1	TSI-Siamnet: A Siamese network for cloud and shadow detection based on
2	time-series cloudy images
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10 Abstract: Accurate cloud and shadow detection is a crucial prerequisite for optical remote sensing image analysis and application. Multi-temporal-based cloud and shadow detection 11 methods are a preferable choice to detect clouds in complex scenes (e.g., thin clouds, broken 12 13 clouds and clouds with interference from artificial surfaces with high reflectivity). However, such methods commonly require cloud-free reference images, and this may be difficult to achieve in 14 time-series data since clouds are often prevalent and of varying spatial distribution in optical 15 16 remote sensing images. Furthermore, current multi-temporal-based methods have limited feature 17 extraction capability and rely heavily on prior assumptions. To address these issues, this paper proposes a Siamese network (Siamnet) for cloud and shadow detection based on Time-Series 18 19 cloudy Images, namely TSI-Siamnet, which consists of two steps: 1) low-rank and sparse component decomposition of time-series cloudy images is conducted to construct a composite 20 21 reference image to cope with dynamic changes in the cloud distribution in time-series images; 2)

an extended Siamnet with optimal difference calculation module (DM) and multi-scale difference 22 features fusion module (MDFM) is constructed to extract reliable disparity features and alleviate 23 semantic information feature dilution during the decoder part. TSI-Siamnet was tested 24 25 extensively on seven land cover types in the well-known Landsat 8 Biome dataset. Compared to six state-of-the-art methods (including four deep learning-based methods and two classical 26 non-deep learning-based methods), TSI-Siamnet produced the best performance with an overall 27 28 accuracy of 95.05% and MIoU of 84.37%. In three more challenging experiments, TSI-Siamnet showed enhanced detection of thin and broken clouds and greater anti-interference to highly 29 reflective surfaces. TSI-Siamnet provides a novel strategy to explore comprehensively the valid 30 31 information in time-series cloudy images and integrate the extracted spectral-spatial-temporal features for reliable cloud and shadow detection. 32

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Keywords: Cloud and shadow detection, deep learning, Siamese network (Siamnet),
time-series.

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## 38 **1. Introduction**

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The common existence of clouds in optical remote sensing images greatly limits their application. To make more effective use of optical remote sensing images, for example, by removing and potentially replacing cloud pixels, cloud and shadow detection is first required. Traditionally, cloud and shadow detection has been realized by manual annotation. This scheme, however, requires a large number of human and material resources, and is not suitable for large scale data processing. Meanwhile, there always exists a certain degree of subjectivity in manual annotation, and the assessments made by different experts can vary. To meet the requirements of large scale data processing, several automatic cloud and shadow detection algorithms have been developed. These algorithms can be divided into two categories: mono-temporal-based and multi-temporal-based (Zhu and Helmer, 2018).

Mono-temporal-based cloud detection algorithms are usually performed based on the physical 50 characteristics of clouds, such as a high reflectance in the visible, near-infrared and mid-infrared 51 52 bands and low brightness in the thermal infrared band. On the basis of these physical characteristics, clouds can be distinguished from the background by defining thresholds in 53 spectral space. The USGS proposed the automated cloud-cover assessment algorithm based on 26 54 55 spectral thresholds for Landsat7 ETM+ images (Irish et al., 2006). The approach estimates the percentage of clouds in each image, but cannot obtain the accurate location of clouds. Luo et al. 56 (2008) separated clouds from clear backgrounds by setting thresholds for spectral combinations 57 58 of each MODIS band. Huang et al. (2010) considered adaptive thresholds to perform cloud 59 detection based on the mean and standard deviation of each band of Landsat images. Choi (2004) proposed an adaptive normalized difference snow index (NDSI) threshold-based method for 60 61 cloud detection in snow and ice covered areas. In addition to spectral features, cloud shape and texture features were also used in cloud and shadow detection. Li et al. (2017a) designed a 62 63 multi-feature combined algorithm for cloud and shadow detection in GF-1 WFV data, which

forms a preliminary cloud mask by segmentation based on spectral feature thresholds, and then 64 optimizes the preliminary cloud mask with geometric and textural features of the target cloudy 65 image. Zhu and Woodcock (2012) proposed an adaptive threshold-based method called Function 66 67 of mask (Fmask) for cloud and shadow detection in Landsat images (Zhu et al., 2015; Qiu et al., 2019). Given its various benefits, the Fmask algorithm is now used widely by the USGS for 68 quality assessment (QA) of Landsat 4-8 Level 1 and Level 2 products (Foga et al., 2017). In 69 70 general, threshold-based methods can be effective for the identification of large thick clouds, but its performance can be greatly compromised for the detection of thin clouds and broken clouds. 71 In addition, when brighter backgrounds (artificial surface, desert, snow, etc.) are involved, false 72 73 detections are highly likely to occur (Jedlovec and Haines, 2007).

With advances in computer technology, machine learning-based methods have been applied 74 widely for cloud and shadow detection. For example, Xu et al. (2013) employed decision trees to 75 76 extract cloud boundaries from MODIS images. Random forests and support vector machines were also applied to cloud and shadow detection (Hu et al., 2015; Yuan and Hu, 2015). As a 77 branch of machine learning, deep learning has received increasing attention in recent years due to 78 79 its ability to extract features automatically. It was applied extensively to classification tasks for remote sensing images (Zhu et al., 2017; Mountrakis et al., 2018; Yuan et al., 2020; Zhang et al., 80 2018; Li et al., 2017b; Karakizi et al., 2018). As cloud and shadow detection is a typical 81 82 classification task, deep learning is also applicable to cloud and shadow detection (Chai et al., 2019; Choubin et al., 2019; Ghassemi and Magli, 2019; Shendryk et al., 2019; 83 84 Segal-Rozenhaimer et al., 2020; Wei et al., 2020; Wu et al., 2021). As an example, Mateo-Garc á

