

1 **Coupled production and emission of short chain perfluoroalkyl acids**
2 **from a fast developing fluorochemical industry in Daling River Basin,**
3 **China: evidence from yearly and seasonal monitoring**

4 Pei Wang ^a, Yonglong Lu ^{a,*}, Tieyu Wang ^a, Zhaoyun Zhu ^{a,b}, Qifeng Li ^{a,b}, Jing Meng ^{a,b},
5 Hongqiao Su ^{a,b}, Andrew C. Johnson ^c, Andrew J. Sweetman ^{c,d}

6 *^a State Key Lab of Urban and Regional Ecology, Research Center for Eco-Environmental*
7 *Sciences, Chinese Academy of Sciences, Beijing 100085, China*

8 *^b University of Chinese Academy of Sciences, Beijing 100049, China*

9 *^c Centre for Ecology & Hydrology, Maclean Building, Crowmarsh Gifford Wallingford,*
10 *Oxon, OX 10 8BB, UK*

11 *^d Lancaster Environment Centre, Lancaster University, Lancaster LA1 4YQ, UK*

12

13

14 Corresponding author:

15 *Yonglong Lu

16 Tel: 86-10-62917903

17 Fax: 86-10-62918177

18 E-mail: yllu@rcees.ac.cn

19

20 ABSTRACT: Short chain perfluoroalkyl acids (PFAAs) have been developed by the
21 fluorochemical manufacturing industry to replace the conventional C8 and higher
22 homologues since 2002. Much of this production has shifted to China over recent years.
23 In this study, we conducted a continuous monitoring program over the period 2011-2014
24 with seasonal monitoring in 2013 for PFAAs emitted from two rapidly developing
25 fluorochemical industry parks located in the Daling River Basin, Northern China. Over
26 the study period from 2011 to 2014 an increasing trend of PFAAs concentrations was
27 identified, with perfluorobutane sulfonic acid (PFBS), perfluorobutanoic acid (PFBA)
28 and perfluorooctanoic acid (PFOA) being dominant, showing highest concentrations of
29 3780 ng/L, 3698 ng/L, and 1948 ng/L, respectively. Seasonal monitoring uncovered the
30 occasional emission of perfluorooctane sulfonic acid (PFOS). Construction trends of new
31 facilities and associated manufacturing capacity of the main products were also analyzed
32 to assess correlations with PFAAs emissions. An assessment of the data over the period
33 2011 to 2014 found a positive correlation with fluorocarbon alcohol (FCA) production
34 and emission of PFAAs. Groundwater and tap water around the main source indicated
35 that the dominant PFAAs had different diffusion behaviors. PFBS levels was higher in
36 surface water, while PFBA was dominant in groundwater and tap water, with PFOA
37 levels being higher in downstream groundwater. With the continuous expansion and
38 development of fluorochemical industry in the Daling River Basin, this study will
39 provide abundant information on the effectiveness of any future risk management
40 programs.

41 KEYWORDS: Yearly trend; Seasonal trend; PFBS; PFBA; PFOA; Production

42 **1. Introduction**

43 Perfluoroalkyl acids (PFAAs) have been widely used in both polymer applications based
44 on their water- and grease- repellent property and surfactant applications based on the
45 unparalleled aqueous surface tension-lowering property since the 1950s (Buck et al.,
46 2011). Historically, PFAAs were mostly produced and used in the long-chain forms,
47 especially perfluorooctane sulfonic acid (PFOS) and perfluorooctanoic acid (PFOA)
48 (Paul et al., 2009). However, the persistent, bio-accumulative and toxic potentials (PBT)
49 of long chain PFAAs have led to their phase-out in their production by the major
50 manufacturers since 2000 (3M, 2000). This was followed by increasingly strict
51 regulations on their production, emission and use in multiple countries and regions
52 including United States (US), European Union (EU), Norway, Canada and Germany
53 (Holt, 2011). In 2009, PFOS and its related substances were listed in Annex B of the
54 Stockholm Convention on Persistent Organic Pollutants (UNEP, 2009), which put the
55 restrictions on global scale. Apart from the major manufacturers, downstream users and
56 individual customers are moving away from long-chain PFAAs as a result over human
57 health concerns. As a result, the perfluorinated manufacturing industries have been
58 working on the development of alternatives (Holt, 2011).

59 In 2002, the major manufacturer 3M developed new fluorochemical surfactants based
60 on perfluorobutane sulfonic acid (PFBS). With four perfluorinated carbon atoms, PFBS
61 was considered as a sustainable alternative to PFOS, and was identified not to be a PBT.
62 PFBS-based surfactants were subsequently commercialized in the US and other countries
63 including China (3M, 2002). Perfluorobutanoic acid (PFBA) with four carbon atoms

64 (three perfluorinated ones) had also been manufactured for many years before 1998, with
65 primary application in the production of photographic film. 3M ceased the production of
66 PFBA in 1998 due to decreased demand (3M, 2008). However, when C8 PFOA
67 production was partially controlled in 2000, it appears that C4 PFBA production was
68 reintroduced. This led to very high levels of PFBA in the surrounding environment of
69 3M's production site including in groundwater, wastewater effluent and river water, with
70 PFBA levels up to a hundred time greater than PFOA (Oliaei et al., 2013). The
71 development of alternatives has demonstrated that non-fluorinated alternatives, like
72 hydrocarbons, surfactants or silicone products, do not work as well, especially in extreme
73 low surface tension applications. However, C4 PFAAs can adequately replace most C8
74 and higher homologue applications (Holt, 2010). However, the consequences of these
75 factors on the expansion of production and emission of short chain PFAAs are still
76 largely unknown.

77 Research on the sources of PFAAs has mostly focused on sewage treatment plants and
78 atmospheric deposition in those countries or regions with strict regulation on the
79 production of PFAAs (Ahrens and Bundschuh, 2014). In China the most important source
80 of PFAAs comes directly from fluoro-polymer/-telomer manufacture due to the
81 production shift in recent years. As a result of the implementation of the Stockholm
82 Convention the production and emission of PFOS has been controlled in China, but other
83 PFAAs remain outside of any restriction (POPs Action in China, 2014). Our previous
84 studies identified the source and transportation routes of high levels of short chain
85 PFAAs emitted from two fluorochemical industry parks in the Daling River Basin of
86 North China (Wang et al., 2015). However, the two parks have expanded very fast with

87 frequent changes on production capacity of various fluorochemicals, so it's very
88 important to trace the dynamic correlations between production and emission of
89 dominant PFAAs.

90 A 4-year study has been conducted between 2011 and 2014 with a 4-season (2013)
91 continuous monitoring of PFAAs in the Daling River, and when combined with previous
92 studies (Bao et al., 2010; Wang et al., 2011), a temporal trend of 7 years from 2008 to
93 2014 can be established, reflecting the fast development period of short-chain PFAAs in
94 China. Furthermore, groundwater samples around Park 1 have also been analyzed to
95 explore the different transportation behavior of dominant PFAAs compared with surface
96 water. The overall aim of the study was to establish the emission characteristics and
97 temporal trends of PFAAs from an emerged fluorochemical industry in China, which can
98 be used to provide a basis for effective surface water and groundwater management and
99 risk assessment.

100 **2. Materials and Methods**

101 *2.1 Sampling campaign.*

102 *2.1.1 Yearly and seasonal sampling of river water in Daling River Basin.*

103 The two fluorochemical industry parks included in this study are located in the Xihe
104 River. The sampling sites were selected from the upstream of the parks to the confluence
105 point to the Daling River (site 1 to 8X) and then to the Daling River estuary (site 9 to 14).
106 The distance from site 1 to site 8 is 82 km, and from site 8 to site 14 is 90 km. Sites in the
107 upstream of the confluence point in the Daling River (site 15 to 18 and site 8D) were set

108 as reference locations. According to a previous study in 2011, the two parks didn't
109 discharge sewage to the tributaries of the Xihe River (Wang et al., 2015), so these
110 tributaries were not included in this study. Yearly sampling campaigns were conducted in

