Potentially inappropriate treatments at the end of life in nursing home residents:
 Findings from the PACE cross-sectional study in six European countries

3 4

#### 5 Abstract

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### 7 Context

8 Certain treatments are potentially inappropriate when administered to nursing homes residents at the end 9 of life and should be carefully considered. An international comparison of potentially inappropriate 10 treatments allows insight into common issues and country-specific challenges of end-of-life care in nursing 11 homes and helps direct health care policy in this area.

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## 13 Objectives

14 To estimate the prevalence of potentially inappropriate treatments in the last week of life in nursing home

- 15 residents, and analyze the differences in prevalence between countries.
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## 17 Methods

A cross-sectional study of deceased residents in nursing homes (2015) in six European countries: Belgium (Flanders), England, Finland, Italy, the Netherlands and Poland. Potentially inappropriate treatments included: enteral administration of nutrition, parental administration of nutrition, artificial fluids, resuscitation, artificial ventilation, blood transfusion, chemotherapy/radiotherapy, dialysis, surgery, antibiotics, statins, antidiabetics, new oral anticoagulants. Nurses were questioned about whether these treatments were administered in the last week of life.

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# 25 Results

We included 1,384 deceased residents from 322 nursing homes. In most countries, potentially inappropriate treatments were rarely used, with a maximum of 18.3% of residents receiving at least one treatment in Poland. Exceptions were antibiotics in all countries (between 11.3% in Belgium and 45% in Poland), artificial nutrition and hydration in Poland (54.3%) and Italy (41%) and antidiabetics in Poland (19.7%).

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## 32 Conclusion

33 Although the prevalence of potentially inappropriate treatments in the last week of life was generally low,

34 antibiotics were frequently prescribed in all countries. In Poland and Italy, the prevalence of artificial

35	administration of food/fluids in the last week of life was high, possibly reflecting country differences in
36	legislation, care organization and culture, and the palliative care competences of staff.
37 38 39	Key words: potentially inappropriate treatments, nursing homes, end-of-life care, Europe.
40	
41	Key message:
42	Potentially inappropriate treatments in the last week of life of nursing homes residents are uncommon in
43	most countries except for the use of antibiotics. In Italy and Poland these treatments are more prevalent,
44	especially artificial nutrition and fluid treatments and antibiotics, possibly due to country differences in
45	legislation, organization, culture and staff competence.
46	
47	Running title: Potentially inappropriate treatments in last week
48         49         50         51         52         53         54         55         56         57         58         59         60         61         62         63         64         65         66         67         68         69         70         71         72	
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76 Introduction

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78 In Europe, an increasing number of older people spend their last months in nursing homes and up 79 to 38% of people over 65 years die there(1). We conducted the PACE (Palliative Care for Older 80 People) cross-sectional study in nursing homes in Belgium, England, Finland, Italy, the Netherlands 81 and Poland(2), and demonstrated that residents in these countries die at a mean age of 85 years, 82 often with advanced dementia, multiple comorbidities and clinical complications(1,3,4). This 83 makes them a particularly vulnerable population for whom providing appropriate treatments at 84 the end of life is crucial, albeit challenging(1,3–8). The difficulty of predicting death in older people 85 complicates the decision on whether a treatment or medication is still appropriate(9); staff are 86 sometimes too optimistic about the benefits of such treatments(10,11), and residents are often 87 poorly informed of the possible complications(12).

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89 Recent studies show that certain treatments are potentially inappropriate when administered to 90 older people at the end of life, in particular those with dementia and those living in nursing homes, 91 and should therefore be carefully considered(13-15). Antibiotics and medications like anti-92 diabetics, statins or oral anticoagulants have no or questionable benefit in short-term use in the 93 last week of life(13). In long-term use, polypharmacy, comorbidities and age-related alterations in 94 drug metabolism can result in side-effects that cause functional and cognitive impairment in older 95 adults(16–18). Artificial nutrition and hydration(19–21), resuscitation(22,23) and artificial 96 ventilation(24-26) can have deleterious effects on quality of life when used in the last week and 97 can complicate the dying process while blood transfusion, chemo/radiotherapy, dialysis or surgery 98 can be futile and burdensome with low survival rates and resulting in poor quality of life(10–12,14).

99 Earlier studies on potentially inappropriate treatments are limited to describing prevalence in one 100 country or comparison between countries in specific settings such as home care(27) or during the 101 last month of life(28). So far, there are no studies that have compared prevalence of potentially 102 inappropriate treatments in the last week of life between European countries. An international 103 comparison would allow deeper insight into common issues and country-specific challenges in 104 nursing homes and could help direct health care policy and decision-makers. The aim of the current study was to estimate the prevalence of potentially inappropriate treatments in the last week of life in nursing home residents in six European countries and to study the differences in prevalence of these treatments between countries.

