

The hydrogeological information in cross-borehole complex conductivity data from an unconsolidated conglomeratic sedimentary aquifer

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| 17 | ABSTRACT |
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| 18 | Accurate estimation of the hydrological properties of near-surface aquifers is important since |
| 19 | these properties strongly influence groundwater flow and solute transport. Laboratory-based |
| 20 | investigations have indicated that induced polarization (IP) properties of porous media may be |
| 21 | linked, through either semi-empirical or fully mechanistic models, to hydrological properties, |
| 22 | including hydraulic conductivity. There is, therefore, a need for field assessments of the value of |
| 23 | IP measurements in providing insights into the hydrological properties of aquifers. A cross- |
| 24 | borehole IP survey was carried out at the Boise Hydrogeophysical Research Site (BHRS), an |
| 25 | unconsolidated fluvial aquifer which has previously been well studied with a variety of |
| 26 | geophysical and hydrogeological techniques. High quality IP measurements were inverted, with |
| 27 | careful consideration of the data error structure, to provide a 3-D distribution of complex |
| 28 | electrical conductivity values. The inverted distribution was further simplified using k-means |
| 29 | cluster analysis to divide the inverted volume into discrete zones with horizontal layering. |
| 30 | Identified layers based on complex electrical conductivity inversions are in broad agreement with |
| 31 | stratigraphic units identified in previous studies at the site. Although mostly subtle variations in |
| 32 | the phase angle are recovered through inversion of field data, greater contrasts in the IP data are |
| 33 | evident at some unit boundaries. However, in coarse grained aquifers, such as the BHRS, the |
| 34 | discrimination of mildly contrasting lithologic units and associated changes in hydraulic |
| 35 | conductivity of one or two orders of magnitude are unlikely to be achieved through field IP |
| 36 | surveys. Despite the difficulty of differentiating subtle differences between all units, overall |
| 37 | estimates of hydraulic conductivity purely from our field IP data are typically within an order of |
| 38 | magnitude of independently-measured values. |
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GEOPHYSICS

40 INTRODUCTION

Three-dimensional (3-D) high-resolution information on shallow subsurface structure is needed to improve understanding of groundwater flow, vadose zone moisture dynamics and solute transport. Such structural information can improve conceptual models required to develop realistic flow and transport simulations. Geophysical imaging has been extensively employed for this purpose, driven by technological developments that permit faster and less expensive acquisition of 3-D datasets (e.g. Griffiths and Turnbull, 1985; Loke et al., 2013; Stummer et al., 2002). Beyond structural information, it may be possible to estimate hydraulic properties (e.g., porosity (\emptyset), or ultimately hydraulic conductivity (*K*)) if reliable petrophysical relations can be applied to geophysical images. In particular, the determination of K distributions from geophysical images has been extensively pursued, although experience suggests that achieving this goal requires information from more than a single type of geophysical measurement (e.g. Doetsch et al., 2012; Jardani et al., 2013). Electrical methods hold significant promise because of established physical links to hydrogeological parameters (e.g., \emptyset , pore size distribution, grain size distribution and surface area) which influence K (e.g. Revil and Florsch, 2010; Slater and Lesmes, 2002b). Furthermore, electrical measurements can be acquired over a wide range of scales and with different methods,

electrode types and configurations. The DC resistivity imaging method has been widely applied (e.g. Bentley and Gharibi, 2004; Cassiani et al., 2006; Jardani et al., 2013) but is limited in that quantitative hydrogeological information is challenging to extract from a single type of

62 geophysical measurement, even with generally available basic supporting information such as

rock or sediment type (e.g., sand or sand with silt or clay). The complex conductivity (or induced polarization) method holds promise for improving quantification of hydrogeological properties as information on both the interconnected pore volume (e.g. Ø, tortuosity) and mineral-fluid interfacial area (e.g. surface area to pore volume (S_{por}) , effective grain size) can in principle be derived from the complex electrical measurements (Börner et al., 1996; Slater and Lesmes, 2002a; Weller et al., 2013). Recent advances have been made (e.g. Revil and Florsch, 2010; Slater and Lesmes, 2002b; Weller et al., 2015) in understanding the link between complex conductivity and hydraulic conductivity – mainly through empirical or semi-empirical models, for example assuming Kozeny-Carman and Archie petrophysics (Archie, 1942; Charbeneau, 1999). However, although inversion tools are now well established for field application, few studies have attempted to assess the potential of complex conductivity imaging for deriving hydrogeological information (but see, for example, Hördt et al., 2009; Attwa and Günther, 2013). Furthermore, previous field studies have considered a wide range of hydrologic parameter variation i.e. several orders of magnitude change in *K* (Hördt et al., 2007; Kemna et al., 2004; Slater and Glaser, 2003), but in some field settings more subtle contrasts in hydrogeophysical properties significantly influence the overall system behavior (Sudicky, 1986).

Few, if any, field-scale complex conductivity studies have had strong independent datasets on
electrical and hydrologic parameters of the system required for evaluating the information
obtainable from the complex conductivity inversion. Here, we report on complex conductivity
imaging from a local-scale study (15-20 m in all three directions) performed to advance our
understanding of the hydrogeological information (qualitative, semi-quantitative and
quantitative) that can be obtained from IP. We take advantage of an exceptionally well-studied

GEOPHYSICS

natural unconsolidated sedimentary aquifer where results from previous borehole and boreholeto-borehole geophysical surveys allow us to assess the performance of the complex conductivity
imaging. Where such borehole arrays are available in unconsolidated aquifers, the spatial
characterization of hydraulic conductivity can be estimated by slug tests (e.g. Barrash and
Cardiff, 2013; Brauchler et al., 2010; Cardiff et al., 2011; Diem et al., 2010), pumping tests (e.g.
Barrash et al., 2006; Cardiff et al., 2009), and by 3-D hydraulic tomography (Cardiff et al., 2012;
2013).

The aim of this work is to evaluate the variation in complex electrical conductivity derived from inversion of field data where high resolution characterization of hydrogeological properties already exists. Specifically, we aim to: (1) assess the contrast in complex conductivity that results from the variability in physical properties; (2) determine the link (if any) between complex conductivity variability and known hydrostratigraphy; (3) assess the relative value of DC conductivity versus complex conductivity for independent delineation of lithological units; and (4) offer evidence-based insight into the potential value of field-scale complex conductivity for direct quantitative estimation of key hydrogeophysical properties in similar settings. Slater et al. (2014) describe high accuracy laboratory spectral induced polarization (SIP) measurements on samples extracted from the study site of this work showing differences in the complex conductivity magnitude associated with grain size variations. However, subtle variations in the polarization relaxation time distributions between units could not be correlated with unit physical properties. We therefore restrict our analysis to inversion of the complex conductivity only, and do not consider more elaborate inversion methods recently developed to analyze the spectral characteristics of the polarization response time domain data (e.g., Fiandaca et al., 2013).