111 October from 2011 to 2014, while seasonal samplings were

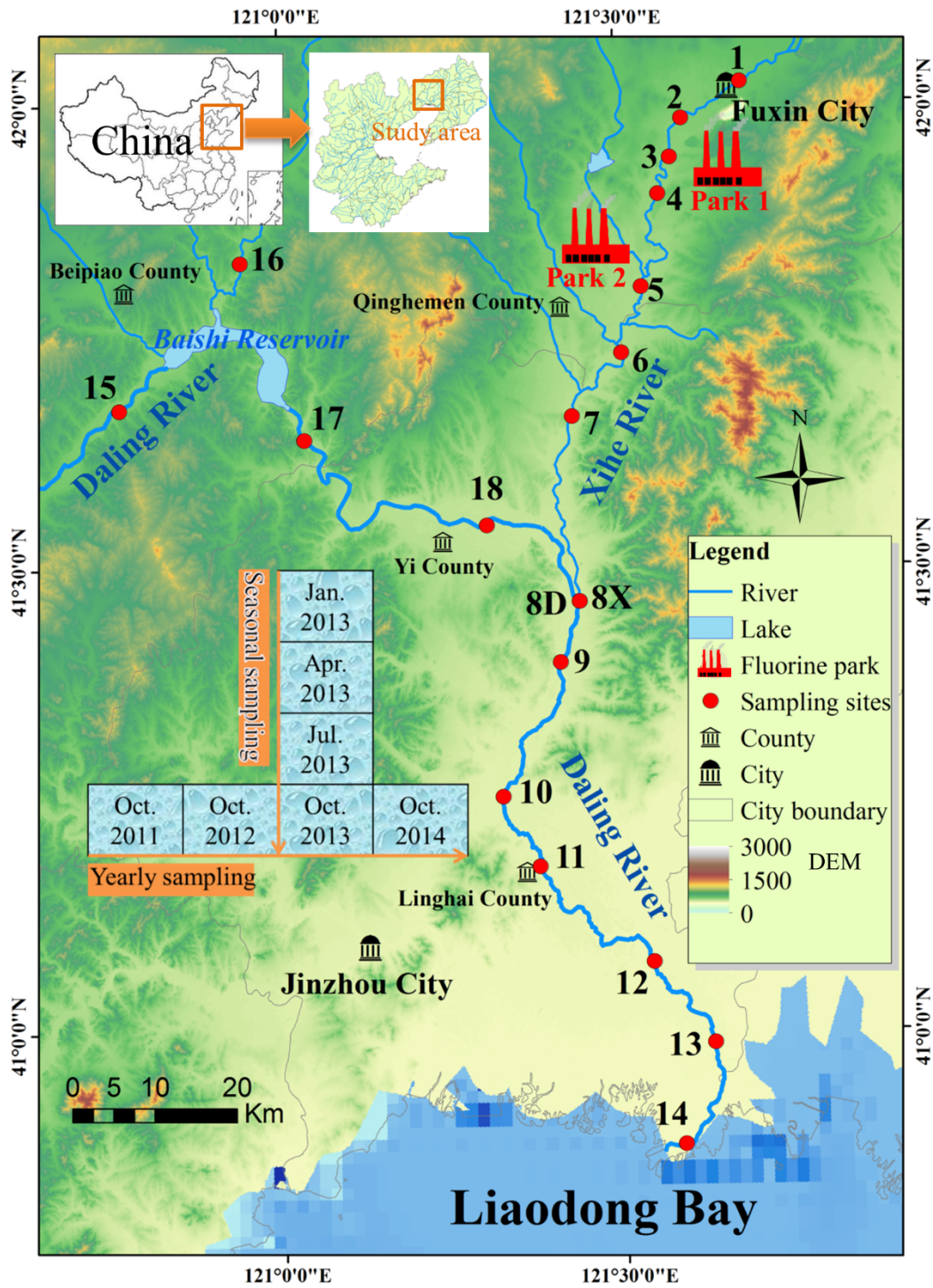


Figure 1. Sampling sites in the Daling River Basin

114 conducted in January (winter), April (spring), July (summer) and October (autumn) in
115 2013. Field samples consisted of surface water (top 1-20cm) collected using 1L pre-
116 rinsed polypropylene (PP) bottles. Parameters including water temperature, pH, dissolved
117 oxygen and conductivity were measured *in situ* using a HQd Portable and Benchtop
118 Meter Configurator (HACH Company, USA) (Table S1-S2). Suspended particles present
119 in the water samples were removed by settling for 24 hours with the supernatant being
120 used for the analysis.

121 *2.1.2 Ground water and tap water sampling in villages around Park 1.*

122 The results of yearly (prior to 2014) and seasonal monitoring showed that park 1 had
123 always been a significant point source of PFBS, PFBA and PFOA. So in 2014, along with
124 the yearly sampling campaign in October, groundwater and tap water samples were also
125 taken. Four villages at four locations around Park 1 were selected (Figure S1), and
126 ground water (5-7m household wells) and tap water were sampled at randomly selected
127 homes in each village using 1L pre-rinsed PP bottles. The treatment of these samples was
128 the same as that for the river water samples.

129 *2.2 Extraction and analysis of target analytes.*

130 During the first sampling event in 2011, 17 PFAAs were analyzed and results indicated
131 that long chain PFAAs were close to detection limits (Wang et al., 2015). As a result,
132 during the later sampling events, only 12 linear PFAAs including C4 to C12
133 perfluoroalkyl carboxylic acids (PFCAs) and 3 perfluoroalkane sulfonic acids (PFSAs)
134 were quantified (Table S3). A 400mL aliquot of water spiked with 10 ng mass-labelled
135 standards was extracted using OASIS WAX-SPE following published methods (Taniyasu

136 et al., 2005) with some modifications. Briefly, prior to loading samples, the Oasis WAX
137 cartridges (6 cc, 150 mg, 30 μ m, Waters, Milford, MA) were preconditioned with 4 mL
138 of 0.1% NH₄OH in methanol, 4 mL of methanol, and 4 mL of Milli-Q water. After
139 loading the samples, cartridges were washed with 4 mL 25 mM ammonium acetate
140 (pH=4) and air-dried. Target analytes were then eluted with 4 mL of methanol and 4 mL
141 of 0.1% NH₄OH in methanol, respectively. The extracts were reduced to 1 mL under high
142 purity nitrogen (99.999%) and passed through a nylon filter (13 mm, 0.2 μ m, Chromspec,
143 Ontario, Canada), then transferred into a 1.5 mL PP snap top brown glass vial with
144 polyethylene (PE) septa. Individual PFAA was separated and quantified via Agilent 1290
145 Infinity HPLC System coupled to an Agilent 6460 Triple Quadrupole LC/MS System
146 (Agilent Technologies, Palo Alto, CA) operated in the negative electrospray ionization
147 (ESI-) mode. Conditions under which the instrument was operated were listed in Table
148 S4.

149 2.3 QA/QC.

150 The manufacturing plants were not informed of the sampling campaign and so this study
151 can be assumed to reflect the routine production activities in the two parks. The
152 yearly/seasonal sampling was conducted at the same date of the year/season and the same
153 time of a day as much as possible. These were designed to provide parallel comparisons.
154 Use of polytetrafluoro- ethylene (PTFE) or other fluoro-polymer/-telomer materials was
155 avoided throughout the study. In order to avoid cross contamination during sampling, the
156 outside of the 1L PP bottles was immediately washed with Milli-Q water after the
157 samples were taken, wiped with clean towel paper and then kept in sealed PP bags. Field,
158 transport, procedure and solvent blanks were prepared with every sample batch to

159 monitor potential interferences. Quantification of the 12 PFAAs in water were carried out
160 using 10-point external standard curves with concentrations ranging from 0.01 to 1000
161 ng/mL. 10 ng/mL of mass-labelled standards as surrogates were also added to the
162 samples to monitor the matrix effect. The injection volume was 5 μ L. The regression
163 coefficients (R^2) for all the target analytes were over 0.99. The limit of detection (LOD)
164 and limit of quantification (LOQ) were defined as the peak of analyte that needed to yield
165 a signal-to-noise (S/N) ratio of 3:1 and 10:1, respectively. LOD and LOQ in this study
166 were checked along every sampling event, and the final values were combined and
167 presented at the highest ones. LODs ranged from 0.01 to 0.15 ng/L, while LOQs ranged
168 from 0.06 to 0.71 ng/L. Recovery tests were also conducted along all sampling events,
169 and the values were combined together to give the means and standard deviations for
170 individual PFAA. Matrix spike recoveries ranged from 73 to 113%, while procedure
171 recovery ranged from 81 to 124%. For extracts containing concentrations of PFAAs in
172 excess of 1000 ng/mL, the volume of the samples was reduced and the samples extracted
173 again to make sure the concentrations of PFAAs were within the calibration range.
174 Detailed QA/QC measurements of target PFAAs are given in Supplementary Material.