#### 108 Methods

#### 109 Study design and sampling

110 A cross-sectional study of deceased residents in nursing homes was conducted in 2015 in six 111 European countries: Belgium (Flanders), England, Finland, Italy, the Netherlands and Poland(2), 112 using proportional stratified random sampling. In each country, nursing homes were stratified by 113 region (provinces or other large regions), by type and by bed capacity (above/below country 114 median), and sampled randomly to cover the entire country. For each one that declined 115 participation, another from the same stratum was sampled. Available national (or regional in 116 Belgium) lists were used for recruitment. In England, we also used the ENRICH (Enabling Research 117 in Care Homes) network(29). In Italy, a previously created cluster interested in research was used 118 as the basis for the sample since no national list was available. We aimed to include at least 48 119 nursing homes per country, to identify a minimum of 192 residents per country or 1,152 in total(2). 120 The PACE protocol provides more details(2).

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## 122 Setting and participants

The term nursing home in this paper refers to 'collective institutional settings where care, on-site provision of personal assistance with activities of daily living, and on-site or off-site provision of nursing and medical care, is provided for older people who live there, 24 hours a day, seven days a week, for an undefined period of time' (30). Participating nursing homes reported on all deceased residents over the preceding three months. Questionnaires on each were sent to the nurse/care assistant most involved in their care, the manager, and the resident's general practitioner (GP); the manager was asked to fill in a questionnaire about the nursing home.

130 Data collection

131 Nursing homes received a letter presenting the PACE project and a call for participation. Further 132 contact was made via phone or email. The manager nominated an internal contact who provided 133 an overview of all deceased residents in the preceding three months and a list of the key 134 respondents for each (staff member, i.e. nurse/care assistant most involved in care, 135 manager/administrator, GP); these received a paper questionnaire with an anonymous code and 136 an attached document that guaranteed full anonymity and confidentiality with questionnaires 137 returned directly to the researchers who monitored them using excel files. In case of non-response, 138 up to two reminders were sent after three and six weeks.

#### 139 *Measurements*

140 Questionnaires from all three key respondents were used to report on the characteristics of the 141 resident: age, gender, length of stay in the nursing home, place of death, presence and stage of 142 dementia at time of death, diseases at time of death and functional and cognitive status during last 143 month of life (Table 1). Presence of dementia was based on the estimation of the GP, nurse or 144 both; stage was based on the Global Deterioration Scale and the Cognitive Performance Scale, as 145 estimated by nursing staff(3) with CPS scores of 5-6 and GDS stage 7 considered as advanced 146 dementia. The score for functional and cognitive status during last month of life was computed 147 with the Bedford Alzheimer Nursing Severity Scale (BANS-S)(31), ranging between 7 and 28. Higher 148 scores indicated greater severity.

149 In this study, we refer to inappropriate treatments as treatments and/or medication for which 'the 150 negative consequences (such as mortality and symptom burden) outweigh the expected health 151 benefits (such as increased life expectancy or pain relief)'(32). We first performed an extensive 152 literature search. Next, during multiple meetings with the PACE consortium (i.e. geriatricians, 153 nurses, psychologists) and palliative care researchers, we discussed the list of potentially 154 inappropriate treatments and made a final selection based on the following criteria: 1) used as a 155 standard treatment for older people, 2) considered potentially life-prolonging, and 3) can be easily 156 recalled by a nurse filling in the questionnaire. The final selection agreed by the consortium 157 partners, was: artificial enteral administration of nutrition (e.g. tube feeding, percutaneous 158 endoscopic gastrostomy i.e. PEG), parenteral administration of nutrition, artificial fluids, 159 resuscitation, artificial ventilation, blood transfusion, chemotherapy/radiotherapy, dialysis, surgery, antibiotics, statins, antidiabetics, new oral anticoagulant (33–38). Nurses were asked whether, to their knowledge, these were administered in the last week of life or not (meaning either 'not at all' or 'in the last month except the last week'). The treatments were then subdivided into five categories: artificial nutrition and hydration treatments (enteral administration nutrition, parental administration nutrition, artificial fluid), critical treatments (resuscitation and artificial ventilation), antimicrobial treatments (antibiotics), medications (statins, antidiabetics, new oral anticoagulants) and other (blood transfusion, chemotherapy/radiotherapy, dialysis, surgery).

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#### 168 Statistical analysis

169 Analyses were conducted for deceased residents for whom an assessment by the nurse was made 170 retrospectively, using IBM SPSS version 25(39). To investigate the effect of missing data (up to 24% 171 missing values for some treatments), sensitivity analyses were conducted via imputation of 172 incomplete cases with fully conditional specification (shown in Results and Table 2) and complete 173 cases (Table 1A in Appendix). The imputation method estimates each missing value based on 174 associations with other covariates from the dataset using regression analysis (age of resident, 175 gender of resident, availability and number of visits by GP, comorbidity and cause and place of 176 death). Demographic and clinical characteristics are reported as mean and standard deviation (SD) 177 for continuous variables, or median and range in case of skewness, and count and percentage for 178 categorical variables. Linear and logistic mixed-effects regression was used to compute differences 179 in demographic characteristics of residents between countries. These models were used for the 180 analyses because of the clustering of data (in countries and nursing homes). Country was included 181 as a fixed effect and nursing home as a random effect in each model. We present frequencies and 182 total numbers for all potentially inappropriate treatments in each country (Table 1).

