

# 1 Source Region and Growth Analysis of Narrowband Z-mode 2 Emission at Saturn 3 4

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## 17 18 **Key Points:**

- 19 • Source regions of Saturn 5 kHz Z-mode emission are located.
- 20 • Wave amplitude and electron PSD are analyzed.
- 21 • Temp. anisotropy & Quasi-steady conditions near Enceladus torus drive Z-mode

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24

## 25 **Abstract**

26

27 Intense Z-mode emission is observed in the lower density region near the inner edge of the  
 28 Enceladus torus at Saturn, where these waves may resonate with MeV electrons. The source  
 29 mechanism of this emission, which is narrow banded and most intense near 5 kHz, is not well  
 30 understood. We survey the Cassini Radio and Plasma Wave Science (RPWS) data to isolate  
 31 several probable source regions near the inner edge of the Enceladus density torus. Electron  
 32 phase space distributions are obtained from the Cassini Electron Spectrometer (ELS), part of the  
 33 Cassini Plasma Spectrometer (CAPS) investigation. We perform a plasma wave growth analysis  
 34 to conclude that an electron temperature anisotropy and possibly a weak loss cone can drive the  
 35 Z-mode as observed. Electrostatic electron acoustic waves and perhaps weak beam modes are  
 36 also found to be unstable coincident with the Z-mode. Quasi-steady conditions near the  
 37 Enceladus density torus may result in the observations of narrow band Z-mode emission at  
 38 Saturn.

39

## 40 **Introduction**

41

42 Z-mode radio emission is observed at Earth in the auroral regions [Gurnett et al., 1983] and  
 43 in the plasmopause associated with upper hybrid emissions [Kurth et al, 1982; Menietti and  
 44 Yoon, 2006]. The emission propagates in the frequency range  $f_z < f < f_{uh}$ , where

$$45 \quad f_z = \frac{1}{2} \left( \sqrt{f_{ce}^2 + 4f_{pe}^2} - f_{ce} \right) \text{ (cutoff frequency) and } f_{uh} = \sqrt{f_{ce}^2 + f_{pe}^2} \text{ (upper hybrid resonance)}$$

46 with  $f_{ce}$  and  $f_{pe}$  the cyclotron frequency and plasma frequency, respectively. Thus, the frequency

47 range can overlap whistler mode, but with different polarization. As discussed, for example, in

48 Benson et al. [2006], for Z-mode frequencies  $f/f_{pe} < 1$ , Z-mode is left-hand polarized, while for  
49  $f/f_{pe} > 1$ , it is right-hand polarized. In addition, the indices of refraction of the whistler mode and  
50 Z-mode can overlap near  $f_{pe}$  for the condition  $f_{pe}/f_{ce} < 1$  as shown in Figure 4.38 of Gurnett and  
51 Bhattacharjee, 2005.

52 Z-mode emissions can play an important role in the acceleration of electrons in a similar  
53 manner as the whistler mode. Both wave modes can contribute to diffusive scattering of  
54 electrons [cf. Horne and Thorne, 1998; Glauert and Horne, 2005; Albert, 2007; Xiao et al, 2012].  
55 In particular, Gu et al., 2013 have shown that Z-mode waves at Saturn may be responsible for  
56 local acceleration of electrons from hundreds of keV to tens of MeV at intermediate pitch angles.  
57 Thus, Z-mode may supplement chorus emission as a possible significant source of electron  
58 acceleration filling the radiation zones at Saturn [Shprits et al., 2012; Menietti et al., 2014; 2015].

59 Ye et al. [2010] have shown that narrowband 5 kHz emission at Saturn frequently  
60 propagates in the Z-mode, with the most intense observations occurring in the low density  
61 regions inside the orbit of Enceladus, where  $f_{pe}/f_{ce} < 1$ . Ye et al. [2010] have proposed that  
62 Saturn 5 kHz Z-mode emission may have an auroral source region. The discovery that this  
63 emission shows a rotation periodicity that is the same as Saturn Kilometric Radiation (SKR) also  
64 strongly supports an auroral source region. Menietti et al. [2011] subsequently analyzed SKR  
65 near a source region and indicated that the observed electron phase space distribution supports  
66 the wave growth of locally observed extraordinary, ordinary, and Z-mode emissions. The  
67 present study does not dispute any of these findings. However, we present here evidence that Z-  
68 mode may also have a source near the equator where the most intense emissions are observed.  
69 Menietti et al. [2015] surveyed Saturn's inner magnetosphere for Z-mode intensity as a function  
70 of frequency and position. In the current study we present results of a search of probable sources

71 of Z-mode in this region. Electron phase space data from the Cassini Plasma Spectrometer  
72 (CAPS) electron spectrometer (ELS) [Young et al., 2004; Coates et al., 1996; Arridge et al.,  
73 2009] are used to model the expected growth rate of waves in one probable source region.

74

## 75 **Z-mode Observations**

76

77 A survey of Cassini Radio and Plasma Wave Science investigation (RPWS) [Gurnett et al.,  
78 2004] data revealed at least a half dozen examples of intense Z-mode emission in the inner  
79 Saturn magnetosphere that are candidates for source regions. All of the regions occur  
80 approximately 15 to 35 degrees away from the magnetic equator and near the inner edge of the  
81 density torus where  $f_{pe}/f_{ce} < 1$ . In Figure 1 we display a spectrogram of Z-mode emission for day  
82 223 of 2008 that is characteristic of what we believe to be a probable source region. This  
83 emission is seen to be narrow-banded centered near 5 kHz at frequencies and well below the  
84 cyclotron frequency,  $f_{ce}$ . This pass was discussed in some detail in Menietti et al. [2015], but no  
85 electron phase space distribution (PSD) was available for wave growth analysis. As the  
86 spacecraft approaches the equator with increasing density and cyclotron frequency, the emission  
87 becomes quite intense for times in the range ~11:00 to ~12:15, and is close to the anticipated  
88 source region. Note also the intense broad band electrostatic emission coincident in time and  
89 overlapping in frequency with the intense Z-mode. This emission is not seen on spectrograms of  
90 the magnetic spectral density.

91 In order to conduct an analysis of wave growth it is necessary to obtain the electron phase  
92 space distribution in the emission source region, which may be obtained from the Electron  
93 Spectrometer (ELS), one of three sensors of the Cassini Plasma Spectrometer (CAPS). The ELS

94 sensor is an electrostatic analyzer with a fan-shaped array of 8 anodes (detectors) that operate in  
95 an energy range from 0.6 eV to ~28 keV. Each anode has a field of view of approximately 20°  
96 with some overlap.

97 In Table 1 we list some of the better examples of probable source regions of Z-mode  
98 emission. Of the 6 cases studied, only day 168 of 2008 provided minimally sufficient coverage  
99 of phase space from non-obstructed ELS anodes. Obstruction occurs as a result of an anode  
100 being partially or completely blocked by the Cassini spacecraft from plasma flux or perhaps  
101 susceptible to electron flux scattered by the spacecraft into the anode. The orientation of the  
102 spacecraft is important in determining which anodes may be obstructed. The Z-mode emission  
103 observed on day 167-168, 2008 is shown in Figure 2a, centered near 5 kHz with the most intense  
104 emission observed at lower latitudes. The Cassini spacecraft during this time is in a high  
105 inclination orbit and travels from high northern to high southern latitudes crossing the magnetic  
106 equator near 04:50 on day 168. The plasma density is higher adjacent to the magnetic equator,  
107 where the most intense emission is observed. The white line is the local electron cyclotron  
108 frequency calculated from the fluxgate magnetometer measurements [Dougherty et al., 2004]. In  
109 Figure 2b we show a higher resolution plot with linear frequency scale of the most intense  
110 emission centered near a frequency of 5 kHz. The white dots are approximately 1 minute  
111 averages of the plasma frequency obtained from the Langmuir Probe instrument (part of the  
112 RPWS) on board Cassini [Wahlund et al., 2005]. No data are available beyond ~ 04:12, after  
113 which the density increases as the magnetic equator and ring plane are approached [Persoon et  
114 al., 2013]. The narrow in time, intense, broadband emission near 04:50 is the signature of the  
115 ring plane crossing at the magnetic equator. This particular magnetic equator crossing was  
116 studied in more detail by Gu et al. [2013] as mentioned in the Introduction. Electron phase space

117 density (PSD) as a function of time during the intense 5 kHz emission is shown in Figure 3. All  
118 eight anodes of ELS are plotted, with the pitch angle ( $\alpha$ ) of each anode plotted in the bottom  
119 panel. The pattern is similar for each anode, with a decrease in electron PSD for pitch angles  
120 near  $0^\circ$ , and a slow increase in peak energy as a function of time. The ELS instrument during  
121 this time period collected data for all anodes every 4 seconds. However, because of the  
122 orientation of the spacecraft during this period, the time required to obtain the full range of pitch  
123 angles ( $0$  to  $180^\circ$ ) is approximately 3.5 minutes.

124 To analyze these data we have therefore singled out two periods of time, the first when the  
125 anodes are monitoring pitch angles closer to the anti-field-aligned direction, which we call Set A  
126 ( $\alpha > 90^\circ$ , 03:31:59 to 03:32:01), and the second when the anodes sample pitch angles centered  
127 nearer the field-aligned direction, which we call Set B ( $\alpha < 90^\circ$ , 03:35:43 to 03:35:45). We have  
128 combined both data sets, after eliminating partially obstructed anodes. The pitch angles for this  
129 analysis were obtained using the magnetic field orientation [Dougherty et al., 2004] and the  
130 Cassini ELS instrument anode directions [Young et al., 2004]. The location of the spacecraft for  
131 the time periods discussed in this investigation is near magnetic field lines connected to Saturn's  
132 radiation belts, and saturation of the ELS detectors occurs at times, but not during the times of  
133 our data set. For our analyses we have included 2 anodes nearest the anti-field aligned direction,  
134 which are partially obstructed (centered near  $\alpha = 167^\circ$  and  $\alpha = 168^\circ$ ). These anodes show similar  
135 fluxes to the adjacent anode ( $\alpha = 154^\circ$ ). Without these anodes, we have insufficient data near the  
136 anti-field-aligned direction to obtain a converging least squares fit to the data. We have also  
137 eliminated two non-obstructed anodes from Set B (centerline pitch angles of  $107^\circ$  and  $127^\circ$ ) that  
138 overlap in pitch angle coverage of Set A. Sampling of electron flux for Set A is generally larger  
139 than for Set B as we noted above (Figure 3), and we avoid any additional differences due to the

140 time delay between the sampling of Set A and Set B by eliminating any overlapping anode  
 141 coverage between the two sets. No other non-obstructed anodes overlapped in coverage. A list  
 142 of the anodes included in the study is given in Table 2. The resulting distribution (after  
 143 mirroring the data about the  $v_{\parallel}$  axis) is displayed in Figure 4a.

144 There are two obvious characteristics of these data. First, there is a distinct increase in  
 145 electron phase space density in the anti-field-aligned direction (also seen in Figure 3), and,  
 146 second, there is a temperature anisotropy (also for the anti-field-aligned direction). We have  
 147 performed a non-linear least-squares fit of these data to a combination of 5 bi-Maxwellians ( $w_{\parallel}$ ,  
 148  $w_{\perp}$  are the parallel and perpendicular thermal speeds),

$$\begin{aligned}
 f &= \sum_{s=1}^m f_s, \\
 f_s &= \frac{n_s}{\pi^{3/2} w_{\perp s}^2 w_{\parallel s}} \exp \left( -\frac{v_{\perp}^2}{w_{\perp s}^2} - \frac{(v_{\parallel} - v_{ds})^2}{w_{\parallel s}^2} \right). \tag{1}
 \end{aligned}$$

150 The variable fit parameters are  $n$ ,  $w$ , and  $T_{\perp}/T_{\parallel}$  for each population. The fitting routine uses  
 151 a gradient-expansion algorithm [cf. Bevington, p. 235-242] to compute a non-linear least squares  
 152 fit to a user-supplied function with known partial derivatives. The goodness-of-fit statistic,  $\chi^2$ , is  
 153 weighted by the standard deviation. We initially started with three plasma populations ( $m=3$  in  
 154 equation 1), but we increased this number to 5 to obtain correspondingly better fits. For the  
 155 values of  $m=3, 4,$  and  $5$  populations the corresponding fits yielded  $\chi^2 = 126, 84,$  and  $56,$   
 156 respectively. In Table 3 we list the plasma parameters (except for the density) for each  
 157 population ( $m=5$ ) obtained from the fit, and we include in parentheses the calculated percent  
 158 relative uncertainty ( $|\Delta p|/p$ ) of each variable parameter ( $p$ ). The densities in Table 3 (column 1)  
 159 are the values obtained by the least-squares analysis divided by a factor of 6.2, as we now  
 160 explain.

161 The density values in Table 3 are consistent with a plasma frequency of about 6 kHz, which  
162 is about a factor of 2.5 lower than interpreted from the data of Figure 4a, but, we have good  
163 reason to believe that the actual density in the source region is less than that interpreted from the  
164 sampled CAPS/ELS electron distribution (Figure 4a), based on Langmuir Probe (LP)  
165 measurements (Figure 2b). The discrepancy may be due in part to the sampling periods,  
166 temporal variations of the local plasma, or spacecraft charging. During the time of the data  
167 shown in Figure 4a, the average density determined by the Langmuir Probe, is  $0.380 \text{ cm}^{-3}$   
168 corresponding to  $f_{pe} = 5.53 \text{ kHz}$  (similar to Table 3). In addition, the polarization of the Z-mode  
169 emission at this time is left-handed, consistent with Z-mode propagating at a frequency less than  
170 the plasma frequency [Benson et al., 2006], but above the Z-mode cutoff,  $f_z$ .

171 A plot of the model distribution based on the data from Table 3 is shown in Figure 4b. We  
172 have made cuts of both the observed and model phase space distribution at pitch angles  $109^\circ$  and  
173  $168^\circ$  as shown in Figure 5. The '+' symbol designates the observed PSD while the 'x' symbol is  
174 the model value. To produce the plots of Figures 4b and 5 the density used for each plasma  
175 population in Table 3 was multiplied by a factor of 6.2 (as discussed previously), while all the  
176 other parameters were the same. The fit to the data is good, but the model becomes increasingly  
177 too low at the largest velocities for  $\alpha = 109^\circ$ . We address this problem later with the introduction  
178 of a kappa distribution fit. Positive spacecraft charging at this time prevents analysis of  $E \lesssim$   
179 2eV. There is no directly observed loss cone, but one might be conjectured assuming electron  
180 scattering over the sampling period (4 sec) may have obscured any loss cone present. At the  
181 time of the observations the spacecraft is at a latitude of  $\sim 28^\circ$ . Assuming a magnetic mirror  
182 point of  $\sim 1.1R_s$ , and using the zonal harmonic magnetic field model [Connerney et al., 1982] we



183 find that we might expect a loss cone of about  $11^\circ$ . This would be at the outer edge of the anode  
184 at  $168^\circ$  and may not be observed.

