop the VR-CoDES. A random sample of 20 practice transcripts were 28 coded to establish inter-rater reliability; Krippendorff's alpha was .93, indicating the MGC 29 30 was competent to code data independently. MGC coded all videos directly so as to preserve tone of voice and context. Coding was overseen by IF. 31

#### 32 2.5 Analysis

Cues and concerns were collapsed together (referred to as 'cues/concerns' from hereon in).
 Pearson's product-moment correlations, independent sample t-tests, Chi-squared tests and

one-way ANOVAs were used as appropriate for preliminary data exploration. Relevant 1 2 patient-level and doctor-level variables were then transformed into dummy variables for analysis. A series of multilevel models investigated the predictive value of both patient-level 3 and doctor-level variables on the outcome measure. As patients (Level 1) were grouped 4 5 within doctors (Level 2), the general framework of multilevel models was assumed where the dependent variable(s) were assumed to follow a distribution belonging to the exponential 6 7 family. A two-level random intercept Poisson model was fitted, in which patients were assumed to be random units sampled from the larger patient population. Doctors' unique 8 9 study numbers were used to account for clustering at the doctor level (equivalent to incorporating a doctor-specific random effect into the modelling framework). Number of cues 10 was first modelled as a function of the characteristics collected for each patient until a final 11 patient-level model was obtained. Backward selection was based on Wald tests and non-12 significant covariates were removed from the model ( $\alpha = .05$ ). All excluded covariates were 13 evaluated for their potential confounding effect by evaluating their influence on the 14 coefficient of the remaining variables in the model. Doctor-level explanatory variables were 15 16 then added to the model. Descriptive and exploratory analyses were performed in SPSS 20.0.1 [52]. Stata (version 12.0) was used to fit the Poisson models [53]. 17

#### 18 **3. Results**

#### **19 3.1 Sample characteristics**

The final sample comprised 26 doctors consulting with 173 patients. Doctors were primarily 20 White British (n = 24; 92.31%) and female (n = 21; 80.77%), with a mean age of 26.61 years 21 (SD = 3.32, range 24 to 38). The mean number of video-recoded consultations per doctor was 22 6.65 (SD = 1.92, range 4 to 11); mean consultation length was 17 minutes and 20 seconds 23 (SD = 56.40 seconds). Most patients were female (n = 99; 57.23%), aged between 25 and 44 24 years (n = 65; 37.57%) and rated their health as good, very good or excellent (n = 134;25 77.45%). Two thirds of patients (n = 112; 64.74%) were consulting with the participating 26 doctor for the first time. Participating doctors recorded patients' presenting complaints to be 27 28 psychosocial in nature for 26 patients (15.03%) and physical for 147 patients (84.97 %). 29 Psychosocial presenting complaints included panic attacks, low mood, dissociation and anxiety. Physical health complaints included chest infections, urinary tract infections, and 30 31 lower back pain.

1 Table 1 displays doctors' ECR:SF and MSCEIT scores. No significant differences in 2 participating doctors' scores were found according to their gender, age or ethnicity. 3 Significant negative correlations between attachment avoidance and Branch 1 (Perceiving 4 Emotions; r = -.40, p < .05), Area 1 (Strategic EI; r = -.39, p < .05) and total EI scores (r = -5 .43, p < .05) were found. Attachment anxiety was not significantly correlated with any EI 6 score.

## 7 [INSERT TABLE 1 HERE]

## 8 **3.2** Number of cues/concerns and responses

9 The mean number of cues/concerns per consultation was 2.33 (SD = 3.86, range 0-24); 79 10 consultations (45.67%) contained no cues. Patients with psychosocial complaints presented 11 significantly higher numbers of cues (M = 5.02, SD = 4.64) than those with patients with 12 physical health complaints (M = 1.15, SD = 2.69), t(171) = 6.85, p = .00). No significant 13 differences in the number of cues/concerns elicited per consultation were found relative to 14 either doctor or patient gender. Table 2 displays examples of cues and concerns presented 15 during consultations.