109 ELECTRICAL PROPERTIES

111 Complex electrical conductivity (σ^*) quantifies the ability of a porous medium to transport and 112 store charge. It is usually determined from measurements of the conductivity magnitude ($|\sigma|$) and 113 phase shift (ϕ) of a porous material relative to a reference resistor. The real (σ') and imaginary 114 (σ'') components of σ^* represent electromigration (transport of charge under the influence of an 115 electric field) and polarization (reversible storage of charge under the influence of an electric 116 field) mechanisms respectively. The measured phase shift, ϕ , is related to the real and imaginary 117 parts of σ^* according to:

$$\varphi = tan^{-1} (\sigma'' / \sigma'). \tag{1}$$

Most models for the complex electrical conductivity of a porous material at low frequencies (e.g. less than 100 Hz) are based on charge transfer via a parallel addition of two mechanisms representing (1) an electrolytic contribution via conduction only, without any polarization, through the interconnected pore space (σ_{el}), and (2) a mineral surface contribution via both conduction and polarization within the electrical double layer (EDL) formed at the interfaces of the interconnected pore surface (σ^*_{surf}) (e.g. Vinegar and Waxman, 1984) such that $\sigma^* = \sigma_{el} + \sigma_{el}$ σ_{surf}^* . As the electrolyte is essentially unpolarizable at low frequencies, electrolytic conduction is a purely real term so the imaginary component of the overall complex conductivity is derived solely from the mineral surface polarization. The complex surface conductivity associated with the EDL originates from both the fixed surface charges (the Stern layer) and the diffuse layer of charges that exchange with the electrolyte. Based on this parallel conduction model,

GEOPHYSICS

$$\sigma' = \sigma_{el} + \sigma'_{surf},$$
(2)

$$\sigma'' = \sigma''_{surf},$$
(3)
and for low phase angles, where $\sigma'' << \sigma'$, and $\tan^{-1}(\sigma''/\sigma') \cong \sigma''/\sigma'$,

$$\varphi \cong \frac{\sigma_{surf}'}{\sigma_{el} + \sigma_{surf}'} \quad (\varphi < 100 \text{ mrad}).$$
 (4)

135 Whereas σ'' represents a direct measure of the polarization of the interconnected pore surface, φ 136 represents the ratio of this polarization to the combined conduction mechanisms within the 137 sample.

The dependence of σ'' on the pore-normalized internal surface area (S_{por}) (e.g., Börner, 1992; Weller et al., 2013) and grain size (e.g. Revil and Skold, 2011; Vaudelet et al., 2012) has encouraged many efforts to utilize complex conductivity measurements to predict K (Revil and Florsch, 2010; Slater and Lesmes, 2002b). Furthermore, the dependence of σ'' on σ_w is relatively weak. Weller and Slater (2012) report measurements on 67 sandstone and unconsolidated samples where $\sigma'' \propto \sigma_w^b$ (0.18 < b < 0.55, with the dimensionless exponent b having a mean value of 0.34). Consequently, σ'' is generally considered an excellent indicator of lithologic variability due to (1) its primary dependence on S_{por} and grain size, and (2) its weak dependence on pore fluid conductivity and saturation relative to real conductivity (when dominated by σ_{el}).

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The Boise Hydrogeophysical Research Site (BHRS) was established in recognition of the need
for improved methods for characterization of permeability distributions in heterogeneous
aquifers (Barrash et al., 1999; Clement et al., 1999). The site is situated on a gravel bar beside
the Boise River approximately 12 km upstream from downtown Boise, Idaho.

The unconsolidated sediments of the unconfined aquifer at the BHRS have a thickness of 155 approximately 20 m, and lie above low-permeability red clay (Barrash and Clemo, 2002). A 156 combined drilling and core recovery technique was applied during 1997 and 1998 to construct 18 157 wells at the BHRS. All wells are screened throughout the unconfined sand and cobble aquifer. 158 159 The central area of the wellfield is comprised of 13 boreholes (Barrash et al., 1999) with a central borehole (A1) surrounded by an inner ring of six boreholes, designated B1 – B6, about 3-160 4 m from A1 [Figure 1]. A second ring of six boreholes, designated C1 – C6, is positioned about 161 162 7-10 m from A1, with boreholes in the inner and second rings offset by an angle of approximately 30°. Five additional peripheral boreholes, designated X1 – X5, are positioned 163 around the central area. 164

INSERT FIGURE 1 NEAR HERE

Barrash and Clemo (2002) investigated the subsurface at the BHRS with a neutron logging
survey; example Ø profiles derived from this analysis are shown in Figure 2. Barrash and Clemo
(2002) applied a hierarchical geostatistical approach to the porosity data which supported the
recognition of five stratigraphic units (numbered 1 – 5 in depositional sequence order) and
recognition of variability and smaller-scale facies within some units. In this stratigraphy, Units 1

GEOPHYSICS

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173 -4 are dominated by cobbles, and Unit 5 is a sand channel, which is thickest close to the river, thins away from the river, and pinches out in the center of the wellfield. Of the cobble-174 dominated units, Units 1 and 3 are very similar and have relatively low Ø and variance, while 175 Units 2 and 4 have higher Ø and variance (Barrash and Clemo, 2002). This porosity stratigraphy 176 is supported by lithologic stratigraphy based on core (Barrash and Reboulet, 2004). 177 178 INSERT FIGURE 2 NEAR HERE 179 180 A number of geophysical surveys have been previously carried out at the site. Tronicke et al. 181 (2004) gathered a set of GPR measurements from the BHRS, with the transmitter and receiver 182 deployed in C5 and C6, and noted that values of Ø derived from an inversion of the GPR data 183 differed in detail from the layered stratigraphy identified by Barrash and Clemo (2002), but a 184 cluster analysis of the inverted GPR data identified clusters with similar values of velocity and 185 186 attenuation, broadly corresponding to areas with contrasting \emptyset values. Further crosshole GPR analysis was conducted by Ernst et al. (2007) and Irving et al. (2007). Dafflon et al. (2011b) 187 inverted multiple intersecting high-resolution crosshole GPR profiles at the BHRS, and obtained 188 a 3-D distribution of Ø values which are in broad agreement with the results of the geostatistical 189 study by Barrash and Clemo (2002). 190 191 The original five unit stratigraphy was extended following the capacitive probe conductivity 192