175 *2.4 Statistical and spatial analysis.*

176 Statistical analysis was performed using Microsoft Office 2010 and OriginPro 9.1
177 (Northampton, MA, USA). Spatial distributions of the sampling sites were illustrated
178 using the Arcmap module in ArcGIS V10.0 software (ESRI, Redland, CA). GIS data
179 used for sampling design was obtained from the National Geomatics Center of China
180 (Haidian District, BJ).

181 **3. Results and Discussion**

182 *3.1 Yearly trend of PFAAs emitted to the Daling River water.*

183 From 2011 to 2014, PFBS and PFBA have always been the dominant PFAAs, followed
184 by PFOA, and then C5-C7 PFCAs (Table 1, Table S5-S8). C9-C12 PFCAs and PFOS
185 were detected in both low ratios and low concentrations, indicating they were seldom
186 produced in the study area. The highest concentrations of PFBS were 2896 ng/L in 2011,
187 2341 ng/L in 2012, 2714 ng/L in 2013 and 3780 ng/L in 2014. For PFBA, the highest
188 concentrations were 1346 ng/L in 2011, 1566 ng/L in 2012, 3698 ng/L in 2013 and 2575
189 ng/L in 2014. For PFOA, the highest concentrations were 348 ng/L in 2011, 675 ng/L in
190 2012, 1948 ng/L in 2013 and 772 ng/ in 2014, respectively. Concentrations of PFOS were
191 far below those of the three dominant PFAAs, with the overall highest concentration of
192 12.6 ng/L observed in 2011. Among the C5-C7 PFCAs, C6 PFHxA were dominant with
193 an increasing trend from 2011-2013, with the highest concentration up to 795 ng/L in
194 2013. C6 PFAAs were also considered to be one of the potential alternatives to long
195 chain PFAAs (Holt, 2010). However, even in the site with the highest concentration,
196 PFHxA level was a factor of 5 less than PFBA, indicating a lower importance than C4
197 PFBA as an alternative. The spatial distribution data from the upstream of the two
198 fluorochemical industry parks in the Xihe River to the estuary of the Daling River clearly
199 demonstrated that emissions of PFBS and PFBA started from site 3 throughout the four
200 year period (Figure 2), with site 3 or site 4 providing the highest concentrations. This
201 indicated that Park 1 has been a major source of PFBS and PFBA since 2011. For PFOA,
202 the general increasing trend from site 3 was less obvious than those of PFBS and PFBA

203 except in 2013, when a high concentration was observed. Contributions from Park 2
204 made the levels of the three dominant PFAAs consistent from site 5 to site 8X, with the
205 decreasing levels in site 6 potentially caused by dilution from the tributaries. From site
206 8X to site 12 general decreasing trends were observed for the three dominant PFAAs,
207 with the observed fluctuation of concentrations in site 13 and site 14 of the estuary being
208 affected by the frequent mixture of fresh and saline water. Meanwhile, concentrations of
209 PFAAs at the reference sites from 15 to 17 indicated no other point sources from the
210 upstream parts of the Daling River over the study period. The relatively notable PFAAs
211 levels in site 18 and 8D could have originated from domestic emissions in Yi County.

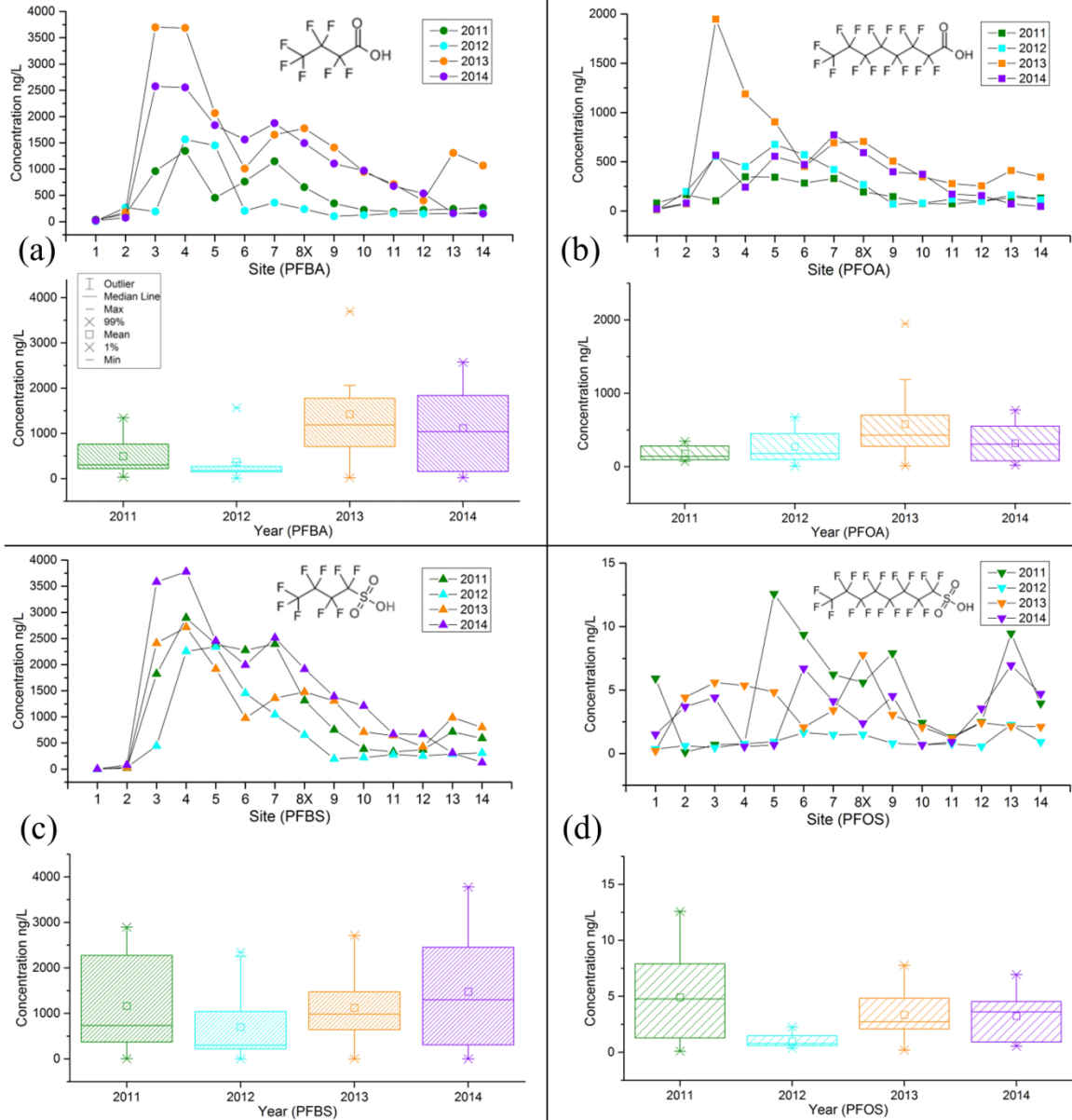
212 Currently, there are few data on the temporal trend of PFAAs, especially PFOS and
213 PFOA in the environment. Solid matrices like sediment and biological samples are often
214 used in such research (Murakami et al., 2011; Myers et al., 2012; Zennegg et al., 2013).
215 However, short-chain PFAAs have very low affinity to sediment (Ahrens and Bundschuh,
216 2014) and have much shorter elimination time in organisms (Oliaei et al., 2013). As a
217 result, direct sampling in rivers can be more suitable to trace the temporal trends of short
218 chain PFAAs emission, but related studies are very limited. As to the PFAAs levels, it
219 has been reported that 3M converted production to short-chain PFAAs after the phase-out
220 of PFOS (Oliaei et al., 2013). This led to increasing levels of PFBS observed in sediment
221 cores from Lake Michigan, which is located to the west of 3M facility (Codling et al.,
222 2014). PFBS or PFBA were also found dominant in Tangxun Lake, China (Zhou et al.,
223 2013), River Rhine (Eschauzier et al., 2010; Moller et al., 2010), Italian river basins
224 (Valsecchi et al., 2014) and German drinking water supplies (Wilhelm et al., 2010).

