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5	Reassessing the Benefits of Audio-Visual Integration to Speech Perception and Intelligibility
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Abstract

18	Purpose: In difficult listening conditions, the visual system assists with speech perception
19	through lipreading. Stimulus onset asynchrony (SOA) is used to investigate the interaction
20	between the two modalities in speech perception. Previous estimates of audiovisual benefit
21	and SOA integration period differ widely. A limitation of previous research is a lack of
22	consideration of visemes - categories of phonemes defined by similar lip movements when
23	produced by a speaker - to ensure that selected phonemes are visually distinct. This study
24	aimed to reassess the benefits of audiovisual lipreading to speech perception when different
25	viseme categories are selected as stimuli and presented in noise. The study also aimed to
26	investigate the effects of SOA on these stimuli.
27	Method: Sixty participants were tested online and presented with audio-only and audiovisual
28	stimuli containing the speaker's lip movements. The speech was presented either with or
29	without noise and had six different SOAs (0, 200, 216.6, 233.3, 250, and 266.6 ms).
30	Participants discriminated between speech syllables with button presses.
31	Results: The benefit of visual information was weaker than that in previous studies. There
32	was a significant increase in reaction times as SOA was introduced, but no significant effects
33	of SOA on accuracy. Furthermore, exploratory analyses suggest that the effect was not equal
34	across viseme categories: 'Ba' was more difficult to recognise than 'Ka' in noise.
35	Conclusion: In summary, the findings suggest that the contributions of audiovisual
36	integration to speech processing are weaker when considering visemes but are not sufficient
37	to identify a full integration period.
38	Keywords: audiovisual speech, speech perception, multisensory integration, visemes, vision
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Reassessing the Benefits of Audio-Visual Integration to Speech Perception and Intelligibility 41 Intelligible speech is built up from speech phonemes. Phonemes are small linguistic 42 units - such as the /b/ phoneme that begins 'boy' in the English language - and play a large 43 44 role in the identification of speech (Ewen & Van der Hulst, 2001; Bowers et al., 2016). Processing of speech can be made more difficult with the introduction of noise in the 45 environment, which reduces the ability to discriminate successfully between phonemes 46 47 (Summerfield, 1992). In many cases, information from the visual sense that is relevant to the speech – such as from lipreading – can be integrated into speech processing systems to 48 improve comprehension. In background noise, this assisting sense is recruited further (Yuan 49 50 et al., 2021). Viewing the lip movements when an individual is speaking can help to improve the intelligibility of speech-in-noise versus when the lips are not visible (Sumby & Pollack, 51 1954; Maier et al., 2011). The inverse can also occur, wherein incongruent lip movements 52 influence our ability to discriminate between speech sounds. An example of this is the 53 McGurk Effect (McGurk & MacDonald, 1976), where presenting the speech phoneme 'Ba' 54 with visual lip movements associated with 'Ga' leads to perceptions of the sound 'Da' 55 instead. A more recent example comes from face mask-wearing due to the COVID-19 56 pandemic. Brown et al. (2021) found that if the speaker wore a facemask that either fully or 57 partially covered lip movements, performance on speech discrimination tasks decreased 58 dramatically. These data indicate that the visual and auditory systems interact to influence 59 how we perceive speech. 60

However, estimates of audiovisual benefit vary widely in the literature, likely due to
stimulus-dependent effects (Ma *et al.*, 2009), in that, how the stimuli are created for lab
experimentation drastically affects how participants respond to speech discrimination tasks.
For example, whilst it is important for research on audio-visual processing to consider how
auditorily distinctive sounds are, visual distinctiveness is equally important. A way to

examine the effect of visual distinctiveness is to select phonemes from separate viseme 66 categories for testing. A viseme category is a group of phonemes from the English language 67 that share the lip movements and visual information portrayed by each phoneme when 68 spoken (Massaro et al., 2012). Fisher (1968) identified five viseme categories based purely 69 on visual distinguishability for English phonemes. Examples of phonemes that belong to the 70 same viseme category are /b/, /p/, and /m/ which in syllable form can correspond to 'Ba', 71 'Pa', and 'Ma'. If two speech tokens share the same viseme, then it is impossible to discern 72 which was spoken through lip-reading alone (Van Engen et al., 2022) and are only 73 74 distinguishable through sound. This means that any measure of audiovisual benefit derived from discriminating within a viseme category will be lessened. It is therefore important to 75 select stimuli from separate viseme categories when investigating how auditory and visual 76 77 systems work together during speech syllable discrimination.

When speaking with others, we typically see lip movements before we hear the 78 spoken words (Chandrasekaran et al., 2009) as a form of natural stimulus onset asynchrony 79 (SOA). SOA is when two different modalities of information in cross-modal stimuli are 80 presented at different onsets. The window of integration is the term given to the period in 81 82 which visual information can lead or lag speech sounds before the visual information is no longer perceived as part of the same stimulus (Stein & Meredith, 1993). If the lip movements 83 84 are desynchronised from the speech sounds within a specific period, then we still perceive the lip movements and the speech we hear to be congruent. If the SOA is large enough that the 85 auditory and visual information do not fall within the same window of integration, we may 86 perceive the two modalities as separate, and therefore not process the visual information as 87 helpful extra information to discern and comprehend the speech. For speech signals, syllables 88 have a window with an upper limit of about 240 ms and short words of about 300 ms 89 (Navarra et al., 2005). Although it is important to note that this window of integration can be 90