et al. (2017) designed a simple convolutional neural network (CNN) model to detect clouds in 85 multi-spectral Proba-V images, which produced more accurate results than traditional machine 86 learning-based algorithms (e.g., gradient boosting machines). Xie et al. (2017) further 87 88 distinguished thin clouds, thick clouds and cloud shadows based on the CNN model. Zi et al. (2018) developed a PCANet-based algorithm for cloud and shadow detection of Landsat 8 89 images. Li et al. (2019) proposed a deep learning-based multi-scale convolutional feature fusion 90 91 method, which is universal for multi-source sensors. The methods produced satisfactory cloud and shadow detection results in both GF-1 and Landsat 8 images. Jeppesen et al. (2019) 92 developed the RS-Net with an encoder and decoder structure based on the existing U-net 93 94 framework (Ronneberger et al., 2015). Wieland et al. (2019) also developed a multi-sensor cloud detection method based on the Unet (MUnet). Yu et al. (2020) proposed a new two-branch CNN 95 structure, called multi-scale fusion gated network, to extract shallow and deep information by 96 97 introducing pyramidal attention and spatial attention modules. Zhang et al. (2020) proposed a network based on the Gabor transformation and a dark channel subnet attention mechanism, 98 99 which can learn texture feature information more effectively. Recently, Zhang et al. (2021) 100 proposed a UD-Net, which introduces wavelet transform-based upsampling and downsampling 101 blocks in a symmetric encoder and decoder structure to reduce information loss and enhance the texture features of clouds, which can effectively detect thin clouds. Recently, Chai et al. (2024) 102 103 proposed a shallow CNN (SCNN) consisting of only three convolutional layers, without using pooling layers or normalization layers. The SCNN greatly reduces training costs, while achieving 104 105 reliable cloud detection results. In addition, migration learning and weakly supervised learning strategies were also developed to address the limitations of deep learning algorithms that require
large numbers of training data (Guo et al., 2022; Li et al., 2020; Zhao et al., 2022; Zou et al.,
2019).

109 Unlike mono-temporal-based methods, multi-temporal-based methods treat cloud and shadow detection as a change detection problem. The values (e.g., reflectance or brightness, etc.) of cloud 110 pixels usually change more dramatically than the background in a given time-series of images. 111 112 Thus, cloud pixels can be identified by detecting the changed parts. Benefiting from the use of temporally neighboring images, this type of method can reduce missed detection of thin clouds 113 and attenuate the interference of background with high brightness (Cayula and Cornillon, 1996; 114 115 Ricciardelli et al., 2008). Wang et al. (1999) found that by using a cloud-free image of the same area as the reference, clouds in the Landsat image could be detected effectively by defining 116 suitable thresholds. The multi-temporal cloud detection method proposed by Hagolle et al. (2010) 117 118 detected clouds based on temporal changes of the blue band and spatial correlation between adjacent pixels. Chen et al. (2016) proposed an iterative optimal cloud transformation algorithm 119 based on a cloud-free image to distinguish cloud pixels automatically from the background. 120 121 Generally, these methods are highly dependent on the cloud-free reference images. For most optical satellite sensors (e.g., Landsat series), the valid (i.e., inherently cloud-free) temporally 122 adjacent observations can be several months apart, limiting the applicability of these methods to 123 124 some extent. Some studies synthesized relatively clean reference images for the target cloudy image by linear regression, which typically require at least three cloud-free images 125 (Gómez-Chova et al., 2017; Goodwin et al., 2013; Mateo-Garc á et al., 2018). Again, this type of 126

strategy is influenced by the time interval between the cloud-free and target cloudy data. That is, 127 the performance is compromised when the time interval is long. Different from the 128 abovementioned methods, Zhu and Woodcock (2014) proposed the multiTemporal mask (Tmask) 129 130 algorithm that does not require a cloud-free image as reference. It first generates an initial cloud mask for each image using the Fmask algorithm, and then simulates the change of pixel 131 reflectance based on multi-temporal reflectance data. Finally, the cloud mask is optimized by 132 133 comparing the model predictions with the actual observations. Compared with the Fmask algorithm based on a mono-temporal image, the accuracy of Tmask is increased obviously. For 134 the Tmask method, however, each pixel needs at least 15 corresponding cloud-free pixels along 135 136 the time-series, which can be demanding in some cases. An automatic method for screening clouds and cloud shadows (ATSA) proposed by Zhu and Helmer (2018) can deal with cloud in 137 the time-series, which first highlights the cloud features by calculating the haze optimal 138 139 transformation (HOT) index and then optimizes cloud detection results based on the HOT of the 140 time-series. Additionally, some studies developed deep learning-based methods for detecting clouds in the time-series meteorological satellite data with very fine temporal resolution (up to 141 142 minutes) (Tuia et al., 2018; Mateo-Garcia et al., 2019). These methods aim to detect the clouds in the time-series jointly, where the cloud labels for all images in the time-series are required in the 143 training models. 144

In general, the trend is towards the utilization of multi-temporal images to increase cloud and shadow detection accuracy. Multi-temporal-based algorithms can effectively cope with cloud and shadow detection in complex scenes, such as the detection of thin and broken clouds and the

interference of highly reflective artificial surfaces, thus, generating more accurate cloud masks 148 than mono-temporal based algorithms. However, challenges remain for this type of algorithm. 149 Specifically, it requires cloud-free reference images in the time-series. As mentioned above, 150 151 however, cloud can exist persistently in a time-series, and the spatial location of clouds varies greatly along the time-series, making cloud-free temporal neighbors commonly difficult to obtain. 152 A simple strategy is to look for cloud-free images with long intervals as reference, but this 153 154 usually involves great land cover changes, introducing a new source of uncertainty into the cloud and shadow detection task. In addition, most of existing multi-temporal-based cloud and shadow 155 detection algorithms identify clouds and shadows through human-extracted features with 156 157 customized thresholds, limiting the accuracy of cloud and shadow detection.