225

226 Table 1. Summary of PFAAs concentrations in Yearly monitoring (ng/L).

Time		PFBA	PFPeA	PFHxA	PFHpA	PFOA	PFBS	PFOS
Yearly								
2011	Min.	0.31	0.04	0.03	0.04	0.09	0.04	0.05
	Max.	1 346	82.2	59.2	15.9	348	2 896	12.6
	Mean	374	20.4	18.3	4.24	132	856	3.97
	Median	223	15.9	14.3	3.34	102	386	2.50
2012	Min.	1.56	0.25	0.09	0.08	0.58	0.47	0.16
	Max.	1 566	81.2	180	45.5	675	2 341	2.27
	Mean	276	19.6	49.4	12.7	200	516	0.89
	Median	156	12.1	29.5	7.80	113	253	0.77
2013	Min.	1.62	0.05	0.08	0.10	0.61	0.74	0.06
	Max.	3 698	198	795	103	3 948	2 714	11.4
	Mean	1 051	41.8	100	22.3	533	830	3.59
	Median	945	34.0	56.4	20.1	344	712	2.43
2014	Min.	1.42	ND	0.11	0.10	2.17	ND	0.47
	Max.	2 575	56.1	196	55.0	772	3 780	6.95
	Mean	830	23.6	60.7	19.3	239	1 090	2.60
	Median	536	21.1	42,2	15.5	155	668	1.52

227



228

229 Figure 2. Temporal trends of main PFAAs in the Daling River water from 2011 to 2014.

230 *3.2 Seasonal trends of PFAAs emitted into the Daling River water.*

231 While yearly monitoring can provide a temporal trend of PFAAs levels, seasonal
 232 monitoring reveals the production and emission of dominant PFAAs from the
 233 manufacturers within a yearly cycle. Results from this study showed that the spatial

234 distribution of the three dominant PFAAs levels in all seasons were quite consistent with
235 those collected over the four year study. This indicated that the locations of PFAAs
236 emissions remained the same. However, PFAAs levels changed considerably over the
237 different seasons of 2013, and the seasonal trend of the three dominant PFAAs were also
238 different (Table 2, Table S9-S12, Figure 3). For PFBS, the overall concentrations from
239 site 1 to site 14 were presented in a decreasing trend of summer (max. 3870 ng/L) >
240 autumn (max. 2714 ng/L) > spring (max. 1980 ng/L) > winter (max. 690 ng/L), while the
241 trend was autumn (3698 ng/L) > summer (2435 ng/L) > spring (1973 ng/L) > winter (628
242 ng/L) for PFBA, and summer (2279 ng/L) > autumn (1948 ng/L) > winter (753 ng/L) >
243 spring (749 ng/L) for PFOA, respectively. Although PFOS was found with relatively low
244 concentrations and no point-source input in the yearly monitoring, the seasonal
245 monitoring did show an apparent point-source emission of PFOS at site 5 (located in the
246 downstream of Park 2) with concentration of 483 ng/L. The levels then decreased from
247 site 5 to site 8X because of dilution after the Xihe River confluence with the Daling River.
248 C5-C7 PFAAs showed quite consistent trends with the yearly monitoring data, except
249 that relatively high levels of C6 PFHxA were emitted since the summer of 2013. This
250 indicated that even though PFBS, PFBA and PFOA were the dominant PFAAs emitted
251 from the two parks, other homologues including PFOS could still be produced and
252 emitted in some occasions. This was largely due to the unstable status of construction and
253 production in the parks, which will be discussed later.

254

255

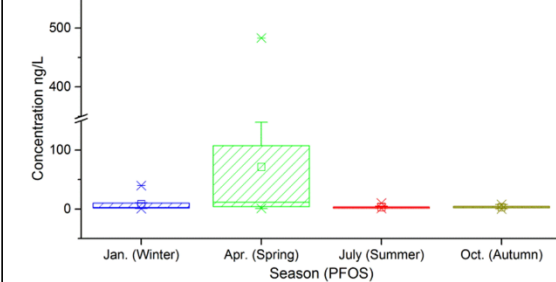
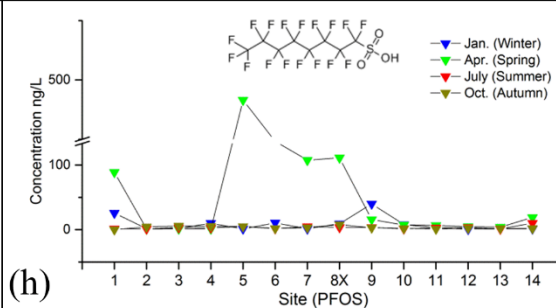
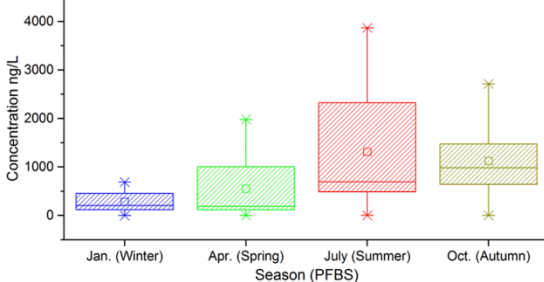
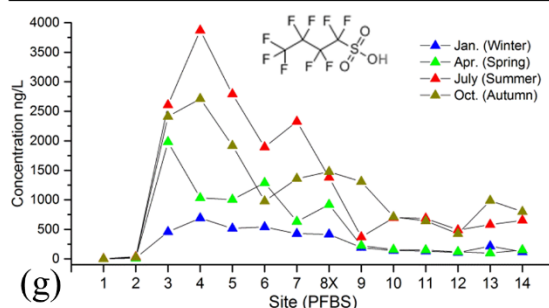
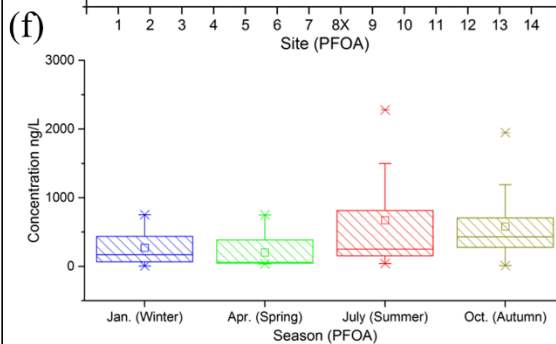
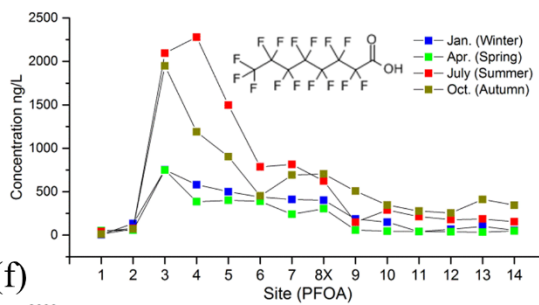
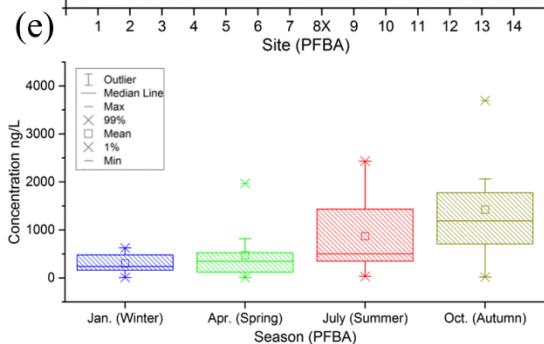
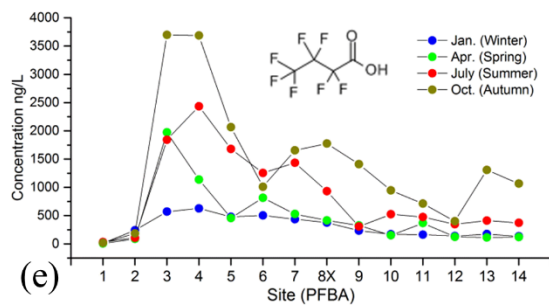
257 Table 2. Summary of PFAAs concentrations in seasonal monitoring (ng/L).