To determine differences in the prevalence between countries, we conducted logistic mixedeffects regression analyses (Table 2). Country was again included as a fixed effect and nursing home as a random effect in each model. To correct for differences in demographic and clinical characteristics, we included age, length of stay, place of death, dementia at time of death, diseases (cancer, cardiovascular, pulmonary and others) and functional/cognitive status as fixed effects. 188 Missing values for each treatment were excluded. This allowed for a fair comparison between189 countries. An alpha level of p<0.05 represents statistical significance.</li>

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191 Ethical aspects

192 The relevant ethics committee of each country approved the study protocol (2), except for Italy 193 and the Netherlands, where no additional ethical approval was needed since retrospective data of 194 deceased residents was used.

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196 Results

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In 322 participating nursing homes, 1,707 deaths were reported. For 11 cases, no staff member could be identified (Figure 1). Of the 1,696 staff members sent a questionnaire, 1,384 responded (overall response rate 81.6%). Response rates per country are reported in the footnotes of Figure 1. Sensitivity analyses using only complete cases (Table 1A in Appendix) did not result in different conclusions.

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#### 204 Characteristics of the study sample

205 At the time of death, mean age ranged between 81 years in Poland and 87 years in Belgium and 206 England (Table 1). Residents were mostly female, ranging from 63.5% in Poland to 75% in England. 207 The shortest median stay (145 days) was found in Poland, the longest (745 days) in Belgium. 208 Residents died mainly in the nursing home (80% in Poland – 89.3% in the Netherlands). Dementia 209 was most prevalent in Finland (82.5%) and least in England (60.2%), with between 42.9% (England) 210 and 64% (Poland) being advanced. Severe cardiovascular disease was most often reported as the 211 disease at time of death in all countries (34.7% in Belgium – 55.7% in Poland) except England, 212 where this was malignant cancer (42.9%). The poorest functional and cognitive status was found 213 in Poland (BANS-S mean score of 21.9) and the best in England (BANS-S mean score of 17.5). 214

Differences in the prevalence of potentially inappropriate treatments in the last week of life in six
countries

217 Use of at least one potentially inappropriate treatment in the last week ranged from 19.9% in 218 Belgium to 68.2% in Poland (p<0.001). Artificial nutrition and/or hydration were most frequent in 219 Poland (54.3%) and least in the Netherlands (2.7%; p<0.001). In advanced dementia use was low 220 in England (0%), the Netherlands (1.7%), Finland (3.8%) and Belgium (4.8%) but higher in Italy 221 (43.9%) and Poland (59.4%; not in tables), artificial fluids being used most (p<0.001), in particular 222 in Poland (48.6%) and Italy (24.5%). Artificial enteral nutrition was administered mainly in Poland 223 (17%; p>0.001) whereas parenteral nutrition was more prevalent in Italy (21.5%; p>0.001). Use of 224 critical care treatments was limited, ranging from 8.7% in Poland to 1.4% in Belgium (p<0.001), 225 with resuscitation being most frequent in England (5.5%; p=0.05) and artificial ventilation in Poland 226 (7.1%; p>0.001). Of all treatments, antibiotics were the most commonly used in all countries, from 227 11.3% in Belgium to 45% in Poland (p<0.001). At least one of antibiotics, antidiabetics, statins, and 228 anticoagulants was used in 18.3% of residents in Poland and 4.8% in Belgium (p<0.001). 229 Antidiabetic medications were administered in from 2.2% in England to 13.2% in Poland (p<0.001) 230 and statins from 1% in Belgium to 4.4% in England (p=0.23). No use of oral anticoagulants was 231 reported in England while in Poland use was reported for 5.5% of residents (p<0.001). Other 232 treatments like blood transfusions, chemotherapy or radiotherapy, dialysis and surgery were rarely 233 used, from England where no usage was reported to Poland where 3.2% of residents underwent 234 at least one of these treatments (p<0.001). Dialysis was rarely used (p<0.001) ranging between 0% 235 (England and Finland) and 1.6% (Poland). Surgery was performed on none of the residents in 236 England and on up to 1.4% in the Netherlands (p<0.001). No blood transfusions were reported in 237 England with 1% in Poland (p<0.001). Chemotherapy and radiotherapy were almost never used in 238 the last week of life in any country, ranging from 0% in Belgium, England, the Netherlands and 239 Poland to 1% in Italy. The risk adjustment procedure ruled out these differences being due to 240 resident characteristics, implying they reflected differences in appropriate care between countries. 241 Results of the complete case analysis were similar to the results from the imputed data (Table 1A 242 in Appendix).

