Ecological roles and importance of sharks in the Anthropocene Ocean

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77 One Sentence Summary

- 78 A review of the changing ecological roles and their importance in ecosystems, owing to overfishing,
- habitat loss, climate change, and other human activities, and how adaptive management can help conserve
- 80 them through cultural values and emerging technologies.

82 Enhanced Abstract

83 Background

Pervasive losses of predators on land, in freshwater habitats, and in oceans have disrupted
ecological communities, prompting interest in rebuilding their populations to functional levels.
Predator restoration may also facilitate nature-based climate solutions by indirectly increasing
carbon sequestration and enhancing ecosystem resilience. Selecting target species for these
efforts, however, requires a functional understanding of their ecosystem roles.

90 Sharks are a diverse (> 500 species) group of predators found within marine and estuarine 91 ecosystems, and some freshwater environments. Although there is considerable variation in 92 feeding modes and body sizes, sharks are often presumed to be critical to ecosystem structure, 93 function, and resilience, through top-down forcing of ecological communities. While sometimes 94 valid, this presumption oversimplifies the many roles performed by sharks. It also discounts 95 examples of functional redundancy and small ecological effects. Precipitous population declines 96 in many species are a cause for concern and an impetus to investigate whether reversing declines 97 could benefit ecosystems. Yet a functional understanding of ecological roles and importance of 98 sharks is lacking due to the inherent difficulties of studying their interactions and the 99 mechanisms through which sharks may - or may not - affect ecosystems. 100

Here, we evaluate historical and ongoing global depletions – and occasional recoveries – of
 sharks, elucidate their diverse ecological roles, and highlight the value of understanding their
 past, present, and future roles. We investigate where roles are currently important, identify where
 population restorations may be particularly beneficial, and evaluate policies that can support role

105 recovery.

106 Advances

107 Empirical studies of the ecological roles and importance of sharks have revealed considerable

108 cascading effects of macropredatory sharks [tiger shark (Galeocerdo cuvier), white shark

109 (*Carcharodon carcharias*)] in coastal seagrass and kelp ecosystems, which influence habitat

110 quality and carbon sequestration through sharks' direct predation and risk effects on herbivores

and herbivores' predators. These shark-initiated indirect effects may enhance resilience of
ecosystems increasingly experiencing extreme climate events (e.g., marine heatwaves). Not all
sharks, however, exert large top-down effects on prey or wider communities, although long-term
overfishing causing large-scale depletions may obscure historical roles, particularly in difficultto-study habitats like pelagic systems or deep waters. Previously unappreciated roles of sharks
(e.g., facilitating ecosystems via nutrient transport) have recently become apparent in multiple
ecosystems and taxa.

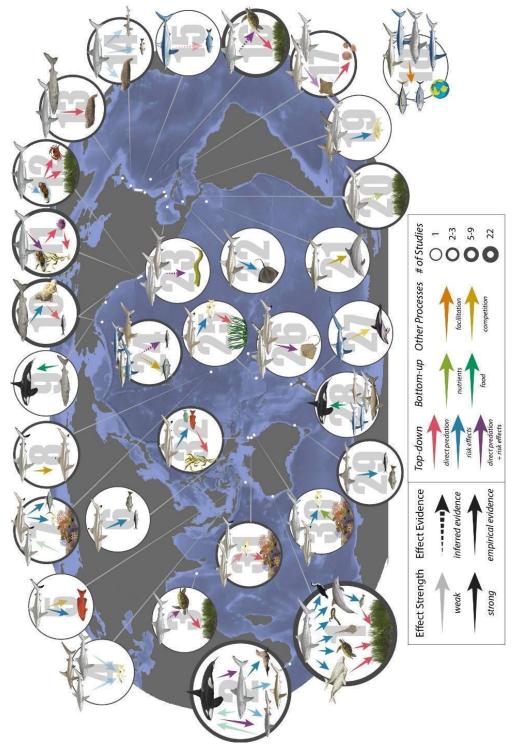
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Climate change and industrializing oceans (e.g., overfishing, resource extraction, tourism) are 119 120 creating novel roles for sharks and modifying the spatiotemporal patterns and importance of their 121 effects. Warming oceans are expanding some shark ranges to higher latitudes, suggesting 122 broadening importance across wider geographies, while thermal asymmetries in the metabolic 123 costs and performance of sharks and marine mammals could affect competitive and predator-124 prey interactions. Range shifts and the recovery of white sharks, for example, are limiting 125 recolonization of sea otter historic ranges, necessitating understanding of how shark ecologies 126 and species interactions affect broader ecosystem recovery. In multiple ocean basins, killer whale 127 (Orcinus orca) predation risk has shifted distributions of white sharks, disrupting shark feeding 128 patterns and ecological roles. Other accelerating anthropogenic pressures like aquaculture, 129 wildlife tourism, and extractive activities like fishing, are diversifying shark-human interactions, 130 and altering the manner and magnitude of spatiotemporal impacts of sharks on ecosystems.

131 Outlook

132 Gaps remain in our understanding of the ecological importance of sharks in today's oceans, 133 necessitating research especially on small-bodied and deepwater sharks and shark-driven nutrient 134 transport. Concurrently, management should aim to maintain ecological function rather than just 135 maximum sustainable yield or population persistence, especially for influential and threatened 136 macropredatory species. Given the potential for diverse and hidden roles, managing for shark 137 biodiversity is important. While transitions in fisheries and recovery goals will be challenging 138 (e.g. due to commercial value and fisheries depredation), they are necessary to ensure healthy 139 ecosystems in a changing ocean.

140 Summary Figure



141 142 Empirical studies (n = 89) reveal that macropredatory sharks in coastal ecosystems can have large effects on prey

143 that cascade to basal macrophytes. Shark effects on reefs are variable, but can modify mesopredator abundance,

144 behavior and community composition. Effect sizes of sharks in other interactions and ecosystems are lower or

145 remain unclear.

146 Abstract

147 Historically, sharks performed roles as predators, competitors, facilitators, and nutrient 148 transporters. However, overfishing and other threats have greatly reduced shark populations 149 globally and altered their roles and ecosystem importance. We review these changes to shark 150 populations and ecological roles, and their implications for ecosystem function and management. 151 Some macropredatory sharks are disproportionately impacted by humans, yet have large effects 152 on prey and coastal ecosystems, including facilitating carbon sequestration. Like predators on 153 land, these species may be particularly crucial to ensuring ecosystem function in a changing 154 climate. Important roles of sharks, however, are not ubiquitous. Increasing human uses of oceans 155 are changing shark roles, which must be considered in future planning. Rebuilding populations 156 of key species and incorporating shark ecological importance and less obvious roles into 157 management efforts are critical to retaining the functional importance of sharks; coupled social-158 ecological frameworks can assist in facilitating this shift in management goals.

159 Background

160 Globally, predator loss across terrestrial (1), freshwater (2), and marine (3, 4) ecosystems is 161 concerning due to their potentially important roles in structuring communities and altering 162 ecosystem processes through indirect interactions (5-7), and enhancing ecosystem resilience to 163 multiple stressors including climate change (8, 9). This has led to an understanding that 164 conservation policies should incorporate ecological roles to support more widespread ecosystem 165 restoration. Indeed, there have been calls to rebuild predator populations to functional levels to 166 restore ecosystems and communities (7, 10–12), and as a nature-based climate solution to 167 indirectly increase carbon sequestration (13). Selecting species for management prioritization, 168 however, requires an understanding of their ecological roles and overall *importance* (community 169 and ecosystem consequences of changes in their abundance; (5).