185 The general shape of the plasma distribution shown in Figures 4a,b (dominant plasma flux  
186 with a temperature anisotropy in the anti-field-aligned direction) is common throughout this time  
187 period of intense Z-mode emission. The primary changing parameter as a function of time is the  
188 plasma density which increases with time as the spacecraft approaches the magnetic equator and  
189 the ring plane [Persoon et al., 2013]. As we show below, the growth of Z-mode is limited by the  
190 ratio  $\omega_{pe}/\Omega_{ce} < 0.35$ , which thus limits the source location to times probably less than about  
191 03:55.

192

### 193 **Wave Growth Rate Analysis**

194

#### 195 Warm Plasma Analysis (WHAMP)

196 We investigate the growth of plasma waves based on the distribution model. We use the  
197 Waves in Homogeneous Anisotropic Multicomponent Plasmas (WHAMP) linear dispersion  
198 solver [Rönnmark, 1982, 1983] to search for unstable wave modes of the model distribution of  
199 Figure 4b. No nonlinear analysis is attempted. The dominant wave mode appears to be an  
200 electrostatic electron acoustic mode. The free energy source is the “Drifting 2” population, with  
201 both a temperature anisotropy and drift. Another source of the broad-banded electrostatic  
202 signatures is dust impacts near the ring plane [cf. Kurth et al., 2006; Wahlund et al., 2009; Ye et  
203 al., 2014]. We will not discuss these effects further, but they are a part of the “background”  
204 emission in this region as Cassini approaches the Enceladus ring plane. We plot the resulting  
205 dispersion and growth rate curves in Figure 6 indicating a strong growth extending up and just

206 beyond  $f \sim f_{pe} = 5.97$  kHz. These waves are broad-banded with a peak growth rate near 5 kHz.

207 An analytic expression for the electron acoustic mode for a two-component plasma is given as

$$208 \quad \omega_{ea}^2 = \frac{\omega_{pc}^2}{1 + 1/k^2 \lambda_{Dh}^2} \left( 1 + 3k^2 \lambda_{Dc}^2 + 3 \frac{n_{0h} T_c}{n_{0c} T_h} \right) \quad (2)$$

209 where  $\lambda_D$ ,  $T$ , and  $n$  are the Debye length, temperature, and density for the hot (h) and cold (c)  
 210 plasma components, respectively, and  $k$  is the wave number [Baumjohann and Treumann, 2004,  
 211 p. 264]. For comparison, on Figure 6 we have over-plotted the electron acoustic dispersion curve  
 212 obtained from equation 2 with density and temperature parameters obtained from results from  
 213 the “Cool” and “Drifting 2” populations of Table 3. However, we have increased the density of  
 214 the Cool population to maintain a plasma frequency of 5.97 kHz. The two dispersion curves  
 215 have a similar shape, but the plasma distribution used in the WHAMP analysis may also support  
 216 weak beam modes, and perhaps more than one electron acoustic mode.

217 For this modeled phase space distribution, a sum of bi-Maxwellians (Figure 4b), Z-mode is  
 218 not unstable. We proceed with a linear, cold plasma magnetoionic analysis using a kappa  
 219 distribution, which can better describe a high energy tail.

220

221

## 222 Kappa Distribution Analysis

223 Kappa distributions have been shown to be a powerful tool for representing suprathermal  
 224 components that deviate from Maxwellian distributions. [Vasyliunas, 1968; Feldman et al., 1975;  
 225 Gosling et al., 1981; Yoon et al., 2013; Yoon, 2014]. The kappa model is numerically tractable  
 226 and has numerous space plasma applications [cf. Livadiotis and McComas, 2013]. The phase  
 227 space distribution shown in **Figure 4a** can also be modeled using an isotropic Maxwellian

228 distribution,  $f_0$ , and a combination of an isotropic kappa distribution and an electron conic (or  
 229 weak loss cone) distribution. Electric conics are distributions enhanced just outside the loss cone  
 230 [Menietti et al., 1985]. The kappa distribution allows for increased energy of the extended tail of  
 231 the distribution compared to a Maxwellian. We proceed as follows,

232

$$233 \quad f = f_0 + f_h, \quad (3)$$

$$234 \quad f_0 = \frac{n_0}{\pi^{3/2} w_0^3} \exp\left(-\frac{v^2}{w_0^2}\right), \quad (4)$$

$$235 \quad f_h = \frac{n_h}{\pi^{3/2} (\kappa w^2)^{3/2}} \frac{\Gamma(\kappa+1)}{A \Gamma(\kappa-1/2)} \frac{1}{(1+v^2/\kappa w^2)^{\kappa+1}} \left[ 1 - \tanh\frac{(\mu+\mu_0)^2}{\delta^2} + \Delta \left( 1 + \tanh\frac{\mu+\mu_0}{\delta} \right) \right], \quad (5)$$

$$236 \quad A = \frac{1}{2} \int_{-1}^1 d\mu \left[ 1 - \tanh\frac{(\mu+\mu_0)^2}{\delta^2} + \Delta \left( 1 + \tanh\frac{\mu+\mu_0}{\delta} \right) \right], \quad (6)$$

237 where  $f_0$  is the cool distribution,  $f_h$  is the warm distribution,  $v$  is electron kinetic speed,  $w_0$  is drift  
 238 velocity,  $\mu = \cos(\alpha)$  ( $\alpha$  is the electron pitch angle), and  $\Gamma(\kappa+1)$  is the gamma or factorial function.  
 239 We choose the fitting parameters listed in Table 4.

240 The resulting phase space distribution is shown in Figure 7. A cut of the phase space  
 241 distribution for the kappa distribution at a pitch angle of  $109^\circ$  is shown compared to the  
 242 observations in Figure 7b. The Kappa model density is multiplied by the same factor of 6.2 as  
 243 explained above for Figure 5. The Kappa distribution fits better at higher velocities compared to  
 244 the bi-Maxwellian distribution. Analysis of this distribution proceeds according to linear  
 245 magnetoionic wave dispersion theory as presented in Yoon [1996; 1998] and briefly summarized  
 246 here. The wave dispersion relation for extraordinary (X), Z, and whistler (W) modes can be  
 247 written,

$$248 \quad N_{X/Z}^2 = 1 - \frac{f_{pe}^2}{f(f + \tau f_{ce})}, \quad N_{W/O}^2 = 1 - \frac{\tau f_{pe}^2}{f(\tau f - f_{ce} \cos^2 \theta)}, \quad (7)$$

$$249 \quad \tau = \left( s + \sqrt{s^2 + \cos^2 \theta} \right) \frac{f_{pe}^2 - f^2}{|f_{pe}^2 - f^2|}, \quad s = \frac{ff_{ce} \sin^2 \theta}{2|f_{pe}^2 - f^2|}, \quad (8)$$

250 where the various mode designations follow the customary practice based upon the range of  
 251 wave frequency,

$$252 \quad X \text{ mode: } f > f_X, \quad Z \text{ mode: } f_Z < f < f_Z^{\text{res}}, \quad (9)$$

$$253 \quad O \text{ mode: } f > f_{pe}, \quad W \text{ mode: } 0 < f < f_W^{\text{res}}, \quad (10)$$

254 and where the various cutoffs and resonance frequencies are defined by

$$255 \quad f_X = \frac{1}{2} \left( \sqrt{f_{ce}^2 + 4f_{pe}^2} + f_{ce} \right), \quad (11)$$

$$256 \quad f_Z^{\text{res}} = \frac{1}{\sqrt{2}} \left[ f_{pe}^2 + f_{ce}^2 + \sqrt{(f_{pe}^2 - f_{ce}^2)^2 + 4f_{pe}^2 f_{ce}^2 \sin^2 \theta} \right]^{1/2}, \quad (12)$$

$$257 \quad f_W^{\text{res}} = \frac{1}{\sqrt{2}} \left[ f_{pe}^2 + f_{ce}^2 - \sqrt{(f_{pe}^2 - f_{ce}^2)^2 + 4f_{pe}^2 f_{ce}^2 \sin^2 \theta} \right]^{1/2}, \quad (13)$$

258 and  $f_Z$  was given previously in the Introduction.

259 The temporal growth rate is then expressed as

$$260 \quad \gamma_\sigma = \frac{f_{pe}^2}{f} \frac{\pi^2}{R_\sigma} \sum_{s=0}^{\infty} \left( \Theta(sf_{ce} - f) \int_{-1}^1 d\mu Q_s^\sigma(u_+, \mu) + \Theta(f - sf_{ce}) \Theta(1 - \mu_s^2) \int_{\mu_s}^1 d\mu \sum_{+,-} Q_s^\sigma(u_\pm, \mu) \right), \quad (14)$$

261 where  $\sigma$  stands for  $X$ ,  $Z$ ,  $W$ , or  $O$ , and

$$\begin{aligned}
 262 \quad Q_s^{X/Z}(u, \mu) &= \frac{\tau^2}{\tau^2 + \cos^2 \theta} \frac{u^2(1-\mu^2)}{|u - N_{X/Z} \mu \cos \theta|} \left[ \frac{f}{f_{ce}} \left( K_{X/Z} \sin \theta + \frac{\cos \theta}{\tau} (\cos \theta - N_{X/Z} u \mu) \right) \right. \\
 &\times \left. \frac{J_s(b)}{b} + J'_s(b) \right]^2 \left( u \frac{\partial}{\partial u} + (N_{X/Z} u \cos \theta - \mu) \frac{\partial}{\partial \mu} \right) f(u, \mu),
 \end{aligned} \tag{15}$$

$$\begin{aligned}
 263 \quad Q_s^{W/O}(u, \mu) &= \frac{1}{\tau^2 + \cos^2 \theta} \frac{u^2(1-\mu^2)}{|u - N_{W/O} \mu \cos \theta|} \left[ \frac{f}{f_{ce}} \left( K_{W/O} \sin \theta \cos \theta - \tau (\cos \theta - N_{W/O} u \mu) \right) \right. \\
 &\times \left. \frac{J_s(b)}{b} + \cos \theta J'_s(b) \right]^2 \left( u \frac{\partial}{\partial u} + (N_{W/O} u \cos \theta - \mu) \frac{\partial}{\partial \mu} \right) f(u, \mu),
 \end{aligned} \tag{16}$$

$$264 \quad u_{\pm} = N_{\sigma} \mu \cos \theta \pm \sqrt{N_{\sigma}^2 \mu^2 \cos^2 \theta + 2 \left( \frac{S f_{ce}}{f} - 1 \right)}, \tag{17}$$

$$265 \quad \mu_s = \frac{\sqrt{2}}{N_{\sigma} \cos \theta} \left( 1 - \frac{S f_{ce}}{f} \right)^{1/2}, \quad b = \frac{f}{f_{ce}} N_{\sigma} u_{\pm} \sqrt{1 - \mu^2} \sin \theta, \tag{18}$$

$$266 \quad K_{X/Z} = \frac{f_{pe}^2}{f^2 - f^2} \frac{f_{ce} \sin \theta}{f + \tau f_{ce}}, \quad K_{W/O} = \frac{f_{pe}^2}{f^2 - f^2} \frac{\tau f_{ce} \sin \theta}{\tau f - f_{ce} \cos^2 \theta}. \tag{19}$$

267

268 For the kappa-loss-cone distribution the quantity of interest is

269

$$\begin{aligned}
 270 \quad u \frac{\partial f}{\partial u} + (N_{\sigma} u \cos \theta - \mu) \frac{\partial f}{\partial \mu} &= - \frac{n_h}{\pi^{3/2} (\kappa w^2)^{3/2} A} \frac{\Gamma(\kappa + 1)}{\Gamma(\kappa - 1/2)} \\
 &\times \left\{ \frac{2u^2}{w^2} \frac{\kappa + 1}{\kappa} \frac{1}{(1 + v^2 / \kappa w^2)^{\kappa + 2}} \left[ 1 - \tanh \frac{(\mu + \mu_0)^2}{\delta^2} + \Delta \left( 1 + \tanh \frac{\mu + \mu_0}{\delta} \right) \right] \right. \\
 &\left. + \frac{1}{(1 + v^2 / \kappa w^2)^{\kappa + 1}} \frac{N_{\sigma} u \cos \theta - \mu}{\delta} \left( \frac{2(\mu + \mu_0)}{\delta} \operatorname{sech}^2 \frac{(\mu + \mu_0)^2}{\delta^2} - \Delta \operatorname{sech}^2 \frac{\mu + \mu_0}{\delta} \right) \right\}.
 \end{aligned} \tag{20}$$

271

272 The growth rate superimposed on top of the dispersion surface is shown for both Z and W  
 273 modes in Figure 8a. The individual surfaces are shown in Figures 8b,c, respectively. The free  
 274 energy source of the Z-mode and whistler mode emission is the temperature anisotropy. Note  
 275 that the W mode is unstable for  $\omega/\Omega_e \sim 0.05$  ( $f \sim 1.5$  kHz) for quasi-parallel propagation angles in  
 276 a narrow range of frequencies. In contrast, the Z-mode is unstable for quasi-parallel directions  
 277 over a broader range of frequencies closer to  $\omega_{pe}$ . In Figure 9 we show the calculated growth rate  
 278 as a function of frequency for three values of wave normal angle,  $\theta$ , i.e. the angle between the  
 279 wave vector,  $\mathbf{k}$ , and the magnetic field. The Z-mode growth ranges from  $\sim 2$  kHz to  $\sim 4.5$  kHz for  
 280  $\Gamma > 4.5 \times 10^{-4}$  kHz at wave normal angle near zero. The calculated Z-mode growth could explain  
 281 the intense (red) emission of Figure 2b (03:15 to 04:30) and possible whistler mode emission  
 282 (green) observed at lower frequencies in the same time interval.