- 243
- 244 Discussion
- 245
- 246 Main findings

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248 Artificial ventilation, resuscitation, blood transfusions, chemotherapy or radiotherapy, dialysis and 249 surgery were rarely used in the last week of life of nursing home residents in most of the studied 250 countries. However, the prevalence of most treatments differed statistically significantly between 251 countries. Poland had the highest percentage of residents receiving at least one potentially 252 inappropriate treatment in the last week of life. Artificial nutrition and/or hydration were common 253 in Poland and Italy, in particular the administration of artificial fluids, even in residents with 254 advanced dementia. Antibiotics were frequently administered in all countries, albeit with the 255 highest rates in Poland and Italy, and antidiabetics were most often administered in Poland.

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#### 257 Strengths and limitations

This study is the first to compare the use of potentially inappropriate treatments in the last week of life of nursing home residents in representative samples of nursing homes in different countries. We were able to include data on 1,384 residents from 322 nursing homes in six European countries with different healthcare systems(6) and palliative care cultures(40). The risk adjustment procedure assured that our results reflected differences in prevalence between countries and were not influenced by differences in resident characteristics.

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266 This study also has important limitations. Firstly, it is not possible to infer from survey data when a 267 particular treatment is 'inappropriate'. The data may lead to the assumption that, in retrospect, all 268 treatments administered in the last week of life were inappropriate. However, death is difficult to 269 predict(41) so at the time it was given, a treatment may not have been considered inappropriate. 270 Nevertheless, this study compares the use of treatments on a country level and does not aim to 271 evaluate their appropriateness on an individual level. Secondly, the data were collected from 272 nurses rather than directly from resident files. There is a possibility of recall bias, though nurses were instructed to consult patient records where necessary. Thirdly, we did not collect information 273 274 about when treatments were initiated or the clinical indications for them, which would have 275 provided a more detailed understanding. Fourthly, we were dealing with high quantities of missing 276 data for some treatments (up to 24%). Therefore, sensitivity analyses were conducted via 277 regression imputation of incomplete and complete cases. These showed mainly similar results, indicating that the missing data influence was small. Finally, when a resident died in hospital, the nursing home may not have had information on hospital treatments in the last week of life, leading to a possible underestimation. However, given that only 15% of the residents died in-hospital, the possible bias caused by this is likely to be small.

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#### 283 What this paper adds

284 This study showed that the prevalence of most potentially inappropriate treatments in the last 285 week of life was low in nursing home residents in Belgium, England, Finland and the Netherlands 286 and particularly low compared with earlier studies in the United States and Canada. For instance, 287 up to 23% of residents with severe cognitive impairment in Canada received statins in their last 288 week of life, and anticoagulants were used in 52% of nursing home residents with dementia. 289 However, comparison of data is difficult when study designs and data collection are different (data 290 from medical records and administrative databases using prospective samples)(42-45). Besides 291 the variation in data collection and study design, differences might be explained by the North 292 American medical culture that tends to favor more aggressive treatments for terminally ill 293 people(46).

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295 The use of antibiotics in the last week of life was high in all countries, from one in ten in Belgium 296 to four in ten in Poland. There is an ongoing debate on the indications for antibiotics at the end of 297 life(47,48) and guidelines on antimicrobial stewardship in palliative care do not yet exist(48). While 298 some researchers consider antibiotic treatment in the last days of life to be pointless(49–51), 299 others consider it part of symptom control(52). Earlier research on the use of antibiotics in nursing 300 homes revealed a similar prevalence at the end of life(53–58). It is challenging to predict when 301 someone will die(41) and whether an antimicrobial treatment will have a positive effect on 302 symptom control, which complicates the decision(47), particularly in residents with cognitive 303 impairments for whom expressing symptoms is difficult(48). Better recognition of the terminal 304 phase might help with these decisions. Finally, more research is needed to guide the use of 305 antibiotics at the end of life of nursing home residents.

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The low prevalences in Belgium, England and the Netherlands might be partly explained by the culture of palliative care. In those countries many nursing homes provide palliative care and have more palliative care implementation activities (6), with high regional and national activity at policy, finance, legislation and regulation levels and a longer tradition of advance care planning than in Poland and Italy, making nursing home staff more aware of the resident's preferences at the end of life.

313 In contrast with other countries, the prevalence of most potentially inappropriate treatments was 314 highest in Poland and Italy, especially for enteral and parenteral administration of nutrition and 315 artificial fluid administration, even with advanced dementia. There are several possible 316 explanations for this. Firstly, the high rate in Italy might be related to law enforcement. In 2009, a 317 bill was passed(59) mandating that hydration and nutrition must always be provided, and by any 318 means, because they are considered basic support measures and fundamental to life. In Poland, 319 artificial feeding is considered an admission criterion for nursing homes (60). Nevertheless, their 320 appropriateness is questionable (61), especially for those with advanced dementia(15). Taking into 321 account the relatively high numbers with advanced dementia in our sample, with the highest rates 322 in Poland and Italy (64% and 55%), our findings are particularly striking.