(CC) well logging outlined in Mwenifumbo et al. (2009). These CC results revealed contrasting
electrical properties in one of the cobble units, Unit 2, which led to a new classification of Units
2A and 2B (with the upper unit, 2B, having lower electrical conductivity than the lower unit,

2A). Figure 3 shows the stratigraphy in well C4 for example. Some of the unit boundaries, in particular those defining Unit 4, are clearly visible in the porosity log, whereas for others the contrast is more subtle. This is further demonstrated by the capacitive probe resistivity (CR) log for well C4, in which the lower boundaries of Units 2A and 2B are also clearly visible. **INSERT FIGURE 3 NEAR HERE** In a laboratory study of electrical and hydrologic properties of core samples from the BHRS, Slater et al. (2014) investigated links between sediment grain size distributions and K, and demonstrated the importance of analyzing only the matrix sediment within the cobble framework (i.e., excluding the cobble fraction). They found that, typical of many unconsolidated sediments, the variation in the relaxation time distribution (.001-1000 Hz) between different units was small. No relationship between the relaxation time distribution (frequency response) and pore geometrical properties was observed. Instead, the sediments approximated a constant phase angle behavior from 0.001-10 Hz. However, Slater et al. (2014) did find a relationship between imaginary conductivity (polarization strength) and grain diameter that was subsequently used to test predictions of hydraulic conductivity at this site. They noted that for BHRS sediments, $d_{60(matrix)}$, the grain size for which 60% of a sample of the matrix is smaller, appeared to be a representative grain size for the prediction of K from Kozeny Carman type models, although they emphasized that no single grain size has been shown to be representative for all aquifer materials. Figure 3 includes the profile of particle size for well C4, shown as d_{60[matrix]}, obtained from grain size analysis of the sediment cores, after exclusion of cobbles. Subtle

| 2 3 4 | | |
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| 5 6 7 | 218 | changes in $d_{60[matrix]}$ are discernable at the boundaries between Units 2A and 2B, between Units |
| 8 9 | 219 | 2B and 3, and between Units 3 and 4. |
| 10 11 12 | 220 | |
| 13 14 | 221 | A high resolution distribution of the six stratigraphic layers in the central region of the BHRS |
| 15 16 | 222 | was developed following Barrash and Clemo (2002) and Mwenifumbo et al. (2009) to provide a |
| 17 18 10 | 223 | 'ground-truth,' primarily of porosity distributions but also of electrical parameters, against which |
| 20 21 | 224 | results of other geophysical surveys can be compared. The distribution is based on a kriged |
| 22 23 | 225 | geostatistical model that includes all stratigraphic unit contact elevations in the wells. The model |
| 24 25 | 226 | adopts a stationary random field with exponential covariance function with sill 1 m^2 and |
| 26 27 28 | 227 | horizontal correlation length 10 m. The contact between Units 4 and 5 showed drift, which was |
| 29 30 | 228 | accounted for in the model. Figure 4 shows an example realization from this approach. |
| 31 32 | 229 | |
| 33 34 35 | 230 | INSERT FIGURE 4 NEAR HERE |
| 36 37 | 231 | |
| 38 39 40 | 232 | Hydraulic Conductivity from Multi-Level Slug Tests |
| 41 42 | | |
| 43 44 45 46 47 48 40 | 233 | Recent work by Barrash and Cardiff (2013) using high-resolution data from multi-level slug tests |
| | 234 | has investigated variation of hydraulic conductivity, K, within the BHRS. Details of the slug-test |
| | 235 | procedures, data quality assessment, and analysis are given in Malama et al. (2011), Cardiff et al. |
| 49 50 51 | 236 | (2011) and Barrash and Cardiff (2013). Figure 5 shows example K profiles from a number of |
| 52 53 | 237 | wells within the central wellfield. |
| 54 55 | 238 | |
| 56 57 58 59 | 239 | INSERT FIGURE 5 NEAR HERE |
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Slug tests were run (e.g. Butler, 1998) at two or three different slug heights per zone with highly repeatable results (differences between K from such repeated tests are generally <20% with the occasional poor-quality test excluded from averaging for a given zone). Values of K identified from the slug tests were corroborated with independent hydraulic tomography results (Cardiff et al., 2013) and clearly show that, although K is not positively correlated with \emptyset or geophysical parameters for all stratigraphic units, K-facies can be identified with multivariate statistical analysis within the established Ø -CC stratigraphy (Barrash and Cardiff, 2013). This, along with *K*-facies boundaries commonly terminating at \emptyset -CC unit contacts, supports the interpretation that K structure is based on sedimentary origins (as are \emptyset and lithology). One of the K-facies has the highest K of all and is generally coincident with Unit 2B, which has the lowest CC of all. Although *K*-facies within Units 1-2B tend to have a positive association of *K* with Ø, *K*-facies within Units 3-4 tend to have a negative association of K with \emptyset (Barrash and Cardiff, 2013).

Examination of grain size distribution parameters (including cobble size and percent of the sample, d_{10} and sorting for the whole sample and for the matrix alone) indicate that multiple combinations of these parameters with \emptyset may be associated with similar values of K, but there are tendencies for certain combinations to occur within particular types of K-facies (Barrash and Cardiff, 2013). CC and \emptyset were positively correlated at lower values of K, but negatively correlated at higher values of K. Some negative correlation between CC and the degree of sorting was also observed. The distribution of K in these conglomeratic sediments shows a more complex relationship with geophysical properties than in sand-dominated unconsolidated

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GEOPHYSICS

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| 5 6 7 | 262 | aquifers where Kozeny-Carman and Archie petrophysics may be more consistently applicable |
| 8 9 | 263 | (Barrash and Cardiff, 2013). |
| 10 11 12 | 264 | |
| 13 14 | 265 | Heterogeneity in K is not great at the BHRS (slightly more than two orders of magnitude for |
| 15 16 | 266 | most of the aquifer). The variance of $\ln K$ is 0.49, more than three times the $\ln K$ variance at the |
| 17 18 19 | 267 | well-studied aquifer of Cape Cod (Wolf et al., 1991), and less than twice that of the aquifer at |
| 20 21 | 268 | Borden (Woodbury and Sudicky, 1991). Nevertheless, tracer behavior at the BHRS (Dafflon et |
| 22 23 | 269 | al., 2011a; Johnson et al., 2007; Nelson, 2007) is clearly not representative of a homogeneous or |
| 24 25 26 | 270 | simple layered medium in K (or \emptyset). |
| 27 28 29 30 | 271 | |
| 31 32 | 272 | METHODS |
| 33 34 35 | 273 | |
| 36 37 | 274 | Our investigation was designed to collect high-resolution complex electrical data with a low and |
| 38 39 | 275 | known error structure, suitable for analysis using existing 3-D inversion tools, to provide |
| 40 41 42 | 276 | distributed values of complex electrical parameters for direct comparison with existing |
| 42 43 44 | 277 | stratigraphic models of the BHRS and, where practical, to allow relationships between electrical |
| 45 46 | 278 | and hydrologic parameters to be investigated. In the following sections we describe the |
| 47 48 40 | 279 | geophysical measurements, discuss error analysis, summarize the inversion and introduce the |
| 49 50 51 | 280 | statistics used to understand the inversion results. |
| 52 53 54 55 | 281 | |