To overcome the abovementioned issues, a new multi-temporal-based cloud and shadow 158 detection algorithm, that is, a Siamese network (Siamnet) based on Time-Series cloudy Images 159 160 (TSI-Siamnet), is proposed in this paper. The objectives are two-fold. The first is to deal with the prevalent cloud contamination (with dynamic spatial distribution) in the time-series and simulate 161 a reference image for multi-temporal-based cloud and shadow detection. Accordingly, this paper 162 163 synthesizes a composite reference image with suppressed cloud contamination based on the low-rank sparse decomposition method in the first step of TSI-Siamnet. The core idea is to fully 164 utilize the valuable information in the partial cloud-free data in the time-series. Specifically, the 165 166 non-cloud background and dynamically changed clouds in the time-series data are regarded as the low-rank and sparse components, respectively. The second objective is to develop a new deep 167 168 learning-based method with more reliable feature extraction capability. Traditional model-based

cloud and shadow detection methods always have limited feature extraction capability and 169 depend heavily on prior assumptions. In contrast, deep learning is capable of extracting 170 multi-scale and high-level features automatically without any specific assumptions. To this end, 171 172 in the second step of TSI-Siamnet, we proposed an extended Siamnet-based cloud and shadow detection method, which extracts the features of the target cloudy image and the composite 173 reference image separately by designing a dual branch with shared weights. An optimal 174 175 difference calculation module (DM) was proposed to extract the optimal difference features, while a multi-scale difference features fusion module (MDFM) was designed to remedy the 176 information loss during the fusion of multi-scale difference feature maps. Furthermore, the 177 178 attention mechanism was also added to the convolutional layer to enhance the ability to extract reliable features. 179

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Fig. 1. The overall framework of the proposed TSI-Siamnet.

184 **2. Methods** 

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Fig. 1 illustrates the overall framework of the proposed TSI-Siamnet. TSI-Siamnet aims to 186 create a usable reference image based on the time-series with prevalent cloud contamination and 187 188 develop a deep learning-based method with powerful feature extraction capability, which are achieved by two steps. In the first step, for the target cloudy image, we constructed a time-series 189 dataset by selecting images with low cloudiness within two years at the corresponding location. 190 Then, a low-rank and sparse decomposition analysis was applied to the time-series data to 191 produce the composite reference image with suppressed cloud contamination. In the second step, 192 193 the extended Siamnet was developed, and the target cloudy image and the composite reference image were fed into the network as image pairs, where the cloud was identified by comparing the 194 two input images using the network. Note that TSI-Siamnet detects the cloud and shadow in each 195 target cloudy image separately, rather than simultaneously in the time-series cloudy images. The 196 two steps will be described in detail in Sections 2.1 and 2.2. 197

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199 2.1. Composite reference image construction via low-rank and sparse decomposition

Using a temporally neighboring image as reference, multi-temporal-based cloud and shadow detection can reduce the interference of background. However, cloud contamination is also a common issue in the temporally neighboring time-series. In applications, cloud-free images with long intervals are used as reference alternatively. That is, the temporally neighboring, cloudy images are always abandoned directly, although they may contain low percentage of cloud 205 contamination. This scheme, however, usually involves new uncertainty, due to great land cover 206 changes introduced by the temporally further, cloud-free images. The purpose of the first step of 207 TSI-Siamnet is to simulate a more reliable reference image directly based on the temporally 208 neighboring time-series with prevalent, dynamically changed clouds. This is realized by fully 209 exploring the valuable information in the partial cloud-free data (also with dynamic spatial 210 distribution) in the time-series.

As acknowledged widely, in the time-series data, the images are highly correlated with each other in both the spectral and temporal dimensions (Wang et al., 2016). Moreover, the cloud-free background usually remains constant or changes slightly in a short period, which means it has low-rank. In contrast, in the case of cloudy data with lower occupancy than the background, clouds and shadows induce significant variation in the time-series. That is, the assumption of a sparse prior is satisfied. Therefore, we can extract the cloud-free background from the time-series data by low-rank and sparse components decomposition.

218 In this paper, we adopted robust principal component analysis (RPCA) (Candes et al., 2009) to decompose the low-rank and sparse components. Specifically, for a set of time-series data with N 219 220 images (with the same spatial size and number of bands), we constructed a new matrix  $\mathbf{D}=\mathbf{R}^{HW\times CN}$ , where H, W and C represent the height, width and number of bands of each image, 221 respectively. In this matrix, each column represents a spectral band and each row corresponds to a 222 223 target pixel with data from all bands and time-series at the geographic location. In the task of cloud and shadow detection, the matrix **D** is assumed to be composed of two parts: low-rank 224 225 clear background and sparse clouds and shadows. Accordingly, the mathematical model is

described as follows:

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$$\mathbf{D} = \mathbf{L}_{\mathbf{b}} + \mathbf{S}_{\mathbf{c}} \tag{1}$$

where  $L_b$  and  $S_c$  represent the low-rank part due to clear background and sparse part due to clouds, respectively.

To decompose the low-rank and sparse parts, a corresponding prior restriction is imposed as follows:

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$$\min_{\mathbf{L}_{\mathbf{b}}, \mathbf{S}_{\mathbf{c}}} rank(\mathbf{L}_{\mathbf{b}}) + \lambda \|\mathbf{S}_{\mathbf{c}}\|_{0} \qquad s.t. \quad \mathbf{D} = \mathbf{L}_{\mathbf{b}} + \mathbf{S}_{\mathbf{c}}$$
(2)

where  $rank(\mathbf{L_b})$  denotes the rank of the low-rank matrix  $\mathbf{L_b}$  and  $\|\mathbf{S_c}\|_0$  denotes the L0-norm of the sparse matrix  $\mathbf{S_c}$ .  $\lambda$  represents the weight of the sparse part, which is set to  $\lambda = 1/sqrt(max(HW,CN))$  as the default. When  $rank(\mathbf{L_b})$  is small enough,  $\mathbf{L_b}$  is considered to be ideally low-rank. L0-norm refers to the number of non-zero elements in the matrix  $\mathbf{S_c}$ , and fewer non-zero elements means that  $\mathbf{S_c}$  is sparser.