91	highly stir	mulus-dependent, and ranges in the literature between 150 and 800 ms (Colonius &
92	Diederich	, 2010; Schwartz & Savariaux, 2014, Ren et al., 2017), and even differs between
93	age group	s (Ren et al., 2017). This mixed range in the literature could also be due to a
94	mismatch	with reported display refresh rates (typically 60 Hz), video framerates (typically 30
95	– 60 fram	es per second) and levels of SOA used in research if reported at all (Ren et al.,
96	2017). Fo	r example, in 10 ms increments, a 60 Hz monitor can't display separate visual
97	streams of	f information that refresh every 10 ms, as it is only capable of doing so every 16.6
98	ms, assum	ning the video plays at a full 60 frames per second as well.
99	Th	ne present study aimed to reassess the benefits of visual information to speech-in-
100	noise perc	ception using stimuli with visual distinctiveness. We also aimed to determine the
101	effect of S	SOA on audiovisual speech perception. We tested the following hypotheses:
102	(i)	purely audio speech discrimination accuracy will be decreased when speech is
103		presented in noise compared to without noise.
104	(ii)	reaction time to correctly discriminated purely audio speech will be increased
105		when speech is presented in noise compared to without noise.
106	(iii)	speech-in-noise discrimination accuracy will be increased when speech is
107		presented with congruent visual information of the speaker's lip movements
108		(audiovisual stimuli) compared to when no visual information is present (purely
109		audio stimuli).
110	(iv)	reaction time to correctly discriminated speech-in-noise will be decreased when
111		speech is presented with congruent visual information of the speaker's lip
112		movements (audiovisual stimuli) compared to when no visual information is
113		present (purely audio stimuli).
114	(v)	as the visual information precedes the auditory information by larger SOAs (0 -
115		266 ms), speech-in-noise discrimination accuracy will decrease.

116	(vi)	as the visual information precedes the auditory information by larger SOAs,
117		reaction time to correctly discriminated speech-in-noise will increase.
118		Further exploratory analysis also investigated the window of integration for these
119	audiov	visual stimuli, as well as differences in visual benefit between each syllable used.
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Method

122 Design

123	To address hypotheses (i) and (ii), a single within factor (noise type: speech without
124	noise, and speech-in-noise) design was used for purely audio trials with no stimulus
125	asynchrony. For hypotheses (iii) and (iv), a single within factor (stimulus type: audiovisual,
126	and purely audio) design was used for speech-in-noise trials with no stimulus asynchrony.
127	Finally, for hypotheses (v) and (vi), a single within factor (SOA; 0, 200, 216.6, 233.3, 250,
128	and 266.6 ms) design was used for audiovisual, speech-in-noise trials only. In total,
129	participants took part in all 14 unique conditions (see Table 1), and both the accuracy of
130	speech discrimination and reaction time in the discrimination task were recorded.
131	Ethical approval was granted by the Faculty of Science and Technology Research
132	Ethics Committee at Lancaster University (approval reference: FST-2022-2122-RECR-2,
133	project ID: 2122). The study was pre-registered on AsPredicted.org before commencing data
134	collection. The pre-registration can be found at <u>https://aspredicted.org/aq98a.pdf</u> . All
135	deviations from this pre-registration are listed in the section below. The collected data have
136	been archived on the Open Science Framework (OSF: <u>https://osf.io/kcbzs</u>).
137	Deviations from pre-registration
138	In the original study pre-registration, there were three set hypotheses listed:
139	• There will be a decrease in the accuracy of speech discrimination (measured
140	by correct responses in trials) or an increase in response times in the auditory-
141	only condition when the speech is in noise compared to speech without noise.
142	• When visual information is present (audiovisual), the accuracy of speech

discrimination and response times for each trial will not be as obstructed in

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speech-in-noise conditions compared to audio-only conditions (when no visual information is present).

As the visual information precedes the auditory information by larger margins
(200 ms, 216 ms, 233 ms, 250 ms, 266 ms), the accuracy of speech
discrimination in the speech-in-noise conditions will decrease - or response
times will increase - in audiovisual conditions compared to when the
audiovisual information is congruent (0ms).

These were changed to the hypotheses listed in the introduction by splitting the 151 dependent measures into separate hypotheses and improving readability. This was done to 152 make interpretations of results more clearly defined when referring to the hypotheses. To 153 accompany this, the models used to test the hypothesis were also adjusted, giving six separate 154 models of analysis - one for each hypothesis - instead of four. Generalised linear mixed-155 effects models (GLMER) were used to test all six hypotheses, instead of the mixture of 156 GLMER models for accuracy data and LMER models for reaction time data that was listed in 157 the pre-registration. This was done as GLMER models are more appropriate than LMER 158 models for reaction time data, which is generally positively skewed (Lo & Andrews, 2015). 159 These GLMER models were preferable still over repeated measures generalised linear 160 models for considering random effects that may be present on a participant-by-participant 161 basis. Finally, in our sample size calculation using data simulation (see section 'Sample size 162 calculation'), it was determined that 60 participants were needed to sufficiently power the 163 study. In the pre-registration, we then added a further 10% after a priori calculations (another 164 6 participants) to make a sample size estimate of 66. Due to the availability of resources, this 165 extra 10% was not collected, leaving the sample of the study at the original number of 60 166 participants. 167