323 Secondly, care culture in these countries rarely includes advance care planning, leaving the 324 administration or discontinuation of certain treatments undiscussed(62). This may lead to more 325 pressure from family members to use all possible treatments(62,63). The decision about 326 discontinuation may also cause ethical problems when there is no advance care planning in place. 327 In addition, because of the greater taboo about death and dying in these countries, nursing home 328 staff may not feel competent to discuss end-of-life issues with residents and family 329 members(5,64,65). A third possible explanation may be the low level of basic knowledge of end-330 of-life care among nursing home staff. An earlier report of the PACE study(7) showed that 331 knowledge of the basic physical aspects of end-of-life care among nurses and care assistants in 332 nursing homes was lowest in Poland and Italy, particularly of indications for the use of feeding 333 tubes(7). Fourthly, GPs in Poland and Italy recognize the terminal phase less often than in Belgium, 334 England, Finland and the Netherlands(66). Nursing home residents in Poland and Italy also least 335 often had palliative care as their main treatment goal in the last week of life, indicating a focus on

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336 life-sustaining treatments. This might lead to negative consequences such as more futile or invasive 337 treatments, more 'in bed' time and higher healthcare costs(67). At the same time, one could argue 338 that treatment choices also have to be culturally sensitive to be appropriate. Although ESPEN 339 (European Society of Clinical Nutrition and Metabolism) has elaborated European nutrition 340 recommendations(68), there are country-specific approaches to artificial nutrition, which not only 341 depend on legislation or health care policy and organization, but also on culture. It is also plausible 342 that a difference in prescribing habits between countries is responsible for difference in 343 treatments. However, this was not examined in this study and should be included in future 344 research

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#### 346 Implications

347 Our findings are a potential starting point for the improvement of end-of-life care treatments in 348 nursing homes. Practices where there is more room for improvement (e.g. artificial nutrition and 349 hydration treatments), require particular attention. Substantial country differences call for the 350 development of guidelines to assist nursing home staff and GPs in treatment decision-making and 351 in recognizing the terminal phase, taking into account cultural differences. Further, greater 352 attention needs to be paid to advance care planning in nursing homes as this may help residents, 353 relatives and caregivers to discuss goals and preferences for future care. Finally, there is a need for 354 staff training in end-of-life care conversations and the physical aspects of end-of-life care. Our 355 results can be used by policy and other decision-makers to develop public health policies and 356 interventions to improve the appropriateness of end-of-life care in nursing homes and allow the 357 exchange of good practices across national borders.

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#### 359 Conclusion

The prevalence of potentially inappropriate treatments in the last week of life of nursing home residents was low in most studied countries, except for the use of antibiotics which was common. In Italy and Poland, all treatments were more prevalent, specially the administration of artificial nutrition and fluids and antibiotics. These differences may reflect country-specific differences in legislation, care organization, culture and the knowledge and skills of nursing home staff regarding palliative care.

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	<b>BE</b> (N=291)	<b>EN</b> (N=91)	<b>FI</b> (N=269)	<b>IT</b> (N=200)	<b>NL</b> (N=222)	<b>PL</b> (N=311)	
							P-value*
Age – years							
Mean (SD) years old at time of death	87 (7)	87 (9)	85 (9)	86 (8)	86 (9)	81 (11)	<0.001
Gender							
Female Count (%)	174 (64.0)	66 (75.0)	169 (64.3)	136 (68.3)	132 (66.7)	195 (63.5)	
Male Count (%)	98 (36.0)	22 (25.0)	94 (35.7)	63 (31.7)	66 (33.3)	112 (36.5)	0.387
Length of stay							
Median (min-max) number of days	745 (2-9706)	600 (2-4952)	581 (1-9218)	416 (2-10171)	710 (1-6290)	145 (1-12365)	<0.05
Place of Death   ^							
Nursing home Count (%)	226 (82.2)	71 (81.6)	224 (84.8)	170 (86.7)	176 (89.3)	248 (80.0)	
Hospital Count (%)	48 (17.5)	16 (8.6)	24 (9.1)	26 (13.3)	12 (6.1)	60 (19.4)	<0.001
Dementia at time of death (yes) $^{+\ddagger}$ §							
Count (%)	183 (62.9)	53 (60.2)	222 (82.5)	154 (77.0)	135 (61.4)	207 (67.9)	<0.001
Advanced dementia							
Count %	83 (52.5)	18 (42.9)	78 (43.8)	66 (55.0)	60 (46.2)	96 (64.0)	0.676
Diseases at time of death‡¶							
Malignant cancer‡	30 (15.5)	9 (42.9)	41 (19.4)	26 (17.2)	27 (18.5)	10 (4.0)	<0.001
Severe cardiovascular disease‡	67 (34.7)	2 (9.5)	79 (37.4)	71 (47.0)	45 (30.8)	141 (55.7)	<0.05
Cerebrovascular accident (CVA)‡	40 (20.7)	3 (14.3)	49 (23.2)	34 (22.5)	25 (17.1)	70 (27.7)	0.483
Severe pulmonary disease‡	33 (17.1)	3 (14.3)	17 (8.1)	40 (26.5)	17 (11.6)	18 (7.1)	<0.001
Severe neurological disease (not	, ,	, , , , , , , , , , , , , , , , , , ,		. ,			
dementia) ‡	15 (7.8)	0 (0.0)	26 (12.3)	18 (11.9)	11 (7.5)	32 (12.6)	0.381
Severe renal disease‡	19 (9.8)	2 (9.5)	13 (6.2)	22 (14.6)	19 (13.0)	29 (11.5)	0.420
Severe diabetes‡	11 (5.7)	1 (4.8)	16 (7.6)	18 (11.9)	17 (11.6)	33 (13.0)	0.177
Other severe disease‡	31 (16.1)	3 (14.3)	51 (24.2)	33 (21.9)	4 (2.7)	33 (13.0)	<0.001
Functional/cognitive status one month	. /	. ,	. ,	. /	. ,	. ,	
before death (BANS-S) $+ \P$							
Mean (SD)	18.5 (4.9)	17.5 (4.2)	19.6 (4.3)	21.8 (3.7)	17.7 (4.7)	21.9 (4.6)	<0.001