283 The expected gain of the Z-mode emission can be estimated and is dependent on the  
 284 radiation background levels. Some of the weakest observations of Z-mode emission during this  
 285 event are seen earlier on the same day near 02:22 and within the frequency range  $4 \text{ kHz} < f < 5$   
 286 kHz with spectral density  $\sim 2 \times 10^{-13} \text{ V}^2 \text{m}^2 \text{Hz}^{-1}$ . For typical values of Z-mode during the most  
 287 intense emission, spectral densities are  $\sim 5 \times 10^{-10} \text{ V}^2 \text{m}^2 \text{Hz}^{-1}$ , therefore

288

$$289 \quad G = I / I_o \sim 5 \times 10^{-10} / 2 \times 10^{-13} = 2.5 \times 10^3 \quad (21)$$

$$290 \quad G = \exp(2 \Gamma \tau_g) = 2.5 \times 10^3, \quad (22)$$

291

292 where  $\Gamma$  = temporal growth rate of the wave amplitude, and  $\tau_g$  = growth time.

$$293 \quad 2\Gamma \tau_g = 7.8, \quad \tau_g \sim 4s \quad (23)$$

294 We suspect that the phase space distribution we observe is relaxed from that which actually  
295 generated the waves, and thus the growth rate predicted from the measured distributions is  
296 smaller.

297 The whistler mode growth is quite weak and for a very narrow range of frequencies near 2  
298 kHz. This is reasonably consistent with the observations, which show only weak whistler mode  
299 emission for  $f < 3.5$  kHz.

300 We have investigated the growth rate of Z-mode at higher plasma densities, but at  $\omega_{pe}/\Omega_{ce} >$   
301 0.35 the Z-mode is damped except for cyclotron-maser emission near  $\Omega_{ce}$ . We believe our  
302 choice of  $\omega_{pe}/\Omega_{ce} = 0.21$  is reasonable, based on Langmuir Probe measurements and the temporal  
303 nature of the plasma population. The polarization of the Z-mode emission between 03:30 to  
304 04:00, is left-handed indicating the Z-mode is propagating in a region where  $\omega < \omega_{pe}$ , consistent  
305 with our choice of  $f_{pe} \sim 6$  kHz.

306

## 307 **Summary and Conclusion**

308

309 We have conducted a survey of possible source regions of 5 kHz narrow band Z-mode  
310 emission in the Saturn lower density region of the inner magnetosphere. Six such regions were  
311 chosen for further study, but only one region provided marginally sufficient sampling of electron  
312 pitch angles for determination of the phase space distribution. We have introduced two electron  
313 distributions. The first is a least-squares fit of the available PSD to a sum of bi-Maxwellians.  
314 This distribution contains no loss cone because none is directly observable possibly due to the  
315 limited coverage of the ELS anodes near the field-aligned direction and the 4-second sampling  
316 period. Analysis of this distribution with the WHAMP dispersion solver finds growth of electron

317 acoustic waves but not of Z-mode waves. We then introduce a kappa distribution with a weak  
318 loss cone that is consistent with the data because of the observational uncertainties. For this  
319 distribution linear cold plasma magnetoionic theory [Yoon et al., 1996; 1998] is applied to  
320 discover wave growth for the Z-mode. We have identified a probable free energy source for the  
321 growth of Z-mode as a temperature anisotropy and a weak loss cone. The frequency range of the  
322 Z-mode is calculated to be approximately 2-5 kHz compared to the observed range of about 3-7  
323 kHz, with the maximum frequency dependent on the plasma frequency of the source population.  
324 The modeled Z-mode growth rates are adequate to explain the observations with caveats.  
325 Whistler mode emission is also calculated to grow weakly in a narrow frequency range of a few  
326 hundred Hz near 2 kHz. Consistent with this, only weak whistler mode emission is observed for  
327  $f < 3.5$  kHz.

328 The region of the probable source, the inner edge of the Enceladus density torus and near  
329 magnetic field lines that map to the radiation belt can saturate some ELS anode measurements,  
330 and in the present case there are also anode obscuration difficulties. In addition, data are  
331 sampled over two distinct 4-second periods separated by approximately 3.5 minutes. These  
332 problems make the task of obtaining a sufficient electron phase space distribution very  
333 challenging. However, the time history of the event (cf. Figure 3) indicates a general pattern of  
334 consistently dominant electron phase space density in the anti-field-aligned direction. This  
335 provides confidence in the assumption of relatively constant PSD levels during the  $\sim 3.5$  minutes  
336 separating the two sets of electron data (Sets A and B). The results suggest that narrow band  
337 emission, often observed in a frequency range centered near 5 kHz, can be generated by sources  
338 in the outer edge of the Enceladus torus, where the conditions  $\omega_{pe}/\Omega_{ce} \lesssim .3$ ,  $T_{\perp}/T_{\parallel} > 1$  and a  
339 weak loss cone are sufficient to support growth of the Z-mode. A source of temperature



340 anisotropy near the Enceladus torus may be damping of ion cyclotron waves which have been  
341 identified and studied by many researchers [cf. Leisner et al., 2006; Menietti et al., 2013]. The  
342 narrow size of the source location may be due to the steep gradient in the density in this inner  
343 region of the Saturn magnetosphere. For the region of Z-mode growth analyzed in this work, the  
344 plasma  $\beta \ll 1$ . But as  $\beta$  increases nearer to the magnetic equator and the ring plane cyclotron  
345 and Landau damping of the Z-mode increase [cf. Gary, 1993]. Broadband electrostatic emission  
346 also increases in amplitude coincident with the onset of the intense Z-mode emission at  $\sim 03:15$ .  
347 The broadband emission is partially due to the electron acoustic mode (and possibly weak beam  
348 modes) generated by the observed plasma distribution, and probably also to the presence of dust  
349 impacts on the spacecraft antennas.

350 These studies provide a reasonable explanation for the presence of Z-mode emission in the  
351 inner magnetosphere of Saturn. Damping of and wave-particle interactions with this emission is  
352 a probable source of electron acceleration of electrons as suggested by Horne and Thorne [1998]  
353 for Earth, and Gu et al. [2013] and Menietti et al. [2015] for Saturn and should be the subject of  
354 further investigations.

355

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357

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518

**Table 1**  
**Probable Z-mode (5-kHz) Source Regions**

Year	DOY	Northern		Southern	
		start	stop	start	stop
2008	168	03:20	04:30		
2008	182	07:30	08:10	09:15	10:10
2008	196	09:00	09:55	10:45	11:50
2008	203	10:15	10:50	11:40	12:30
2009	223			11:00	12:10
2010	027	05:00	06:30		

519

**Table 2**  
**Selected ELS Anodes**  
**Centerline Pitch Angle (degs) of Anodes**

	Hr:Mn:Sec	deg	deg	deg	deg	deg
Set A	03:31:59	168*	167*	149	129	109
Set B	03:35:43	87	67	47	27	8

\* Indicates Partially Obstructed

520

**Table 3**  
**Bi-Maxwellian Fit to Observations**

Population	$n(\text{m}^{-3})^{**}$	$w_{\parallel}(\text{m/s})$	$T_{\perp}/T_{\parallel}$	$V_{\text{drift}}(\text{m/s})$
Cool	$2.97 \times 10^5 (.63)^*$	$8.75 \times 10^5 (.29)$	0.861 (.56)	0.0
Warm 1	$4.57 \times 10^4 (.89)$	$3.12 \times 10^6 (.37)$	0.603 (.81)	0.0
Warm 2	$1.38 \times 10^4 (.71)$	$1.45 \times 10^7 (.59)$	0.629 (.94)	0.0
Drifting 1	$6.27 \times 10^4 (.63)$	$1.02 \times 10^6 (.48)$	4.40 (.74)	$1.5 \times 10^6$
Drifting 2	$2.50 \times 10^4 (.63)$	$4.76 \times 10^6 (.39)$	1.82 (.70)	$3.0 \times 10^6$

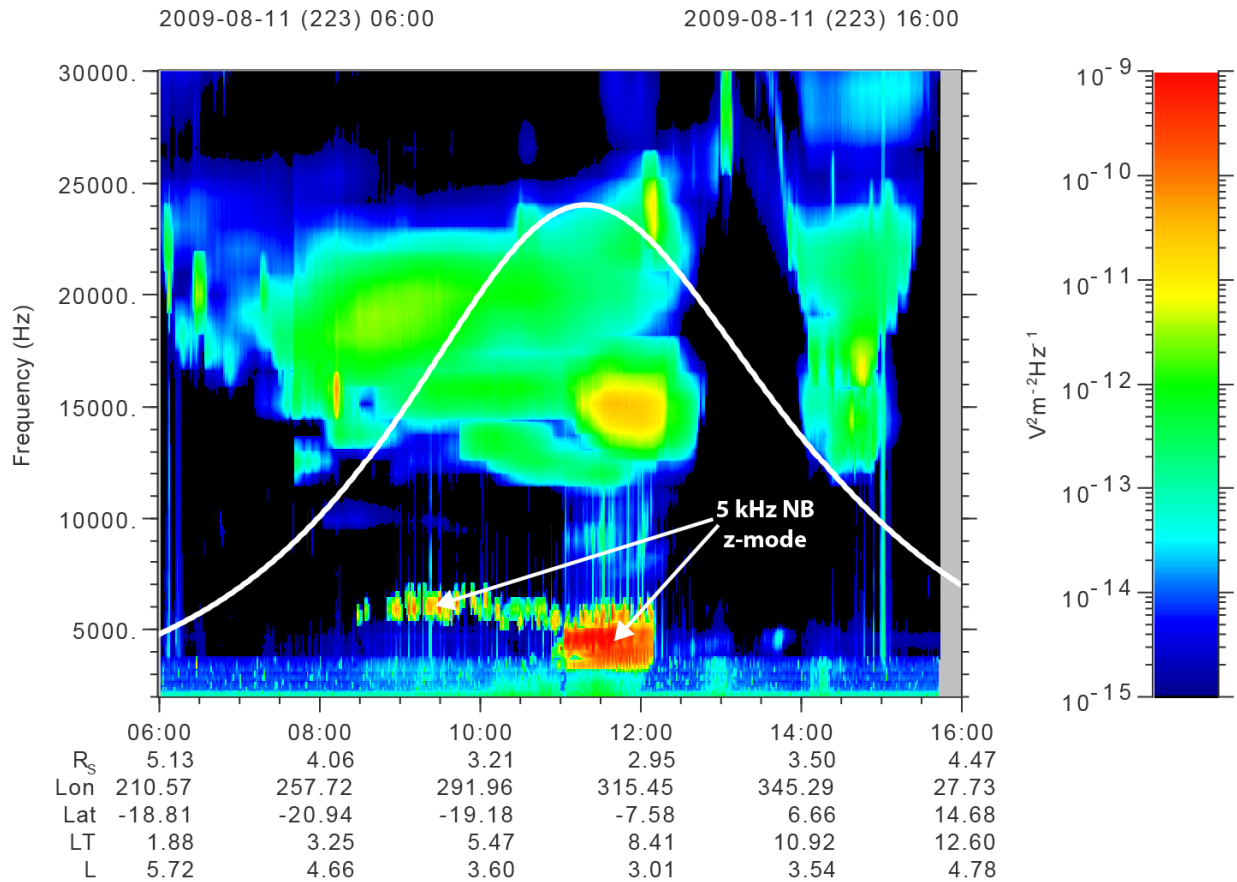
\*\* (Calculated Value)/6.2      \* percent uncertainty in parentheses

521

**Table 4**  
**Kappa Fit Parameters**

$w_o(\text{m/s})$	$n_h(\text{m}^{-3})$	$\mu_0$	$\delta$	$\Delta$	$\kappa$
$1.02 \times 10^6$	$4.42 \times 10^5$	0.1	0.6	0.3	1

522



523

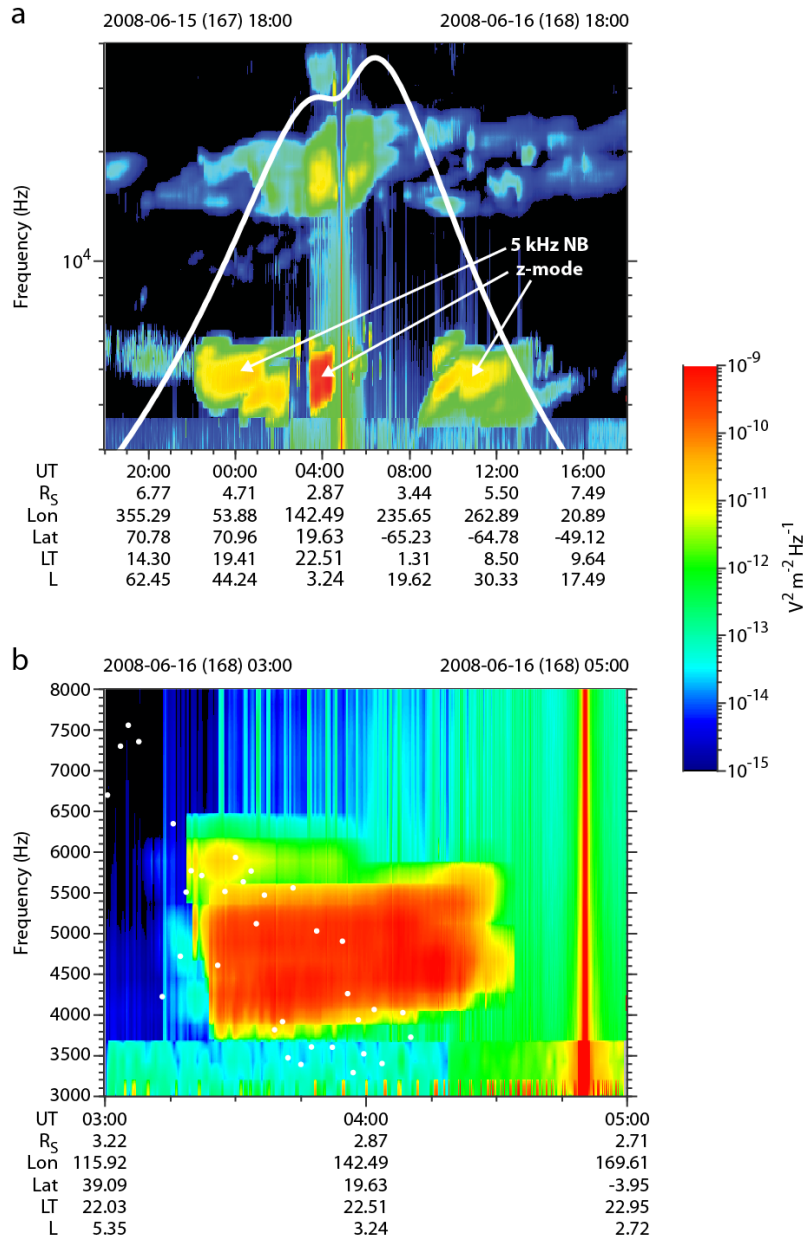
Orbit 116

524 **Figure 1**

525 A spectrogram of Z-mode emission for day 223 of 2008, indicative of a probable source  
 526 region. This emission is intense, narrow-banded centered near 5 kHz,  $\omega < \Omega_{ce}$ . Note the intense  
 527 Z-mode ( $\sim 11:00$  to  $\sim 12:15$ ) is also coincident with intense, broad-banded electrostatic emission  
 528 (green color). The white line is the electron cyclotron frequency.