168 Participants

All data were collected online, with 81 participants recruited for the study. Of these, a 169 total of 60 participants completed the study (mean age = 25.66, 28 male, 30 female, two non-170 binary). The other 21 participants completed the eligibility questionnaire but were either not 171 eligible or did not proceed to the study task and provide study data. Participants were 172 recruited via online advertisements or through Prolific and were compensated for their time. 173 All participants were monolingual, native speakers of British English to control any potential 174 175 speech perception differences across languages and in bilingualism and multilingualism (Lotfi et al., 2019). Participants reported no hearing disorders and had either normal or 176 177 corrected-to-normal vision. Only those between the ages of 18 and 35 were tested, as the window of integration for audiovisual information increases significantly with age, which can 178 make speech discrimination more difficult (Ganesh et al., 2018; Sekiyama et al., 2014). 179 Participants reported no developmental disorders, such as dyslexia, or history of 180 developmental disorders. This was important as the window of integration for audiovisual 181 stimuli is wider in individuals with learning difficulties such as autism spectrum disorder and 182 developmental dyslexia (Smith & Bennetto, 2007; Megnin-Viggars & Goswami, 2013; 183 Michalek et al., 2014; Noel et al., 2018). All participants were right-handed. Finally, 184 participants had no musical expertise, as previous research suggests that individuals with 185 continuous experience as musicians can detect smaller SOAs, even for speech syllables (Lee 186 & Noppeney, 2014; Sorati & Behne, 2019). Musical expertise was defined as training with a 187 single musical instrument or voice for more than 7 years (Varnet et al., 2015; Lee et al., 188 2020) and for at least 3.5 hours a week (Lee & Noppeney, 2014). Participants were screened 189 for the experiment using Qualtrics (see section 'Procedure'). 190

191 Sample size calculation

Before testing, data simulation was conducted using R studio for power and sample
size analysis. Lme4 (vers. 1.1-27.1; Bates *et al.*, 2015), afex (vers. 1.0-1; Singmann *et al.*,

2024) and simr (vers. 1.0.5; Peter et al., 2019) were the core packages utilised in this process. 194 Firstly, means and standard deviations of accuracy were gathered from studies that used 195 syllable or bi-syllable phonetic speech tokens to investigate visual integration in speech 196 perception. These studies typically used either multiple signal-to-noise ratios (SNRs; between 197 -12 and -18 dB: Altieri et al., 2014; Grant & Seitz, 1998; Sekiyama et al., 2014) or 198 individualised ratios (Ten Oever et al. 2013). For those studies that used multiple speech-to-199 noise ratios, we took data from - or closest to - -16 dB SNR. -16 dB was selected for our 200 speech-shaped noise as this was the average SNR at which there was a notable difference 201 202 between perceiving speech with or without visual aid (Bernstein et al., 2004). An average estimated mean and standard deviation were then calculated for each condition. A dataset was 203 produced using the rtruncnorm function (truncnorm package; vers. 1.0-8; Mersmann et al., 204 205 2018) - to randomly generate data for each condition that had a mean and standard deviation close to the ones calculated. This was repeated for each speech token ('Ba', 'Fa', and 'Ka') 206 and all trials of each condition, providing a full dataset of expected results. 207

The dataset was then analysed using our planned experimental analyses (see below) to generate predicted results. Simulations were repeated 1000 times. An aggregation of power was then calculated. If the power was insufficient (below .80 at an alpha level of .05), the sample size of the dataset was manually adjusted, and the data simulation was conducted again. This was done until a minimal sample size with sufficient power was found. A total of 60 participants were calculated to be needed for sufficient power. The code for data simulation is available on OSF (https://osf.io/kcbzs).

215 Materials

The experiment was created using PsychoPy 3's builder tools (vers. 2021.2.3; Peirce *et al.*, 2019) and hosted online through Pavlovia. A consent form and a screening form were

created and hosted on Qualtrics (Qualtrics, 2005). Three single-syllable speech tokens were 218 used: 'Ba', 'Fa', and 'Ka'. These were chosen as they belong to three distinct viseme 219 categories, did not rely on any tongue movements to distinguish that would have been 220 obscured from sight (such as labiodental phonemes), and could be easily distinguished 221 without visual aid when not in noise. These speech tokens were spoken by a native British 222 English-speaking male speaker and were recorded using personal home equipment. An 223 external USB 3.0 condenser microphone was used to record audio (HyperX Quadcast with 224 default windshield, set to the cardioid position). The initial video footage was recorded at 225 226 1920 x 1080 resolution and 60 frames per second using a mobile device (OnePlus 7 Pro). Both devices were connected to a single desktop machine, which recorded the audio and 227 video in tandem using open-source OBS Studio software (Open Broadcaster Software, 228 229 version 29.1.3). After the initial recording, the speech tokens were edited in length and converted to mp4 files at a resolution of 1280 x 720 and a frame rate of 60 frames per second. 230 As the study would be completed on participants' laptops or desktop systems and using their 231 internet connection, we could not ensure that all participants were using a device with a 1920 232 x 1080 resolution screen. By reducing the resolution of files to 1280 x 720, all likely 233 participant resolution sizes could be accommodated whilst ensuring that all participants 234 viewed the files at the same resolution. Sixty frames per second was chosen as the frame rate 235 as home device monitors and laptop screens are typically to a standard 60 Hz or higher. By 236 237 using the lower boundary and not a higher frame rate, we can be sure that all SOAs implemented in the stimuli were visually relayed to the participant. For audiovisual 238 conditions, the video footage contained only the speaker's lower face in view, containing 239 240 mouth and lips. This meant that participants were only provided with visual information regarding the lip movements made when speaking, and not any other visual information 241 relevant to other actions the speaker may have made during recordings. For audio-only 242

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conditions, the video of the lips was overlayed with a plain black PNG image file. This kept
the audio-only stimuli in a consistent video format rather than exporting the file as an mp3.
All video files were the same length of 2 s.