Time		PFBA	PFPeA	PFHxA	PFHpA	PFOA	PFBS	PFOS
Seasonal								
Winter	Min.	0.64	0.06	0.33	0.12	0.27	0.04	0.87
	Max.	628	139	183	29.4	753	690	39.9
	Mean	231	38.7	45.0	8.20	202	209	7.94
	Median	171	12.9	17.0	5.69	102	130	4.08
Spring	Min.	1.36	0.05	0.02	0.08	0.47	0.04	0.59
	Max.	1 973	79.6	168	36.8	749	1 980	483
	Mean	351	15.7	32.8	8.53	150	409	54.0
	Median	125	5.06	9.65	2.84	47.9	149	6.54
Summer	Min.	5.78	0.21	0.15	0.38	2.51	0.26	1.02
	Max.	2 435	273	603	119	2 279	3 870	21.2
	Mean	643	60.1	122	26.8	495	969	4.27
	Median	372	20.7	43.0	10.1	179	581	2.90
Autumn	Min.	1.62	0.05	0.08	0.10	0.61	0.52	0.06
	Max.	3 698	198	795	103	3 948	2 714	11.4
	Mean	1 051	41.8	100	22.3	533	830	3.49
	Median	945	34.0	56.4	20.1	344	712	2.43

258

259 Tsuda et al. (2010) studied the seasonal changes of PFOS and PFOA in Lake Biwa
260 Japan in 2009, with highest levels of 5.3 ng/L for PFOS and 26 ng/L for PFOA,
261 respectively. No specific sources were discussed, for the levels were relatively low. Zhao
262 et al. (2014) performed a detailed study on the seasonal variations of PFAAs in the rivers
263 Elbe and lower Weser and the North Sea in 2011. PFBS, PFOA, PFHxA and PFBA were
264 dominant PFAAs, which was comparable with this study. However, the overall levels

265 were relatively low with no discussion of potential point sources included. The highest
 266 reported concentration of PFBS was 240 ng/L, with the authors attributing it to
 267 occasional discharge of wastewater from some unidentified industrial plants. As far as we
 268 know, this is the first study on yearly and seasonal monitoring of PFAAs emitted from
 269 fluorochemical industry.



270

271 Figure 3. Levels of main PFAAs in the Daling River water in four seasons of the year
 272 2013.

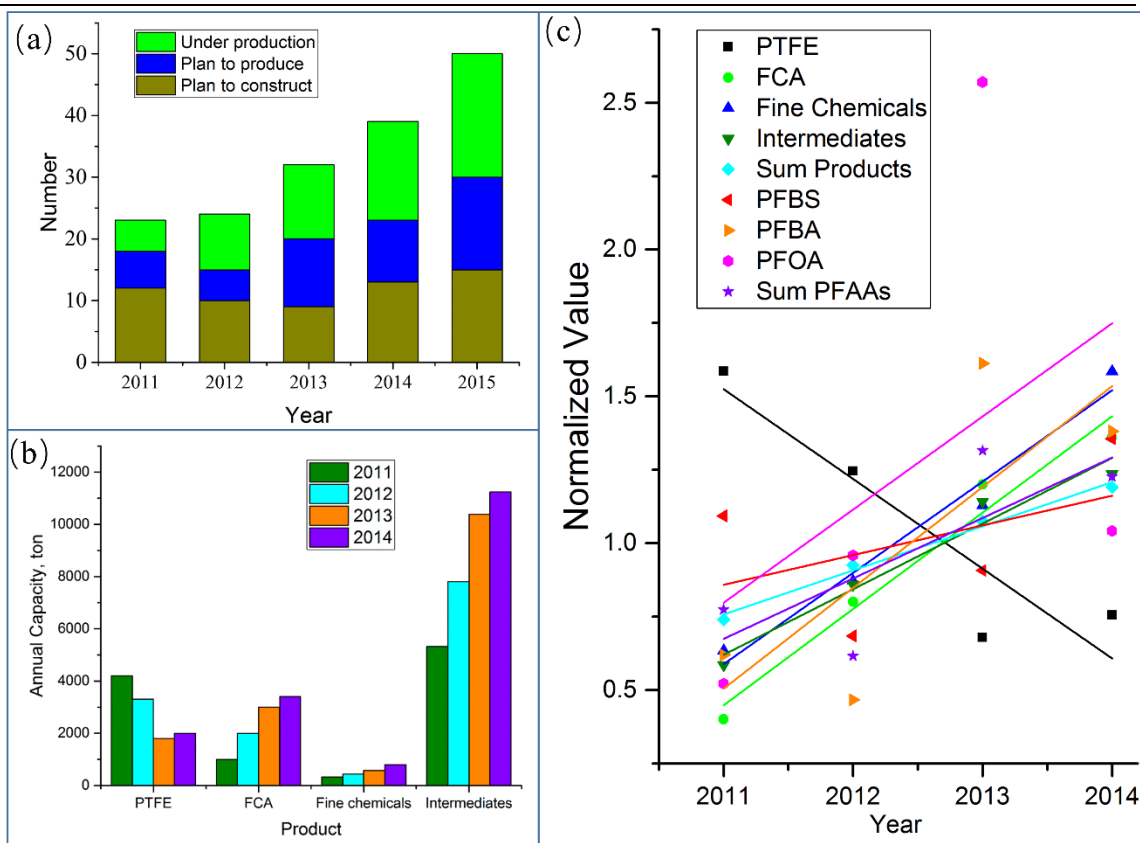
273 *3.3 PFAAs emission characteristics related to construction and production.*

274 Although all dominant PFAAs levels showed general increasing trends over the study
 275 period, the extent was different (Figure 4c), which can be used to identify potential
 276 changes to emission sources and can also reflect the dynamic of market need, production
 277 capacity and etc. Concentration ratios can provide comparisons on the temporal
 278 variations of the dominant PFAAs. It can be seen from Table 3 that, the mean values of
 279 the PFBS/PFBA ratio from site 3 to site 8X were 2.7 and 3.0 in 2011 and 2012,
 280 respectively. But the ratios became 0.8 in 2013 and 1.4 in 2014. For PFBA/PFOA ratios,
 281 the mean values also showed an increasing trend from 2012-2014. These might imply
 282 that emission of PFBA increased more than that of PFBS and PFOA over the most recent
 283 years, with PFBA becoming more important in fluorochemical applications. Spatial
 284 variations of the concentration ratios indicated that the emission intensity from the two
 285 parks for the dominant PFAAs had been changing. This was consistent with the status
 286 that the planning and construction of fluoropolymer facilities in the two parks had been
 287 regulated all the time.

288 Table 3 Concentration ratios of PFBS/PFBA and PFBA/PFOA in the sites 3-8X.

Site	PFBS/PFBA				PFBA/PFOA			
	2011	2012	2013	2014	2011	2012	2013	2014
3	1.9	2.3	0.7	1.4	9.4	0.3	0.9	4.6
4	2.2	1.4	0.7	1.5	3.9	3.5	3.1	10.6
5	5.3	1.6	0.9	1.3	1.3	2.1	2.3	3.3
6	3.0	7.0	1.0	1.3	2.7	0.4	2.2	3.3

7	2.1	2.9	0.8	1.3	3.5	0.9	2.4	2.4
8X	2.0	2.7	0.8	1.3	3.4	0.9	2.5	2.5
<i>Mean</i>	2.7	3.0	0.8	1.4	4.0	1.3	2.2	4.5

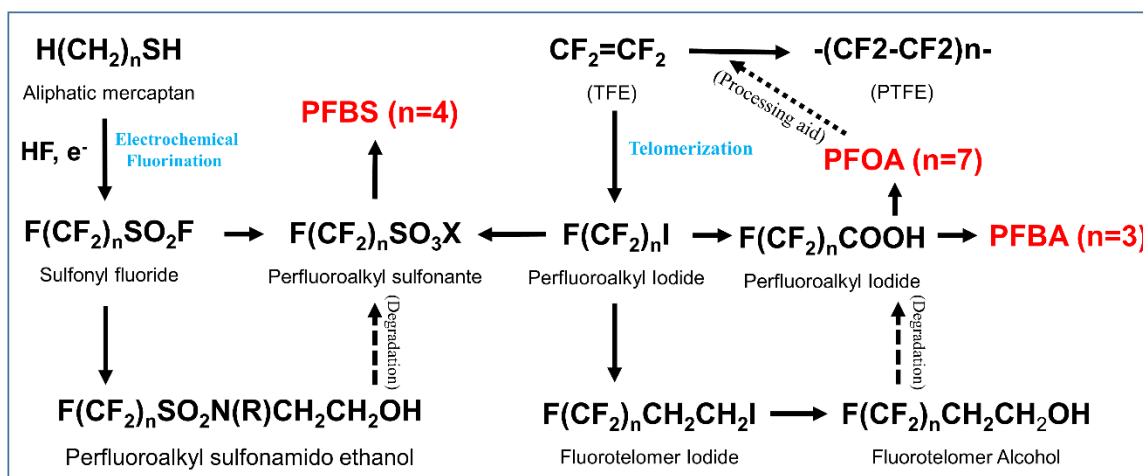


289

290 Figure 4. (a) Construction status of fluorochemical facilities, (b) the main organo-fluorine
 291 products in the two parks and (c) The temporal trends based on the normalization of
 292 related values divided by median.