170

Whereas progress has been made in terrestrial ecosystems (1, 7), using predator conservation to manage marine ecosystems has lagged behind. This slower progress is driven primarily by two factors. First, there are large gaps in the understanding of ecological roles and importance of large marine predators, especially sharks, that need to be addressed before using predator restoration to achieve broader ecosystem responses. Advancing this understanding has been challenging due to the logistical difficulties of studying this diverse and often highly mobile
group of marine predators, including their interactions with other species. There also has been a
lack of synthesis of existing information that would enhance the ability to target conservation
and management efforts. Second, differences in the drivers of declines in terrestrial predators
(historical targeted removal) and sharks (ongoing commercial harvest) have shaped the nature of,
and support for, conservation and management approaches.

182

183 As the extent, intensity, and accumulation of threats to marine systems continue to escalate, 184 ecological communities and species' roles must be understood within the context of a human-185 dominated ocean to guide effective conservation of sharks and their ecosystems. Pervasive 186 overfishing, blue economy expansion, climate change, and efforts to protect and restore ocean 187 ecosystems all influence the roles and importance of sharks. In this review, we summarize 188 extensive historical and ongoing global shark depletions, describe the diverse ecological roles of 189 sharks, and highlight the value of understanding their past, present, and future roles. We investigate where roles are currently important, identify where population restorations may be 190 191 particularly valuable, and evaluate policies that can support shark role recovery.

192 Shark population declines before and during the Anthropocene

193 Shark populations have declined for longer and more severely than is often appreciated. 194 Paleontological records of sharks (i.e., accumulation rates of shark scales) on Caribbean coral 195 reefs over the past several millennia suggest a 71% decline in abundance, presumably because of 196 subsistence fisheries that predate industrial fishing (14) (Fig 1A). In modern times, catch per unit 197 effort has steadily declined for global shark landings since the 1950s, indicating declining 198 abundances (15, 16) & Fig 1B). Three other lines of evidence support widespread and dramatic 199 population declines. First, global oceanic shark abundances have declined ~71% since 1970 (17). 200 Second, catch rates of large coastal sharks in the Australian beach protection program have 201 declined by 90% since the 1960s (18). Third, a globally standardized baited remote underwater 202 video station survey (19) along a gradient of human pressure revealed a 63% mean depletion of 203 the five main reef shark species (20) relative to unfished healthy reefs. Indeed, the fourth quartile 204 of most fished reefs had a mean 'maximum number of sharks per video frame' (MaxN) only 205 14.2% of the least fished reefs (Fig 1C). Almost 20% of surveyed reefs recorded no sharks.

- 206 Using these data and assuming no human impacts, reef shark relative abundances would be 6X
- 207 [4.7X, 7.02X] (median [95% highest posterior density]) higher without humans (based on (21);
- 208 Fig 1D).

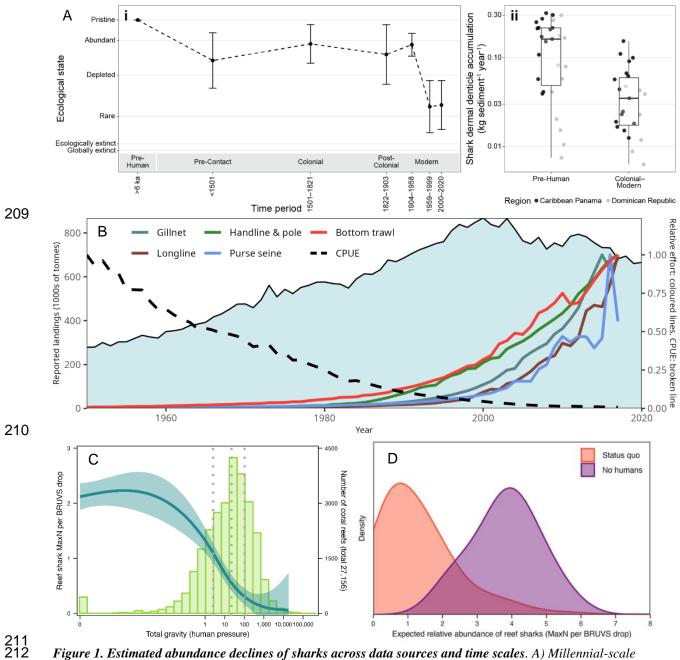


Figure 1. Estimated abundance declines of sharks across data sources and time scales. A) Millennial-scale 213 changes in relative reef shark abundance. i: Perceived abundance of sharks in Caribbean Panama inferred from 214 archaeological, historical, ecological, and fisheries records (based on (14)). ii: Falling dermal denticle (shark 215 scales) accumulation rates suggest 71% (Caribbean Panama, black circles) and 75% (Dominican Republic, gray 216 circles) declines in reef shark abundances since the mid-Holocene (modified from (14)). B) Shark landings (blue 217 area), relative effort (lines), and catch per unit effort (CPUE, dashed line) through time. C) Reef shark relative 218 abundance (blue line with 95% CI; (21)) and number of global coral reefs (green histogram; vertical dotted lines 219 are quartiles (22)) along a gradient of human pressure (total gravity (19, 22)). Shark abundances are highest on 220 remote reefs, which are rare. D) Counterfactual predictions of relative abundance of reef sharks with (status-quo) 221 and without humans ((22) models set human-related variables to zero). Expected relative abundance was estimated 222 using MaxN measurements from 371 reefs globally (21). 223

224 Collectively, such studies show shark populations in different global ecosystems are greatly reduced, even from populations heavily impacted by humans before baseline data collection. 225 226 Consequently, the IUCN Red List reassessment identified ~31% of 536 shark species as 227 threatened with extinction worldwide (3), with ~60% of coral reef-associated sharks and rays 228 identified as threatened (23). Fishing also selectively removes the largest and highest trophic-229 level individuals and species (24–26) that likely play outsized roles in species interactions and 230 ecosystem-level effects (27, 28). Therefore, the ecosystem importance of sharks currently being 231 measured in Anthropocene oceans is likely much less than at historical abundances in most 232 ecosystems (e.g. (21)).

233 Ecological roles of sharks

234 Modern forms of sharks arose ~ 150 MA ago (29), and the more than 500 extant species are 235 found throughout virtually all marine ecosystems, from deep seas to estuaries, and in some freshwater habitats (30). Sharks are generally viewed by scientists and society as archetypal 236 237 macropredators – upper trophic level predators that consume large-bodied prey – and are 238 presumed to be critical to the structure, function, and resilience of ecosystems through top-down 239 effects. Sharks, however, span an incredible range of adult lengths — from 20 cm to over 18 m 240 and fill diverse feeding guilds from large filter feeders, similar to whales, to parasites, small 241 predatory species feeding on crustaceans and worms, and larger-bodied predators feeding largely 242 on cephalopods and teleosts. While adults of large predatory sharks may be macropredators, 243 feeding on large teleosts, marine reptiles, other sharks, and marine mammals, most species — 244 and even the young of macropredatory species — are mesopredators (Fig 2). As such, they 245 typically feed on smaller and lower trophic-level prey (28) and must tradeoff foraging 246 opportunities against predation risk (27), fill different ecological roles, and likely have fewer and 247 smaller effects on their prey and ecosystems than macropredatory sharks ((31); Fig 2). Given 248 their diverse adult body sizes and habitats, it is unsurprising that sharks can fill many roles in 249 ecosystems including modifying prey traits and behaviors, structuring species interactions, 250 affecting prey and predator population sizes, and influencing community structure and ecosystem 251 functioning through various top-down and bottom-up pathways (Fig 2). Unlike terrestrial 252 mammalian predators, most sharks shift their diets, and their potential ecological roles and

253 importance, considerably through ontogeny due to changes in body size, habitat, and movement

254 patterns (28).