Audacity software (Audacity Team, 2021) was then used to rip the audio from the 246 MKV files to be edited as WAV files in Praat software (Boersma & Weenink, 2021) for the 247 creation of speech-shaped noise. First, a sentence using English words - 'His plan meant 248 taking a big risk' - was recorded to provide a base for the speech-shaped noise. White noise 249 was then produced using Praat's white noise generator. The noise was brought down to an 250 intensity tier, then an amplitude tier. This was then multiplied with the sentence above to 251 create speech-shaped noise (Van Engen et al., 2017). Praat was then used to combine the 252 speech-shaped noise with the speech-in-noise conditions at a speech-to-noise ratio of -16 dB. 253 This was done using a Praat script developed by McCloy (2021). Finally, Audacity was used 254 again to ramp up the start and ramp down the ends of all audio files for every condition. The 255 audio was then stitched back onto the MP4 files. 256

For the conditions where the stimuli were asynchronous, Lightworks was again used to desynchronise the onset of the audio ahead of the onset of the lip movements using exact frames of the video footage (12, 13, 14, 15, and 16 frames per second) which corresponded with the SOAs of the relevant conditions (audio starting after the visual lip information by 200, 216.6, 233.3, 250, and 266.6 ms). The result was 42 stimuli in MP4 format, representing three speech tokens ('Ba', 'Fa', and 'Ka') for each of the 14 condition levels presented to the participant.

264 **Procedure**

265 Participants were linked to Qualtrics once they had consented to the study.

266 Participants were also reminded at this stage to ensure that they were in a quiet room with no

background noise, as well as to load the experiment on either Microsoft Edge, Google
Chrome, or Mozilla Firefox internet browsers on a laptop or desktop computer. They were
explicitly told not to open the experiment on any other browser, such as Safari, nor a mobile
or tablet device as these were incompatible. Participants were also instructed to use
headphones for the experiment, rather than to play the stimuli through their device's
speakers.

A volume check began, in which a constant pure tone played (440 Hz frequency), and 273 participants were asked to adjust the volume of their device as necessary for a comfortable 274 auditory experience and to ensure that the audio was playing correctly at a sufficient volume 275 level. This tone would play for as long as the participant wished to alter the volume levels of 276 their device. Once complete, the spacebar would be pressed, and the tone stopped. 277 Participants were informed that a video would play either showing no visual information or 278 visual information of lips moving. Meanwhile, speech would be played. Participants were 279 told to listen carefully to the speech sound spoken, and after hearing the sound to press one of 280 three buttons on their keyboards that corresponded with the three available speech tokens. 281 They were instructed to respond to each trial as quickly as possible. They were reminded 282 before and after each trial to press 'z' on their keyboard if they heard 'Ba', 'x' for 'Fa', or 'c' 283 for 'Ka'. Participants were told to answer as quickly as possible. If they were unsure, they 284 285 were told to make a guess.

Participants were given six practice trials before data were collected. This was using the speech without noise, 0 ms, and audiovisual condition stimuli, with two trials for each of the three speech tokens (Ba, Fa, and Ka). A white crosshair would be displayed on the screen for 1000 ms before the trial began to bring attention to the centre of the screen where the video trials would be displayed. Stimuli were shown for 2500 ms, then the response screen would display. On this screen, the participants were reminded of the buttons to press for each

of the three speech sounds. Only the three buttons could be pressed and pressing the buttons 292 whilst the stimuli were still playing would not record a response or stop the trial. A total of 293 546 trials (not including the practice trials) were completed. The order of the trials and 294 conditions was completely random to avoid any potential order bias. After every 42 trials, a 295 break screen would appear. This screen told the participant to take a short break before 296 continuing with a press of the spacebar. If the participant did not wish to take a break, they 297 were permitted to continue with a spacebar press immediately. There was a total of 12 breaks 298 in the experiment, each with a short attention check question to ensure participants remained 299 300 attentive to the experiment. Upon completing the study, participants could close the browser tab or window down and all data would remain recorded on the Pavlovia system. 301

302 Analysis

303 Descriptive statistics were first gathered from each condition for both the accuracy ratings and the reaction times. Reaction times were taken from the offset of the stimuli to the 304 participant response. The average accuracy and reaction time of accurately responded trials 305 for each condition and each participant was calculated, with reaction times winsorised over 306 the 95th percentile only. This was done to replace any large, outlying reaction times to trials 307 that may be due to a distraction at home during testing or the participant taking a short break 308 before the break period. The assumptions of linear and generalised linear mixed-effects 309 models were tested, including residual plots to check for linearity, quantile-quantile plots for 310 normality, assessing the levels of multicollinearity between stimulus type, noise, and SOA 311 using variance inflation factors, and ensuring the assumption of homoscedasticity was met. 312 All the above tests were conducted on the dataset and all assumptions were met. As we were 313 testing six separate hypotheses, the experiment-wise error rate was controlled using the 314 Bonferroni-Holm method (Holm, 1979). 315

With further regards to stimulus variability, previous studies often employ analyses 316 such as repeated measures analysis of variance (ANOVA) tests which do not consider 317 random effects (Bates et al., 2015). Including random effects is important for ensuring that 318 any effects found in the model are not influenced by differences in participant ability or by 319 the stimuli themselves, as some stimuli may be easier to recognise and comprehend in noise 320 than others. To counter this issue, mixed-effects models can be used that consider the random 321 effects, such as participant number and stimuli number, across intercepts and slopes within 322 the model to provide a more valid interpretation of the integration between visual and 323 324 auditory systems in speech perception.