282 Complex Resistivity Measurements

In June 2010 a crosshole complex resistivity survey was conducted at the BHRS. Here we report on analysis of data from wells A1, C2, C3, C4 and C5 (Figure 1). Two electrode arrays, each with 24 stainless steel mesh electrodes spaced 0.61 m apart, were used for measurements between pairs of boreholes in this group of five wells. Well A1 was common to all borehole pairs, and thus the measurement set comprises pairs: A1-C2; A1-C3; A1-C4; A1-C5. This arrangement eliminated the need to remove and relocate one of the electrode arrays whilst still maintaining 3-D coverage over the well cluster. As each well is fully screened, electrical contact with the formation is achievable beneath the water table. The top electrode in each well was sited at 846.87 masl (meters above sea level), equivalent to 2.67 m depth at A1. Recognizing the potential impact of current channeling

through the water column in the well (e.g. Nimmer et al., 2008), insulating spacers were installedbetween electrodes to reduce these effects.

Preliminary field and modeling trials were conducted to determine suitable measurement configurations to meet resolution and data quality objectives. Following these trials, a dipole-dipole arrangement was selected using a 'skip 5' protocol (dipole spacing of 6 electrodes), with forward and reciprocal measurements to allow the error structure to be estimated (Slater et al., 2000) with a current and potential dipole spacing of 3.66 m. For each borehole pair, 922 direct measurements (and the associated reciprocal configuration) were collected using an Iris Syscal Pro instrument (Iris Instruments, Orleans, France). Each measurement set (i.e. one borehole pair) took approximately 110 minutes to collect. Time domain induced polarization (IP)

GEOPHYSICS

 measurements were computed based on a total of 20 windows sampled using a current waveform with 1s on time, 1s off time, 0.12 s delay time, and individual window length of 0.04 s (total window length of 0.8 s). The 0.12s delay time removes any effect of the instrument's low-pass filter (as reported by Fiandaca et al., 2012) (Iris Instruments, pers. comm., 2015). The IP measurements provide a transfer resistance magnitude (or apparent resistivity/conductivity) and an integral chargeabilty, M. Following Mwakanyamale et al. (2012), who used the same instrument settings, we related the complex conductance phase shift (φ) at the current cycle frequency (0.25 Hz) to M through the relationship $\varphi \approx 1.3$ M, where φ is in mrad, and M is in mV/V. We note that, following the analysis of Kemna et al. (1997), the equivalent scaling factor is 1.5 rather than 1.3 at a corresponding 0.025 Hz current injection frequency. Error Analysis Quantification of errors is essential for data inversion to ensure: (1) that individual measurements can be appropriately weighted; and (2) that appropriate convergence of the inversion process can be determined. Reciprocal errors, in both resistance magnitude and phase angle, were computed for each dipole configuration and were used to highlight anomalously high errors in both magnitude and phase angle. We adopted error thresholds of 10% and 5 mrad, for magnitude and

324 phase angle respectively (i.e. all data with errors above these thresholds were removed prior to

inversion). The computed measurement errors are unsuitable for individual weighting of

326 measurements since they only represent one sample of error for a given configuration. By

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59 60 327 grouping measurements (ranked by magnitude or phase angle) into 'bins' we can examine trends in errors to allow the development of a simple representative error model. Köestel et al. (2008) 328 used this approach for DC resistivity measurements; Flores Orozco et al. (2012) and 329 Mwakanyamale et al. (2012) extended the method for complex conductivity measurements. We 330 established clear relationships (see Figure 6) between the reciprocal error of both magnitude (ε_R) 331 332 and phase angle (ε_{φ}) , and the resistance magnitude (R): 333 $|\varepsilon_R|$ (in Ohms) = 0.0147 $|R|^{0.92}$; $|\varepsilon_{\varphi}|$ (in mrad) = 1.637 - 0291 ln(|R|). (5) 334 335 **INSERT FIGURE 6 NEAR HERE** 336 337 The (almost) linear relationship between $\log_{10} |\varepsilon_R|$ and $\log_{10} |R|$ is a commonly observed error 338 structure (e.g. LaBrecque et al., 1996). In contrast, the reduction in phase angle error, $|\varepsilon_{\varphi}|$, with 339 increasing |R| highlights the impact of signal strength on the resolution of the IP effect. Measured 340 phase angles were in the range 0 to 15 mrad. As is normal practice (e.g. Slater and Binley, 341 2006), we assume a Gaussian distribution of errors in our inversion process; Figure 7 shows 342 some departure from this model and a slight positive bias. 343 344 345 **INSERT FIGURE 7 NEAR HERE** 346

GEOPHYSICS

347 Inversion of Complex Conductivity Measurements

The apparent complex resistance magnitude and phase measurements were inverted to provide 3-D distributions of complex conductivity, expressed in terms of magnitude and phase, using the program cR3t (developed by A. Binley at Lancaster University). cR3t solves the forward problem using a finite element approximation adopting linear six-node triangular prism elements or four-node tetrahedral elements. A finite element mesh containing 483,448 triangular prism elements and 246,078 nodes was created to model the potential field within the zone of study. The model region extends 130 m laterally (in both directions) and 80 m in depth to account for 'infinite' boundary conditions using progressively coarser discretization with increasing distance from the well field. Discretization within the well field zone was approximately 0.4 m (lateral) and 0.2 m (vertical), i.e. three elements per electrode spacing in the vertical.

Although (four node) tetrahedral elements are computationally more efficient for solving the forward problem, we adopted triangular prism elements for this study because: (1) the electrode positions in the vertical were identical for each well, thus making mesh generation relatively straightforward; and (2) grouping of elements to form parameter blocks is more easily achieved with such a mesh. The latter enhances computational efficiency significantly. For the inverse solution we discretized the region into 42,364 parameter blocks aligned to the forward modeling mesh boundary, with resolution reduced by a factor of exactly 4 in the vertical direction and by approximately 3 for the planar triangulation, as optimized by the mesh generator program.