#### Table 1: Demographic and clinical characteristics of deceased residents for whom an assessment by staff° was made in six countries: (N=1384)

\* Generalized linear mixed model reporting p-value for differences between countries,  $\alpha$  =0.05

|| Reported by administrator/manager of nursing home. For 44 out of 1,384 residents no questionnaire was returned by the administrator/manager of care home; these are not included as missing values reported below.

<sup>†</sup> Reported by staff member (nurse/care assistant) most involved in care.

‡ Reported by general practitioner (GP). For 397 out of 1,384 residents no questionnaire was returned by the GP, these are not included as missing values below. § When either the physician or the nurse (or both) considered the resident to have dementia, this was coded as yes.

° staff = nurse or care assistant most involved in resident's care

^Other categories: facility hospice/ PC unit or other

¶ Scores on BANS-S range from 7 to 28; higher scores indicate greater severity.

Percentages may not always add up to 100 because of rounding.

Abbreviations: BANS-S = Bedford Alzheimer Nursing Severity Scale, SD = Standard Deviation

Missing values: age=13, sex=13, size=60, length of stay=36, place of death=11, dementia =11, stage of dementia=187 (419 not applicable because resident did not have dementia), diseases at time of death=12, BANS-S=86 missing data on at least one item.

	<b>BE</b> (N=291) Count (%)	<b>EN</b> (N=91) Count (%)	<b>Fl</b> (N=269) Count (%)	<b>IT</b> (N=200) Count (%)	<b>NL</b> (N = 222) Count (%)	<b>PL</b> (N=311)	Country range (%)	Corrected p-value*
	Count (%)	Count (%)	Count (%)	Count (%)	Count (%)	Count (%)	(%)	p-value.
Artificial nutrition and hydration treatments	-	-						
	2	1	2	13	3	53	0 70/ 170/	0.004
Enteral administration of nutrition	(0.7%)	(1.1%)	(0.7%)	(6.5%)	(1.4%)	(17%)	0.7% - 17%	<0.001
	3	1	4	43	0	40	0.00/ 01.50/	-0.001
Parenteral administration of nutrition	<u>(1%)</u> 17	(1.1%)	(1.5%)	(21.5%)	(0.0%)	(12.9%)	0.0% - 21.5%	<0.001
Artificial (non-oral) fluid administration	(5.8%)	6 (6.6%)	19 (7.1%)	45 (24.5%)	5 (2.3%)	151 (48.6%)	2 20/ 40 00/	<0.001
	, ,					· · · ·	2.3% - 48.6%	<0.001
At least one artificial nutrition and hydration	19	7	22	82	6	169		
treatment	(6.5%)	(7.7%)	(8.2%)	(41%)	(2.7%)	(54.3%)	2.7% - 54.3%	<0.001
Critical care treatment								
	2	5	4	2	1	7		
Resuscitation	(0.7%)	(5.5%)	(1.5%)	(1%)	(0.5%)	(2.3%)	0.5% - 5.5%	0.05
	3	4	7	7	3	22		
Artificial ventilation	(1%)	(4.4%)	(2.6%)	(3.6%)	(1.4%)	(7.1%)	1% - 7.1%	<0.001
	4	8	11	9	4	27		
At least one critical care treatment	(1.4%)	(8.8%)	(4.1%)	(4.9%)	(1.8%)	(8.7%)	1.4% - 8.7%	<0.001
Antimicrobial treatment								
	33	18	48	74	38	140		
Antibiotics of any type	(11.3%)	(19.8%)	(17.8%)	(37%)	(17.1%)	(45%)	11.3% - 45%	<0.001
At least one medication								
	3	4	10	4	9	13		
Statins	(1%)	(4.4%)	(3.7%)	(2%)	(4.1%)	(4.2%)	1% - 4.4%	0.23
	12	2	18	7	14	41	170 1.170	0.23
Antidiabetics	(4.1%)	(2.2%)	(6.7%)	(3.5%)	(6.3%)	(13.2%)	2.2% - 13.2%	<0.001
	(4.170)	0	3	(3.370)	(0.370)	(13.270)	2.270 13.270	\$0.001
Oral anticoagulants	(0.3%)	(0.0%)	(1.1%)	(2%)	(3.2%)	(5.5%)	0.0% - 5.5%	<0.001
	14	(0.078)	28	11	20	(5.5%)	0.070 0.070	\$0.001
At least one medication	(4.8%)	(5.5%)	(10.4%)	(5.5%)	(9%)	(18.3%)	4.8% - 18.3%	<0.001
Other treatments	(4.070)	(5.570)	(10.470)	(5.570)	(570)	(10.570)	4.870 - 18.570	<0.001
	1	0	1	2	1	3		
Blood transfusion	-		-		1	-	0.00/ 10/	<0.001
	(0.3%)	(0.0%)	(0.4%)	(1%)	(0.5%)	(1%)	0.0% - 1%	<0.001
Chamatharany/radiatharany	0	0	1	2	0	0	0.00/ 40/	0.01
Chemotherapy/radiotherapy	(0.0%)	(0.0%)	(0.4%)	(1%)	(0.0%)	(0.0%)	0.0% - 1%	0.01
Distanta	1	0	0	2	1	5	0.00/ 1.50/	
Dialysis	(0.3%)	(0.0%)	(0.0%)	(1%)	(0.5%)	(1.6%)	0.0% - 1.6%	<0.001
Surgery	1	0	1	1	3	2	0.0% - 1.4%	<0.001