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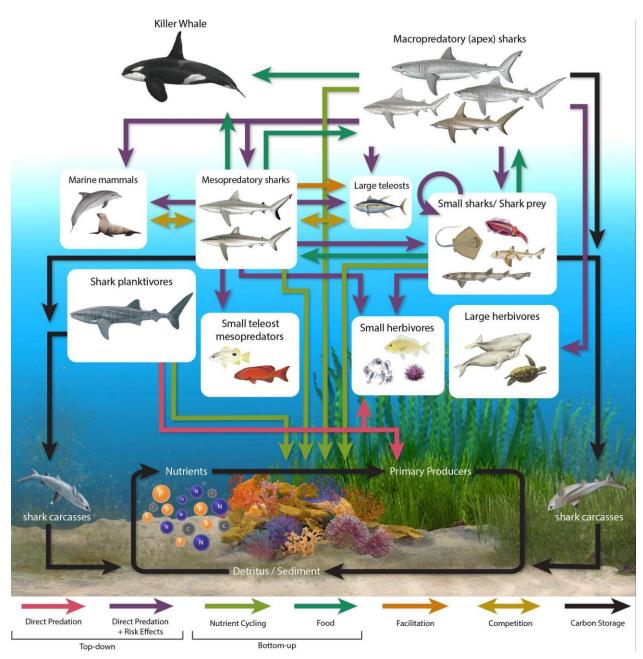


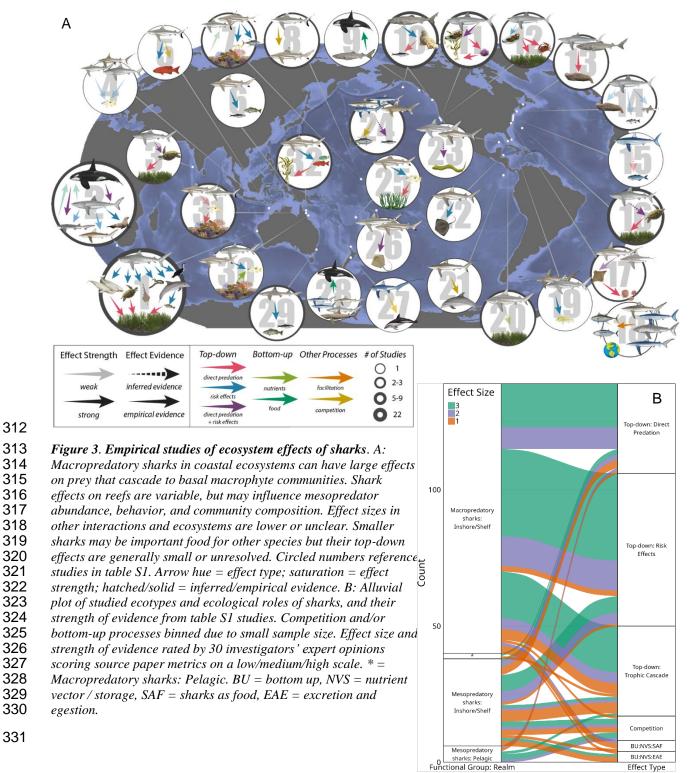


Figure 2. Conceptual model of the current state of knowledge of the ecological roles and importance of sharks in
 aquatic food webs. Sharks play multiple roles in ecosystems through top-down (e.g., direct predation, risk effects)
 and bottom-up (e.g., food provisioning, nutrient cycling) processes, and species interactions (e.g., competition and
 facilitation). Only interactions involving sharks are displayed.

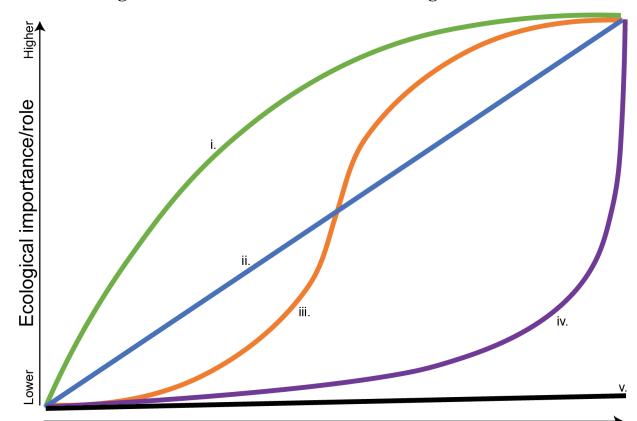
263 Recent field studies demonstrate that the ecological importance of sharks varies markedly within 264 and among populations and across ecological contexts ((27); Fig 2). Top-down effects may occur 265 through direct predation, prey behavioral shifts, and their interaction (5). Macropredatory and 266 large mesopredatory sharks often induce behavioral shifts in their potential prey through 267 predation risk, and possibly competitive interactions with other predators. Declines in these shark 268 populations are sometimes linked to relaxed anti-predator behavior (e.g., use of wider ranges of 269 habitat) and increased densities of mesopredators and herbivores (Fig 3A). There is strong empirical support for direct and indirect top-down roles of macropredatory sharks (white shark, 270 271 tiger shark) on prey – especially marine mammals and other long-lived taxa – in primarily 272 macrophyte-dominated systems ((31, 32); Fig 3B). Here, shark predation and predation risk 273 indirectly influence basal ecosystem dynamics, including macroalgal establishment, seagrass biomass and persistence, macrophyte biodiversity, and/or biogeochemical pathways including 274 275 carbon storage ((8, 33–35); Fig 3A). For example, presence of large tiger sharks in a model 276 Western Australian seagrass ecosystem induces shifts in foraging habitats and tactics by multiple 277 prey taxa including large-bodied grazers (green turtle, *Chelonia mydas*; dugong *Dugong dugon*; 278 (33)) that promotes the persistence of dense seagrass beds (6, 8, 35). These results have been 279 observed in multiple locations and ocean basins, suggesting macropredatory sharks have strong 280 structuring roles in multiple macrophyte communities today, and played greater roles at historic 281 population levels. In other ecosystems, strong cascading effects of sharks are absent, evidence is 282 mixed, or cascading effects are highly context dependent (27). For example, recent studies on 283 coral reefs demonstrate that strong, cascading effects of sharks on reef macroalgae can arise 284 under specific conditions (36), yet in most circumstances it appears that sharks have limited effects on coral reef fish and benthic communities ((37, 38); Fig 3A). Strong effects, where they 285 286 exist, are likely driven by a predictable occurrence of predation risk in space or time for 287 herbivores that exert considerable effects on primary producers (27, 36). Factors that contribute 288 to the presence of weak or inconclusive shark effects on coral reefs include the reticulate and 289 size-structured nature of these diverse food webs, high degrees of functional redundancy, diffuse 290 ecosystem connections (e.g., omnivory), bottom-up buffering, simultaneous fishing of predators 291 and prey, historical removal of macropredatory sharks, and challenges in conducting studies of 292 trophic cascades on reefs (37, 38).