Using the lme4 package (Bates et al., 2015), generalized linear mixed-effects 325 regression model (GLMER) analyses were conducted for the accuracy scores to test 326 hypotheses (i), (iii), and (v) and for reaction time scores to test hypotheses (ii), (iv), and (vi). 327 GLMERs were chosen instead of repeated measures generalised linear models such as 328 ANOVA tests because they consider random effects that may be present across all 546 trials 329 on a participant-by-participant basis. GLMER was chosen over LMER for analysis with 330 reaction times as these scores are typically positively skewed. As noted by Lo and Andrews 331 (2015), generalised linear mixed models are more appropriate for skewed datasets in this 332 context. Furthermore, accuracy in a trial is a binary outcome variable that can either be 333 334 correct (1) or incorrect (0). Therefore, GLMERs were used to ensure that assumptions of categorical dependent variables in mixed-effects models were met. GLMERs were conducted 335 using the lme4 package still, as this package supported a generalised approach. Due to the 336 generalised nature of the model and package restrictions, no suitable p-values were provided 337 with the GLMER analyses. Instead, significance was interpreted using 99.2% confidence 338 intervals (CIs), chosen to reflect our lowest criterion of significance in the Bonferroni-Holm 339 approach being p < .008 for six comparisons. If the resulting confidence intervals showed 340

insignificance, the next boundary of Bonferroni-Holm (p < .01) was checked using 99% confidence intervals. This kept going until either significance was found or no significance was found at a significance level of p < .05. Once detected or classed as insignificant, the test was ranked with the other p-values in our analyses as the lowest boundary of significance and Bonferroni-Holm was conducted as normal on our six ranked comparisons.

To test hypothesis (i), a GLMER analysis was conducted using the accuracy of 346 responses on the speech discrimination task as the dependent variable and using noise type 347 (no noise or speech-shaped noise) as the independent variable in the model. As we 348 hypothesised that presenting speech in noise would significantly decrease accuracy compared 349 to without noise, we expected to find a significant effect of noise type from this GLMER 350 analysis. Hypothesis (ii) was the same as the first but looked at reaction times to correctly 351 discriminated speech-in-noise on the same task. A GLMER was used to test this hypothesis, 352 using reaction times as the dependent variable and noise type as the independent variable. 353 Similarly, we expected to find a significant effect of noise type, increasing reaction times. 354

To test hypothesis (iii), a GLMER analysis was conducted using the accuracy of 355 responses on the speech discrimination task as the dependent variable and using stimulus type 356 (purely audio or audiovisual) as the independent variable in the model. As we hypothesised 357 that presenting audiovisual stimuli in noise would significantly increase accuracy compared 358 to purely audio stimuli in noise, we expected to find a significant effect of stimulus type from 359 this GLMER analysis. Hypothesis (iv) was the same as the third but looked at reaction times 360 to correctly discriminated speech-in-noise on the same task. A GLMER was used to test this 361 hypothesis, using reaction times as the dependent variable and stimulus type as the 362 independent variable. Similarly, we expected to find a significant effect of stimulus type, 363 decreasing reaction times. 364

365	To test hypothesis (v), we conducted a GLMER analysis using accuracy as a
366	dependent variable and SOA as the independent variable. SOA was treated as a categorical
367	variable in this model and the model for hypothesis (vi) below. We expected to find a
368	significant effect of SOA, with accuracy decreasing when more asynchrony was introduced
369	to the stimuli. This would reflect that the window of integration for audiovisual speech is
370	important for visual information to be beneficial to understanding speech in noise. Finally, in
371	a similar manner, hypothesis (vi) was tested using a GLMER analysis with reaction times as
372	the dependent variable and with SOA levels as the independent variable in the model. Again,
373	we expected a significant effect of SOA on reaction times, with reaction times increasing
374	with the introduction of asynchrony.
375	For all six GLMER models listed above, the speech sound token used (Ba, Fa, or Ka),
376	participant age and the participant ID were all included as random effects. No further model
377	selection of these random and fixed effects was undergone, as we wanted a conservative
378	model that included a full random effects structure to account for the expected larger
379	individual differences of an online experiment. All model equations and structures can be
380	found in the supplementary materials (Table 2).

381 Furthermore, we also conducted exploratory analyses to assess the effect of noise on speech discrimination accuracy between the three visually distinct, chosen phonemes ('Ba', 382 'Fa', and 'Ka'). To do this, a GLMER analysis was conducted using accuracy as the 383 dependent variable and speech token as the independent variable. Purely audio trials in noise 384 were used for this analysis. Furthermore, we also conducted pairwise comparisons within the 385 GLMER models used to test hypotheses (v) and (vi) as another exploratory analysis, 386 comparing between each level of our SOA independent variable. We expect that not all the 387 SOA interactions will show significance. As we expected the benefits of visual stimuli to 388 only be present during the window of integration, there would only be a significant decrease 389

- in accuracy and an increase in reaction times at SOAs outside this window. Therefore, this
- 391 exploratory analysis can be used to better understand the window of integration for our
- stimuli. All exploratory analyses will use an inference criterion of p < .008 as this was the
- 393 strictest threshold for significance included in our Bonferroni-Holm correction.