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 The 3-D complex conductivity inversion algorithm used is a 3-D extension of the 2-D algorithm
described by Binley and Kemna (2005), and follows the Gauss-Newton method, incorporating an

iterative refinement of the regularization parameter (α), that minimizes an objective function (Ψ) composed of a data misfit term and a model stabilization term,

$$\Psi = \left\| \boldsymbol{W}_d \left(\boldsymbol{d} - \boldsymbol{f}(\boldsymbol{m}) \right) \right\|^2 + \alpha \left\| \boldsymbol{W}_m \boldsymbol{m} \right\|^2, \tag{6}$$

where W_d is a data weighting matrix associated with individual errors for each measurement, dand f are vectors describing the measured data and forward solution for model vector mrespectively, and W_m is a smoothness constraint representing the model weighting matrix which is structured to allow anisotropic smoothing. For the inversions here we adopted a 50:1 horizontal:vertical anisotropic smoothing in order to account for the observed horizontal correlation in stratigraphic unit boundaries (e.g. Figure 4); Attwa and Günther (2013) adopted a similar anisotropic smoothing in their inversion of 2D complex conductivity data. For our inversions: data, d, is expressed as an impedance magnitude and phase angle; model vector, m, is expressed as a complex conductivity magnitude (log value) and phase angle. The log transformation constrains the inversion to positive solutions.

Convergence of the iterative scheme is assumed when the mean of the data misfit component of equation 6 (averaged over the number of measurements) is unity. Given that the formulation expressed in terms of complex conductivity will bias the solution to satisfactory fitting of the magnitude values, as in Kemna (2000), we adopt a 'final phase improvement' scheme, allowing refinement of the parameter phase angles in the final stages of the inversion whilst maintaining the magnitude values determined from the convergence of the first stage of the process.

GEOPHYSICS

Cluster Analysis

In order to assess the value of complex resistivity in differentiating hydrogeological units, despite variation of electrical parameters within each unit, we employed the k-means cluster analysis method (MacQueen, 1967), a non-hierarchical partitioning technique which has been successfully used in environmental (e.g. Tronicke et al., 2004; Van Arkel and Kaleita, 2014) and geophysical (e.g. Di Giuseppe et al., 2014) studies. The k-means method requires user selection of the number of groups, or clusters, into which a data set should be classified. Each parameter may also be emphasized or suppressed in its contribution to the identification of structure within the dataset by the chosen method of standardization and by application of a weighting factor (e.g. Gnanadesikan et al., 1995). For a full description of the k-means algorithm, see for example, Hartigan and Wong (1979) and Gan et al. (2007).

404 RESULTS

405 Smoothness Constrained Inverted Models

Figure 8 shows the results of the inversion of complex resistivity data. A contrast of only one order of magnitude in real conductivity is apparent; this variation is dominated by the difference between cobble dominated units (Units 1 - 4) and the sand unit (Unit 5), the latter showing higher real conductivity which is consistent with the observed high \emptyset of these sediments (Figure 3). The capacitive probe resistivity logs reported in Mwenifumbo et al. (2009) show similar weak contrast, in their case between 0.5 mS/m and 3 mS/m (-3.3 to -2.5 range in $\log_{10}\sigma'$, expressed in S/m). More subtle variation in real conductivity exists throughout the cobble dominated units, although a distinct contrast is seen at approximately 838 masl and 844 masl (at

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C5 in Figure 8a, for example). By comparing Figure 8a with Figures 3 and 4 these appear tocoincide with the bases of Units 2B and 4, respectively.

INSERT FIGURE 8 NEAR HERE

Some lateral variation in real conductivity is seen within the study region, the most notable being 419 420 the thinning out of the upper conductive zone in the image towards wells C2 and C3 (Figure 8a). 421 Given that we associate this feature with the sand unit (Unit 5), this thinning out is consistent with observed contacts at wells (as illustrated in Figure 4, see also Barrash and Clemo (2002)). 422 423 Other lateral variation of note is the greater vertical contrast in conductivity (in the lower third of the real and imaginary conductivity images in Figures 8b and 8c between wells C4 and C5. 424 425 However, this cannot be explained by the contrast in Ø because these contrasts are all similar at about 835 masl in wells C3 and C2 also (Figure 2). 426 427 Generally weak variation in computed phase angle exists (Figure 8c), although contrasts are 428 clearly visible. Figure 9 shows the distribution of normalized misfit for the measurements, i.e. 429 the difference between measured and modeled phase angle relative to the assumed error (from 430 431 equation 5). Such a distribution should ideally be Gaussian with a zero mean and unit variance. 432 The modeled phase angles are typically around 2 to 4 mrad, which is consistent with those 433 observed from complex conductivity measurements on reconstituted samples from the site (Slater et al., 2014). A low phase angle appears to be associated with the sand unit (Unit 5). The 434 relatively weak variation in phase angle will be due in part to the subtle contrast in sediment 435

properties but also due to the weaker signal to noise ratio of measured phase angle in comparison

GEOPHYSICS

to resistance magnitude, despite great care in data acquisition and rigorous treatment of phase angle data errors (Figures 6 and 7). However it is the imaginary conductivity that uniquely resolves polarization and, despite the weak variation in phase angle, this property helps emphasize contrasts within the study region (Figure 8b). In particular, the lower third of the imaged region shows distinctly greater polarization than the upper section. Note also the very low polarization associated with the sand unit near boreholes C4 and C5. **INSERT FIGURE 9 NEAR HERE** Barrash and Clemo (2002) used the porosity logs (e.g., Figure 2) to develop probability density functions for unit-specific \emptyset across the wellfield. Their analysis reveals contrasts in the distributions, for example greater variance of ϕ in Units 2 and 4, in comparison to Units 1 and 3 (Figure 5 in Barrash and Clemo, 2002)). Here we could apply the same analysis using image voxel values at well locations. However, these would be limited to areas of higher imaging resolution and thus would be somewhat biased. Instead we use one of the unit boundary realizations (Figure 4) to delineate the complex resistivity image and thus allow the formation of unit-specific complex resistivity distributions. Figure 10 shows these distributions, expressed in terms of real conductivity and phase angle, for Units 2A, 2B, 3, 4 and 5. Examining the real conductivity, we see a smaller variance in Unit 3 compared to Units 4 and 5, as in Barrash and Clemo (2002). We also note that Units 2A and 2B combined (equivalent to Unit 2 in Barrash and Clemo, 2002) also have larger variance than Unit 3. However, interestingly, given the newer Unit 2 subdivision, Units 2B and Unit 3 are relatively indistinguishable in terms of real conductivity distributions although a subtly lower mean conductivity is apparent for Unit 2B