294 The prevalence and importance of bottom-up effects of sharks is only beginning to be explored. 295 Sharks can transport nutrients from pelagic environments to coral reefs (39, 40)), shallow to deep 296 mesophotic coral reefs (41), and vice versa (40), coastal oceans to estuarine waters (42), and 297 across latitudinal gradients (43). Nutrients can be deposited through egestion and excretion or 298 carcasses of sharks that die or are eaten in habitats distant from where foraging occurred (see 299 (27)). The effects of nutrient fluxes on ecosystem productivity, however, remain unclear and 300 virtually unstudied. In one dedicated study, a population of reef sharks at a small Pacific atoll 301 transported at least 44 kg of nitrogen per day from pelagic ecosystems where they foraged to 302 core areas of the reef where they spent time between foraging bouts. The ecological significance 303 of this deposition is unknown (40). Other potential roles of sharks, including facilitating other 304 species, are even less known. For example, while scavenging on large carcasses, sharks may 305 facilitate foraging by smaller species, and sharks may facilitate cleaning opportunities for other 306 species (Fig 2); the importance of these interactions is poorly known. Overall, studies of shark 307 importance are primarily restricted to inshore/shelf regions, for macropredatory and some 308 mesopredatory sharks, and are focused almost entirely on top-down effects (Fig 3B), despite the 309 variety of roles they fulfill (Figs 2 & 3).





Effect Type



332 Loss of ecological roles and function with overfishing

333

Lower

Abundance

Higher

Figure 4: Theoretical relationships of ecological importance as a function of shark population abundances. As
 shark population sizes increase, their effects on ecosystems may increase (i/green) rapidly at low abundances,
 (ii/blue) linearly, or (iii/orange, iv/purple) slowly until reaching thresholds, or (v/black) remain low. Empirical
 understanding of the shape and slope of these patterns is important for predicting effects of shark population
 declines or rebuilding but remains poorly known.

339

340 The overall effect that sharks exert on other species, their communities, or ecosystems is a

- 341 function of abundance, but the relationship shape may vary with shark species and ecological
- 342 context (Fig 4). Measuring such response curves is difficult but is important for predicting how
- 343 other species and ecosystems will respond to changes in shark populations. Regardless,
- 344 overfishing has caused profound effects on shark abundances from local to global scales, and on
- 345 the composition of shark traits innate biological features related to ecological roles. Indeed,
- 346 human activities are threatening functional diversity within sharks and rays to a greater degree
- than other large marine predators (e.g. bony fish, marine mammals), and species with unique
- functional traits (e.g. habitats, position in the water column, and diets) are particularly at risk (24,
- 349 25).
- 350

351 In temperate and tropical systems, serial depletion of sharks consistently affects larger 352 individuals and large-bodied species that are (A) more valuable than many other species per 353 individual (44), (B) more sensitive to fishing due to slow intrinsic growth rates, and (C) can be 354 more susceptible to some fishing gear types. Mean caudal fin aspect ratio (correlating to average 355 swimming speeds and scale of movements (45)) and geographic range at the guild scale decline 356 with increasing human impact (Fig 5B), reducing connectivity and the potential for shark-357 mediated nutrient flow through the loss of large-bodied, wide-ranging species (39, 40, 43). 358 Human impacts drive decreases in mean trophic levels of macro- and mesopredatory species (Fig 359 5B), suggesting studies underestimate historical importance of sharks through top-down 360 mechanisms. Long-term studies in a relatively pristine ecosystem demonstrate that even at 361 current population sizes, large macropredatory sharks can exert strong top-down impacts through 362 multiple pathways, but these effects would be lost or substantially degraded with the loss of large 363 size classes or further reductions in population sizes (6, 27, 33).

364

365 Reduced predatory and competitive influences due to removals of larger, slower-growing sharks 366 have led to mesopredator release and profound community shifts in multiple ecosystems [Northeast Atlantic shelf ((46); Fig 5A), Mediterranean Sea (47), South China Sea (48), waters 367 368 off Costa Rica (49) and South Africa (50)]. This pattern is emerging in less-monitored tropical 369 shelf seas (3) like the Bay of Bengal – one of the most heavily fished regions of the world (51). 370 As fishing increased, the largest shark (and ray) species with highest-value fins and slow life 371 histories disappeared, followed by species with moderately productive life histories (Fig 5B, C). 372 In their place, small, more productive species persist despite intense fishing, suggesting 373 predatory or competitive release, presumably with large gaps in the diversity of ecological roles 374 sharks played, because of differences in functional traits left in the assemblage (Fig 5B; see 375 (52)).

376

Herbivorous reef fish grazing patterns near coral reefs show how fishing has reduced the
predatory role of sharks and other reef predators, including how these effects can cascade
indirectly through herbivores to primary producers (Fig 2), and affect nutrient fluxes and
subsidies from the pelagic ocean. 'Halos' are sand rings denuded of seagrass and macroalgae
within a perceived safe distance around coral patch reefs (53). Halos are smaller on reefs with

- 382 greater and longer protection from fishing suggesting sharks and large teleost piscivores have
- 383 positive effects on the biomass of reef-adjacent primary producers (54) and their potential to
- 384 sequester carbon in sediment (34)). Halos are predicted to change based on the composition of
- the predator community present, and should be narrowest, with their boundaries well-defined,
- 386 when risk to foraging teleosts is highest because they cannot safely move far from reefs (Fig 5C).
- 387 Risk to teleosts that form halos, however, may be reduced based on the loss of their predators or
- 388 the presence of larger sharks that threaten the predators or halo-forming species.

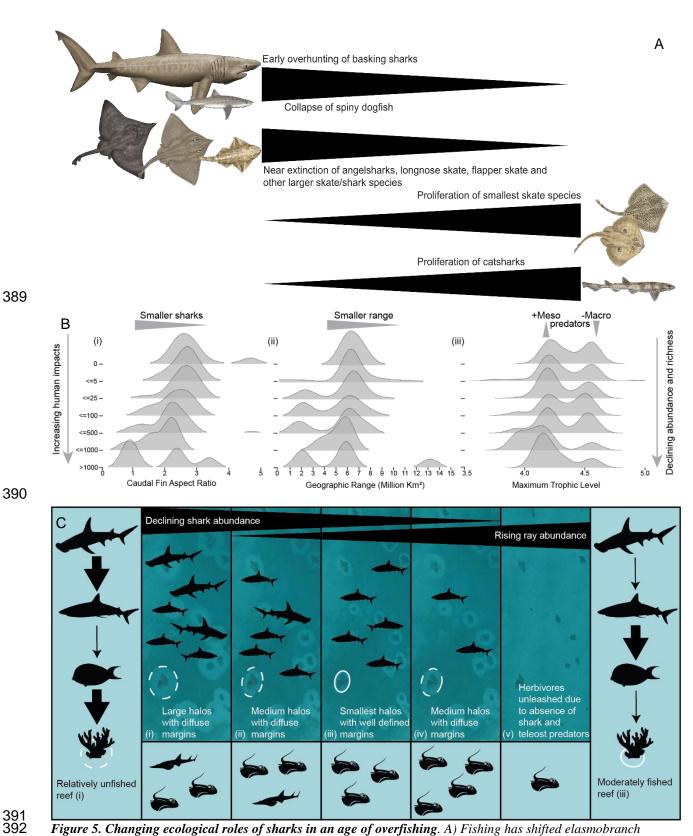


Figure 5. Changing ecological roles of sharks in an age of overfishing. A) Fishing has shifted elasmobranch
communities over time, causing a ~60% drop in predatory fish abundance throughout the North Atlantic shelf since
1950 (55), especially larger elasmobranchs (46, 56). Similar declines occurred in the Mediterranean Sea (47), and
Bay of Bengal (51). Smaller elasmobranch species abundances have risen (16, 46), suggesting larger species