293 In our previous study in 2011 there were limited fluoropolymer facilities in the study
 294 area (Wang et al., 2015). However, after years of construction of more facilities and
 295 wastewater treatment plants, it is more complicated to identify any correlations of
 296 production and emission for the dominant PFAAs. We investigated the construction and
 297 production status over the period 2011-2014 to explore the emission characteristics of the
 298 fluorochemical industry. From 2011-2014 there were dozens of facilities under

299 production, planning to produce or planning to construct (Figure 4a), especially the
 300 number (accumulated value) of facilities under production showed a steady increasing
 301 trend. This resulted in the increasing capacity of organo-fluorine production that relates
 302 to the emission of PFAAs (Figure 4b). PTFE, Fluorocarbon alcohol (FCA) were directly
 303 correlated with the emission of PFAAs, but the trends were quite different (Figure 4c).
 304 PTFE capacity showed a decreasing trend, which might be influenced by other
 305 manufacturers with a higher production capacity, such as in the Xiaoqing River Basin of
 306 the same economic circle which had a PTFE capacity of 49000 tons (Wang et al., 2016).
 307 FCA including perfluoroalkyl Iodides (PFAIs), Fluorotelomer Alcohols (FTOHs) and
 308 related products such as surfactants are all featured products in the study area, with
 309 various processes including electrochemical fluorination and telomerization that could
 310 generate the emission of the dominant PFAAs (Figure 5). Besides these potential sources,
 311 the production of various intermediates for pesticides and medicines is prevalent in the
 312 area from which PFAAs could be used and emitted. Furthermore, the central wastewater
 313 treatment plant (WWTP) in the parks could also have effects on the emission of PFAAs
 314 to the river, which needs more detailed study.

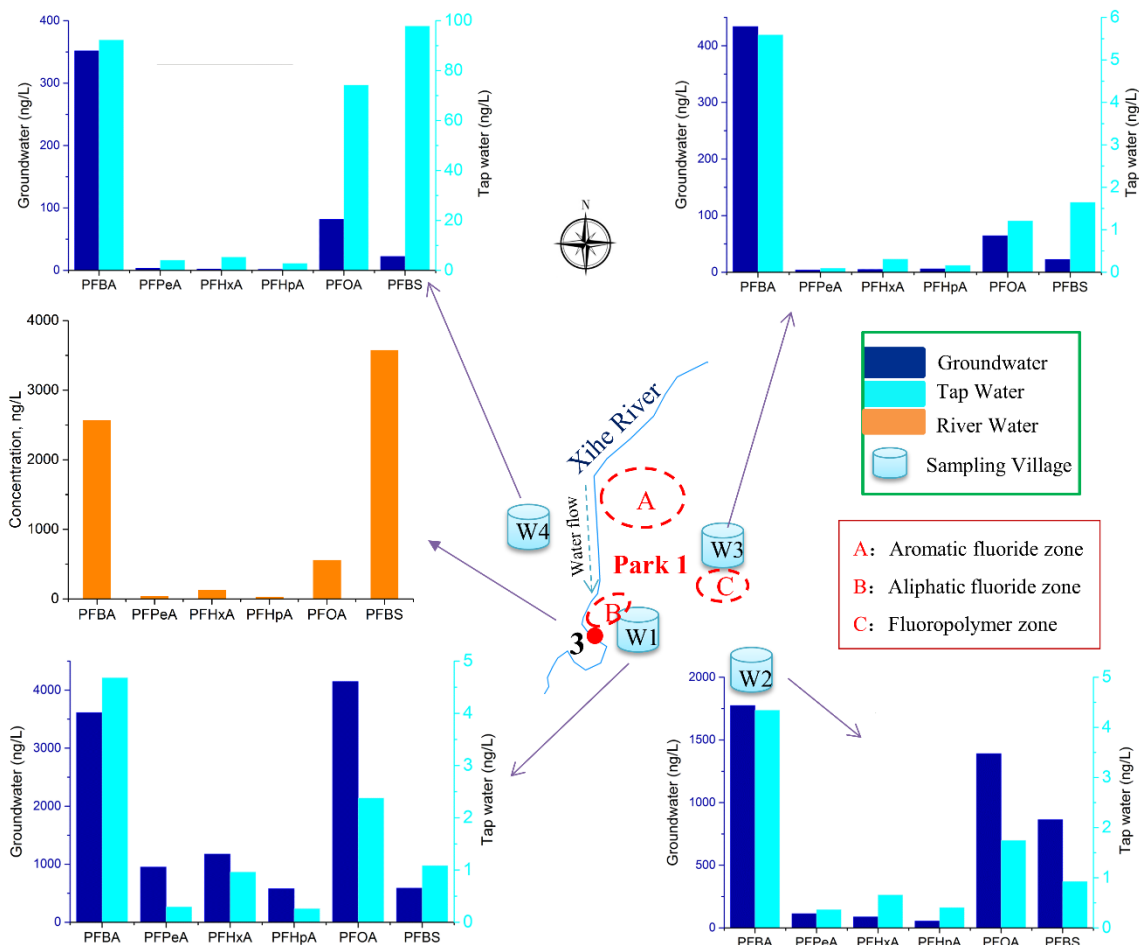


316 Figure 5. Main production processes and products in the parks correlated with the
317 emission of the dominant PFAAs

318 *3.4 PFAAs in groundwater and tap water around Park 1.*

319 The yearly and seasonal monitoring data indicated that Park 1 discharged high levels of
320 PFBS and PFBA directly and continuously to the Xihe River. It is possible that these
321 short-chain PFAAs might migrate to the groundwater through river leakage or other
322 pathways (Liu et al., 2016), resulting in exposure to local residents via water abstraction
323 using shallow wells (5-7 m). Unlike short-chain PFAAs that are usually present at liquid
324 form, long-chain PFAAs are present at solid or powder forms (Wang et al., 2015), which
325 make them more susceptible to atmospheric dispersion followed by migration into
326 groundwater via precipitation (Davis et al., 2007). In this study, very high levels of
327 PFAAs were detected in the groundwater taken from the wells in villages nearby Park 1
328 (Table 5, Table S13, Figure 6). W1 is very close to site 3 in the Xihe River (<100 m),
329 comparisons on PFAAs levels showed that unlike that in river water, PFOA was
330 dominant in groundwater of W1 with concentration up to 4151 ng/L contributing to 37.5%
331 of \sum PFAAs (sum of PFAAs), followed by PFBA (3613 ng/L, 32.7%) > PFHxA (1175
332 ng/L, 10.6%) > PFPeA (951 ng/L, 8.6%) > PFBS (588 ng/L, 5.3%) > PFHpA (579 ng/L,
333 5.2%). For W2-W4, which are located farther from Park 1 than W1, PFAAs levels
334 decreased and contributions of individual PFAA also changed. PFBA was more dominant
335 in W2 with concentration of 1775 ng/L and contribution of 41.4%, followed by PFOA
336 (1391 ng/L, 32.4%). PFBS accounted for a larger contribution to the total (865 ng/L,
337 20.2%), while the contributions of C5-C7 PFAAs were reduced. The concentrations and

338 profiles of PFAAs were comparable in W3 and W4 with \sum PFAAs of 536 ng/L and 463
339 ng/L, respectively. PFBA accounted for about 80%, contributions of PFOA reduced to
340 12.0% and 17.6%, respectively, while PFBS and C5-C7 PFACs accounted for even less.
341 The spatial distribution of W1-W4 indicated that apart from the distance to Park 1, local
342 environmental conditions combined with PFAAs properties could be important reasons
343 for the varied levels and profiles of PFAAs in groundwater. W1 is adjacent to the
344 Aliphatic fluoride zone of Park 1 (Figure 4), which is the zone of direct production and
345 use of PFOA. PFOA could be released as dust, solid waste or impurities in products, and
346 migrate with runoff into groundwater. The high mobility of PFBA led to its high
347 contributions to totals in W3 and W4, and dominance in W1 and W2 (Oliaei et al., 2013).
348 PFBS is less mobile than PFBA, so was mainly emitted to and found in river water.
349 \sum PFAAs in tap water of W1-W3 were all below 10 ng/L, only tap water in W4 presented
350 \sum PFAAs level of 74.2 ng/L, which might be due to different tap water suppliers.



351

352 Figure 6. Distribution of PFAAs levels among groundwater, tap water and river water
 353 nearby Park 1 in 2014.

354 Table 5. Concentrations of the main PFAAs (ng/L) in the groundwater and tap water in
 355 this study, and available health drinking water criteria (ng/L) in the world.