In principle, low-rank sparse decomposition can be achieved by optimizing the two 238 components in Eq. (2),  $rank(L_b)$  and  $\lambda ||S_c||_0$ , under the sum constraint. However, Eq. (2) is a 239 non-convex optimization problem that cannot be solved directly. Thus, we transferred Eq. (2), 240 241 into a convex optimization problem. Specifically,  $rank(L_b)$  is replaced by the nuclear norm of  $L_{b}$ , which denotes the sum of singular values in the matrix. That is, when the nuclear norm is 242 smaller, the rank can be approximated as lower. In addition, we substituted the L0-norm with the 243 244 L1-norm. The L1-norm takes the maximum value of the sum of the absolute values of the matrix  $S_c$  along the column dimension. In the process of minimizing the L1-norm, when the element of 245 matrix  $S_c$  is less than the threshold defined by  $\lambda$ , it will be assigned a value of zero to ensure the 246

sparse property of matrix  $S_c$ . The transformed convex optimization can be described as follows:

$$\min_{\mathbf{L}_{\mathbf{b}},\mathbf{S}_{\mathbf{c}}} \|\mathbf{L}_{\mathbf{b}}\|_{*} + \lambda \|\mathbf{S}_{\mathbf{c}}\|_{1} \qquad s.t. \quad \mathbf{D} = \mathbf{L}_{\mathbf{b}} + \mathbf{S}_{\mathbf{c}}$$
(3)

where  $\|\mathbf{L}_{\mathbf{b}}\|_{*}$  denotes the nuclear norm of  $\mathbf{L}_{\mathbf{b}}$  and  $\|\mathbf{S}_{\mathbf{c}}\|_{1}$  denotes the L1-norm of  $\mathbf{S}_{\mathbf{c}}$ . In this paper, we used the alternating direction method of multipliers (ADMM) to optimize this model (Boyd, 2010).

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253 2.2. Cloud and shadow detection via extended Siamnet

Fig. 2 illustrates the structure of our extended Siamnet, where the features of input image pairs are extracted separately by a double branch with shared weights. To extract adaptively the refined features in the cloud and shadow detection task, we added an attention mechanism to each convolutional layer. Meanwhile, we proposed the optimal difference calculation module (DM) to derive the optimal disparity features. Furthermore, to alleviate the dilution of semantic information features during the decoder stage, we proposed the multi-scale difference features fusion module (MDFM) for enhancement.

As shown in Fig. 2, the target cloudy and composite reference images were fed into the extended Siamnet model as image pairs. The features at different scales were then extracted with five blocks (i.e., Blocks 1-5) equipped with the convolutional block attention module (CBAM) (Woo et al., 2018). The details of each block are shown in Table 1, where each block has a convolution layer (Conv2D) with a kernel size of  $3\times3$  pixels and increasing kernel number layer-by-layer. The rectified linear unit (ReLU) was adopted as the activation function for each layer, batch normalization (BN) was adopted to prevent the gradient vanishing, and L2 regularization (L2) was utilized to avoid overfitting. The feature disparities of the two branches at
multi-scales were obtained by the DM, which uses relative distance instead of absolute distance.
Afterwards the multi-scale feature disparities were upsampled and fused by the MDFM. Finally, a
softmax classifier was used to generate the final cloud mask. The three main modules, CBAM,
DM and MDFM, are described in detail below.

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Fig. 2. The structure of the extended Siamnet (*H*, *W* and *C* represent the height, width and channels of the target cloudy image,  $\mathbf{F}_{cloud}^{i}$  and  $\mathbf{F}_{clear}^{i}$  represent the feature map of the target cloudy image and composite reference image in the *i*-th layer,  $\mathbf{F}_{diff}^{i}$  represents difference between  $\mathbf{F}_{cloud}^{i}$  and  $\mathbf{F}_{clear}^{i}$  and **MultiF**\_{diff}^{i} represents the fusion result of  $\mathbf{F}_{diff}^{i}$  in the different layers).

Table 1 The structure of the basic convolutional Blocks (Conv2d represents a 2D convolution layer, ReLU represent
 rectified linear unit, BN represent bach normalization and L2 represents L2 regularization).

Convolution Blocks	Туре	Kernel number	Kernel size	Padding
Block1	Conv2D + ReLU + BN + L2 (0.0005)	32	3×3	valid
Block2	MaxPooling2D	-	2×2	valid
	Conv2D + ReLU + BN + L2 (0.0005)	64	3×3	valid

Block3	MaxPooling2D	-	2×2	valid
	Conv2D + ReLU + BN + L2 (0.0005)	128	3×3	valid
Block4	MaxPooling2D	-	2×2	valid
	Conv2D + ReLU + BN + L2 (0.0005)	256	3×3	valid
Block5	MaxPooling2D	-	2×2	valid
	Conv2D + ReLU + BN + L2 (0.0005)	256	3×3	valid

### 283 2.2.1. The Convolutional Block Attention Module (CBAM)

CBAM is a simple and effective attention module for use in a feed-forward CNN. It infers attention results sequentially along the channel and spatial dimensions. Specifically, the input feature map is passed through the channel attention module, and then the output is fed into the spatial attention module.