529

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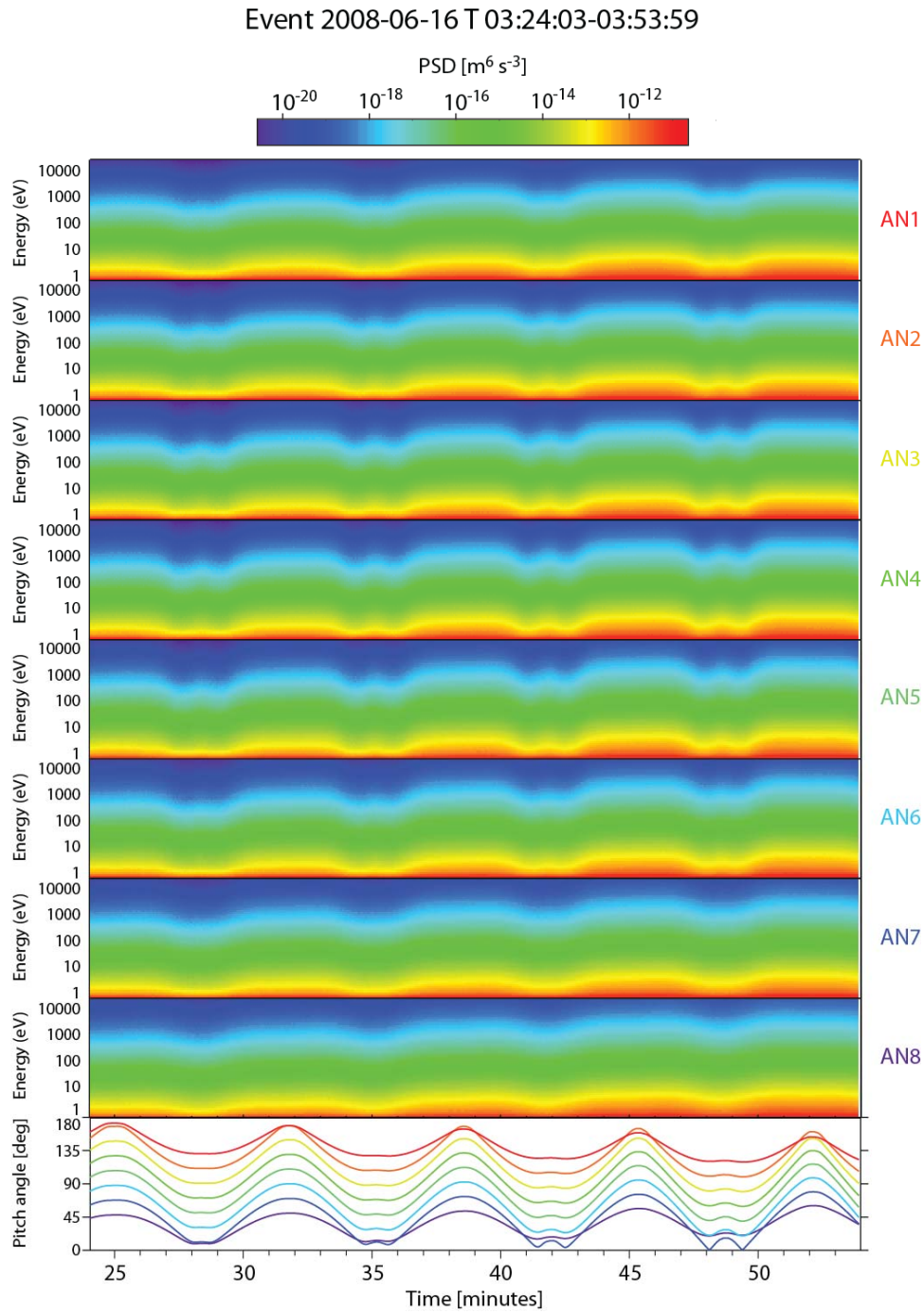
532 **Figure 2**

533 a) Narrow band (NB) Z-mode emission observed on day 167-168, 2008, centered near 5  
 534 kHz with the most intense emission observed at lower latitudes as the density increases and  
 535 broadband electrostatic emission becomes intense (~03:00-04:30). The white line is the

536 cyclotron frequency. b) a higher resolution plot of the most intense emission centered near 5  
537 kHz. The intense, narrow in time, broadband emission near 04:50 is the signature of the ring  
538 plane crossing near the magnetic equator. The white dots are  $\sim 1$  minute averages of the plasma  
539 frequency obtained from the Langmuir Probe.

540

A-D16-115-1



541

542 **Figure 3**

543 Electron phase space density (PSD) as a function of time during the intense emission shown

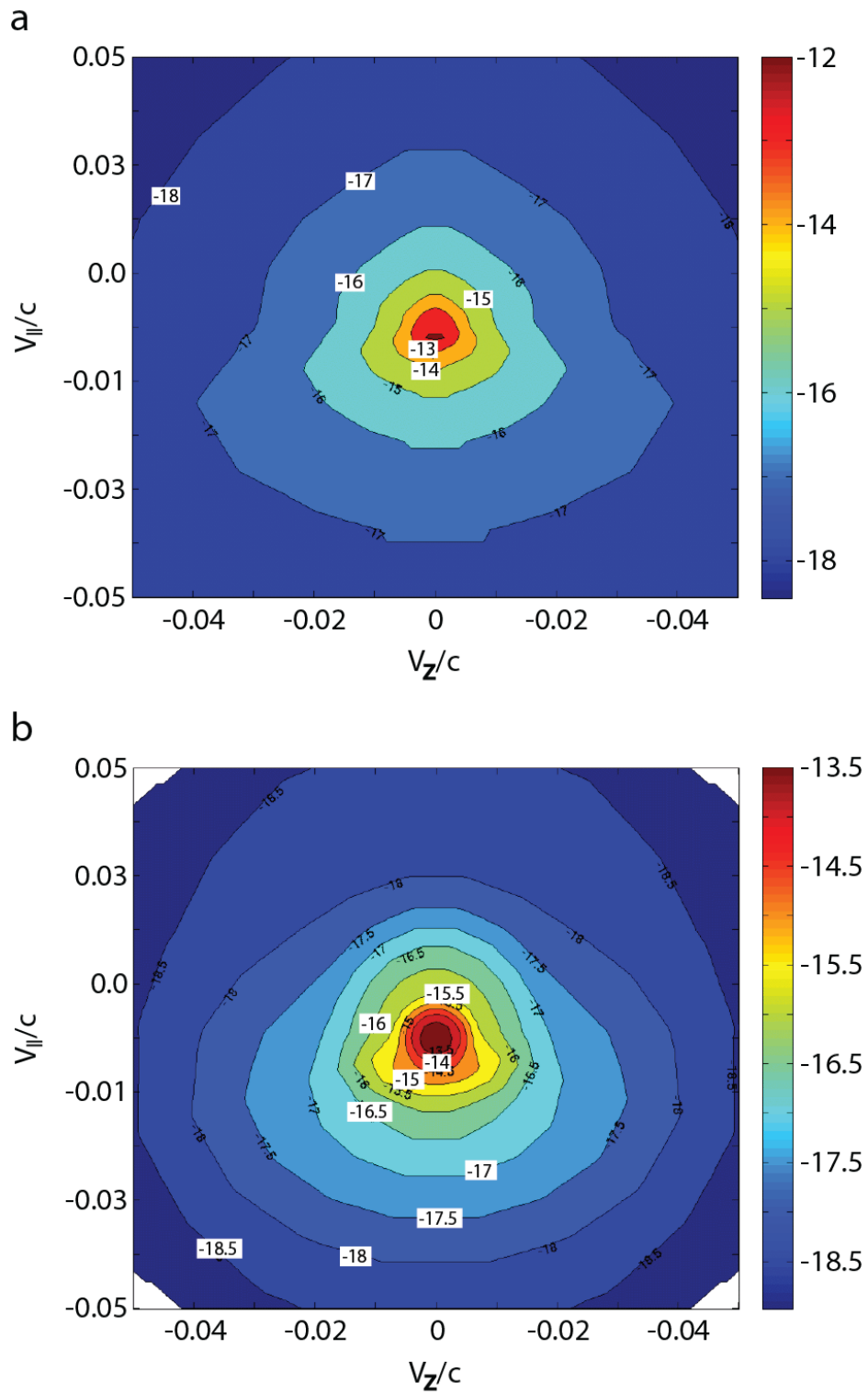
544 in Figure 3. All eight anode of ELS are plotted, with the pitch angle ( $\alpha$ ) of each anode in the

545 bottom panel. The pattern is similar for each anode, showing a decrease in electron PSD for

546 pitch angles near  $0^\circ$ , and a slow increase in overall peak energy as a function of time. The time  
547 axis units are minutes after the start time (3 hr 24 min 3 sec).

548

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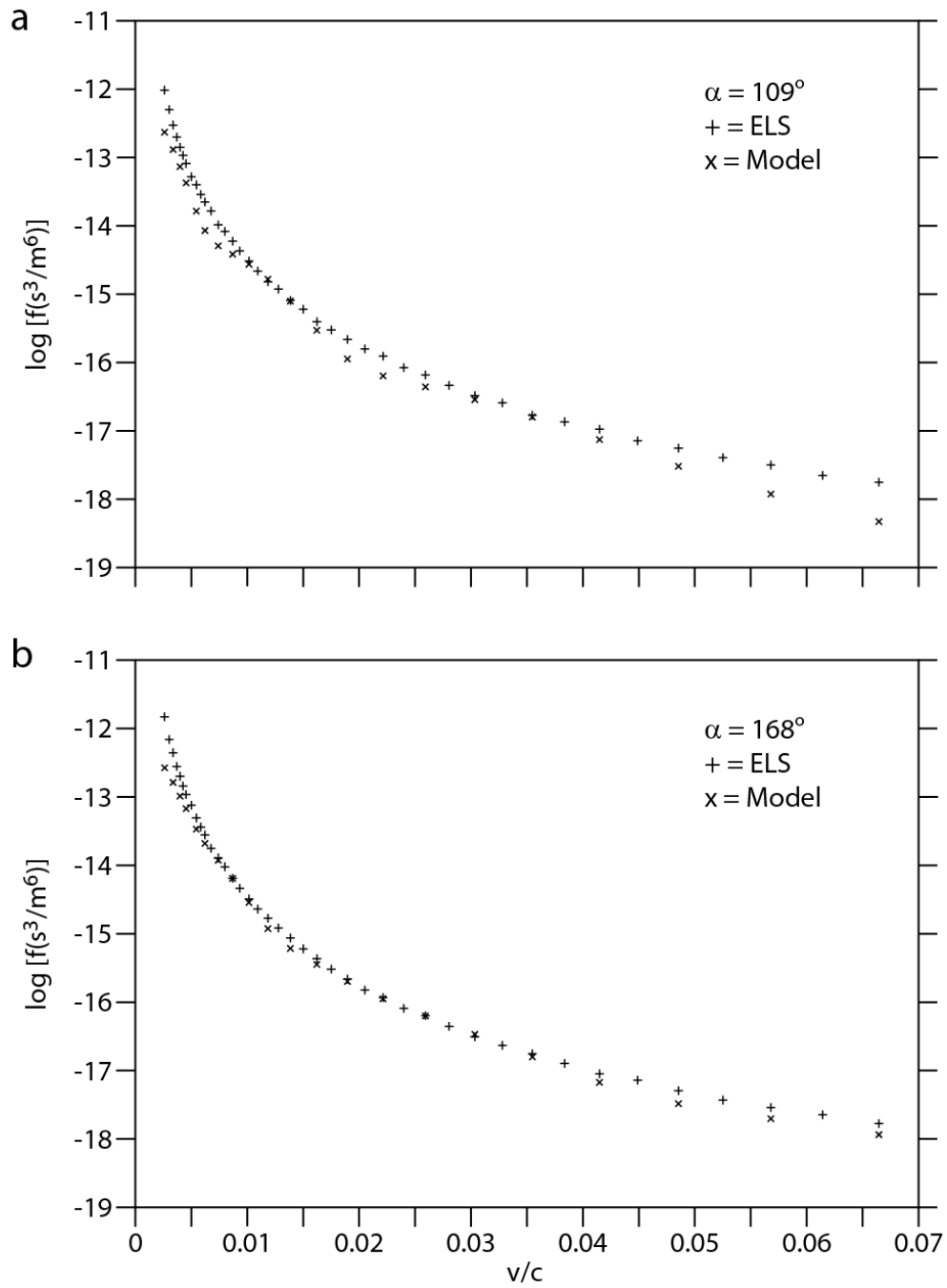
550 **Figure 4**



551 a) Phase space density (PSD) after mirroring the data about the  $V_{\parallel}$  axis. The color bar units  
552 are  $\log\{\text{PSD (sec}^3/\text{m}^6)\}$ . For the anti-field-aligned direction note a distinct increase in electron  
553 particle flux and a temperature anisotropy. b) Least-squares fit model distribution using the data  
554 from Table 3.