Results

396 Descriptive statistics

397 The means and standard deviations of the accuracy of responses and reaction times of responses can be seen in Table 1. Descriptive statistics were also calculated for each speech 398 token (Ba, Fa, and Ka). Figure 1 shows the mean reaction times and mean accuracy rates for 399 both audio-only and audiovisual stimuli when no SOA is considered (0 ms SOA), whilst 400 Figure 2 shows these data for all SOAs when audiovisual stimuli are used for speech-in-noise 401 402 conditions. Figure 3 shows the mean reaction times and accuracy rates for all SOAs when audiovisual stimuli are presented without noise. Furthermore, Figure 4 shows accuracy rates 403 and reaction times in purely audio and audiovisual stimuli in noise between each of the three 404 speech tokens. Violin plots were used for all figures to highlight the distribution of accuracies 405 406 and reaction times across participants for each condition, as individual differences were large in this dataset likely due to online experimentation. 407

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18 Effect of noise on speech perception

The first planned GLMER analysis was conducted to test hypothesis (i). There was a 409 410 significant effect of noise type (with or without noise), showing a decrease in accuracy in speech-in-noise discrimination when noise was introduced versus clear speech ($\beta = -.29$, t = -411 12.95, 99.2% CI = [-.35, -.23], p < .008). This model supports hypothesis (i), as we expected 412 to find that the introduction of noise to speech would decrease performance. For testing 413 hypothesis (ii), the planned GLMER analysis was conducted. There was a significant effect 414 of noise type on reaction times ($\beta = .06$, t = 3.10, 99.2% CI = [.01, .11], p < .008). This model 415 supports hypothesis (ii), as we expected to find that introducing noise would increase reaction 416 times to correctly discriminated speech. 417

418 Effect of congruent, distinguishable visual information on speech perception

419	Our next planned GLMER analysis was conducted to test hypothesis (iii). There was a
420	significant effect of stimulus type (purely audio or audiovisual), as there was an increase in
421	accuracy in speech-in-noise discrimination when stimulus type was audiovisual versus purely
422	audio ($\beta = .26, t = 11.36, 99.2\%$ <i>CI</i> = [.20, .32], <i>p</i> < .008). This model supports hypothesis
423	(iii), as we expected to find that introducing relevant visual information would improve
424	speech perception in noise. For testing hypothesis (iv), the planned GLMER analysis was
425	conducted. There was a significant effect of stimulus type on reaction times ($\beta =08$, $t = -$
426	4.15, 99.2% CI = [13,03], $p < .008$). This model supports hypothesis (iv), as we expected
427	to find that introducing relevant visual information would decrease reaction times and
428	improve speech perception in noise.

429 Effect of stimulus onset asynchrony on audiovisual speech perception

When testing hypothesis (v), the planned GLMER analysis was done for data across all SOA levels for audiovisual speech-in-noise stimuli only. There was no significant effect of SOA on accuracy at any interval, even at a 95% confidence interval, showing no support for hypothesis (v). Finally, our planned GLMER analysis was run to test hypothesis (vi). There was a significant main effect of SOA ($\beta = .04$, t = 3.31, p < .008) on reaction times, indicating reaction times increased with SOA. This supports hypothesis (vi).

436 Exploratory analyses

As a further, exploratory analysis, a GLMER model was used to investigate phoneme differences in speech-in-noise discrimination. Looking at pairwise comparisons, there was a significant difference between accuracy rates of the 'Ba' and 'Fa' tokens ($\beta = -.17$, t = -5.03, p < .008), 'Ba' and 'Ka' tokens ($\beta = -.53$, t = -15.50, p < .001), and 'Fa' and 'Ka' tokens ($\beta = -.36$, t = -10.47, p < .008) for purely audio stimuli. For audiovisual stimuli, however, there was no significant change in accuracy rate between the three tokens. A GLMER model for

443	reaction times showed similar patterns, although only 'Ba' and 'Ka' were significantly
444	different for purely audio stimuli, with 'Ba' having increased reaction times in comparison to
445	'Ka' ($\beta = .14, t = 4.21, p < .008$).

446	Finally, to explore differences between SOA intervals to see if a window of
447	integration could be determined, pairwise comparisons were made on the GLMER analyses
448	used to test hypothesis (vi). Pairwise comparisons were not made on the GLMER used to test
449	hypothesis (v) as no significant effect of SOA on accuracy was observed. Pairwise
450	comparisons made on the GLMER to test hypothesis (vi) indicated that reaction times were
451	significantly reduced compared to 0 ms at 250 (β =05, <i>t</i> = -3.94, <i>p</i> = .001) and 266.6 ms (β
452	=05, t = -3.88, p = .002). However, no other comparisons between levels of SOA were
453	significantly different. Whilst this implies that a minimal end of the window of integration
454	could lie above 233.3 ms (as SOAs between 233.3 and 250 ms were not tested), no accurate
455	window of integration can be determined from the data.

Discussion

This study aimed to reassess the contribution of audiovisual integration to speech 461 perception in noise when stimuli belonged to different viseme categories. As speech 462 perception can differ wildly with stimuli sets, it was important to first reassess the detriment 463 of noise on speech discrimination, as well as the benefits of speech-relevant visual 464 integration. The study incorporated the visual distinguishability of each speech phoneme used 465 in the speech discrimination task by selecting phonemes from separate viseme categories. 466 Furthermore, the study also aimed to examine the effects of stimulus onset asynchrony (SOA) 467 on audiovisual speech perception. This may assist in determining a window of integration for 468 these stimuli, which was explored in further analyses. 469

470 Reassessing the detriment of noise on speech perception

GLMERs were used to investigate the influence of the predictor variables on accuracy 471 ratings on the speech discrimination task. The first model, using noise type as the predictor, 472 supported our first hypothesis, showing that there was a decrease in accuracy for purely audio 473 stimuli when the speech was presented in noise and not without. Additionally, the 474 475 introduction of noise to the speech signal increased reaction times significantly. These results support our second hypothesis. As both the accuracy and reaction time to trials with noise 476 differed significantly from those without, it can be said that the detriment of noise on speech 477 478 perception was present with our created stimuli and chosen SNR ratio of -16 dB using speech-shaped white noise. 479