The channel attention module compresses the input feature map in the spatial dimension, and then global max pooling and global average pooling are applied based on the size of the feature map. Average pooling generates feedback for all image elements, while max pooling produces feedback only for the position with the largest response. The outputs of average pooling and max pooling are then concatenated and multiplied with the input feature map. Generally, the channel attention module can be expressed as follows:

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### $\mathbf{F}_{c} = \operatorname{ReLU}(\operatorname{Add}(\operatorname{MLP}(\operatorname{GAP}(\mathbf{F})), \operatorname{MLP}(\operatorname{GMP}(\mathbf{F})))) \otimes \mathbf{F}$ (4)

where **F** represents the input feature map,  $F_c$  represents the result of the channel attention module, MLP represents the multi-layer perception and is used to control the number of channels of the output, and GAP and GMP stand for global average pooling and global max pooling, respectively. The output of the channel attention module is then fed into the spatial attention module, in which the channel dimension is compressed based on the channels of the feature map. In the spatial attention module, global average pooling and global max pooling are performed to extract the average and maximum values in the channels, and the two pooling results are then fused and multiplied with the channel attention result. The spatial attention module can be expressed as follows:

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$$\mathbf{F}_{s} = \operatorname{ReLU}(\operatorname{Conv2D}_{3\times 3}(\operatorname{Concatenation}(\operatorname{GAP}(\mathbf{F}_{c}), \operatorname{GMP}(\mathbf{F}_{c})))) \otimes \mathbf{F}_{c}$$
 (5)

306 where  $\mathbf{F}_{c}$  represents the output of the channel attention module and  $\mathbf{F}_{s}$  represents the result of 307 the spatial attention module.

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309 2.2.2. The Difference Module (DM)

In traditional approaches to change detection, the absolute distance (e.g., Euclidean distance) is 310 311 commonly used to calculate the disparity between images at different times. However, the absolute distance result is a two-dimensional feature map with limited information for subsequent 312 feature extraction. Therefore, in this paper, we developed a relative distance module DM to 313 314 extract optimal feature maps representing differences at various scales. Specifically, in each layer, DM first concatenates the feature maps of the target cloudy and composite reference images, after 315 which a convolutional layer with a kernel number of 64 and a kernel size of  $3\times3$  pixels is used. 316 317 Through the DM, the optimal features of the difference are learnt and extracted by the network instead of straightforward distance calculation. The DM can be expressed as follows: 318

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$$\mathbf{F}_{diff}^{I} = BN(ReLU(Conv2D_{3\times3}(Concatenation(\mathbf{F}_{cloud}^{I}, \mathbf{F}_{clear}^{I}))))$$
 (6)

where  $\mathbf{F}_{diff}^{i}$ ,  $\mathbf{F}_{cloud}^{i}$  and  $\mathbf{F}_{clear}^{i}$  denote the feature maps of the difference feature map, target cloudy and composite reference image in the *i*-th stratified layer, respectively.

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### 323 2.2.3. The Multi-scale Feature Difference Maps Fusion Module (MDFM)

Unlike typical segmentation algorithms (e.g., PSPnet (Zhao et al., 2017)) that directly 324 upsample the final feature map multiple times until it matches the size of the input image, we 325 326 proposed MDFM, in which the difference feature maps at different scales are first upsampled and then concatenated several times. Specifically, for five difference feature maps with various scales, 327 the difference feature map in the deepest layer (i.e., the coarsest difference feature map) is 328 329 upsampled and then concatenated with the map of the previous layer. The concatenation result is then upsampled and concatenated with the previous one iteratively until it matches the size of the 330 target cloudy image. Block 6-9 are convolution layers with a kernel size of  $3\times 3$  pixels and a 331 332 kernel number of 64. We adopted a  $2\times 2$  bilinear sample, followed by a convolutional layer of size  $3 \times 3$  pixels to implement the upsampling. The details are as follows: 333

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$$Up(\mathbf{F}_{diff}^{i}) = BN(ReLU(Conv2D_{3\times3}(Upsample(\mathbf{F}_{diff}^{i}, (2H, 2W), "bilinear"))))$$
(7)

where  $Up(F_{diff}^{i})$  represents the upsampling result of the difference feature map  $F_{diff}^{i}$  and (H, W) represents the original size of the difference feature map  $F_{diff}^{i}$ .

To fully fuse the information in difference features representing the different scales, difference feature maps are concatenated with the corresponding upsampled maps according to Eqs. (8) and (9):

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$$MultiF_{diff}^{4} = BN(ReLU(Conv2D_{3\times3}(Concatenation(Up(F_{diff}^{5}), F_{diff}^{4}))))$$
(8)

where  $MultiF_{diff}^4$  represents the fusion of the upsampled disparity feature map in the 5-th layer and the original disparity feature map in the 4-th layer,  $MultiF_{diff}^i$  represents the fusion of the upsampled  $MultiF_{diff}^{i+1}$  and the original difference feature map in the *i*-th layer.

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## 347 **3. Experiments**

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349 3.1. Datasets

350 In the experiments, the popular Landsat 8 Biome data were used for demonstration. The data were provided by Foga et al. (2017) and have been used widely for training and testing deep 351 learning models for cloud and shadow detection. The mask for the Landsat 8 Biome data contains 352 353 thick clouds, thin clouds, cloud shadows and background. In this study, thick and thin cloud are uniformly classified as cloud. The original Landsat 8 Biome data consist of 96 images that are 354 evenly distributed across the globe and cover eight land cover types, including barren, forest, 355 356 grass/crops, shrubland, urban, water, wetlands and snow/ice. As acknowledged widely, it is a very 357 challenging task to detect the cloud in the snow and ice covered areas, the data used in the experiments do not cover snow/ice. The used Landsat 8 Biome data are TOA-corrected, and 358 359 include seven bands (i.e., bands 1-7). Training and testing data are evenly distributed among seven land cover types without any overlap, and the training data consist of 756 images with a 360 spatial size of  $256 \times 256$  pixels, while the testing data contain 336 images with a size of  $256 \times 256$ 361

362	pixels. For each image, we selected 10-to-15 (within two years) temporally closest images with
363	relatively low cloudiness (less the 50%) for RPCA to construct the composite reference images.
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365 3.2. Experimental setup