397

396 suppress smaller species through predation and competition. B) Species richness and abundance of sharks decreases along a gradient of market gravity (human impact), along with traits influencing shark movement and 398 trophic interactions. Communities lose wide-ranging individuals that connect habitats, have flexible habitat 399 adaptations that increase resilience, and feed at upper trophic levels. Data from (3, 20, 45). C) Indirect effects of 400 predation pressure are documented in grazing patterns ('halos' (53)) adjacent to patch reefs. Reductions in 401 predation pressure, including depletions of sharks, influence safe distances for herbivorous fish in habitats adjacent

402 to reef (54). With little risk herbivorous fish feed further from reefs leading to reduced primary producer biomass 403 (53) and sedimentary carbon stores (34).

404

405 Implementations of restrictive management regimes have led to recoveries in some shark 406 populations, providing insights into their ecological roles in manners analogous to recovering 407 terrestrial predator populations (7). The North-Eastern Pacific population of white sharks likely 408 declined for over a century (57). Following protection from fisheries in California in 1994, the 409 number of juvenile white sharks using coastal beach habitats have steadily increased (58). 410 Continued population gains of protected pinnipeds (59), despite population gains in sharks, 411 suggest white shark predation does not regulate pinniped abundance (60). White shark predation 412 risk, however, affects pinniped behavior, inducing shifts in foraging/resting cycles, movement, 413 social behavior, and at-sea group formation (32, 61). Indeed, white shark recoveries in the NW 414 Atlantic (62), are inducing behavioral changes in gray seals (*Halichoerus grypus*; (32)). The 415 ecological implications of these risk effects on pinnipeds remain largely unknown. Broader food-416 web effects of shark recovery are more apparent off the coast of California: over the last 20 417 years, increasing white shark-inflicted mortality has negatively impacted sea otter (Enhydra 418 *lutris*) populations and limited their distribution in exposed coastal habitats where they are 419 susceptible to shark mortality (63-65). In contrast, sea otter abundance has dramatically 420 increased in an estuary where predation risk from sharks is low (66). The reduced sea otter 421 abundance and distribution on the outer coast has contributed to a collapse of kelp forests in areas where sea otters would otherwise limit the grazing of urchins and enhance kelp forest 422 423 resilience (67, 68), while in protected estuarine habitats the effects of increased sea otter foraging 424 have positively impacted seagrass abundance and salt marsh stability (69, 70).

Novel threats and changing ecological roles for sharks in the Anthropocene 425

Rapid industrialization in many marine sectors (71) is modifying shark importance, changing the 426 427 spatial distribution of roles, and yielding novel ecological roles (Table 1).

428 *Table 1. Threats differentially affect sharks and their ecological roles*. Increased 'blue economy' activities

429 including maritime industrialization, energy and aquaculture development, and ecosystem management will affect

430 ecological processes involving sharks in coastal and offshore environments.

Group	Sector/Threat/Change	Pressure	Coastal/Offshore Prevalence	Process*	Evidence of Impacts
	Renewable energy (e.g., wind farms, tidal power)	Noise, infrastructure, electric cables	Both	A, B, C	(72, 73)
	Seismic surveys	Noise	Offshore	A,C,	(73, 74)
	Oil and gas operations / deep sea mining	Noise, pollution	Offshore	A,C,D	(75, 76)
	Industrial shipping, marine traffic	Noise, collision	Both	A,C,E	(77)
Blue economy (or maritime	Tourism	Food input, pollution, behavioral modification, human-shark conflict	Coastal	A,D,F, G	(78, 79)
industrialization)	Aquaculture	Eutrophication, anoxia/hypoxia, infrastructure, pollution, escapees, predator interactions	Mostly coastal	A,B,D, F	(80)
	Urban expansion (e.g., port development)	Habitat modification, sedimentation, noise, pollution, contaminants, water quality	Coastal	A,D, I	(81, 82)
	Fishing, including subsistence and artisanal	Human-shark conflict, prey availability on fishing gear (depredation)	Mostly coastal	В	(83)
	Invasive species (including species outbreaks)	Introduction of novel prey	Coastal	B,H	(84)
Other changes (or ecosystem	Ecological surprises (e.g., orca pressure on white shark, white shark on otter)	Changes in predator-prey relationships	Coastal	В	(63, 85, 86)
management)	Species protection & spatial conservation planning (e.g., MPAs)	Protection of sharks, other predators, and/or forage populations	Mostly coastal	J	(87)

- - - -

431 *Processes: A: changes to distribution, movements, residency of prey and predators. B: misdirected predation (e.g., towards electric cables,

432 unusual prey). C: prey disorientation (e.g., from noise). D: health condition affecting foraging requirements, ability, and success. E: change of

433 abundance of less abundant/vulnerable species. F: reliance on food/provisioning by humans. G: changes in food requirements (increased energy

434 expenditure). H: introduction of novel prey in ecosystems. I: reduced habitat quality affects recruitment success, prey availability, prey/predator

detection. J: direct changes to prey / predator abundance.

436 Activities like shipping, seismic surveys, offshore wind farms, and pile-driving expose sharks to

437 low-frequency noise (73) and electromagnetic fields that overlap their sensitivity thresholds (88).

438 Responses of sharks to noise or electromagnetic fields could lead to disrupted predator-prey

439 interactions and/or changes in habitat use that might shift spatial patterns of shark effects.

440 However, noise could also increase prey vulnerability (89) and increase shark hunting success.

441 The direct and indirect effects of noise pollution and electromagnetic fields warrant further

442 study.

443 Other aspects of the blue economy, including tourism, aquaculture, discards or depredation from

fisheries, and recreational fishing, could modify shark densities and behaviors, with cascading

445 consequences for ecosystems or interactions with humans. Wildlife tourism like shark feeding or

446 (cage) diving can affect sharks (78, 79), including changing behaviors (90), elevating local

densities (91), shifting diets (92), and intensifying predation rates and/or risk effects on prey. If
shark tourism influences distributions rather than population sizes, areas could experience
reduced shark effects away from feeding sites, creating spatial heterogeneity in effects. While
direct predation and risk effects could lead to wider ecosystem consequences, these are little
studied in the context of shark food subsidies. Also unexplored is how tourism might impact
sharks as vectors for nutrient translocation across ecosystem boundaries if shark movements
become more restricted.

454 Shark depredation has become ubiquitous in various commercial, small-scale, and recreational 455 fisheries (83). Food subsidies from fishing and aquaculture (e.g., hooked fish, discarded bait, 456 uneaten feed) can change shark behavior (distribution and movement matching fishing vessels), 457 increase their abundances, shift diets and life history parameters, and potentially reduce *per* 458 *capita* predation pressure on natural shark prey when subsidies are large (80). For example, high 459 depredation of fishers' catches by sharks close to a tourism provisioning site resulted in reduced 460 overall fishing effort and increased fish abundance and altered benthic communities (93). Such 461 changes to shark roles in natural ecosystems due to food subsidies could match ecological shifts, 462 including enhanced effects on prey and competitors, observed in terrestrial predators depredating 463 livestock or receiving other food subsidies (94).