480 Reassessing the contribution of audiovisual information on speech processing in noise

481 There was a significant increase in accuracy when relevant, congruent visual
482 information was present with the stimuli versus purely audio stimuli in noise. This supports
483 hypothesis (iii) and confirms previous findings regarding the contribution of audiovisual

information to speech-in-noise processing. However, it should be noted that whilst the effect 484 is prominent, it is not as great as previous literature findings which used a similar speech-to-485 noise ratio (Van de Rijt et al., 2019). Here, the effectiveness of audiovisual enhancement of 486 speech recognition was assessed with SNR ratios as low as -21 dB SNR, where the 487 introduction of relevant visual cues provided an increase in accuracy of up to 50% for some 488 stimuli, with greater enhancements for words like 'Pieter'. Even at -16 dB SNR, Van de Rijt 489 490 et al.'s data suggests that greater audiovisual enhancement should have been seen, though reaction time data was not reported in the study. 491

This could also be explained using results from our exploratory analysis. When the 492 speech was in noise and the stimuli contained auditory information only, the token 'Ba' 493 displayed much lower mean accuracy scores than the other tokens. This suggests that there 494 are specific differences in the acoustic properties of the tokens used that are influencing the 495 perception of speech-in-noise. In previous literature, 'Ba' and other tokens within the same 496 viseme (such as 'Pa') are frequently used, which could suggest why results in previous 497 literature show a larger speech discrimination effect in noise. It is therefore important for 498 future research to determine if there are differences in speech perception between other 499 viseme categories that were not used in this study (Fisher, 1968). In our LMER model for 500 hypothesis (iii), the token used was loaded as a random factor. This variance between tokens 501 502 was removed from the variance found in fixed effects in the outputs of the model. This mixed effect modelling also considered participant differences and age, unlike previous literature 503 that did not investigate speech discrimination effects using more complex models (Bernstein 504 et al., 2004; Sekiyama et al., 2014). As the tokens appear to be largely variant, this could 505 further account for the weaker overall patterns of change seen between fixed effects. 506

507 Next, there was a significant decrease in reaction times when audiovisual stimuli were
508 used over purely audio, supporting hypothesis (iv). Interestingly, there was a decrease in

reaction time in audiovisual conditions with noise over without noise as well. When 509 processing multisensory stimuli that are not beneficial to us, reaction times likely increase 510 due to extra unnecessary processing (Brown & Strand, 2019). In this case, the audiovisual 511 information is only beneficial to us in noise. Therefore, in this model where no comparisons 512 to clear speech are made, reaction times significantly decrease with the introduction of noise 513 as the extra processing of visual information becomes beneficial. Comparatively, when 514 515 audiovisual information is present without noise, reaction times increase as the added visual information is no longer beneficial to speech recognition as it is already clear to understand. 516

517 Investigating the effects of stimulus onset asynchrony on the speech processing benefits

518 of audiovisual information

519 Our GLMER model testing hypothesis (v) uncovered no meaningful change in 520 accuracy between any SOA value. In previous research, the maximal window of integration was around 250 to 260 ms for syllables (Dixon & Spitz, 1980). Here, SOAs up to 266.6 ms 521 did not affect speech discrimination accuracy, implying that the stimuli were still inside the 522 window of integration and that the maximal end of the window lies beyond 266.6 ms. Our 523 final LMER model testing hypothesis (vi) found significant increases in reaction time when 524 an SOA was introduced. Alternatively, this implies that the range of SOAs used does cover 525 the maximal end of the window concerning processing speed, as there was a gradual increase 526 527 in reaction times as SOA was further increased reducing the benefit of audiovisual information. When looking at exploratory pairwise comparisons between SOA levels, there 528 was a distinct decrease in reaction times at 250 and 266.6 ms compared to no SOA. This 529 implies that the ability to discriminate the speech was made less taxing past 250 ms 530 asynchrony. It could be, based on these findings, that the minimal end of the window of 531 integration for our created stimuli lies between 233.3 and 250 ms. Given that the stimuli were 532 simple syllables, an alternative interpretation may be that the processing of the auditory and 533

the visual information was completed before integration had finished, although this would not explain the differences in reaction times between the SOA levels. Furthermore, as participants could only respond after the stimuli had played in full with visual cues preceding the auditory cues, we would expect integration to have occurred as long as the SOA remained within the window of integration. As these comparisons are exploratory, however, and there is no account of accuracy changing with SOAs, further research would be needed to determine the full window of integration.

541 Limitations of the study and future directions

One explanation for the audiovisual benefit in our data not being as large as in 542 previous studies could be the lack of ecological validity and the artificial nature of the online 543 experimentation. Speech-shaped white noise was utilised for speech-in-noise conditions. 544 545 Despite this noise modulating speech, it is still unlike that in a real environment. This may mean that the speech-shaped noise was too distinct from the speech itself, especially 546 considering that we used syllables for recognition rather than words or sentences. Speaker 547 babble or background noise such as light vocal music would be much more akin to that in 548 everyday life, making it perhaps more suitable and valid for investigating audiovisual speech 549 perception when speech is in noise (Krishnamurthy & Hansen, 2009). Furthermore, the 550 stimuli used were single syllable speech tokens, which do not reflect typical communicative 551 speech in a real-world environment. Given their simplicity, other aspects of speech 552 perception, such as prediction of oncoming words in larger sentences, would not be used as a 553 method of speech processing here (Solberg Økland et al., 2019). The overall simplicity and 554 artificial design of these stimuli may be obscuring other benefits of audiovisual integration in 555 speech perception when applied to realistic speech settings. To better reassess audiovisual 556 integration in speech, further research with more ecologically valid speech stimuli (e.g., full 557 sentences) would be of benefit. 558