366 3.2.1. Benchmark Methods

In this paper, three mono-temporal deep learning methods (i.e., MUnet, DeepLabV3+ (Chen et 367 368 al., 2018) and PSPnet) and one multi-temporal deep learning method (i.e., CDUnet++ (Peng et al., 2019)) were compared with the proposed TSI-Siamnet method. Moreover, two non-deep learning 369 methods were also used as benchmark methods, including one multi-temporal-based method (i.e., 370 371 ATSA), and one mono-temporal-based method (i.e., the classical thresholding method Fmask). MUnet is a typical deep learning network with an encoding-decoding structure that achieved 372 satisfactory results in cloud and shadow detection task. DeepLabV3+ and PSPnet are 373 374 representative networks for image segmentation, which are also fully applicable for cloud and shadow detection. CDUnet++ was originally designed for change detection. In this paper, to 375 facilitate its application in cloud and shadow detection, the input data are consistent with that for 376 377 TSI-Siamnet. The ATSA algorithm produced reliable results for Landsat-8 OLI, Landsat-4 MSS and Sentinel-2 data. The Fmask method was applied widely by the USGS to produce cloud masks 378 379 for Landsat data. All benchmark methods were implemented using publicly available codes, and 380 were adjusted accordingly to accommodate multi-band remote sensing images. The traditional physics-based algorithms employed default thresholds, while deep learning-based algorithms 381 382 used uniform parameter settings as described in Section 3.2.3. It should be noted that no

383 pretrained models were used in the experiments.

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385	3.2.2. Accuracy metrics
386	In this study, the user accuracy (UA), producer accuracy (PA), and intersection of union (IoU)
387	were used to evaluate the accuracy of detection of each identified class (i.e., clear background,
388	cloud and shadow). Among these, UA and PA correspond to omission and commission errors,
389	respectively. In addition, the mean IoU (MIoU) and overall accuracy (OA) were also used for
390	comprehensive accuracy evaluation of all classes. All the metrics were calculated by referring to
391	the reference labels of the Landsat 8 Biome data.
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393	3.2.3. Hyperparameters
394	All the deep learning-based methods applied here adopted the same hyperparameter settings.
395	More precisely, the batch size and epoch were set to 8 and 200, respectively. The Adam optimizer
396	was used to optimize the parameters of all the networks. The learning rate was set to 0.001 in the
397	first 100 epochs and 0.0001 in the second 100 epochs. All the deep learning-based methods were
398	implemented using TensorFlow version 2.6.0 on a single NVIDIA GTX 3060Ti GPU with 32-GB
399	memory.
400	
401	3.3. Results
402	3.3.1. Qualitative evaluation

403 Fig. 3 shows visually the results for all seven methods. We selected one image from each of the

seven land cover types for display. It can be seen from Fig. 3 that Fmask detects more cloud 404 pixels than the other methods, which is mainly related to the constructed  $3\times 3$  buffer in the 405 method. ATSA shows greater consistency with the ground reference data than Fmask, but its 406 407 performance depends upon the quality of the used time-series data, and it exhibits more false positives in barren and shrubland scenes. Overall, the performances of Fmask and ATSA are not 408 as satisfactory as for the five deep learning-based methods (e.g., the results of the barren and 409 410 urban scenes). Furthermore, in the five deep learning-based methods, TSI-Siamnet is more accurate than the other four methods, especially in the barren, grass/crops and wetlands scenes, 411 with obviously fewer omission and commission errors. 412





Fig. 3. Cloud and shadow detection results of the seven different methods for a part of the testing images (one area was selected for each of the seven land cover types). (a)–(g) refer to the results of barren, forest, grass/crops, shrubland, urban, water and wetlands, respectively. True color composites (R: 4, G: 3 and B: 2) of the testing images are shown in the first column. White, gray and blue represent cloud, cloud shadow and background, respectively.

419 3.3.2. Quantitative evaluation

Table 2 lists the quantitative evaluation of the results of TSI-Siamnet against the six benchmark 420 methods for all 336 testing images (the mean value of all 336 images was taken for each metric). 421 422 The most accurate value under each metric is marked in **bold**. As can be seen from the table, Fmask produces the smallest IoU for both clouds and shadows, and has larger UA than PA for 423 cloud and shadow, which is consistent with the visual results. Benefitting from the use of 424 multi-temporal data, most of the metrics for ATSA are superior to those of Fmask. With respect to 425 the five deep learning-based methods, they present greater accuracy than the traditional methods. 426 For example, the OA and MIoU of all the five deep learning-based methods are at least 13.51% 427 and 16.65% larger, respectively. Furthermore, compared with the three mono-temporal deep 428 learning methods (MUnet, PSPnet and DeepLabV3+), CDUnet++ produces greater accuracay, 429 indicating that the use of the composite reference image is beneficial. Finally, TSI-Siamnet is 430 more accuate than CDUnet++. For example, the OA and MIoU of TSI-Siamnet are 0.96% and 431 3.46% larger, respectively, suggesting the advanatage of the developed extend Siamnet. 432



Table 2 Accuracy metrics of the seven different methods for all 336 testing images (values of all 336 images were
averaged for each metric; the **bold** value means the most accurate result under each metric).