# Table 2. Prevalence of potentially inappropriate treatments in the last week of life in six countries°

	(0.3%)	(0.0%)	(0.4%)	(0.5%)	(1.4%)	(0.6%)		
	3	0	3	7	5	10		
At least one of the other treatments	(1%)	(0.0%)	(1.1%)	(3.5%)	(2.3%)	(3.2%)	0.0% - 3.5%	<0.001
	58	28	75	106	51	212		
At least one potentially inappropriate treatment	(19.9%)	(30.8%)	(27.9%)	(53%)	(23%)	(68.2%)	19.9% - 68.2%	<0.001

Generalized linear mixed model reporting p-value for differences between countries,  $\alpha = 0.05$ 

All treatments are reported by staff member (nurse/care assistant) most involved in care.

°Results from regression imputation.

\* To correct for differences in demographic and clinical characteristics, we included age, length of stay, place of death, dementia at time of death, diseases at time of death (cancer, cardiovascular, pulmonary and other diseases) and functional/cognitive status as fixed effects.

#### **Disclosure Statement**

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#### Ethics approval and consent to participate

Ethics approval from the relevant ethics committees were obtained in all

participating countries. Belgium: Commissie Medische Ethiek UZBrussel, 27/05/2015; England:

NHS – NRES Committee North West-Haydock, 10/09/2015; Finland: Terveyden jahyvinvoinnin laitos, Institutet för hälsa och välfärd, 30/6/2015; Italy: Comitato Etico, Universita Cattolica del Sacro Cuore, 6/11/2017; Netherlands: Medisch Ethische Toetsingscommissie VUMedisch Centrum, 2/7/2015; Poland: Komisja Bioetycza, Uniwersytetu Jagiellonskiego, 25/6/2015; Switzerland: Commission cantonale d'éthique de la recherché scientifique de Genève (CCER), 6/8/2015.

All persons participating in the study (facility managers, care staff, GPs) have to give their prior informed consent in writing. If residents are unable to give informed consent, they will not be involved in the study. In some countries, such as Poland and the Netherlands, a separate informed consent is not required if questionnaires are filled in anonymously.

#### Consent for publication

Not applicable.

#### Availability of data and material

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

#### **Competing interests**

The authors declare that they have no competing interests.

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#### Authors' contributions

EH, TS, RP, SVK, BDOP, SP, KS, GG, HFS, DL and LVDB were involved in the study design. TS, BDOP, SP, KS, GG, HFS, DL and LVDB are involved in data acquisition. EH, TS, RP, SVK, LD, and LVDB were involved in developing the data analysis plan. EH, TS, RP, SVK, BDOP, SP, KS, GG, HFS, DL and LVDB were involved in writing of the manuscript. All authors read and approved the final manuscript.