The SNR used for our study was -16 dB. This was selected based on previous 559 research investigating audiovisual syllable perception in noise, for which there was a notable 560 difference between perceiving speech with or without visual aid (Bernstein et al., 2004). 561 However, whilst this may have been true for speech token 'Ba', this did not seem to translate 562 to 'Ka', indicating that different speech viseme categories were affected by speech-shaped 563 noise at the SNR -16 dB. Furthermore, initial data collection for this study was conducted 564 from 2021 to 2022 after multiple lockdowns in the UK due to the COVID-19 pandemic. 565 Many adults in the UK during this time had been socially distancing and wearing facemasks 566 567 to prevent contamination. These facemasks would obscure the lip and mouth area of the wearer, meaning that social interactions between many people in this period would have 568 lacked visual information to assist with speech perception. In many cases, the facemasks 569 obscured sound, making it more difficult to understand speech and imitating difficult 570 listening conditions (Yi et al., 2021; Smiljanic et al., 2021). It is possible that due to 571 facemask wearing for a year, participants had adapted to listening to speech in difficult 572 conditions without visual aids. Furthermore, only three phonemes from three viseme 573 categories were used in this study. As there was an apparent difference between these 574 phonemes selected, with 'Ba' being more impacted by added noise than 'Ka', future studies 575 may wish to investigate the differences between more viseme categories and the phonemes 576 within them. It may also be beneficial to further apply this to more than single-syllable units 577 578 of speech. This would provide a broader view of the contributions of visual information to speech processing. 579

Finally, this experiment did use home equipment to record stimuli as well as the home equipment of participants to play the stimuli through online experimentation. Whilst the recording equipment was of laboratory standard and the recording procedure rigorous, there will still be discrepancies between these stimuli and other lab-created stimuli which might

make replications difficult. Furthermore, the environments that participants were in whilst 584 taking part in the study may be different between participants. We do not have measures of 585 how well participants understood the task, how noisy their environment was during listening, 586 the hardware they used to run the study, and if they followed pre-experiment instructions 587 such as to wear headphones. These are likely to contribute to the large individual differences 588 seen in the dataset. Whilst GLMER models can consider the participant differences, further 589 in-person lab testing with similar methodologies may be needed to fully control these 590 confounds. 591

592 Conclusion

A set of purely audio and viseme-controlled audiovisual stimuli was created to 593 investigate the contributions of audiovisual information to speech-in-noise processing. 594 595 Introducing visual information increased accuracy and decreased reaction times in speech-innoise conditions relative to audio-only stimuli. When looking at accuracy and reaction times 596 at varying SOA intervals in our audiovisual stimuli, introducing SOAs influenced reaction 597 times, but not accuracy. In the future, more syllables from more viseme categories could be 598 tested to investigate a full range of speech sounds in audio-only and audio-visual contexts, as 599 well as with further SOA intervals to ensure that a window of integration can be determined 600 with accuracy. 601

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Data Availability Statement

- 612 Upon publication, all collected data are available to view online through the Open
- 613 Science Framework (OSF: <u>https://osf.io/kcbzs</u>), as well as all stimuli used in the experiment
- 614 code relevant to data analysis.

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762	Supplementary Materials
763	The supplementary materials contain the following file:
764	A caption and display of Table 2, showing the model equations used for each of the
765	six GLMERs.
766	

7	6	7	
-	-	-	

			Accuracy Rate (%)		Reaction Time (ms)	
Speech	Stimuli	SOA (ms)	Mean	Std. Dev	Mean	Std. Dev
Clear	AO	0	96.11	10.24	538	216
Clear	AV	0	96.60	13.55	564	257
Clear	AV	200	95.95	14.09	551	241
Clear	AV	216.6	96.56	13.82	573	232
Clear	AV	233.3	96.58	12.61	575	249
Clear	AV	250	96.54	13.90	568	236
Clear	AV	266.6	96.84	13.23	575	255
Noise	AO	0	67.33	21.91	597	285
Noise	AV	0	93.10	15.21	518	239
Noise	AV	200	92.87	16.08	553	223
Noise	AV	216.6	93.62	15.75	554	218
Noise	AV	233.3	93.35	17.52	562	227
Noise	AV	250	93.11	16.30	570	224
Noise	AV	266.6	93.26	15.01	569	237

Table 1:

Means and Standard Deviations (Std. Dev) of accuracy rates and reaction times for speech
with and without noise, audio-only (AO) or audiovisual (AV) stimuli, and different stimulus
onset asynchronies (SOAs), with each speech token and participant aggregated into a single
mean.

- 776 Figure 1: Violin plots showing the accuracy rates and reaction times of participants when speech was presented either with or without noise, for
- both audio-only (AO) and audiovisual (AV) stimuli. Boxplots show the median and interquartile ranges for each condition.



- 779 Figure 2. Violin plots showing the accuracy rates and reaction times of participants when audiovisual stimuli were presented in noise at different
- 780 SOAs. Boxplots show the median and interquartile ranges for each condition.



- 782 Figure 3. Violin plots showing the accuracy rates and reaction times of participants when audiovisual stimuli were presented without noise at
- 783 different SOAs. Boxplots show the median and interquartile ranges for each condition.



- *Figure 4*. Violin plots showing the accuracy rates and reaction times of participants when speech tokens were investigated individually in noise
- for both Audio-Only (AO) and Audiovisual (AV) stimuli. Boxplots show the median and interquartile ranges for each condition.