 when compared to Unit 3, which is consistent with the capacitive probe conductivity logs of Mwenifumbo et al. (2009) noted earlier. The phase angle distributions also show contrasts across some units (e.g. Units 3 and 4), and again with close similarity between Unit 2B and Unit 3. **INSERT FIGURE 10 NEAR HERE** The above comparison assumes that each computed electrical parameter value within the image is equally resolvable, however, we note that variations in spatial sensitivity are inherent in any electrical imaging method. Nevertheless, given the relatively horizontal stratigraphy inferred from the analysis of unit contacts (e.g. Figure 4), any bias in the distributions (due to greater smoothing between electrode locations, for example) is likely to be reasonably equal across all units. Cluster Analysis The inversion results were projected from the irregular mesh used in the inversion to orthogonal grids (80 x 40 x 60 elements) with a resolution selected as a compromise between computational complexity and excessive smoothing. Only the inverted results within the volume bounded by the electrode arrays were used. Five parameter values at each voxel were standardized by unitnormalizing to a value between 0 and 1, representing the maximum and minimum values for each parameter. The parameters consisted of the x, y and z spatial coordinates together with two parameters representing complex conductivity expressed either as phase angle and the logarithm of magnitude, or as the logarithms of both the imaginary and real components. Cluster analysis

GEOPHYSICS

was carried out using either five or six clusters; these values were chosen because application of multiple analyses with identical values for all other parameters showed greatest consistency with five clusters, slightly reduced consistency with six clusters, and poor consistency with four or seven clusters. Furthermore, analyses with five or six clusters allowed comparisons to be made with previous interpretations of BHRS stratigraphy based on Ø, lithology, and CC (Barrash and Clemo, 2002; Barrash and Reboulet, 2004; Mwenifumbo et al., 2009). Each identified cluster could include any non-zero proportion of the total number of voxels in the grid, and could have irregular and discontinuous boundaries. For selected cluster analyses, using five or six clusters, the nonparametric Kolmogorov-Smirnov test (Conover, 1999) was applied to determine whether the 3-D distribution of σ' within each identified cluster differs significantly from the distribution within every other cluster, and this was repeated to test for significantly different distributions of σ'' . In all cases, the null hypothesis that the distributions do not differ can be rejected ($p < 10^{-4}$). Figure 11 shows three-dimensional representations of our five-cluster analysis using DC conductivity only (Figure 11a), and using both DC conductivity and IP data (Figure 11b). Only subtle differences are noticeable, highlighting that the lithologic boundary differentiation is most strongly influenced by the DC conductivity. Example cluster analysis results are shown in Figures 12 and 13 for extracted profiles at well locations C4 and B4, respectively. Well B4 (for location see Figure 1) was selected as an example between the electrode array locations (where resolution of the electrical imaging is weaker). Figures 12 and 13 show the results for five- and

six-unit cluster analysis, together with the previously defined unit boundaries. In both examples,

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| 5 6 7 | 505 | clusters K3 and K4 for the five-cluster model closely represent clusters K3 to K5 in the six- |
| 8 9 | 506 | cluster model, revealing that this interval is the zone of weakest contrast. The six-cluster model, |
| 10 11 12 | 507 | in both cases, shows close similarity to the unit boundaries (shown as units U1 to U5 in Figures |
| 12 13 14 | 508 | 12 and 13). Although the boundaries do not match perfectly, features such as the thinning of |
| 15 16 | 509 | Unit 4 from well B4 to C4 are clearly visible. The boundary between Unit 2B and 3, although |
| 17 18 10 | 510 | subtle in terms of real conductivity, is well resolved in both cases, supporting the earlier |
| 20 21 | 511 | comments about differences in electrical conductivity between these two units. A distinct |
| 22 23 | 512 | contrast in imaginary conductivity is seen at the Unit 2A-Unit 2B boundary in both cases. |
| 24 25 26 | 513 | |
| 20 27 28 | 514 | INSERT FIGURE 11 NEAR HERE |
| 29 30 | 515 | INSERT FIGURE 12 NEAR HERE |
| 31 32 33 | 516 | INSERT FIGURE 13 NEAR HERE |
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| 37 38 39 | 518 | DISCUSSION |
| 40 41 42 | 519 | |
| 43 44 | 520 | In this study we have inverted cross-borehole time-domain measurements of complex electrical |
| 45 46 | 521 | conductivity, but our results show a very close correspondence between the real and imaginary |
| 47 48 49 | 522 | components of the 3-D distributed values of complex conductivity (Figure 8). We note, however, |
| 49 50 51 | 523 | that the equipment required to record time-domain complex electrical measurements requires |
| 52 53 | 524 | little additional time to deploy (relative to a frequency-domain spectral IP survey), over an |
| 54 55 56 | 525 | equivalent DC survey. |
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527 K Information

The aquifer at the BHRS site has fairly low heterogeneity in K, with a range of 6.4 x $10^{-5} - 1.6$ x 10^{-2} ms⁻¹ (Barrash and Cardiff, 2013) and a lack of strong overall correlation between *K* and Ø (Cardiff et al., 2011). Indeed the K distribution has both positive and negative associations with Ø in lower and upper portions of the stratigraphy, respectively (Barrash and Cardiff, 2013; Cardiff et al., 2013) indicating that only in portions of the coarse unconsolidated sediments at the BHRS is K more strongly influenced by overall Ø than by the surface area and tortuosity of the pore channels (which control S_{por}). We suggest that the additional information in the imaginary component of IP measurements is more likely to be correlated to S_{por} in consolidated materials such as sandstones in which the surface area of pores and channels can vary almost independently of \emptyset . The lack of variability in field scale σ'' limits the estimation of subtle spatial variation of Spor, and hence K, using the relationships developed by, for example, Börner and Schön (1991), Börner et al. (1996), Slater and Lesmes (2002b) and Weller et al. (2010). However, in order to investigate IP-derived estimates of hydraulic conductivity we draw upon the recent relationship empirically derived by Weller et al. (2015) from a dataset of 22 unconsolidated laboratory samples. They propose the link between permeability, k (in m^2) and complex electrical conductivity (equation 24 in Weller et al., 2015) as:

$$k = \frac{3.47 \times 10^{-16} \sigma^{1.11}}{\sigma^{"2.41}},\tag{7}$$

assuming a fluid conductivity σ_w =100mS/m. Fluid electrical conductivity at the site is typically 20mS/m, however, the two electrical parameters in equation 7 are easily scaled to reflect this (see for example, Weller et al., 2015).