464 Anthropogenic activities that change water clarity or productivity can modify shark roles. 465 Reduced water clarity might enhance the importance of shark risk effects because prey that rely on vision would be able detect and respond to predators over shorter distances and, thereby, need 466 467 to invest more heavily in anti-predator behavior (27, 95). Similarly, reductions in food available 468 to prey populations are predicted to increase the importance of shark direct predation due to 469 condition-dependent risk-taking in energetically stressed prey (5). Therefore, coastal 470 development, increased nutrient inputs to rivers and coasts, and dredging, are likely to modify 471 shark importance in ecosystems, and the pathways through which their effects might manifest. 472 Management regulations and spatial conservation planning that contribute to species recovery 473 can also modify the roles of sharks and reveal their importance. For example, rebuilding green 474 turtle (*Chelonia mydas*) populations in the context of greatly reduced tiger shark populations can 475 lead to overgrazing that collapses seagrass ecosystems and eliminates critical ecosystem services 476 for fisheries and blue carbon storage (96, 97).

477 Ecological surprises refer to temporary or permanent changes in the natural environment that 478 disrupt the functioning of an ecosystem in a manner inconsistent with human expectations (98). 479 The disturbances that trigger ecological surprises can be natural (hurricanes, thermal anomaly, 480 novel species interactions) or anthropogenic (overfishing, climate change) and can provide 481 important insights into ecological dynamics (99). One example that provides insight into the 482 ecological roles of sharks and how they may change in the face of anthropogenic influences is 483 the emergent behavior of killer whales as predators and antagonistic competitors, killing white 484 sharks in South Africa (85), resulting in their temporary site abandonment (100). Their absence 485 preceded increased abundances of broadnose sevengill (Notorynchus cepedianus) and bronze 486 whaler (Carcharhinus brachyurus) sharks, likely through mesopredator and competitive release 487 (101), before killer whale predation on sevengill sharks led to their site abandonment (102).

488 Shark ecological roles and importance in a changing climate

489 Climate change may directly affect the physiology of sharks or indirectly cause changes in 490 distribution, abundance, behavior, and/or performance of their prey or competitors. Recent 491 studies are elucidating how these effects will, or will not, modify ecological roles, and how 492 sharks might indirectly influence carbon cycles and ecosystem resilience. Changing climate 493 impacts marine animals primarily via warming waters (fig S1A,B), decreases in pH (ocean 494 acidification; fig S1C), and reduced dissolved oxygen concentration (103); fig S1D). Sharks 495 unable to obtain enough resources to meet increased demands of warming waters (which 496 increase metabolic rates) may disappear from some habitats (e.g., bull shark *Carcharhinus* 497 *leucas*; (104)), removing their ecological roles.

498

499 The ability of sharks to catch prey is partially dependent on how swim speed and muscle 500 physiology of predator and prey respond to temperature. Animal performance often follows a 501 thermal performance curve (105), with an optimum temperature for physiological processes 502 nested within critical thermal limits, which can vary between populations ((106); fig S1A,B). 503 Asymmetries in the thermal response curves of predator and prey may change predator-prey 504 interaction outcomes and food-web-level effects (107, 108). Thus, understanding how the role of 505 sharks as predators might change in the future will depend on the interplay of climate effects on 506 sharks and their prey. Similarly, the performance of sharks in the face of warming oceans

507 relative to that of potential competitors, like marine mammals, may shape the future of ocean 508 ecosystems (109). Rising water temperatures may decrease the advantage homeothermic 509 mammals currently gain from their warmer muscle temperatures, which may also apply to warm-510 bodied lamnid sharks such as white, salmon (Lamna ditropis) and mako (Isurus oxyrinchus) 511 sharks (110, 111). Because sharks have begun – and are expected to continue – expand their 512 ranges poleward with warming temperatures (106, 112, 113), they may play increasingly 513 important roles as macropredators and large mesopredators in ecosystems they begin to inhabit. 514 The degree to which this occurs, however, will depend on the responses of species they interact 515 with. For example, seagrasses moving poleward in response to rising temperatures become less 516 resilient to herbivory due to light limitation (114). Therefore, any roles sharks might play in 517 limiting herbivory may be more critical as ecosystems shift poleward in a warming climate. 518

519 Climate change and anthropogenic nutrient inputs expand 'dead zones' of depleted oxygen, also 520 raising the depth of the oxygen minimum zone in pelagic ecosystems (115), rendering habitat 521 unavailable or marginal (116). Vertical and horizontal range reductions are expected for many 522 sharks and their prey (103), though at least one species (sixgill shark; *Hexanchus griseus*), 523 maintains high activity levels in low-oxygen waters, suggesting that consequences may vary 524 among species ((117); fig S1D), and are probably more severe for active surface-oriented species 525 that use the epipelagic zone for foraging (e.g. many pelagic sharks (118)). Nonetheless, depth 526 range compression may increase predation rates by sharks in shallow waters, and change nutrient 527 distribution - and resulting productivity - patterns, if sharks act as vertical nutrient pumps (119, 528 120). Relatedly, as increasing anthropogenic inputs of pollutants and fertilizers degrade water 529 quality and visibility, general predatory success of sharks may increase, as prey are less able to 530 detect and evade predators like sharks.

Climate-change-driven coral bleaching, mortality, and 'flattening' of reefs (121) will affect prey capture probabilities of sharks, and the spatial extent of risk effects they induce. For example, loss of reef structure shifts the composition of fish assemblages (122), and reduces refuge availability. Thus, shark predation on reef fish will likely increase, at least temporarily, as reefs flatten (123). Because reef flattening will affect the distribution and abundance of prey refugia, and prey's ability to detect predators, shark predation risk effects on prey (e.g. shifts in group size, spatial extent/duration of foraging) will change as prey navigate food-safety trade-offs (33,

538 124, 125). Examining changes in the direct and indirect effects of sharks on prey species as reefs
539 lose structure, and other human impacts change food availability to reef species, is a challenging
540 but crucial research frontier for understanding predator-prey relationships, and their potential
541 cascading consequences, on reefs.

542 Maintaining biodiversity, including large predators, is becoming recognized as critical to 543 mitigating climate effects through protection and development of sedimentary carbon stores (13). 544 Large macropredatory sharks may be particularly important in facilitating the maintenance of 545 carbon stores in the form of primary producer biomass (e.g. seagrass; (8, 35, 96)) and sediment 546 (34) by controlling grazing through predation and fear effects (33, 35). Sharks may also help 547 ecosystems rebound from extreme climate events. For example, tiger shark predation risk 548 reduced grazing pressure on slower-growing foundational seagrasses damaged in a marine 549 heatwave, promoting ecosystem resilience (8). An absence of tiger sharks would have facilitated 550 a phase-shift to a lower seagrass biomass system dominated by faster-growing species due to 551 intense herbivory. On coral reefs, large predator presence, including sharks, is linked to higher 552 rates of carbon deposition in sediment (34), suggesting sharks promote blue carbon stores across 553 multiple ecosystems. The overall contribution of sharks to carbon sequestration requires further 554 investigation but is considerable in some contexts.