Region	Type	PFBA	PFOA	PFBS	PFOS	year	
This study	W1	Groundwater	3 613	4 151	588	1.05	2014
		Tap water	4.68	2.38	1.09	0.30	2014
W2	Groundwater	1 775	1 391	865	0.20	2014	
	Tap water	4.34	1.74	0.93	0.35	2014	
W3	Groundwater	434	64.5	22.7	0.20	2014	

	Tap water	5.59	1.21	1.64	0.43	2014
W4	Groundwater	352	81.7	22.4	0.15	2014
	Tap water	92.3	74.2	97.8	0.13	2014
United States	<i>Value name</i>					
New Jersey	Preliminary Health-Based Guidance	-	40	-	-	2007
USEPA	Provisional Health Advisory	-	400	-	200	2009
North Carolina	Interim Maximum Allowable Concentration	-	1 000	-	-	2010
Minnesota	Chronic Health Risk Limit	7 000	300	7 000	300	2011
Maine	Maximum Exposure Guideline	-	100	-	-	2014
United Kingdom	Further Provision	-	300	-	300	2009
	Potential Danger to Human Health	-	5 000	-	1 000	
	Notification of Events	-	45 000	-	9 000	
Germany	Health-Related Indication Value	7 000	300	3 000	300	2006/2010
Canada	Drinking Water Protection	-	700	-	300	2010

356 References: (NJDEP, 2007; DRINKING WATER INSPECTORATE, 2009; Wilhelm et
357 al., 2010; MDH, 2011; Paterson et al., 2012; Maine Center., 2014; USEPA, 2014).

358

359 Previous studies reported that in areas with relatively high PFOA levels in surface
360 water, the tap water could also contain higher PFOA concentrations (Saito et al., 2004).
361 In this study, PFOA levels in tap water at location W4 exceeded the Preliminary Health-
362 Based Guidance value (40 ng/L) in New Jersey, USA (NJDEP, 2007), which required
363 immediate investigation on the supplier. Although groundwater accounted for a limited
364 contribution to drinking water consumption, it was still used for irrigation of crops,
365 providing water for domestic animals, washing clothes, cleaning etc. Therefore potential
366 adverse effects may still exist if groundwater from W1 and W2 was used, as PFOA

367 levels in the wells of the two villages exceeded almost all criteria values (Table 5). There
368 is a decreasing trend on the criteria values of PFOA (MDH, 2007; 2011), and when
369 considering the increasing trend of the emission of PFOA and the accumulation of PFOA
370 in groundwater, further studies are needed to ensure the development of fluorochemical
371 industry is not having an adverse effect on local residents.

372 *3.5 Conclusions.*

373 This study has provided a systematic yearly and seasonal study of PFAAs emitted to the
374 Daling River Basin from two fast developing fluorochemical industry parks in Northern
375 China. The yearly monitoring data indicated that emissions were continuous and
376 demonstrated a generally increasing trend from 2008 to 2014. PFBS, PFBA and PFOA
377 were identified as the most dominant PFAAs, followed by C5-C7 PFCAs. Seasonal
378 monitoring added additional information to the yearly increasing trend, which also
379 identified occasional PFOS emission in Spring. Construction of new facilities and
380 increasing annual manufacturing capacity of the main organo-fluorine products were also
381 investigated to explore their relationship with the dominant PFAAs emissions. The
382 number of facilities under construction showed an increasing trend, while the trends of
383 the products also varied considerably. The capacities of FCA showed an increasing trend
384 along with most processes that generate the emissions of PFAAs. In order to assess the
385 potential risk of PFAAs to human health, groundwater and tap water samples were taken
386 from the nearby villages. Results showed different profiles of PFAAs in groundwater
387 compared with river water, with PFBA showing the highest mobility being dominant in
388 groundwater at all locations. PFBS were more dominant in river water than in

389 groundwater. PFOA was dominant in the groundwater at the nearest downstream village.
390 PFAAs levels in tap water were mostly below criteria values except at location W4,
391 which should be investigated further. With an increasing number of facilities under
392 production in the future, more studies are required on the relationship between production
393 processes, wastewater treatment facilities and PFAAs emission, along with an assessment
394 of the potential human health risk of PFAAs through the use of groundwater and river
395 water.

396 **Acknowledgement**

397 This study was supported by the National Natural Science Foundation of China under
398 Grant No. 414201040045 and No. 41371488, the International Scientific Cooperation
399 Program with Grant No. 2012DFA91150, and the Key Project of the Chinese Academy
400 of Sciences under Grant No.KZZD-EW-TZ-12. We would like to thank the editors and
401 reviewers for their valuable comments and suggestions.

402

403

404 **References**

- 405 3M. 2000. Phase-out Plan for PFOS-based Products. USEPA Docket, U.S. Environmental
406 Protection Agency.
- 407 3M, 2002. Environmental, Health, Safety, and Regulatory (EHSR). Profile of Perfluorobutane
408 Sulfonate (PFBS).
409 http://solutions.3m.com/3MContentRetrievalAPI/BlobServlet?locale=en_US&md=1120
410 [194514000&assetId=1114270648708&assetType=MMM_Image&blobAttribute=ImageFi](http://solutions.3m.com/3MContentRetrievalAPI/BlobServlet?locale=en_US&md=1120194514000&assetId=1114270648708&assetType=MMM_Image&blobAttribute=ImageFile)
411 [le](http://solutions.3m.com/3MContentRetrievalAPI/BlobServlet?locale=en_US&md=1120194514000&assetId=1114270648708&assetType=MMM_Image&blobAttribute=ImageFile). (March 19, 2015)
- 412 3M, 2008. Frequently asked questions about PFBA.
413 [http://multimedia.3m.com/mws/media/4379030/frequently-asked-questions-about-](http://multimedia.3m.com/mws/media/4379030/frequently-asked-questions-about-pfba.pdf)
414 [pfba.pdf](http://multimedia.3m.com/mws/media/4379030/frequently-asked-questions-about-pfba.pdf). (March 19, 2015)
- 415 Ahrens, L., Bundschuh, M. 2014. Fate and Effects of Poly- and Perfluoroalkyl Substances in the
416 Aquatic Environment: A Review. *Environmental Toxicology and Chemistry*. 33(9): 1921-
417 1929.
- 418 Bao, J., Liu, W., Liu, L., Jin, Y., Dai, J., Ran, X., Zhang, Z., Tsuda, S. 2010. Perfluorinated
419 Compounds in the Environment and the Blood of Residents Living near Fluorochemical
420 Plants in Fuxin, China. *Environmental Science & Technology*. 45(19): 8075-8080.
- 421 Buck, R. C., Franklin, J., Berger, U., Conder, J. M., Cousins, I. T., de Voogt, P., Jensen, A. A.,
422 Kannan, K., Mabury, S. A., van Leeuwen, S. P. J. 2011. Perfluoroalkyl and polyfluoroalkyl
423 substances in the environment: Terminology, classification, and origins. *Integrated*
424 *Environmental Assessment and Management*. 7(4): 513-541.
- 425 Codling, G., Vogt, A., Jones, P. D., Wang, T., Wang, P., Lu, Y. L., Corcoran, M., Bonina, S., Li, A.,
426 Sturchio, N. C., Rockne, K. J., Ji, K., Khim, J.-S., Naile, J. E., Giesy, J. P. 2014. Historical
427 trends of inorganic and organic fluorine in sediments of Lake Michigan. *Chemosphere*.
428 114(0): 203-209.
- 429 Davis, K. L., Aucoin, M. D., Larsen, B. S., Kaiser, M. A., Hartten, A. S. 2007. Transport of
430 ammonium perfluorooctanoate in environmental media near a fluoropolymer
431 manufacturing facility. *Chemosphere*. 67(10): 2011-2019.
- 432 DRINKING WATER INSPECTORATE, 2009. Guidance on the Water Supply (Water Quality)
433 Regulations specific to PFOS (perfluorooctane sulphonate) and PFOA (perfluorooctanoic
434 acid) concentrations in drinking water
435 http://dwi.defra.gov.uk/stakeholders/information-letters/2009/10_2009annex.pdf.
436 (March 19, 2015)
- 437 Eschauzier, C., Haftka, J., Stuyfzand, P. J., de Voogt, P. 2010. Perfluorinated Compounds in
438 Infiltrated River Rhine Water and Infiltrated Rainwater in Coastal Dunes. *Environmental*
439 *Science & Technology*. 44(19): 7450-7455.
- 440 Holt, R., 2010. Perfluorinated Chemistries: Technologies and Alternatives.
441 www.oecd.org/ehs/pfc/46233887.ppt. (March 19, 2015)
- 442 Holt, R., 2011. The influence of global regulatory changes and customer preferences on the
443 development of alternatives to long chain fluorinated chemicals.
444 www.oecd.org/ehs/pfc/46233887.ppt. (March 19, 2015)
- 445 Liu, Z., Lu, Y., Wang, T., Wang, P., Li, Q., Johnson, A. C., Sarvajayakesavalu, S., Sweetman, A. J.
446 2016. Risk assessment and source identification of perfluoroalkyl acids in surface and
447 ground water: Spatial distribution around a mega-fluorochemical industrial park, China.
448 *Environment International*. 91: 69-77.