		PA (%)	UA(%)	IoU (%)	OA(%)	MIoU (%)
	Clear	71.02	96.16	69.06		
Fmask	Cloud	96.66	68.84	67.24	77.68	54.98
	Shadow	57.23	36.43	28.63		
	Clear	75.03	90.44	69.52		
ATSA	Cloud	91.45	79.39	73.91	79.14	56.14
	Shadow	59.07	38.17	31.99		
MUnet	Clear	98.22	91.45	89.96	92.65	72.79

	Cloud	94.27	95.31	90.09		
	Shadow	39.39	93.34	38.32		
	Clear	95.75	93.90	90.14		
DeepLabV3+	Cloud	93.39	92.80	87.09	92.86	78.40
	Shadow	66.39	82.03	57.96		
	Clear	95.60	94.22	90.30		
PSPnet	Cloud	96.44	91.38	88.40	93.04	77.75
	Shadow	57.82	88.47	53.77		
	Clear	96.58	94.63	91.56		
CDUnet++	Cloud	95.30	94.95	90.70	94.09	80.91
	Shadow	68.28	84.11	60.48		
	Clear	96.42	96.14	92.83		
TSI-Siamnet	Cloud	96.88	94.48	91.69	95.05	84.37
	Shadow	76.22	87.24	68.57		

To quantitatively analyze the performance across different land cover types, we calculated the 440 OA and MIoU of the methods for each land cover type separately, as shown in Fig. 4. Both the 441 442 OA and MIoU of the deep learning-based methods are larger than those of Fmask and ATSA. 443 Moreover, TSI-Siamnet produces the largest OA and MIoU in almost all land cover types, 444 especially in barren and urban scenes. We further analyzed the stability of each method in Fig. 5. 445 It can be seen that the deep learning-based methods tend to be more stable, but it must be noted that neither Fmask nor ATSA require data for training. Noticeably, TSI-Siamnet presents the most 446 447 satisfactory performance in almost all land cover types.





450 Fig. 5. The stability of the seven methods for different land cover types. (a) Barren. (b) Forest. (c) Grass/Crops. (d)
451 Shrubland. (e) Urban. (f) Water. (g) Wetlands. (h) All classes.



To further examine TSI-Siamnet, we present the visual results for three challenging cases in Figs. 6-8. Fig. 6 shows the detection results for thin clouds. Thin cloud detection has always been a challenging issue due to the complexity of cloud information mixed with the background. As can be seen from Fig. 6, TSI-Siamnet shows greater ability to detect thin clouds than the benchmark methods, which can detect more thin clouds correctly than the other methods. For

example, in Fig. 6(a) the detected thin cloud is much more consistent with that of the ground reference, especially in the part marked in yellow. CDUnet++, DeepLabV3+, MUnet and PSPnet result in more omission errors in thin cloud detection, while ATSA and Fmask present more commission errors.

Fig. 7 exhibits the detection results for broken clouds. Since the difference in brightness between broken clouds and background is generally small, the benchmark methods present noticeable omission errors. In general, TSI-Siamnet still produces more accurate broken cloud and shadow detection results. Checking the results marked in yellow in Fig. 7(b), TSI-Siamnet produces obviously smaller omission errors.

Highly reflective surfaces are a common interfering factor in cloud detection. That is, due to similar spectral characteristics, highly reflective surfaces are susceptible to being incorrectly detected as cloud. As shown in Fig. 8, the benchmark methods incorrectly detect several background pixels as cloud pixels, especially in the area marked in yellow. In contrast, the TSI-Siamnet method presents far fewer commission errors. Overall, TSI-Siamnet produces the most reliable performances for cloud and shadow detection in these three challenging cases.



474 Fig. 6. Detection results for thin clouds. (a) Thin clouds over barren. (b) Thin clouds over wetlands. True color
475 composites (R: 4, G: 3 and B: 2) of testing images are shown in the first column. White, gray and blue represent
476 cloud, cloud shadow and background, respectively.

## 



Fig. 8. Detection results for clouds above artificial surface with large reflectance. (a) and (b) are both clouds above
surface with large reflectance. True color composites (R: 4, G: 3 and B: 2) of testing images are shown in the first
column. White, gray and blue represent cloud, cloud shadow and background, respectively.





487 Fig. 9. The ground reference cloud coverage plotted against the detected cloud coverage for the seven different
 488 methods.



To evaluate the accuracy of TSI-Siamnet in cloud coverage estimation, a scatterplot of the 491 detected cloud coverage in 336 images was compared against the ground reference (Fig. 9). It can 492 be seen that TSI-Siamnet produces greater accuracy in cloud estimation, especially in the barren, 493 urban and shrubland scenes. Specifically, the  $R^2$  coefficient of TSI-Siamnet is the largest (i.e., 494 0.9874) and the root mean square error (RMSE) is the smallest (i.e., 0.0310). Table 3 shows the 495 stability of cloud coverage estimation by calculating the mean absolute deviation and standard 496 497 deviation of the estimation errors. It can be seen that the mean absolute deviation and standard deviation of TSI-Siamnet are obviously smaller than those of the benchmark methods, indicating 498

#### 499 that TSI-Siamnet is more stable for cloud coverage estimation.

500

Table 3 Statistical results of cloud coverage detection error in terms of mean absolute deviation and standard

501	
502	

deviation.

	Mean absolute deviation	Standard deviation
TSI-Siamnet	0.0191	0.0237
CDUnet++	0.0201	0.0305
PSPnet	0.0251	0.0291
DeepLabV3+	0.0230	0.0265
MUnet	0.0229	0.0309
ATSA	0.0827	0.1156
Fmask	0.1253	0.1003

503

### 3.3.5. Cloud detection results in thick cloud areas 504

In regions with persistent cloud cover, images are often extensively obscured by clouds, and 505 506 time-series images may not provide useful information for reference. That is, the reference image synthesized by RPCA may not provide effective information. To examine the performance of the 507 proposed TSI-Siamnet method in this case, cloud detection for two thick cloud areas was 508 performed and the results are shown in Fig. 10. It is seen that TSI-Siamnet still produces 509 satisfactory detection results. More precisely, TSI-Siamnet produces an average OA of 98.05% 510 511 for the two areas, which is 1.13%, 2.01%, 2.20%, 0.20%, 5.58% and 4.35% larger than 512 CDUnet++, PSPnet, DeepLabV3+, MUnet, ATSA and Fmask, respectively. The reason is that TSI-Siamnet contains a dual-branch structure. Although the branch responsible for the 513 synthesized reference image struggles to provide effective information, the branch for the target 514 515 cloudy image can still achieve reliable detection by the feature extraction module composed of 516 multiple convolutional layers with appended CBAM module and feature fusion module with skip

517 connections (a process analogous to mono-temporal-based cloud detection in this case).