555 Managing the shifting ecological roles and importance of sharks

556 Unlike terrestrial predators, sharks are mainly depleted because they are exploited for human 557 consumption. As a result, fisheries management, where it exists, has focused on sustainable yield 558 as opposed to rebuilding populations to restore the functional roles of sharks across large spatial 559 scales (126). Although recent initiatives promote this change, developing conservation metrics 560 and assessment frameworks focused on function (24, 127), typical fisheries management 561 approaches may often fail to restore shark functional roles. For example, 'ensuring sustainable 562 populations' (current standing biomass > biomass at maximum sustainable yield) will not 563 guarantee the levels of abundance required for ecological functionality, and rarely protects the 564 largest individuals that exert outsized roles (24, 25, 28). While managing for sustainable use may 565 avoid extinctions, fisheries management approaches that also recover functional diversity, 566 historic high abundances, and large species/individuals are also needed. Fishing gear restrictions 567 that promote the use of lighter leaders or smaller hooks can, for example, allow macropredatory

568 sharks to break off and thus allow more targeted catch of smaller individuals and species. 569 National or regional prohibition on the retention of some large macropredatory species can also 570 be effective if the species survives or largely avoids incidental capture. Marine protected areas 571 (MPAs) – especially no-take MPAs – can be used within national waters and potentially soon on 572 the high seas, to reduce fishing threats and promote high local shark abundance. Such use has 573 been successful across the range of national management capacities (21, 87), but is relatively 574 recent with only 12 shark species having >10% of their range protected (128), and barriers 575 remain in enforcement and achieving representative coverage (129). Combining MPAs with 576 broader national or regional fisheries management can enhance shark protections (130), and 577 should be deployed widely, especially to restore the functional role of highly mobile 578 macropredatory species that are difficult to protect within smaller MPAs.

579

580 Individual nations and regions are differentially poised to address shifting management 581 approaches, especially when it comes to engaging them on the very large spatial scales needed to 582 restore functional populations of macropredatory sharks. Progress of ecosystem-based fisheries 583 management by basin-scale regional organizations has been poor (131), and while some 584 developed nations already manage some sharks sustainably and have protected key species, most 585 lack the capacity and/or willingness to develop, implement, and enforce suitable controls 586 throughout their jurisdiction (23, 129). Even when shark populations persist, inadequate 587 management often leads to lost ecological roles from local populations (functional extinction; (3, 588 21, 25, 56). In addition to better managing legal fishing, better strategies must be adopted to 589 reduce the impact of illegal and unregulated (IUU) fishing on sharks (15). Many new 590 technological advances can assist combating IUU and strengthen management of legal fisheries 591 e.g. diverse satellite technologies to track vessels (132, 133); onboard electronic systems to 592 monitor intended /unintended shark catch (134); electronic information exchange systems to 593 strengthen the Port State Measures Agreement (135); genetic screening tools to identify illicit 594 trade of shark products and promote supply chain transparency (136); shark loggers that can 595 detect poaching and directly measure overlap between fishers and sharks (137). All can help 596 nations govern at the scale required to widely restore shark ecological functions.

598 If successful, managers must consider the consequences of shark recovery and anticipate 599 increased shark-human interactions, fishery depredation, and other blue economy interactions 600 that may stall or reverse improved biological outcomes of shark conservation (129, 138), and 601 may lead to calls for shark culls. Similarly, managers must prepare for shark-human interactions 602 as climate change shifts species ranges. Novel threats will continue to emerge, necessitating 603 management solutions that enhance the resilience of shark populations and their ability to adapt 604 to changing conditions (139). Emerging threats are largely non-extractive and may require 605 different approaches than those used to address fishing impacts; a systemic horizon scan of 606 anticipated future threats would be an asset for long-term management planning. Continued 607 development of spatial and dynamic management measures that reduce pressure on populations 608 of sharks and species with which they interact are likely to be important. Studies on how 609 ecological importance varies within and among ecosystems, species, and populations, and across 610 variable population densities of sharks, are still urgently needed. While these massive knowledge 611 gaps remain, a precautionary approach aiming to maintain shark diversity should be emphasized, 612 given our emerging view of the myriad mechanisms and pathways through which sharks might 613 influence their ecosystems.

614 Leveraging ecosystem services, relational and cultural value

615 Addressing emergent and/or increasing challenges of shark management will be facilitated by 616 new means of garnering public and policy support. First, highlighting benefits of large predators 617 historically engenders conservation policy support (140). Wolves' role in restoring plant and 618 avian communities in the Greater Yellowstone Ecosystem (10) informs global perceptions of 619 predators, led to calls for population reintroductions (7), and prompted reevaluation of other 620 roles like that of the dingo Canis dingo (11). Similarly, sea otters' maintenance of kelp forest and 621 estuarine ecosystems through urchin predation (12) galvanized conservation, recovery, and 622 reintroduction efforts, following near extirpation by humans. Documented ecosystem effects of 623 sharks, including the importance of tiger sharks for seagrass meadows (8, 35), and other studies 624 (Fig 3A), could be leveraged similarly (141). However, sharks may perform multiple subtle roles 625 that change across their life history and occur within reticulate marine food webs which 626 confound simple cause-effect framing. Research on the ecological importance of sharks will 627 demonstrate their conservation and ecosystem services benefits.

628

629 Second, coupled social-ecological frameworks facilitate including more diverse human value 630 systems and traditions relating to human-shark interactions. Narratives on top-down predatory 631 effects capture one aspect yet can be challenging to implement effectively. Harnessing local 632 knowledge systems and cultural diversity through adaptive governance and integrative co-633 management encourages participation and facilitates stakeholder agency in ways that can 634 reinforce shared outcomes (142, 143). Embracing local relational values and cultural traditions 635 that are consistent with sustainable use and/or conservation of shark populations may be critical 636 to preserve the ecological roles of some sharks, and facilitate human-shark coexistence (144). In 637 resource conflicts between sea otters and humans in northwestern North America, a bottom-up 638 approach empowering community-level participation in management and decision making – 639 respecting local values, traditional knowledge, and resource-use practices – was more likely to 640 lead to successful coexistence (145). Similarly, shark conservation success is underwritten with 641 cultural support from communities choosing to prioritize shark preservation against other uses 642 (59), e.g. Hawaiian cultural rejection of tiger shark culls (146), collective action towards 643 alternate uses of marine environments (143), and public campaigns and support for fin-sale bans 644 (147).