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# <mark>Appendix</mark>

# Table 1A Prevalence of potentially inappropriate treatments in the last week of life in six countries (complete case analysis)

Artificial nutrition and hydration treatments Enteral administration of nutrition Parenteral administration of nutrition	BE (N=291) Count (%) 2 (0.7%) 3 (1.1%) 16	EN (N=91) Count (%) 1 (1.4%) 1 (1.4%)	FI (N=269) Count (%) 2 (0.8%) 4	IT (N=200) Count (%) 13 (7.7%)	NL (N = 222) Count (%) 3 (1.4%)	PL (N=311) Count (%) 53	Country range (%)	Corrected p-value*
Enteral administration of nutrition Parenteral administration of nutrition	(0.7%) 3 (1.1%) 16	(1.4%)	2 (0.8%)		-			
Parenteral administration of nutrition	(0.7%) 3 (1.1%) 16	(1.4%)	(0.8%)		-			
Parenteral administration of nutrition	3 (1.1%) 16	1		(7.7%)	(1.4%)			
	(1.1%) 16	1	4		(1.770)	(24.9%)	0.7% - 24.9%	<0.001
	16	(1.4%)		43	0	40		
Autificial (man aval) fluid administration		· · · ·	(1.7%)	(25.6%)	(0.0%)	(19.8%)	0.0% - 19.8%	<0.001
Artificial (non-oral) fluid administration		6	19	45	4	125		
Artificial (non-oral) fluid administration	(6%)	(8.1%)	(8%)	(26.8%)	(2%)	(52.1%)	6% - 52.1%	<0.001
At least one artificial nutrition and hydration	18	7	22	81	6	163		
treatment	(6.8%)	(9.5%)	(9.6%)	(49.7%)	(2.9%)	(66.3%)	2.9% - 66.3%	<0.001
Critical care treatment								
	2	5	4	2	1	7		
Resuscitation	(0.8%)	(6.7%)	(1.7%)	(1.3%)	(0.5%)	(4%)	0.5% - 4%	0.16
	3	4	7	7	3	22		
Artificial ventilation	(1.1%)	(5.4%)	(3%)	(4.6%)	(1.5%)	(11.8%)	1.1% - 11.8%	<0.001
	4	8	11	9	4	27		
At least one critical care treatment	(1.5%)	(10.8%)	(4.7%)	(5.9%)	(1.9%)	(14.8%)	1.5% - 14.8%	<0.001
Antimicrobial treatment								
	33	18	46	71	35	107		
Antibiotics of any type	(12%)	(22%)	(18.5%)	(38.4%)	(17%)	(44.2%)	12% - 44.2%	<0.001
At least one medication								
	3	4	10	4	9	13		
Statins	(1.2%)	(6.1%)	(4.4%)	(2.7%)	(4.5%)	(8.1%)	1.2% - 8.1%	0.22
	12	2	18	(4.50()	14	38	2 201 4 2 701	
Antidiabetics	(4.6%)	(2.9%)	(7.8%)	(4.5%)	(7%)	(19.7%)	2.9% - 19.7%	<0.001
Oral anticeagulants	1	0	3	-	/	17		-0.001
Oral anticoagulants	0.4%	(0.0%)	(1.3%)	(2.7%)	(3.5%)	(9.6%)	0.0% - 9.6%	<0.001
At least one medication	14 (5.8%)	5 (7.9%)	28 (12.4%)	11 (7.5%)	(10.2%)	57 (31%)	5.8% - 31%	<0.001
Other treatments	(5.6%)	(7.9%)	(12.470)	(7.5%)	(10.270)	(51/0)	5.6%-51%	<0.001
	1	0	1	2	1	2		
Blood transfusion	(0.4%)	(0.0%)	(0.4%)	(1.3%)	(0.5%)	(1.7%)	0.0% - 1.7%	<0.001
	(0.478)	(0.0%)	(0.478)	(±.570) C	(0.5%)	(1.778)	0.070 - 1.770	
Chemotherapy/radiotherapy	(0.0%)	(0.0%)	(0.4%)	(1.3%)	(0.0%)	(0.0%)	0.0% - 1.3%	0.07
Dialysis	(0.078)	(0.078)	(0.478)	(1.376)	(0.078)	(0.078)	0.0% - 2.9%	<0.001

	(0.4%)	(0.0%)	(0.0%)	(1.3%)	(0.5%)	(2.9%)		
	1	0	1	1	3	2		
Surgery	(0.4%)	(0.0%)	(0.4%)	(0.7%)	(1.5%)	(1.3%)	0.0% - 1.5%	<0.001
	3	0	3	7	5	10		
At least one of the other treatments	(1.2%)	(0.0%)	(1.3%)	(4.8%)	(2.6%)	(6.5%)	0.0% - 6.5%	<0.001

Generalized linear mixed model reporting p-value for differences between countries,  $\alpha$  =0.05

All treatments are reported by staff member (nurse/care assistant) most involved in care.

\* To correct for differences in demographic and clinical characteristics, we included age, length of stay, place of death, dementia at time of death, diseases at time of death (cancer, cardiovascular, pulmonary and other diseases) and functional/cognitive status as fixed effects.

Percentages may not correspond with count due to missing values.

Missing values for each treatment: enteral administration: 214, parenteral administration: 233, artificial fluid: 194, resuscitation: 272, ventilation: 261, antibiotics: 146, statins: 328, antidiabetics: 274, anticoagulants: 317, blood transfusion: 274, chemotherapy/radiotherapy: 278, dialysis: 285, surgery: 329