449 Maine Center., 2014. Maximum Exposure Guideline for Perfluorooctanoic Acid in Drinking
450 Water. [http://www.maine.gov/dhhs/mecdc/environmental-](http://www.maine.gov/dhhs/mecdc/environmental-health/eohp/wells/documents/pfoameg.pdf)
451 [health/eohp/wells/documents/pfoameg.pdf](http://www.maine.gov/dhhs/mecdc/environmental-health/eohp/wells/documents/pfoameg.pdf). (March 19, 2015)
452 MDH, 2007. Health Based Values for Perfluorooctanoic (PFOA).
453 <http://www.health.state.mn.us/divs/eh/hazardous/topics/pfcs/pfoamemo0307.pdf>.
454 MDH, 2011. Health-Based Guidance for Water.
455 <http://www.health.state.mn.us/divs/eh/risk/guidance/gw/table.html>. (March 19, 2015)
456 Moller, A., Ahrens, L., Surm, R., Westerveld, J., van der Wielen, F., Ebinghaus, R., de Voogt, P.
457 2010. Distribution and sources of polyfluoroalkyl substances (PFAS) in the River Rhine
458 watershed. *Environ Pollut.* 158(10): 3243-3250.
459 Murakami, M., Adachi, N., Saha, M., Morita, C., Takada, H. 2011. Levels, Temporal Trends, and
460 Tissue Distribution of Perfluorinated Surfactants in Freshwater Fish from Asian Countries.
461 *Arch Environ Con Tox.* 61(4): 631-641.
462 Myers, A. L., Crozier, P. W., Helm, P. A., Brimacombe, C., Furdui, V. I., Reiner, E. J., Burniston, D.,
463 Marvin, C. H. 2012. Fate, distribution, and contrasting temporal trends of perfluoroalkyl
464 substances (PFASs) in Lake Ontario, Canada. *Environment International.* (0):
465 NJDEP, 2007. Guidance for PFOA in Drinking Water at Pennsgrove Water Supply Company.
466 http://www.nj.gov/dep/watersupply/pdf/pfoa_dwguidance.pdf. (March 19, 2015)
467 Oliaei, F., Kriens, D., Weber, R., Watson, A. 2013. PFOS and PFC releases and associated
468 pollution from a PFC production plant in Minnesota (USA). *Environ Sci Pollut R.* 20(4):
469 1977-1992.
470 Paterson, L., Mitchell, I., Chatwell, I., Birk, R., 2012. Evaluation of Groundwater Transport of
471 Perfluorinated Chemicals at a Former Fire-Fighting Training Area. [http://www.rpic-](http://www.rpic-ibic.ca/documents/2012_fcs_presentations/Paterson_E.pdf)
472 [ibic.ca/documents/2012_fcs_presentations/Paterson_E.pdf](http://www.rpic-ibic.ca/documents/2012_fcs_presentations/Paterson_E.pdf). (March 19, 2015)
473 Paul, A. G., Jones, K. C., Sweetman, A. J. 2009. A First Global Production, Emission, And
474 Environmental Inventory For Perfluorooctane Sulfonate. *Environmental Science &*
475 *Technology.* 43(2): 386-392.
476 POPs Action in China, 2014. <http://www.china-pops.org>. (March 19, 2015)
477 Saito, N., Harada, K., Inoue, K., Sasaki, K., Yoshinaga, T., Koizumi, A. 2004. Perfluorooctanoate
478 and perfluorooctane sulfonate concentrations in surface water in Japan. *Journal of*
479 *Occupational Health.* 46(1): 49-59.
480 Taniyasu, S., Kannan, K., So, M. K., Gulkowska, A., Sinclair, E., Okazawa, T., Yamashita, N. 2005.
481 Analysis of fluorotelomer alcohols, fluorotelomer acids, and short- and long-chain
482 perfluorinated acids in water and biota. *Journal of Chromatography A.* 1093(1-2): 89-97.
483 Tsuda, T., Inoue, A., Igawa, T., Tanaka, K. 2010. Seasonal Changes of PFOS and PFOA
484 Concentrations in Lake Biwa Water. *B Environ Contam Tox.* 85(6): 593-597.
485 UNEP, 2009. The conference of the parties 4 of the Stockholm Convention (COP-4) in Geneva
486 placed perfluorooctane sulfonate and perfluorooctane sulfonyl fluoride (PFOS and
487 PFOSF) in Annex B.
488 [http://chm.pops.int/Convention/Pressrelease/COP4Geneva9May2009/tabid/542/langu](http://chm.pops.int/Convention/Pressrelease/COP4Geneva9May2009/tabid/542/language/en-US/Default.aspx)
489 [age/en-US/Default.aspx](http://chm.pops.int/Convention/Pressrelease/COP4Geneva9May2009/tabid/542/language/en-US/Default.aspx). (March 19, 2015)
490 USEPA, 2014. Emerging Contaminants-Perfluorooctane Sulfonate (PFOS) and Perfluorooctanoic
491 Acid (PFOA). [http://www2.epa.gov/sites/production/files/2014-](http://www2.epa.gov/sites/production/files/2014-04/documents/factsheet_contaminant_pfos_pfoa_march2014.pdf)
492 [04/documents/factsheet_contaminant_pfos_pfoa_march2014.pdf](http://www2.epa.gov/sites/production/files/2014-04/documents/factsheet_contaminant_pfos_pfoa_march2014.pdf). (March 19, 2015)
493 Valsecchi, S., Rusconi, M., Mazzoni, M., Viviano, G., Pagnotta, R., Zaghi, C., Serrini, G., Polesello,
494 S. 2014. Occurrence and sources of perfluoroalkyl acids in Italian river basins.
495 *Chemosphere.* (0):

496 Wang, P., Lu, Y., Wang, T., Meng, J., Li, Q., Zhu, Z., Sun, Y., Wang, R., Giesy, J. P. 2016. Shifts in
497 production of perfluoroalkyl acids affect emissions and concentrations in the
498 environment of the Xiaoqing River Basin, China. *Journal of Hazardous Materials*.
499 Wang, P., Lu, Y., Wang, T., Zhu, Z., Li, Q., Zhang, Y., Fu, Y., Xiao, Y., Giesy, J. 2015. Transport of
500 short-chain perfluoroalkyl acids from concentrated fluoropolymer facilities to the Daling
501 River estuary, China. *Environ Sci Pollut Res.* 1-11.
502 Wang, T. Y., Lu, Y. L., Chen, C. L., Naile, J. E., Khim, J. S., Park, J., Luo, W., Jiao, W. T., Hu, W. Y.,
503 Giesy, J. P. 2011. Perfluorinated compounds in estuarine and coastal areas of north
504 Bohai Sea, China. *Mar Pollut Bull.* 62(8): 1905-1914.
505 Wilhelm, M., Bergmann, S., Dieter, H. H. 2010. Occurrence of perfluorinated compounds (PFCs)
506 in drinking water of North Rhine-Westphalia, Germany and new approach to assess
507 drinking water contamination by shorter-chained C4-C7 PFCs. *International Journal of*
508 *Hygiene and Environmental Health.* 213(3): 224-232.
509 Zennegg, M., Munoz, M., Schmid, P., Gerecke, A. C. 2013. Temporal trends of persistent organic
510 pollutants in digested sewage sludge (1993–2012). *Environment International.* 60(0):
511 202-208.
512 Zhao, Z., Xie, Z., Tang, J., Sturm, R., Chen, Y., Zhang, G., Ebinghaus, R. 2014. Seasonal variations
513 and spatial distributions of perfluoroalkyl substances in the rivers Elbe and lower Weser
514 and the North Sea. *Chemosphere.* (0):
515 Zhou, Z., Liang, Y., Shi, Y., Xu, L., Cai, Y. 2013. Occurrence and Transport of Perfluoroalkyl Acids
516 (PFAAs), Including Short-Chain PFAAs in Tangxun Lake, China. *Environmental Science &*
517 *Technology.* 47(16): 9249-9257.

518