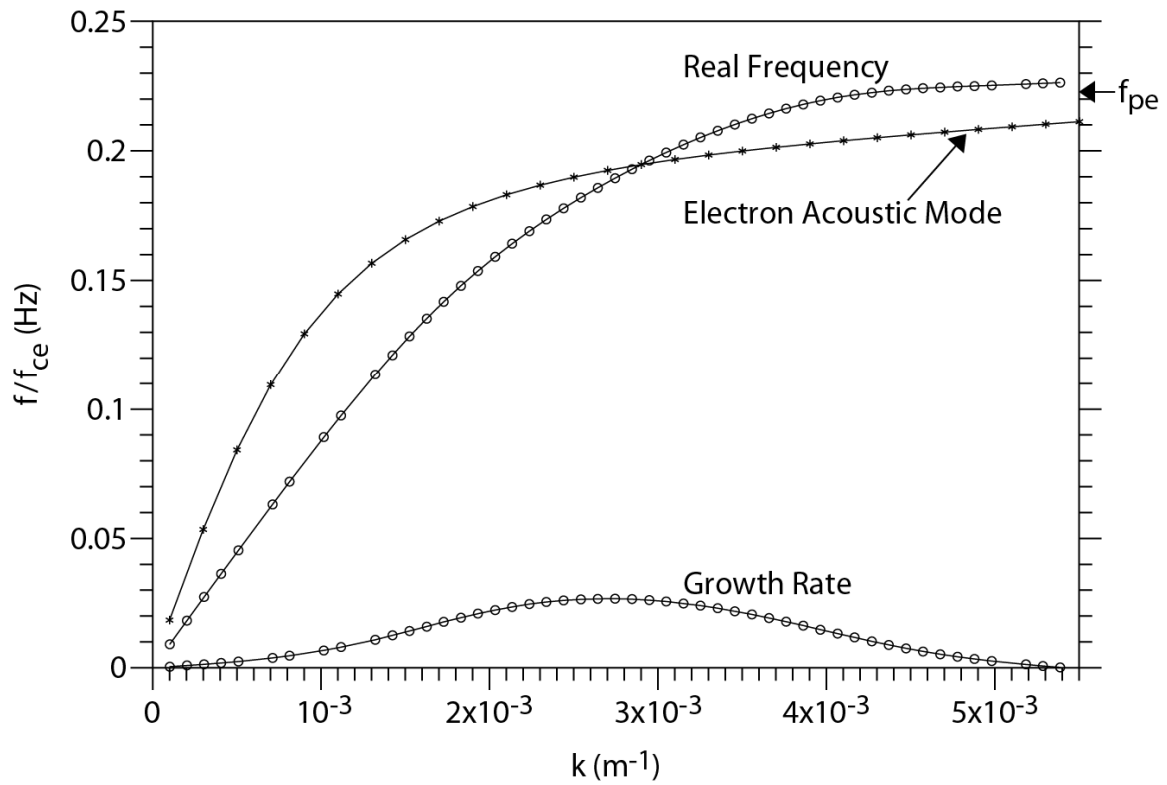
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A-D16-113



556

557 **Figure 5**558 Cuts of the observed and model PSD at pitch angles ( $\alpha$ ) of a)  $109^\circ$  and b)  $168^\circ$  as labelled.



559

560

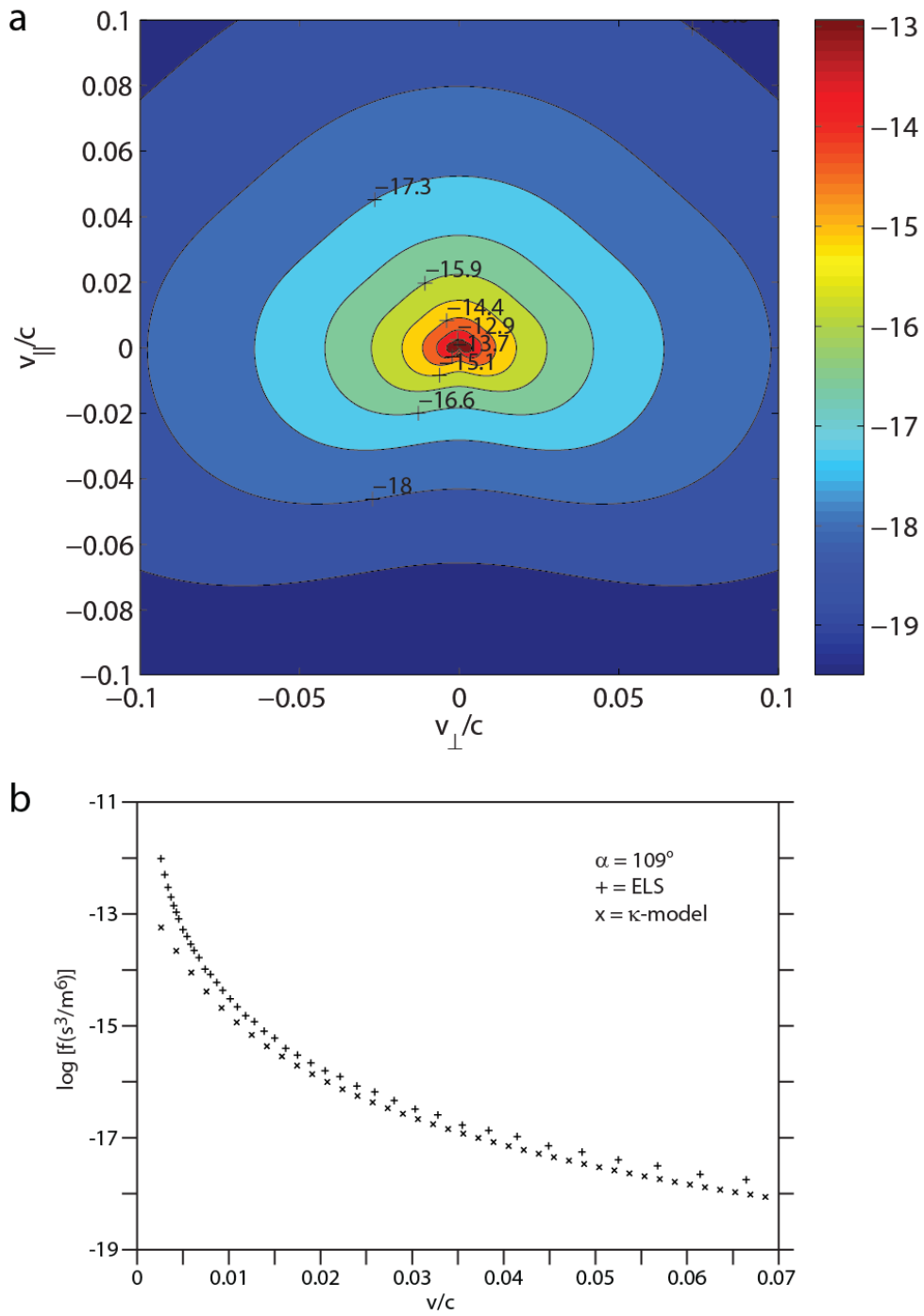
561 **Figure 6**

562 Dispersion and growth curves for the WHAMP analysis showing a broad spectrum with  
 563 maximum growth near 5 kHz and damping near  $f_{pe}$ . These waves are reasonably narrow-banded  
 564 with a peak near 5 kHz. Also plotted is the dispersion curve for the electron acoustic mode  
 565 resulting from equation 2.

566

567

A-D16-117



568

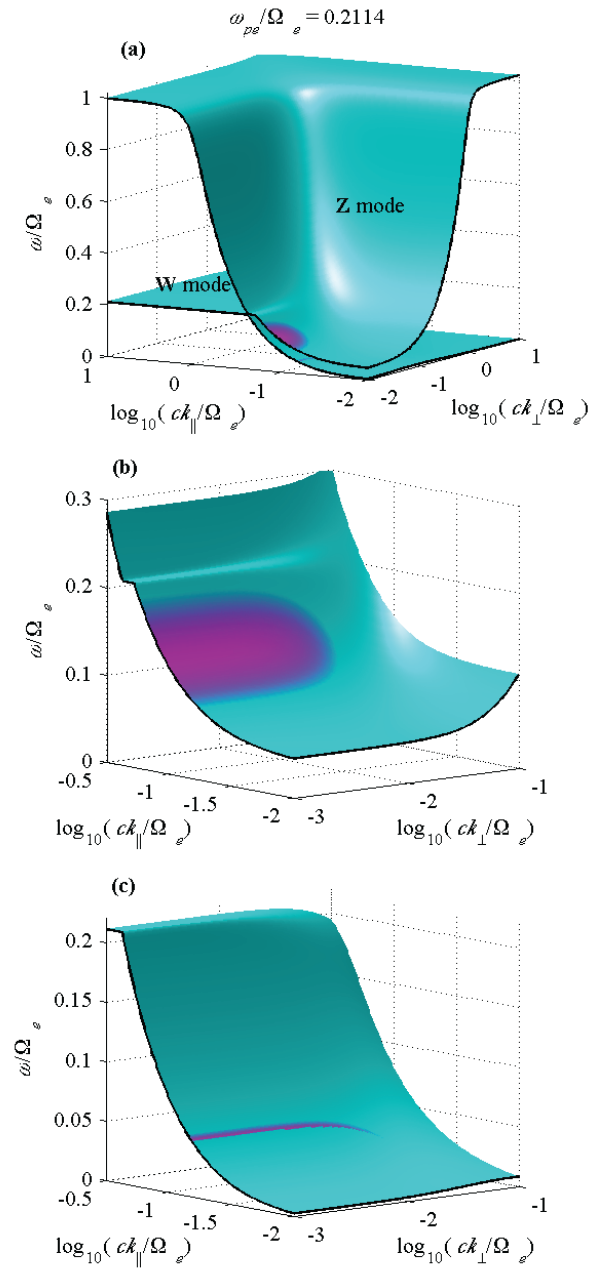
569 **Figure 7**

570 (a) Phase space distribution using a combination of an isotropic kappa distribution and an

571 electron conic (or weak loss cone) distribution. Parameters are listed in Table 4. (b) Cuts of the

572 observed and model PSD at pitch angle  $\alpha = 109^\circ$  for the kappa distribution as labelled. Note the  
573 better fit at larger velocities compared to the bi-Maxwellian fit of Figure 5 for the same pitch  
574 angle.

575



576

577

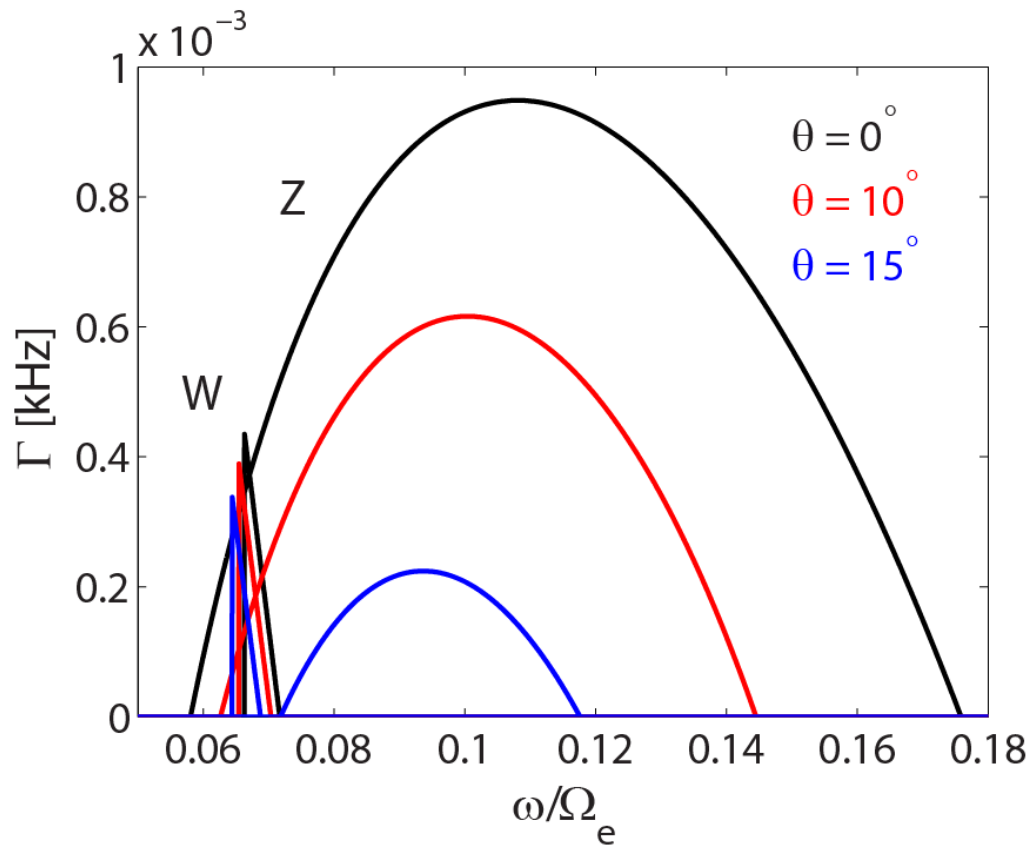
578 **Figure 8**

579 We choose  $\omega_{pe}/\Omega_e = 0.2144$  corresponding to  $f_{pe} = 6$  kHz and obtain the dispersion

580 surfaces shown in Figure 8a. Growth rate superimposed on top of the dispersion

581 shown for both Z and W modes in 7b,c, respectively.