## 16 [INSERT TABLE 2 HERE]

## 17 **3.3 Multilevel modelling**

18 History with the doctor (i.e. whether it was the patient's first visit to the doctor) and type of 19 presenting complaint (i.e. psychosocial or physical) were included in the final patient-level model. Both significantly influenced cue/concern presentation and increased the variation in 20 cue/concern presentation between doctors (Model 1  $\sigma_u$  = .51 (SE =.10), Model 2  $\sigma_u$  = .61 21 (SE=.11)), accounting for 31.47% of the variance in cue/concern presentation between 22 patients (calculated using proportionate change in log likelihood). Number of cues/concerns 23 24 was then modelled as a function of the characteristics collected for each doctor, which were entered collectively into the final patient-level model. Attachment anxiety was the only 25 doctor-level explanatory variable significantly associated with cue presentation, with a 26 decrease of .11 cues/concerns per one unit increase in attachment anxiety (p = .00). Neither 27 28 total EI nor attachment avoidance significantly influenced cue/concern presentation. Consideration of doctor-level explanatory variables further increased the variation in 29 cue/concern presentation between doctors (Model 2  $\sigma u = .61$  (SE = .11), Model 3  $\sigma u = .78$ 30 (SE = .16)), accounting for an additional 2.94% of the variance in cue/concern presentation 31

between patients (calculated using proportionate change in log likelihood). To assess the interaction between doctor-level characteristics and patients' presenting complaint, an interaction variable was calculated for attachment avoidance, attachment anxiety and total EI by multiplying each by the 'psychosocial' patient covariate. These interaction variables were then entered collectively into Model 3 (Table 3).

## 6 [INSERT TABLE 3 HERE]

7 Attachment anxiety was significantly negatively associated with cue/concern presentation in 8 patients presenting with a physical health problem, with a decrease of .15 cues/concerns per one unit increase in attachment anxiety (p = .00). There was no significant difference in effect 9 10 of attachment anxiety between those presenting with psychosocial health problems and those presenting with physical health problems. Inclusion of the interaction terms to Model 3 11 resulted in a significant positive association between EI and cue/concern presentation, with a 12 decrease of .05 cues/concerns per one unit increase in total EI (p = .00) in patients presenting 13 with a physical health problem. There was a significant difference in the effect of total EI 14 between those presenting with psychosocial health problems and those presenting with 15 physical health problems, with an increase of .07 cues/concerns per one unit increase in total 16 EI (p = .00) in patients presenting with psychosocial health problems compared with those 17 presenting with physical health problems. Attachment avoidance had no influence on 18 cue/concern presentation in patients presenting with a physical health problem but 19 20 significantly positively influenced cue/concern presentation in patients presenting with 21 psychosocial health issues, with an increase of .23 cues/concerns per one unit increase in attachment avoidance (p = .00) compared with those presenting with physical health 22 23 problems. Consideration of the interaction terms in addition to the doctor- and patient-level 24 variables in Model 3 reduced the variation in cue/concern presentation between doctors 25 (Model 2  $\sigma u = .61$  (SE = .11), Model 3  $\sigma u = .80$  (SE = .16)) and accounted for an additional 10.43% of the variance in cue/concern presentation between patients (calculated using 26 27 proportionate change in log likelihood).

## 28 4. Discussion and Conclusions

#### 29 4.1 Discussion

30 This study investigated whether and how doctors' attachment styles and emotional 31 intelligence (EI) might influence patients' emotional expressions in GP consultations. Both attachment and EI were significantly associated with patients' emotional expressions, with patient- and doctor-level explanatory variables accounting for 41.90% of the variance in patients' cue/concern presentation. Collectively, these data support previous findings and indicate the importance of considering the influence of doctors' psychological characteristics on PPC.

6 After controlling for significant patient-level explanatory variables, doctors' attachment 7 anxiety was significantly associated with patients' cue presentation, with a decrease of .11 8 cues per one unit increase in attachment anxiety. Attachment anxiety is characterised by 9 hyper activation of affect regulation strategies, in which the individual overreacts to negative feelings in order to gain support from others [35]. As such, it is possible that doctors high in 10 attachment anxiety may have elicited fewer cues from patients than those lower in attachment 11 anxiety due to adopting an over-intensive questioning style when initially presented with 12 cues/concerns, thus resulting in less chance of patients re-presenting their cues/concerns [25, 13 14 41, 54, 55]. Interestingly, no differences were found in the effect of attachment anxiety on cue presentation between patients presenting with psychosocial health problems and those 15 16 presenting with physical health problems, potentially indicating a standardised approach to cue responding regardless of patients' presenting complaints. However, it must be stressed 17 that the focus of the study was on patients' cue presentation; because we did not consider 18 doctors' responses to patients' cues, this interpretation, although theoretically-informed, 19 20 should be considered speculative at present.