Figure 14 shows example profiles of hydraulic conductivity computed using equation 7, using complex conductivity values from the inverse model (Figure 8). The profiles in Figure 14 show multi-level slug test estimates of hydraulic conductivity for comparison. From the profiles in Figure 14, the difference between values from the hydrogeological and geophysical measurements is typically within an order of magnitude. The correspondence is closer in the lower portion of the stratigraphy where K and porosity are related, although in the upper units of B3 and B4 the low polarization results in a much higher estimate of K - where K and porosity are poorly related (Barrash and Cardiff, 2013). The differentiation of subtle changes in hydraulic conductivity is, however, more challenging. Figure 14 shows similar locations of some smaller-scale features (lenses) in each well but with variable polarity in slug data vs K estimates from equation 7 because in-situ measurements reflect non-uniform dependence of slug K on porosity, grain size distribution, shape and packing (detailed in Barrash and Cardiff, 2013).

INSERT FIGURE 14 NEAR HERE

Slater et al. (2014) found that relationships between σ'' , effective grain size (best represented by the grain diameter for which 60% of the sample is finer) and *K* could be identified from controlled laboratory-scale measurements on 12 samples spanning the five units originally identified at the BHRS (Barrash and Clemo, 2002; Barrash and Reboulet, 2004). In these

GEOPHYSICS

reconstituted samples, *K* only varied by about a factor of 3 and thus the full range of *K* at the site was not captured. The ability to identify electrical-hydraulic relationships over such a narrow range of *K* would on its own appear to encourage field-scale IP measurements for mapping hydrogeological structures in such settings. Findings from this field study tend to support this conclusion, however, contrasts in field-based estimates from inversion may be more difficult to determine, despite considerable efforts to minimize noise and careful estimation of the error structure within the measurements.

577 Units and Multi-scale Structure

Our attempts to use the geophysical data for the identification of hydrogeological units has revealed only marginal additional information within σ'' beyond that within σ' at the BHRS. We note the ability of the k-means cluster analysis method to simplify the 3-D distribution of σ' , by identifying a predetermined number of regions approximated as horizontal layers. Application of the Kolmogorov-Smirnov test has shown that the empirical distribution of σ' values in each of the identified layers is significantly different from that of every other layer, confirming that the layered structures identified through cluster analysis relate to geophysical differences, despite the relatively high emphasis given to the vertical dimension in both the inversion and clustering procedures. Vertical 1-D samples through the simplified layered structure identified by the cluster analysis, such as at the positions of boreholes B4 and C4 (Figures 12-13), show good correlation between the layer boundaries and the boundaries between the stratigraphic units. The delineation of the Unit 2A/Unit 2B boundary is noticeably emphasized by the σ'' profile (see Figures 12-13). Results of the cluster analysis of the 3-D

inversion of σ' measurements are broadly supportive of the multi-scale heterogeneity affecting hydraulic, lithologic, and geophysical parameters which has been demonstrated in the sedimentary structure of the site (Barrash and Clemo, 2002; Barrash and Reboulet, 2004), and recognition of two types of electrical-porosity (and dielectric-porosity) behavior evident in the subdivision of Unit 2 into Units 2A and 2B (Mwenifumbo et al. 2009; Ernst et al., 2007; Irving et al., 2007; Dafflon et al., 2011b). Observation of Ø and CC logs and analysis of correlation between Ø and CC, show strong correlation at the stratigraphic unit scale but highly variable weak to strong correlation at the sub-unit scale (Figure 14 here and Barrash and Cardiff, 2013).

The strong influence of the magnitude of complex conductivity on the imaginary conductivity appears to mask much of the apparent variation in IP when viewed in terms of phase angle. However, we note that, for example, Units 3 and 2B appear to show similar real conductivity, but differ noticeably in phase angle (Figure 10). We have focused our analysis and interpretation here using σ' and σ'' as measures of conduction and polarization, however, future studies may seek to establish grounds for incorporating the phase angle as a means of discriminating hydrogeological units.

608 Frequency-related IP Properties

Our complex electrical measurements were recorded in the time domain, with a fixed step
duration, converted to equivalent frequency-domain measurements recorded at a single
frequency (in this case 0.25 Hz). The phase components of the complex electrical resistance
values which were used in the inversion were derived from a simple relationship between phase

GEOPHYSICS

and normalized chargeability. Although the validity of this relationship has been demonstrated in several studies (e.g. Kemna et al., 1997; Mwakanyamale et al., 2012), we speculate that lower correlation between the magnitude and phase components might be observed if complex electrical measurements were directly recorded in the frequency domain as done in the laboratory. Other studies have investigated frequency-domain measurements of induced polarization in porous materials recorded over a broad spectrum of frequencies, with attempts to link a frequency-related property of induced polarization to physical properties of the material. An overview of this spectral induced polarization (SIP) approach in near-surface investigations has been provided by Kemna et al. (2012), and identification of mechanistic relationships between physical properties which control hydraulic conductivity and SIP parameters continues to be an active and promising area of research (Florsch et al., 2012; Revil et al., 2015). However, as previously noted, this site is characterized by sediments that show very small differences in frequency-related properties between the units, suggesting that SIP studies will show the same limitations as IP methods at Ø dominated sites with low heterogeneity in hydraulic characteristics of interest. We also note recent efforts to analyze time series of time-domain IP data in an attempt to remove superposition of charging cycles (Fiandaca et al., 2013). Such approaches, although currently limited to 2-D analysis and specific polarization models, may offer greater ability to resolve more subtle contrasts in complex conductivity, especially where there is evidence for additional (i.e., not K-C) petrophysics that is not dominated by \emptyset (e.g., Barrash and Cardiff, 2013; Morin, 2006).

> Our study has demonstrated that the field-scale IP approach may be suitable for providing estimates of hydraulic conductivity in coarse grained aquifers but somewhat limited for the resolution of small-scale (e.g., lenses vs layers, Figure 14) contrasts in hydraulic conductivity variation. Despite the acquisition of high quality complex electrical measurements, and careful handling in the inversion procedure of the estimated data errors, it is clear that low contrast in *K* at the BHRS resulted in relatively low structural resolution based on the distribution of induced polarization parameters.

Although our investigation was unsuccessful in providing a clear description of the distribution of K in the central region of the BHRS, a site with (a) low to moderate K heterogeneity typical of many high-energy coarse unconsolidated aquifers, and (b) multiple relations between K and \emptyset . we have shown that the purely conductive component of our complex measurements has provided supporting evidence for a stratigraphic structure including low-contrast features identified in previous investigations – even though the energy storage component has provided limited additional information at this site. We have shown the value of k-means cluster analysis in simplifying and identifying structure from complicated parameter distributions.