583

584

585 **Figure 9**

586 Temporal growth rate as a function of frequency for three wave normal angles.

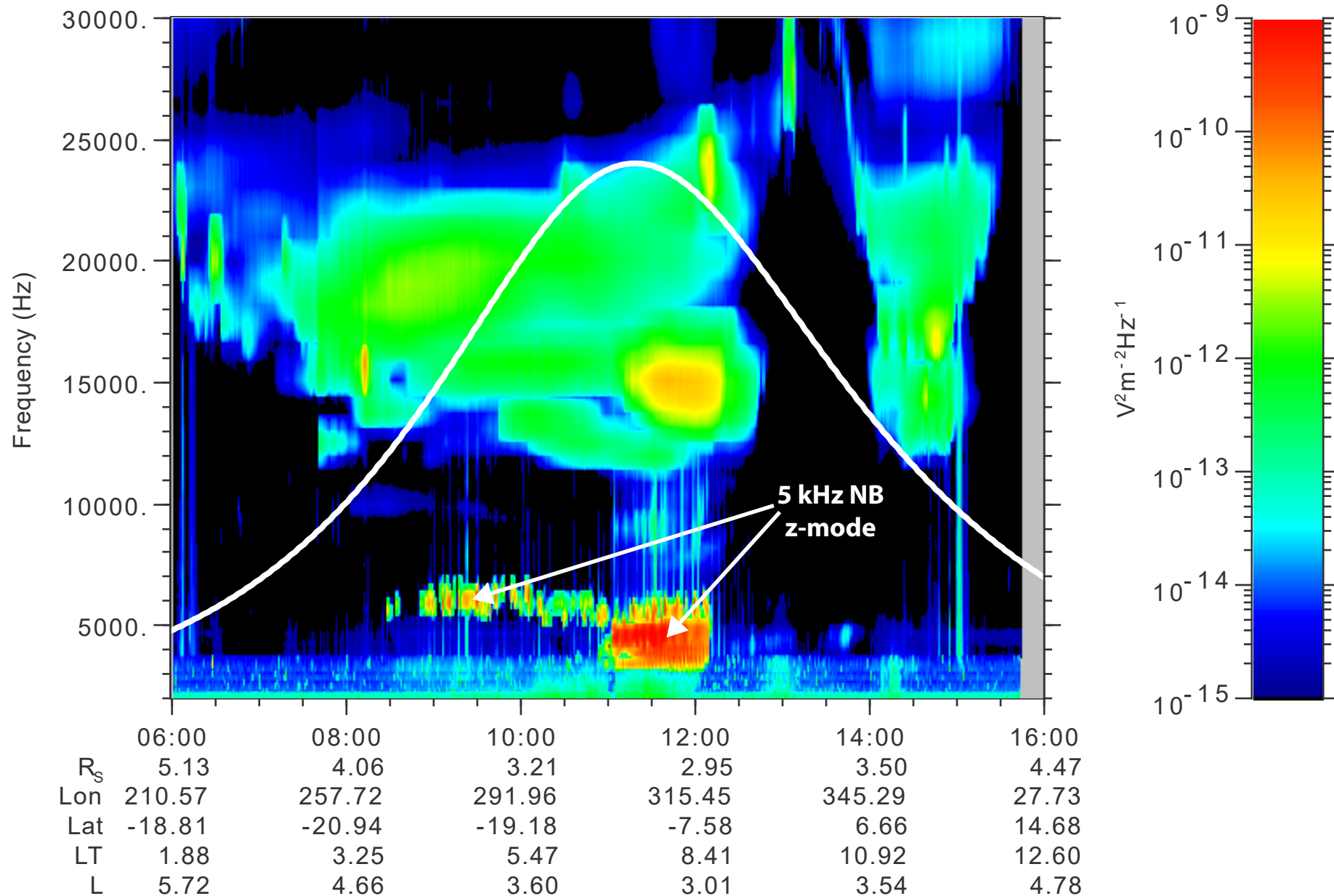
587



**Figure 1.**

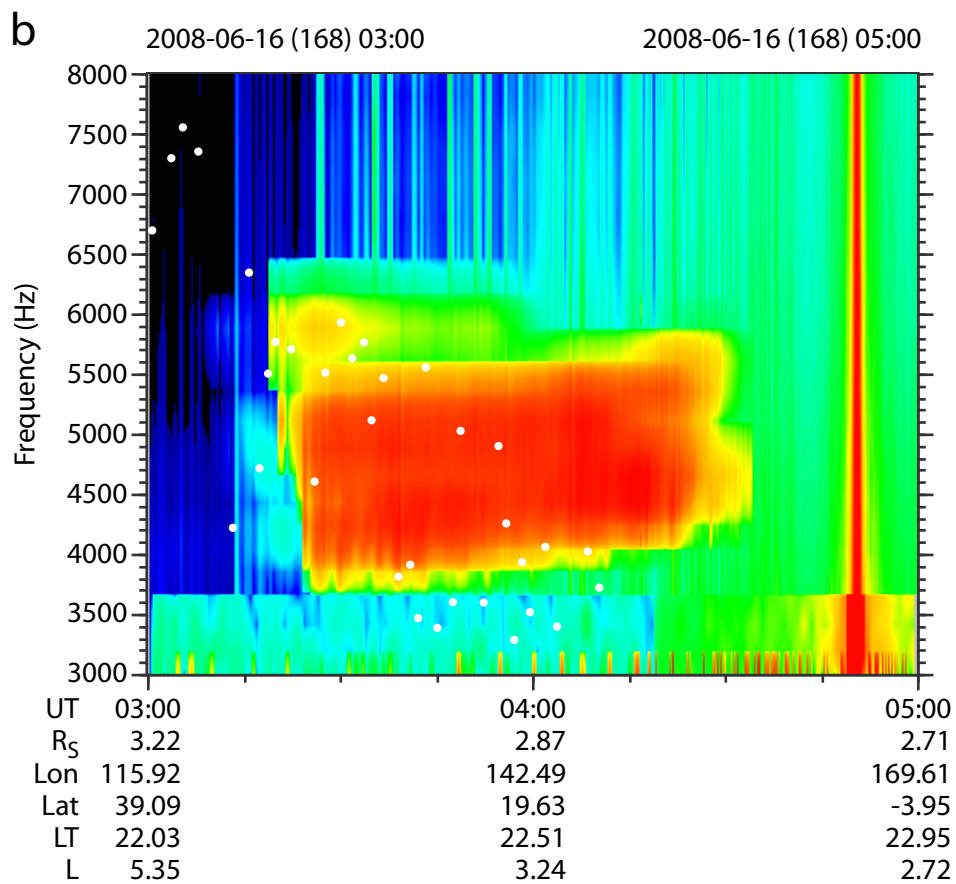
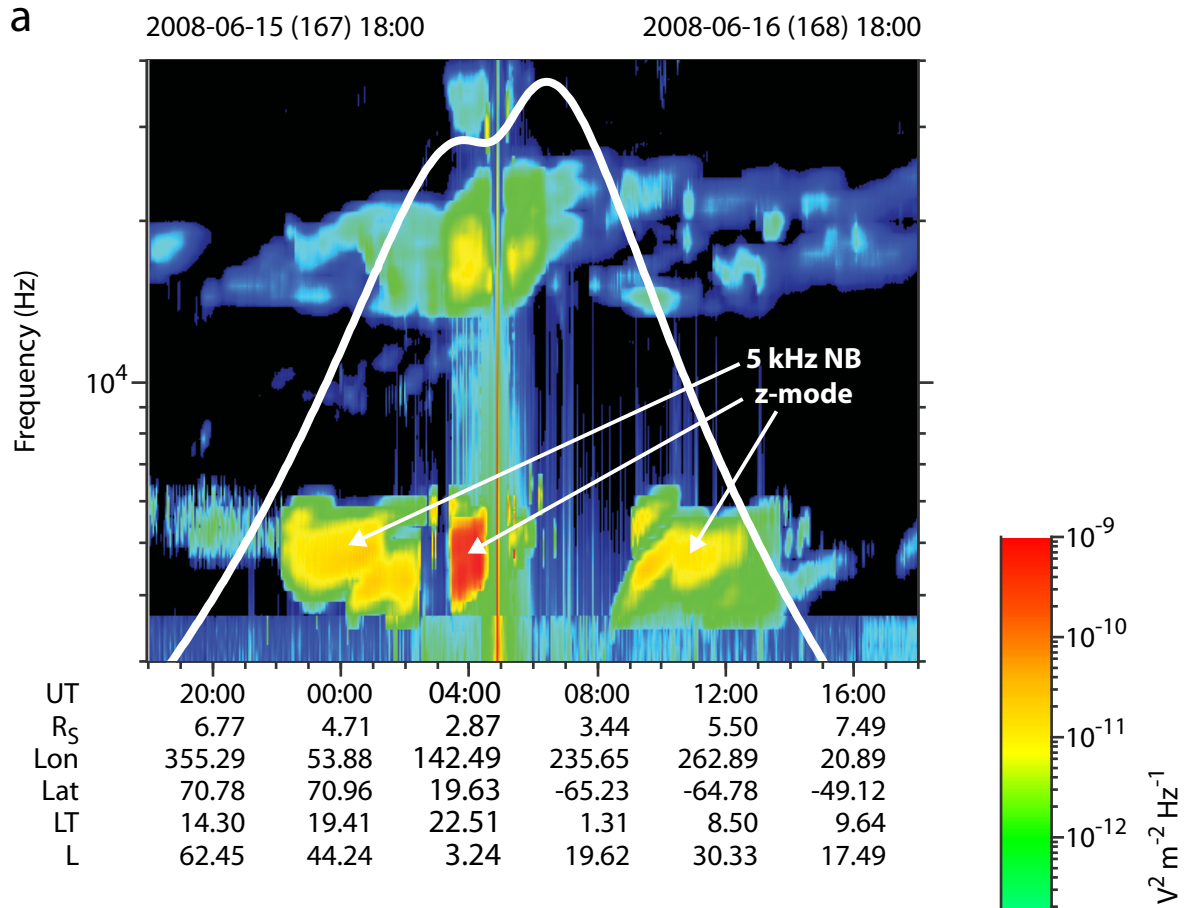
2009-08-11 (223) 06:00