Whilst attachment avoidance had no influence on cue presentation in patients presenting with 21 22 a physical health problem, it significantly positively influenced cue presentation in patients presenting with psychosocial health issues, with an increase of .23 cues per one unit increase 23 24 in attachment avoidance when compared to patients with physical health problems. Salmon et 25 al. [25] hypothesise that attachment processes are only activated in consultations 26 characterised by psychosocial discussion, such as those typical of patients presenting with psychosocial health complaints. When presented with cues of emotional distress, doctors high 27 28 in attachment avoidance may withdraw from the doctor-patient interaction by demonstrating 29 less intensive and more evasive responses to cues, hence resulting in re-presentation of cues from this patient group only. This explanation is in-keeping with the findings of Del Piccolo 30 31 et al. [54], who suggest that cue frequency may be a result of doctors' attributions of patients' 32 psychosocial distress, rather than an antecedent. However, further sequence analysis is

required in order to clarify the relationship between doctors' responses and patients'
 subsequent cue presentation.

Total EI had a negative influence on cue presentation in patients presenting with a physical 3 health problem, with a decrease of .05 cues per one unit increase in total EI. EI may therefore 4 be positively related to ability to assess appropriateness of response; doctors with high EI 5 may realise when it is appropriate to enquire about emotion and when, instead, to pursue a 6 7 purely biomedical agenda in line with the patients' needs, thus reducing their cue presentation. This in in keeping with Mayer and Salovey's ability model of EI, which posits 8 9 that individuals high in EI do not merely demonstrate empathic understanding and response 10 to another's distress, but rather have the ability to adequately recognise, understand, use and manage both another's distress and one's own emotions in the most appropriate way [38]. 11 12 Interestingly, total EI significantly positively influenced cue presentation in patients presenting with psychosocial health issues, with an increase of .07 cues per one unit increase 13 14 in total EI. Doctors with high EI may therefore be better able to identify patients' psychological distress, and thus elicit more cues than their less able counterparts in patients 15 16 with psychosocial health complaints [56, 57]. They may also be more likely to use facilitative behaviours when interacting with patients showing emotional distress, which have been 17 shown to increase cue presentation in patients with psychological health problems [57]. This 18 19 is an area that would benefit from further research, given the preliminary nature of the 20 findings.

### 21 4.1.1 Methodological Strengths, Considerations and Possible Limitations

The current study is the first to explore the relationships between attachment styles, EI and 22 23 PPC in a postgraduate doctor sample consulting in a clinical setting. A strength is in the 24 precision of baseline data and the triangulation and further investigation of the findings of 25 Cherry et al. [20, 22]. However, several limitations must be considered. The sample size was somewhat lower than the recommended 30/30 (i.e. 30 at Level 2 each consulting with 30 at 26 27 Level 1 [58-60]), which may have reduced the robustness of the analyses. The self-selecting nature of the cohort may have limited the generalisability of the findings. Furthermore, it was 28 29 not possible to examine differences in characteristics or presenting complaints between consenting and non-consenting patients. Fourth, although analyses and interpretation of 30 findings were theoretically-informed, the cross sectional nature of the study means that we 31 32 are unable to imply causation or directionality from the data. Finally, we were unable to

adjust models for consultation time because we did not have accurate information recorded
 (some doctors turned off the cameras prior to physical examinations). As a recommendation
 for future research, we would suggest that consultation time is accurately recorded, thereby
 permitting control for this factor in statistical analyses.

## 5 4.2 Conclusions

Although exploratory in nature and limited by the relatively low numbers of doctors, this
study provided preliminary data in support of the findings of Cherry et al. [20, 22], namely
that providers' attachment styles and EI are related to their PPC. These data add to the
growing body of literature suggesting the importance of considering attachment theory and EI
with respect to PPC.