Our results have highlighted the potential danger of interpreting IP images from similar
environments independently of other data. In many highly permeable aquifer systems, a level of
heterogeneity of hydraulic properties that can influence flow and solute transport may be
extremely subtle from an electrical geophysics perspective. Obtaining high quality IP

GEOPHYSICS

measurements is not straightforward and the signal-to-noise in polarization measurements may limit its use in noisy and/or poorly controlled settings. However, where significant contrasts in sediment properties are expected, we believe that IP has significant potential, e.g. in identification of hydrogeologic boundaries, perhaps at multiple scales.

Finally, we note that our analysis has employed a commonly used smoothness-based inversion for our geophysical data. We adopted this as a means of evaluating the value of IP using widely acceptable procedures. However, one may envisage greater resolution of IP contrasts at sites, such as the BHRS, where other *a priori* information is used to constrain the inversion. We expect to see greater awareness and application of this approach in future studies.

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| | 889 | |
| | 890 | Figure 1. Layout of central wellfield at the BHRS. Solid symbols show location of electrode |
| | 891 | arrays. Shaded zone indicates area of investigation in this study. |
| | 892 | Figure 2. Example porosity profiles from central wellfield borehole logs. |
| | 893 | Figure 3. Profiles at borehole C4; (a) unit boundaries; (b) porosity; (c) d ₆₀ for the matrix only |
| | 894 | (d _{60[matrix]}); (d) capacitive probe resistivity. Sources: Barrash and Clemo (2002); Reboulet and |
| | 895 | Barrash (2003); Mwenifumbo et al. (2009); Barrash and Cardiff (2013). |
| | 896 | Figure 4. Example unit boundary realization based on a geostatistical model of unit contacts. |
| | 897 | Figure 5. Example hydraulic conductivity profiles derived from multi-level slug tests [based on |
| | 898 | data in Barrash and Cardiff (2013)] |
| | 899 | Figure 6. Error relationships for complex resistivity data. |
| 39 40 | 900 | Figure 7. Distribution of phase angle reciprocity errors. Dashed line shows Gaussian distribution |
| 41 42 43 44 45 46 47 48 49 | 901 | for same sample mean and standard deviation as observed distribution. |
| | 902 | Figure 8. Complex resistivity inversion. (a) real conductivity; (b) imaginary conductivity; (c) |
| | 903 | phase angle. |
| 50 51 52 | 904 | Figure 9. Distribution of normalized phase misfit for all measurements. |
| 52 53 54 | 905 | Figure 10. Variation in real conductivity magnitude (left column) and phase angle (right |
| 56 57 | 906 | column) for image values in Figure 8, delineated into units following the stratigraphy in Figure |
| 58 59 60 | 907 | 4. |

908 Figure 11. Results of five-cluster analysis showing (a) clusters based on real conductivity and909 (b) clusters based on real and imaginary conductivity.

910 Figure 12. Cluster analysis results for well C4: (a) real conductivity profile from inversion; (b)
911 imaginary conductivity profile from inversion; (c) cluster boundaries from five-cluster analysis;
912 (d) cluster boundaries from six-cluster analysis; (e) unit boundaries (Barrash and Clemo, 2002;
913 Mwenifumbo et al, 2009).

Figure 13. Cluster analysis results for well B4: (a) real conductivity profile from inversion; (b)
imaginary conductivity profile from inversion; (c) cluster boundaries from five-cluster analysis;
(d) cluster boundaries from six-cluster analysis; (e) unit boundaries (Barrash and Clemo, 2002;
Mwenifumbo et al, 2009).

Figure 14. Example profiles of hydraulic conductivity. Black line - values computed using
equation 7 using complex conductivity values. Grey line - values from multi-level slug test data
in Barrash and Cardiff (2013). Note closer correspondence in the lower portion of the
stratigraphy where K and porosity are more related in the Kozeny-Carman sense (Barrash and
Cardiff, 2013).



Layout of central wellfield at the BHRS. Solid symbols show location of electrode arrays. Shaded zone indicates area of investigation in this study. 169x170mm (300 x 300 DPI)

C3

Ş

Porosity (-) 0.2 0.4

845

840

835

2

C4

Porosity (-) 0.2 0.4

3

845

840

835

C5

Porosity (-) 0.2 0.4



GEOPHYSICS









Example unit boundary realization based on a geostatistical model of unit contacts. 210x223mm (200 x 200 DPI)

Page 49 of 58



Example hydraulic conductivity profiles derived from multi-level slug tests [based on data in Barrash and Cardiff (2013)] 384x188mm (300 x 300 DPI)

 $\varepsilon_{\rm R} = 0.0147 \times {\rm R}^{0.92}$

1

 ϵ_{ϕ}

1

Error relationships for complex resistivity data.

139x160mm (150 x 150 DPI)

1 1 1 1 1 1 1

100

100

10

 $= 1.637 - 0.291 \times \ln(R)$

10

Resistance, R (Ohms)

Resistance, R (Ohms)



 ϵ_{R} (Ohms)

0.1

0.01

0.001

 ϵ_{ϕ} (mrad)

2

1

0

0.1

0.1



Distribution of phase angle reciprocity errors. Dashed line shows Gaussian distribution for same sample mean and standard deviation as observed distribution. 130x81mm (150 x 150 DPI)





Complex resistivity inversion. (a) real conductivity; (b) imaginary conductivity; (c) phase angle. 137x245mm (300 x 300 DPI)





Variation in real conductivity magnitude (left column) and phase angle (right column) for image values in Figure 8, delineated into units following the stratigraphy in Figure 4. 173x209mm (300 x 300 DPI)





Results of five-cluster analysis showing (a) clusters based on real conductivity and (b) clusters based on real and imaginary conductivity. 293x152mm (300 x 300 DPI)





Cluster analysis results for well C4: (a) real conductivity profile from inversion; (b) imaginary conductivity profile from inversion; (c) cluster boundaries from five-cluster analysis; (d) cluster boundaries from sixcluster analysis; (e) unit boundaries (Barrash and Clemo, 2002; Mwenifumbo et al, 2009). 236x184mm (300 x 300 DPI)

GEOPHYSICS



Cluster analysis results for well B4: (a) real conductivity profile from inversion; (b) imaginary conductivity profile from inversion; (c) cluster boundaries from five-cluster analysis; (d) cluster boundaries from sixcluster analysis; (e) unit boundaries (Barrash and Clemo, 2002; Mwenifumbo et al, 2009). 236x184mm (300 x 300 DPI)





Example profiles of hydraulic conductivity. Black line - values computed using equation 7 using complex conductivity values. Grey line - values from multi-level slug test data in Barrash and Cardiff (2013). Note closer correspondence in the lower portion of the stratigraphy where K and porosity are more related in the Kozeny-Carman sense (Barrash and Cardiff, 2013). 313x187mm (300 x 300 DPI)