2009-08-11 (223) 16:00



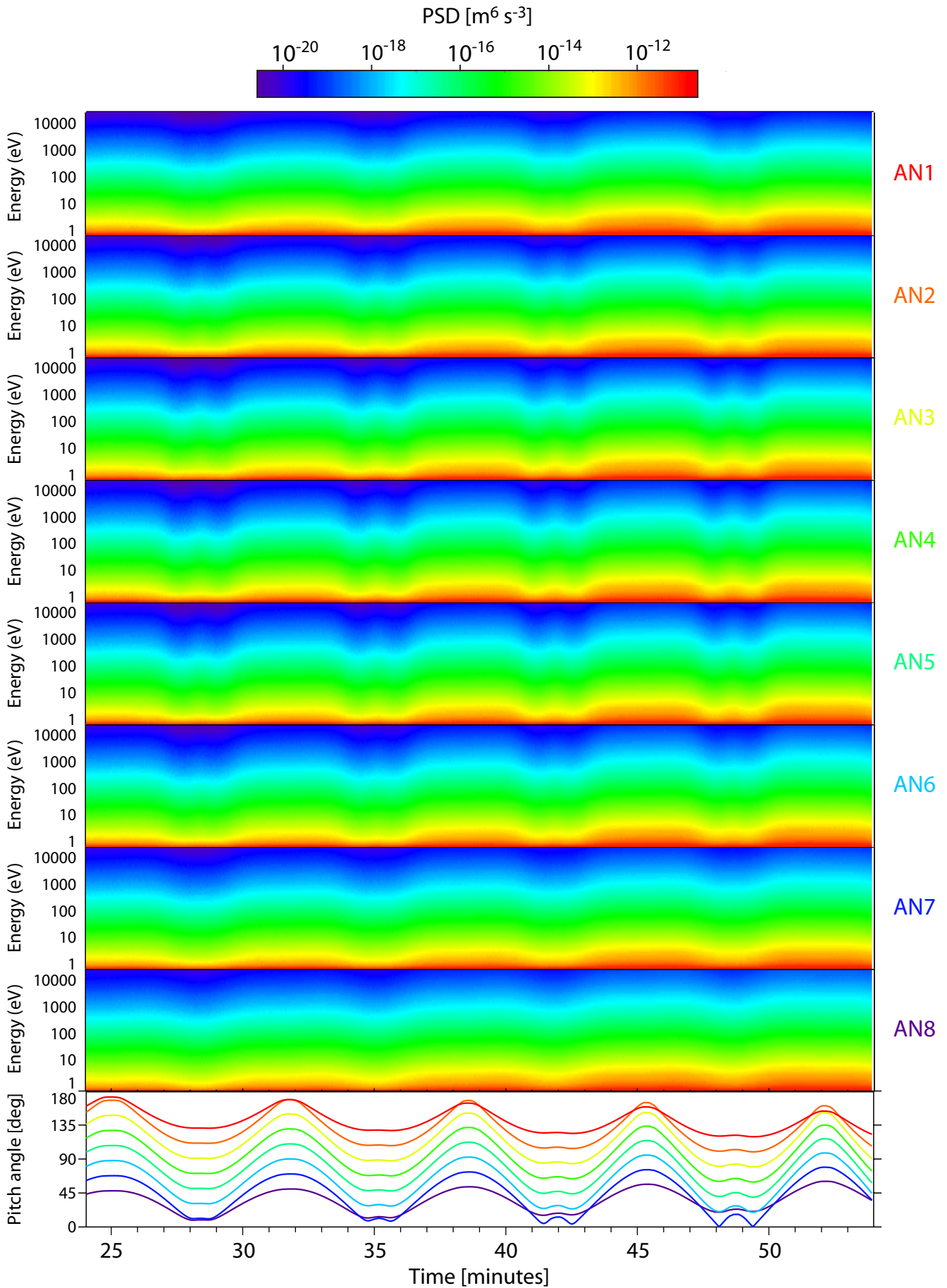
Orbit 116

**Figure 2.**



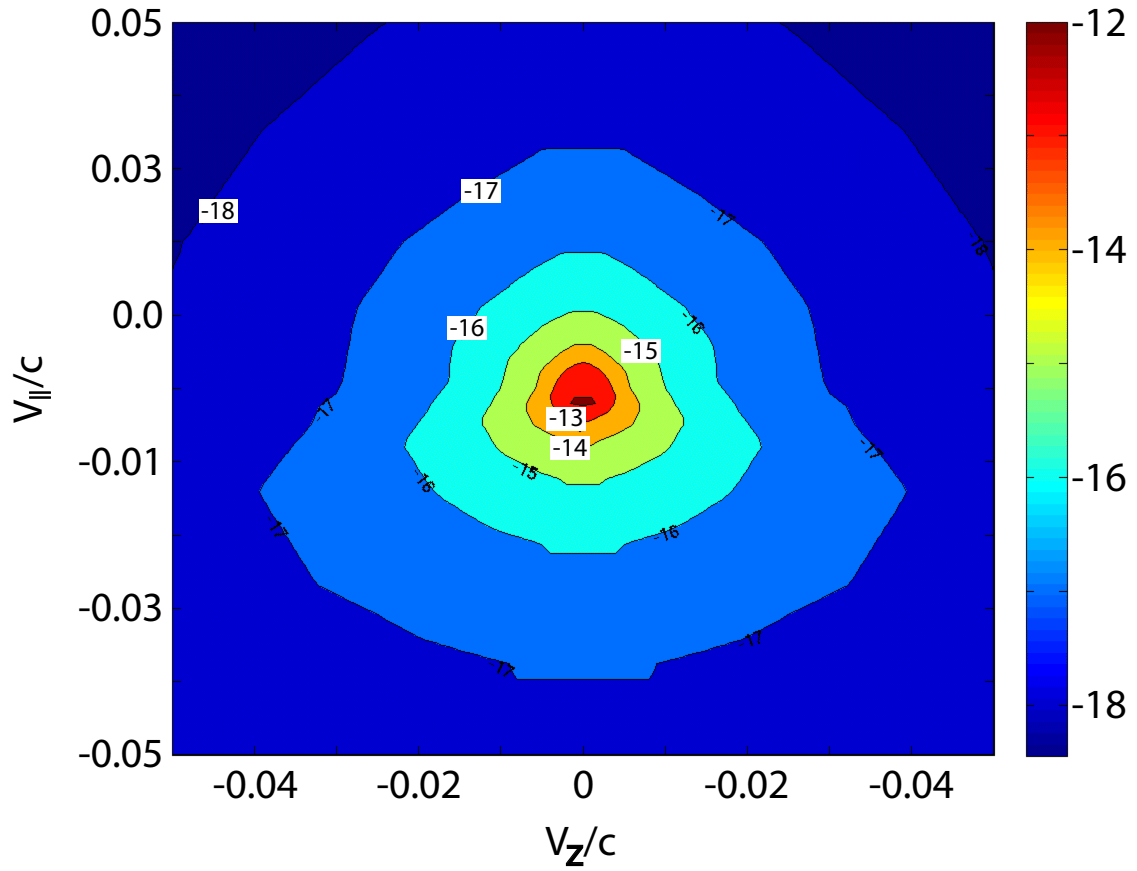
**Figure 3.**

# Event 2008-06-16 T 03:24:03-03:53:59

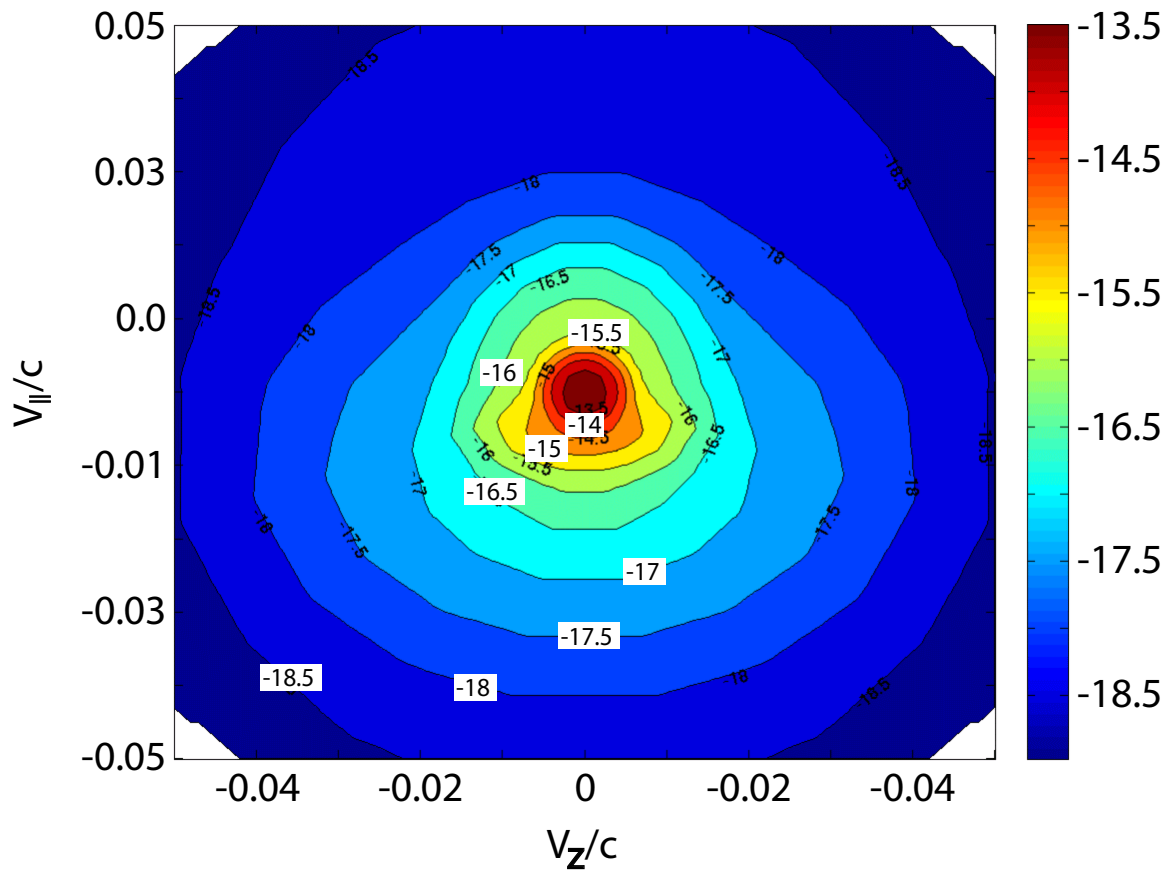


**Figure 4.**

a

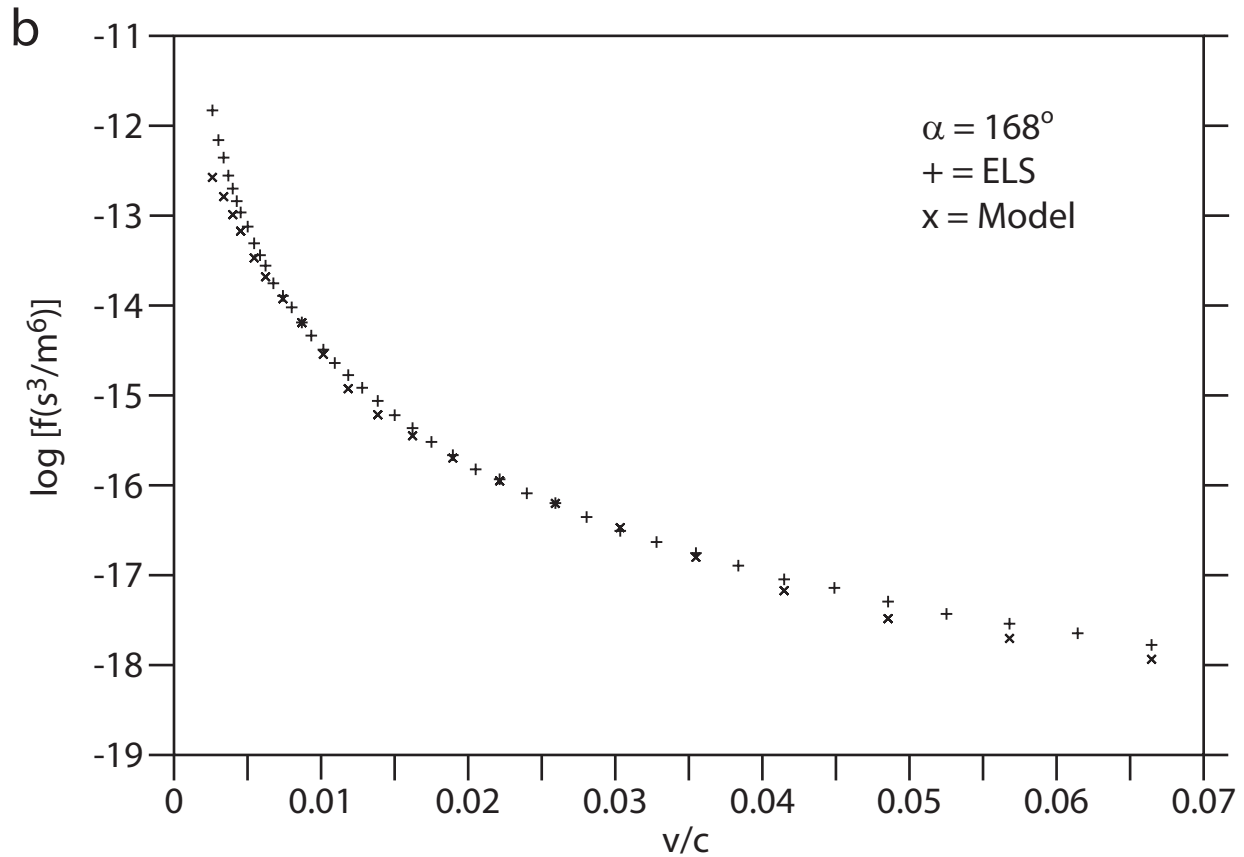
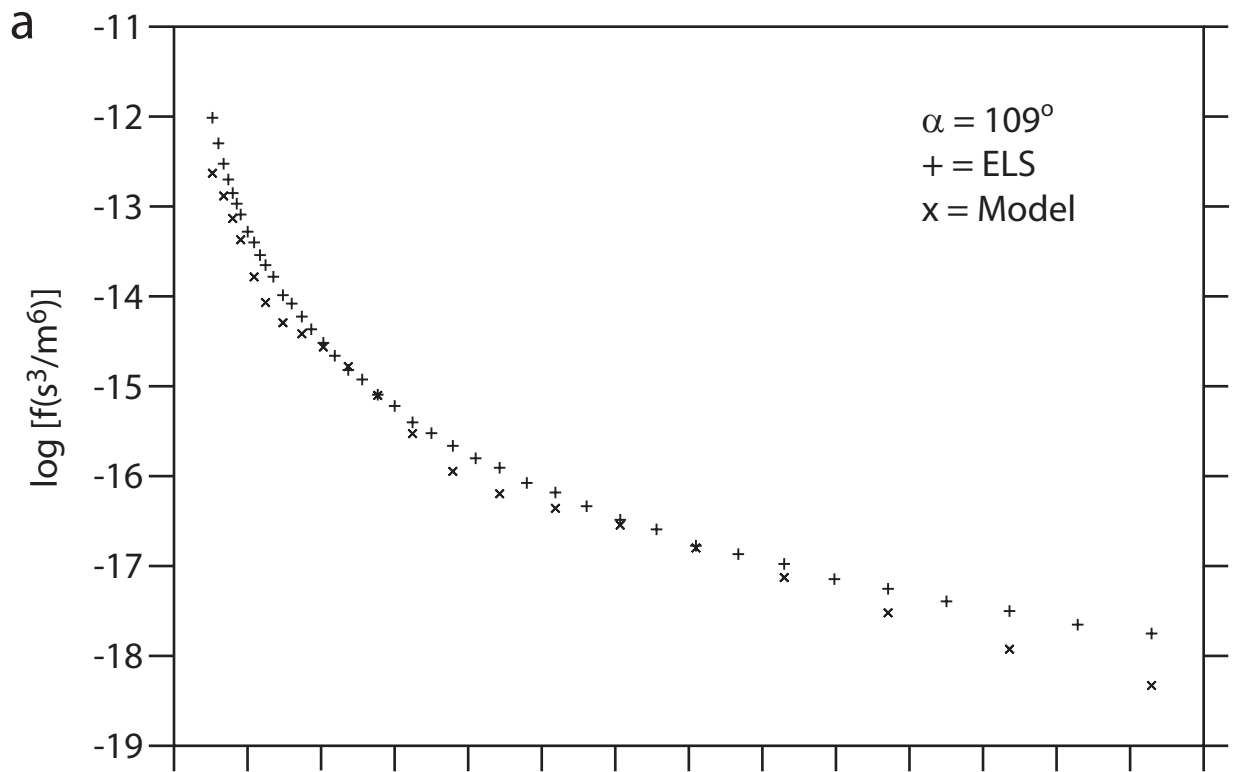