645 **Conclusions and future directions**

646 Sharks can play important roles across multiple ecosystem types by removing prey and changing 647 their behaviors, and through bottom-up pathways, which are pertinent in the face of coastal 648 development and conservation. The greatest top-down effects of sharks have been identified for 649 the largest individuals of macropredatory species in coastal macrophyte systems, but directed 650 studies of top-down effects of smaller taxa are needed. While these results are consistent across 651 diverse locations and species, further investigations on the context-dependence of the strength of 652 top-down effects are needed. Top-down impacts on coral reefs are equivocal and likely variable, 653 and bottom-up effects of prey availability to shark populations may mean reefs are more important to sharks than sharks are to reefs in some contexts. Given the economic and societal 654 655 value of these ecosystems, further studies leveraging global datasets are crucial. Empirical 656 evidence suggests shark impacts in pelagic ecosystems are weaker and less important than in 657 macrophyte-dominated systems, however incredible declines in shark abundances and size

658 structure shifts in the Anthropocene obscure insights into whether their importance was greater 659 in these ecosystems historically. There are virtually no data to address the importance of sharks 660 in deep sea and polar ecosystems (148), for smaller-bodied species, and for smaller age classes 661 of large species, in most ecosystems. Furthermore, sharks can exhibit marked and persistent 662 individual behavioral variation within populations (42, 149) resulting in considerable differences 663 in the ecological roles and threats individuals face (150). Understanding the prevalence, 664 magnitude, and nature of individual specialization is important for understanding the importance 665 of sharks in ecosystems and developing adequate management strategies. 666

667 While overfishing is the overwhelming force degrading ecological roles of sharks, climate 668 change, habitat loss, and the blue economy (e.g., energy, mining, shipping, aquaculture), will 669 further impact sharks and their ecological roles, affecting abundance, distribution, health, and 670 behavior of sharks and their prey, potentially creating opportunities for novel roles. Furthermore, 671 shark roles can impact ecosystems over multi-decadal timescales and will spatially shift with 672 climate change. Resolving these long-term impacts, and the importance of sharks in promoting 673 ecosystem resilience in the face of disturbance events (8) is important for predicting future 674 ecosystem trajectories.

675

676 Finally, management must move beyond the maximum sustainable yield target toward the 677 rebuilding and sustaining of ecological roles. Regional and national-scale fisheries management 678 and large protected areas are required to conserve highly mobile macropredatory species, which 679 is now achievable due to technological advances that facilitate enforcement on such large spatial 680 scales (e.g., drones, video monitoring systems, satellite-based vessel tracking). Harnessing public 681 support, including integrating local cultural values into management regimes, will increase the 682 chances of rebuilding and maintaining the important ecological functions of sharks in the context 683 of pervasive human presence in the oceans.

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1457 List of Supplementary Materials

1458 Figure S1. Potential effects of climate change on the ecological role of sharks. A) Thermal performance curve 1459 relating animals' fitness in relation to water temperature. Optimal temperature for fitness is nested between critical 1460 minimum/maximum temperatures for survival. B) Thermal performance curve fitted to swimming activity of tiger 1461 sharks measured via biologgers. Larger circles represent higher density of tiger shark activity at that water 1462 temperature (103). C) Effects of water pH and temperature on the time taken to accept prey in Port Jackson shark 1463 (150). D) Swimming activity of sixgill shark as a function of depth and oxygen saturation, as determined by 1464 biologgers. Red designates high activity percentages (113). 1465 1466 Table S1: Published studies regarding ecological roles of sharks. Published studies regarding

1467 ecological roles of sharks, with location, shark species, ecosystem, other species involved, evidence type,

effect size and strength of evidence for nine types of top-down, guild interaction, and bottom-up effects,
plus blue carbon implications, as well as unique ID for paper reference in Sharkipedia.org.

1470 Figures and Tables

1471 Figure 1. Estimated abundance declines of sharks across data sources and time scales. A) Millennial-scale 1472 changes in relative reef shark abundance. i: Perceived abundance of sharks in Caribbean Panama inferred from 1473 archaeological, historical, ecological, and fisheries records (based on (14)). ii: Falling dermal denticle (shark 1474 scales) accumulation rates suggest 71% (Caribbean Panama, black circles) and 75% (Dominican Republic, gray 1475 circles) declines in reef shark abundances since the mid-Holocene (modified from (14)). B) Shark landings (blue 1476 area), relative effort (lines), and catch per unit effort (CPUE, dashed line) through time. C) Reef shark relative 1477 abundance (blue line with 95% CI; (21)) and number of global coral reefs (green histogram; vertical dotted lines 1478 are quartiles (22)) along a gradient of human pressure (total gravity (19, 22)). Shark abundances are highest on 1479 remote reefs, which are rare. D) Counterfactual predictions of relative abundance of reef sharks with (status-quo) 1480 and without humans ((22) models set human-related variables to zero). Expected relative abundance was estimated 1481 using MaxN measurements from 371 reefs globally (21). 1482

Figure 2. Conceptual model of the current state of knowledge of the ecological roles and importance of sharks in aquatic food webs. Sharks play multiple roles in ecosystems through top-down (e.g., direct predation, risk effects) and bottom-up (e.g., food provisioning, nutrient cycling) processes, and species interactions (e.g., competition and facilitation). Only interactions involving sharks are displayed.

1488 Figure 3. Empirical studies of ecosystem effects of sharks. A: Macropredatory sharks in coastal ecosystems can 1489 have large effects on prey that cascade to basal macrophyte communities. Shark effects on reefs are variable, but 1490 may influence mesopredator abundance, behavior, and community composition. Effect sizes in other interactions 1491 and ecosystems are lower or unclear. Smaller sharks may be important food for other species but their top-down 1492 effects are generally small or unresolved. Circled numbers reference studies in table S1. Arrow hue = effect type; 1493 saturation = effect strength; hatched/solid = inferred/empirical evidence. B: Alluvial plot of studied ecotypes and 1494 ecological roles of sharks, and their strength of evidence from table S1 studies. Competition and/or bottom-up 1495 processes binned due to small sample size. Effect size and strength of evidence rated by 30 investigators' expert 1496 opinions scoring source paper metrics on a low/medium/high scale. * = Macropredatory sharks: Pelagic. BU =1497 bottom up, NVS = nutrient vector / storage, SAF = sharks as food, EAE = excretion and egestion. 1498

Figure 4: Theoretical relationships of ecological importance as a function of shark population abundances. As
shark population sizes increase, their effects on ecosystems may increase (i/green) rapidly at low abundances,
(ii/blue) linearly, or (iii/orange, iv/purple) slowly until reaching thresholds, or (v/black) remain low. Empirical
understanding of the shape and slope of these patterns is important for predicting effects of shark population
declines or rebuilding, but remains poorly known.

1505 Figure 5. Changing ecological roles of sharks in an age of overfishing. A) Fishing has shifted elasmobranch 1506 communities over time, causing a ~60% drop in predatory fish abundance throughout the North Atlantic shelf since 1507 1950 (55), especially larger elasmobranchs (46, 56). Similar declines occurred in the Mediterranean Sea (47), and 1508 Bay of Bengal (51). Smaller elasmobranch species abundances have risen (16, 46), suggesting larger species 1509 suppress smaller species through predation and competition. B) Species richness and abundance of sharks 1510 decreases along a gradient of market gravity (human impact), along with traits influencing shark movement and 1511 trophic interactions. Communities lose wide-ranging individuals that connect habitats, have flexible habitat 1512 adaptations that increase resilience, and feed at upper trophic levels. Data from (3, 20, 45). C) Indirect effects of 1513 predation pressure are documented in grazing patterns ('halos' (53)) adjacent to patch reefs. Reductions in 1514 predation pressure, including depletions of sharks, influence safe distances for herbivorous fish in habitats adjacent 1515 to reef (54). With little risk herbivorous fish feed further from reefs leading to reduced primary producer biomass 1516 (53) and sedimentary carbon stores (34). 1517

- 1518 1519 1520 **Table 1. Threats differentially affect sharks and their ecological roles**. Increased 'blue economy' activities including maritime industrialization, energy and aquaculture development, and ecosystem management will affect ecological processes involving sharks in coastal and offshore environments.