## 11 **4.3 Practice Implications**

Further research should focus on investigating how these two variables interact and 12 influence both provider responses and patient outcomes, drawing from larger and more 13 representative patient and doctor populations. In particular, sequence analysis would provide 14 15 rich data regarding the relationships between attachment, EI, providers' responses and patients' cues, and may allow determination of whether emotional expressions are always 16 17 desirable and one criteria of a successful consultation, or whether they point to missed opportunities by doctors. Consideration of this initial research recommendation would allow 18 for further confidence in the stability and validity of these data. Providing that these findings 19 are generalisable to other populations and settings, three practice points can be proposed. 20 21 First, PPC skills should continue to be formally taught and assessed during undergraduate and postgraduate medical education, and should encourage development of the skills involved in 22 identification and responding to patients' cues. Second, educating students about the potential 23 influence of their attachment styles on their PPC may form a valuable contribution to 24 25 undergraduate and postgraduate medical education curricula. This could help students to understand how their conscious feelings about close relationships may influence their PPC 26 27 and develop students' awareness of their own attachment styles and how to use them, or compensate for them, effectively. Education may also assist practising doctors to identify 28 situations in which their attachment styles may influence their PPC. Third, EI should be 29 viewed as an attribute that can be nurtured throughout an individual's undergraduate medical 30 education [45]. Curricula should consider integrating teaching designed to improve or 31 32 develop students' EI into existing PPC skills' teaching at undergraduate level. This teaching

should be based on a solid, ability-based conceptual framework, such as Salovey and Meyer's[61] four-branch ability model [61], and should i) emphasise the relationship between attachment and EI and ii) specifically focus on the influence of medical students' emotional reactions on their behaviours, cognitions and subsequent learning experiences [62]. This would allow for students to be aware of the influence of their attachment styles prior to interacting clinically with patients or simulated patients, and also provide students with the maximum opportunity to develop EI-related skills prior to graduation.

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# 1 Table 2: Examples of cues and concerns presented during consultations

Emotional expression	Definition	Examples
CONCERN Clear verbalisation of	Emotion is current or recent and issue of importance is not stated.	P: I think I'm down a little bit
an unpleasant emotional state		P: I'm worried about my health
	Issue of recent or current importance is stated (life events, social problems, symptoms, other issues).	D: Do you think there are any worries that keep you up? P: Yes, my job does worry me, I have to say, and I do lay awake at night thinking 'what if?'
		<i>P: This [medical complaint] won't go away and I'm getting quite worried about it now</i>
CUE Expression in which	a. Words or phrases in which the patient uses vague or unspecified words to describe his/her emotions.	D: How are you doing? P: Not very good
the emotion is not clearly verbalized or		D: How are you? <i>P: I'm getting there</i>
might be present The criteria of	b. Verbal hints to hidden concerns (emphasizing, unusual words, unusual description of symptoms, profanities,	<i>P</i> : <i>I</i> 've got the whirlies a little bit, in my head
currency/recentness is not applicable	metaphors, ambiguous words, double negatives, exclamations, expressions of uncertainties and of hope regarding stated problems).	D: How do you feel? P: I still feel like I'm about to burst
		<i>P</i> : <i>I feel like I'm getting electric shocks all in my leg</i>

c. Words or phrases which emphasize (verbally or non- verbally) physiological or cognitive correlates (regarding sleep, appetite, physical energy, concentration, excitement or motor slowing down, sexual desire) of unpleasant emotional states	P: I can't sleep at night, I'm up and down P: I am knackered [tired] all the time I am knackered
d. Neutral words or phrases that mention issues of potential emotional importance which stand out from the narrative background and refer to stressful life events and conditions.	P: I'm finishing my PhD off at the moment P: My father died of a heart attack
e. A patient-elicited repetition of a previous neutral expression (repetitions of a neutral expression within the same turn are not included).	None identified in the videoed consultations
f. Non-verbal expressions of emotion	Crying Sighing Sobbing
g. Clear expression of an unpleasant emotion, which occurred in the past (more than 1 month ago) or is without time frame	<i>P: I've had anxiety in the past</i> <i>P: We didn't talk for the first six weeks of the new year. It</i> <i>affected me a lot. I was very depressed.</i>
	<i>P: My mood was really erratic for about six months.</i>