b

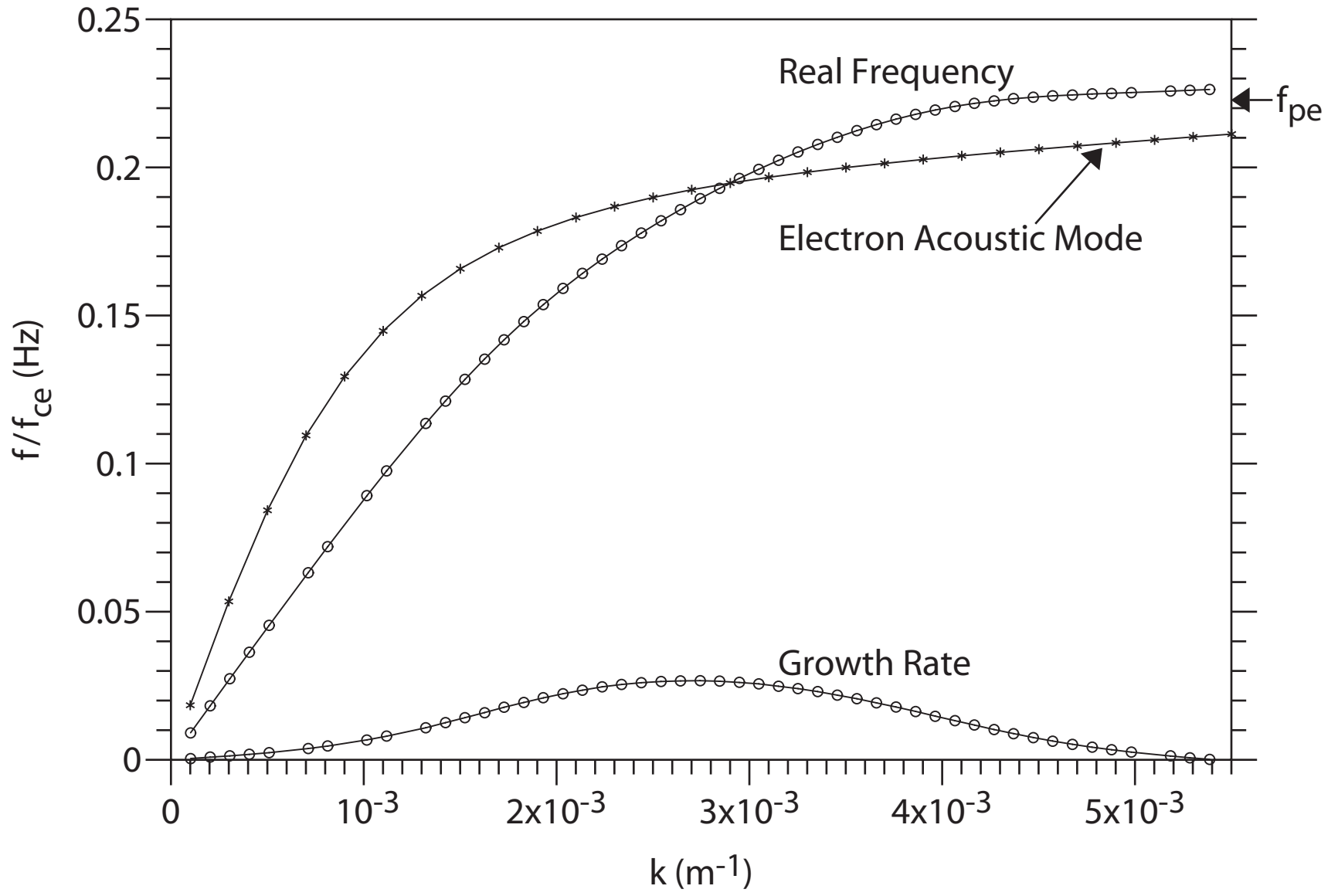




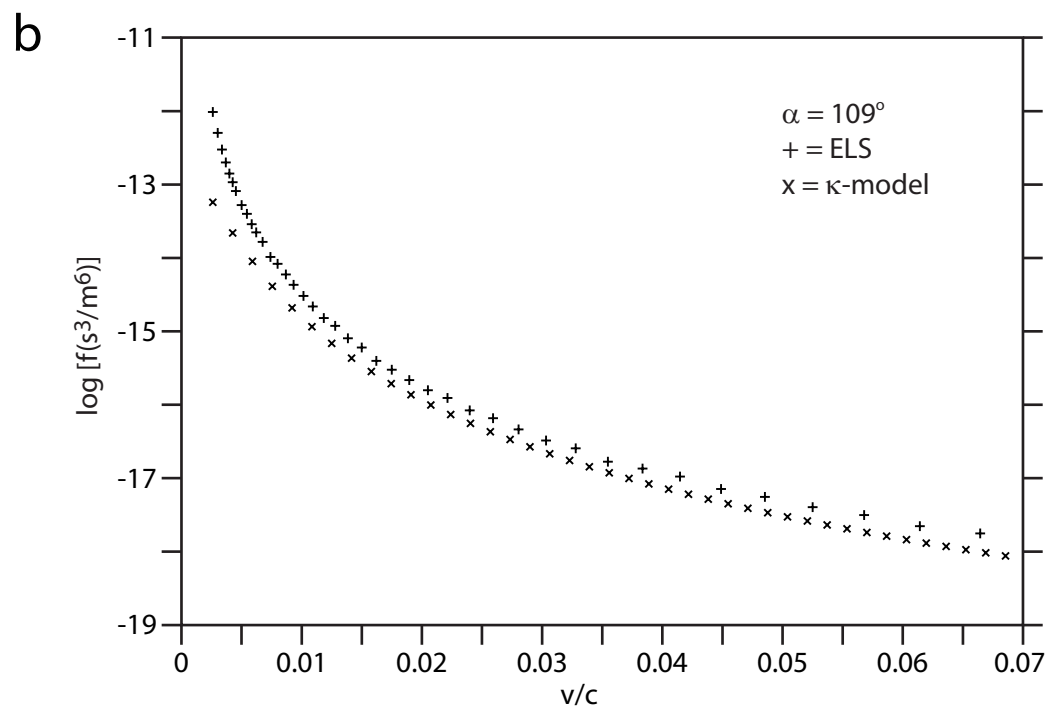
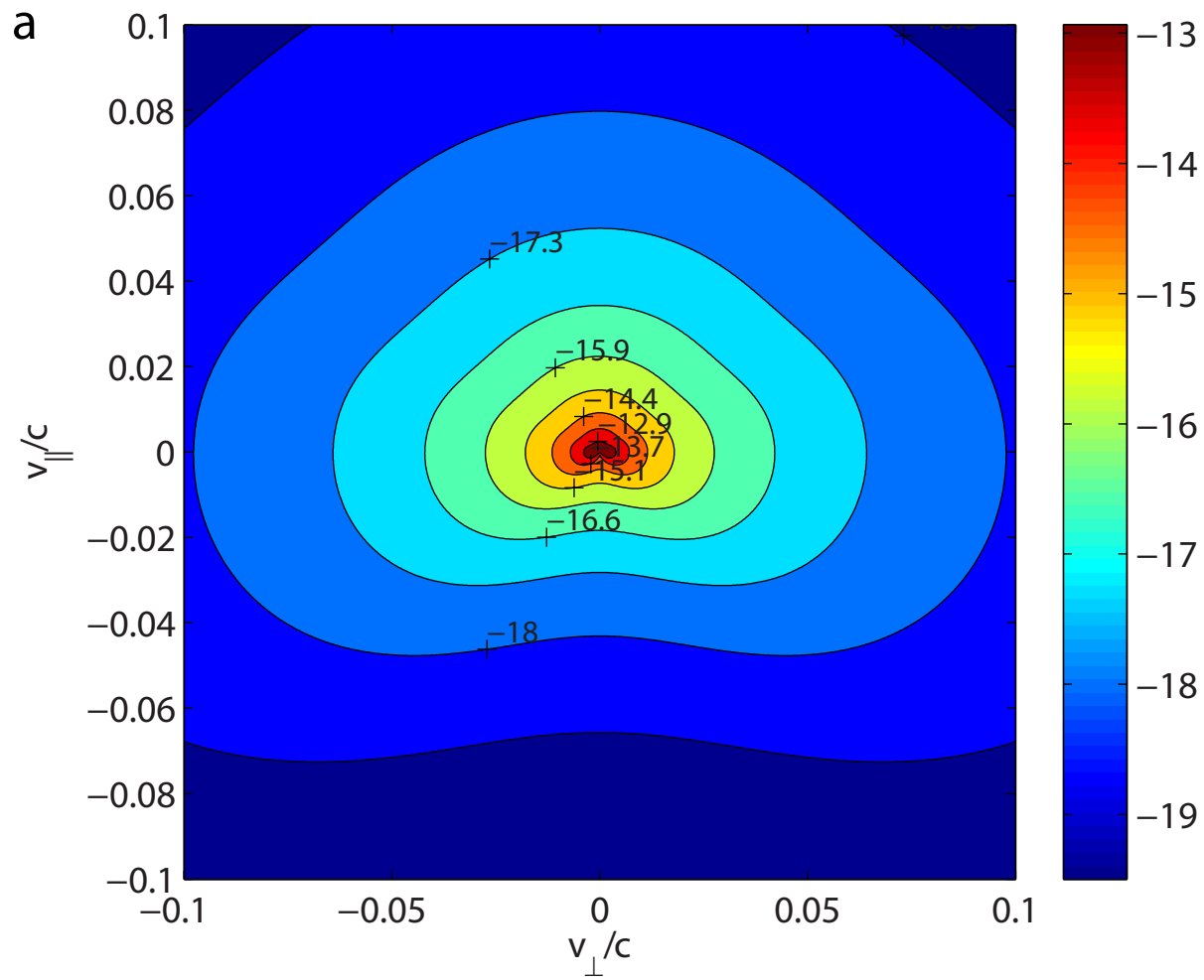
**Figure 5.**



**Figure 6.**



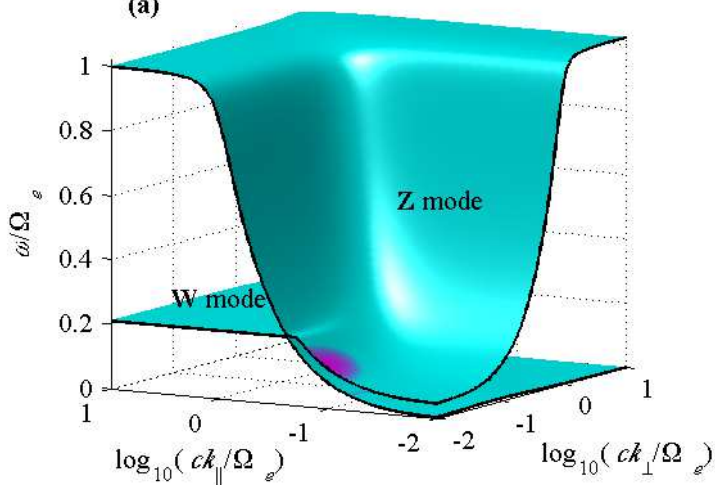
**Figure 7.**



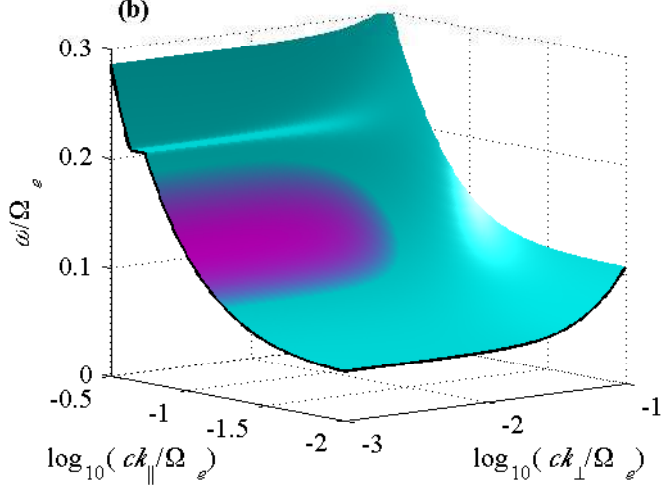
**Figure 8.**

$$\omega_{pe} / \Omega_e = 0.2114$$

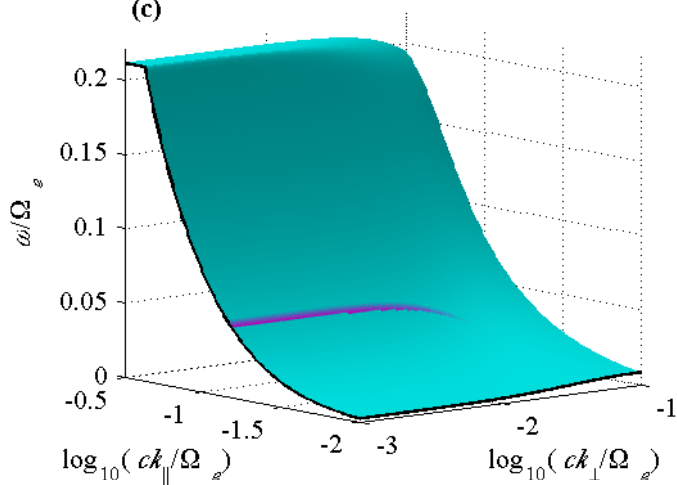
(a)



(b)

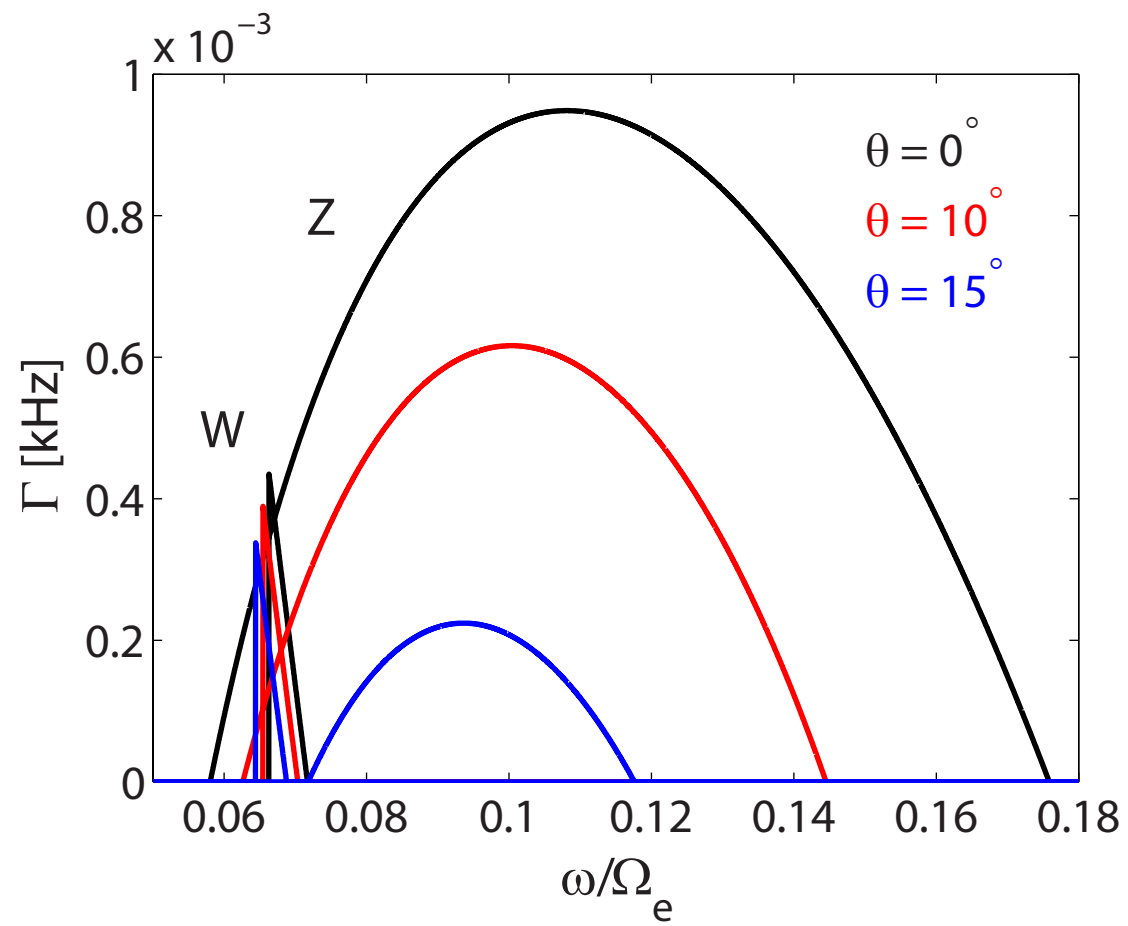


(c)





**Figure 9.**



**Table 1****Probable Z-mode (5-kHz) Source Regions**

Year	DOY	Northern		Southern	
		start	stop	start	stop
2008	168	03:20	04:30		
2008	182	07:30	08:10	09:15	10:10
2008	196	09:00	09:55	10:45	11:50
2008	203	10:15	10:50	11:40	12:30
2009	223			11:00	12:10
2010	027	05:00	06:30		

**Table 2****Selected ELS Anodes****Centerline Pitch Angle (degs) of Anodes**

	Hr:Mn:Sec	deg	deg	deg	deg	deg
Set A	03:31:59	168*	167*	149	129	109
Set B	03:35:43	87	67	47	27	8

\* Indicates Partially Obstructed

**Table 3****Bi-Maxwellian Fit to Observations**

Population	$n(\text{m}^{-3})^{**}$	$w_{\parallel}(\text{m/s})$	$T_{\perp}/T_{\parallel}$	$V_{\text{drift}}(\text{m/s})$
Cool	$2.97 \times 10^5 (.63)^*$	$8.75 \times 10^5 (.29)$	0.861 (.56)	0.0
Warm 1	$4.57 \times 10^4 (.89)$	$3.12 \times 10^6 (.37)$	0.603 (.81)	0.0
Warm 2	$1.38 \times 10^4 (.71)$	$1.45 \times 10^7 (.59)$	0.629 (.94)	0.0
Drifting 1	$6.27 \times 10^4 (.63)$	$1.02 \times 10^6 (.48)$	4.40 (.74)	$1.5 \times 10^6$
Drifting 2	$2.50 \times 10^4 (.63)$	$4.76 \times 10^6 (.39)$	1.82 (.70)	$3.0 \times 10^6$

\*\* (Calculated Value)/6.2

\* percent uncertainty in parentheses

**Table 4****Kappa Fit Parameters**

$w_o(\text{m/s})$	$n_h(\text{m}^{-3})$	$\mu_0$	$\delta$	$\Delta$	$\kappa$
$1.02 \times 10^6$	$4.42 \times 10^5$	0.1	0.6	0.3	1