518



Fig. 10. Detection results for two thick cloud areas. True color composites (R: 4, G: 3 and B: 2) of testing images are
 shown in the first column. White, gray and blue represent cloud, cloud shadow and background, respectively.

521

### 522 3.3.6. RPCA-composite image versus clean image as reference image

523 Although cloud-free images are commonly difficult to obtain, the likelihood of being such images being available increases for longer intervals. However, longer intervals can lead to the 524 inclusion of more dramatic changes in the background. Thus, we conducted an experiment to test 525 the effect of using, as the reference image, an RPCA-composite image and a temporally distant 526 527 cloud-free image. As shown in Fig. 11, the target cloudy image was acquired on August 6, 2013. We chose two cloud-free images from September 15, 2016 and May 29, 2017 for comparison of 528 529 the cloud detection performance. This scene mainly covers urban, bare land and vegetation, and 530 the land cover changes can be seen clearly by comparing Fig. 11(b) and Fig. 11(c).

The RPCA-composite image and the two cloud-free images were, respectively, fed into TSI-Siamnet as the reference image, and the three results are shown in Fig. 12. It can be seen that the cloud detection result obtained using the cloud-free image in 2016 is closer to the result of the

proposed method (i.e., the RPCA-composite image as a reference). Alternatively, when using the 534 cloud-free reference image in 2017, more detection errors are produced, especially in the marked 535 yellow part, which corresponds to the areas experiencing intensive land cover changes in Fig. 11. 536 537 The corresponding quantitative assessment results are shown in Table 4. Generally, the cloud-free reference in 2017 with greater land cover changes leads to the lowest accuracy, which is 538 consistent with the visual result. The result obtained using the cloud-free reference image in 2016 539 540 shows larger metrics than that for 2017, but the accuracy is still lower than for the proposed RPCA-based strategy. 541

542



543 Fig. 11. The selected testing image for validating the benefit of using the RPCA-composite reference image (true

544 color composite (R: 4, G: 3 and B: 2) images are shown). (a) Target cloudy image acquired on August 6, 2013. (b) 545 Clean image acquired on September 15, 2016. (c) Clean image acquired on May 29, 2017.

Target cloudy image

Ground reference

**RPCA-composite** image as reference

Clean image as Clean image as reference (2016.09.15) reference (2017.05.29)



respectively.

546 Fig. 12. The detection results of TSI-Siamnet with difference reference images in Fig. 11. True color composite (R: 4, 547 G: 3 and B: 2) of the testing image is shown in the first column. White and blue represent cloud and background,

Table 4 Accuracy evaluation results of TSI-Siamnet with different reference images (the **bold** value means the most
 accurate result under each metric).

	OA %	IoU %	
RPCA-composite image	98.08	93.73	
Clear image on 2016.09.15	98.02	92.74	
Clear image on 2017.05.29	95.09	85.59	

### 552

## 553 3.3.7. Validation of the RPCA method

554 In this paper, we composited reference image from time-series cloudy images by RPCA. To demonstrate the advantage of the RPCA method, we also constructed reference image by 555 averaging the time-series cloudy images, referred to as the Ave-reference image. Then, the 556 557 extended Siamnet (or the change detection method CDUnet++) was performed using the RPCA-composite reference image and Ave-reference image as auxiliary data separately. That is, 558 four different versions were implemented, and the corresponding accuraices are shown in Table 5. 559 560 The results indicate that the RPCA-composite reference image leads to more accurate results for both TSI-Siamnet and CDUnet++. Additionally, the accuracy of TSI-Siamnet is greater than that 561 of CDUnet++. 562

563

564	Table 5 Accuracies of using different reference images (RPCA-composite or Ave-reference) based on different
565	networks (TSI-Siamnet or CDUnet++) (the <b>bold</b> value means the most accurate result under each metric).

Network	Reference image	OA(%)	MIoU (%)
TSI Sigmat	RPCA-composite reference image	95.05	84.37
151-Statiliet	Ave-reference image	94.66	82.73
CDUnatio	RPCA-composite reference image	94.09	80.91
CDUIIet++	Ave-reference image	93.75	80.68

567 3.3.8. Ablation studies

568	We performed three ablation studies to evaluate the effectiveness of the TSI-Siamnet m	odules,
569	including the DM, MDFM and CBAM. First, we used the Euclidean distance instead of t	he DM
570	to calculate the difference in multi-scale features to validate the effectiveness of the DM. S	econd,
571	the advantages of the MDFM were validated by fusing the upsampled difference features of	lirectly
572	according to Eqs. (10) and (11):	
573	$MultiF_{diff} = Concatenation(Up(F_{diff}^{1}), Up(F_{diff}^{2}), Up(F_{diff}^{3}), Up(F_{diff}^{4}), Up(F_{diff}^{5}))$	(10)

574

$$\mathbf{MultiF_{diff}} = \mathbf{BN}(\mathbf{ReLU}(\mathbf{Conv2D}_{3\times 3}(\mathbf{MultiF_{diff}}))$$
(11)

575 where  $MultiF_{diff}$  represents the fusion result of multi-scale disparity feature maps.

Third, we compared TSI-Siamnet with TSI-Siamnet without CBAM to demonstrate the effectiveness of the CBAM module. The accuracies of the various cases are shown in Table 6. Moreover, Fig. 13 shows the visual results for seven land cover types. It can be seen that TSI-Siamnet without using any of the DM, MDFM and CBAM results in more commission or omission errors, especially in the absence of the DM and MDFM. With the aid of the CBAM, TSI-Siamnet can further increase the accuracy.

582

Table 6 Ablation study of the three blocks in TSI-Siamnet (the **bold** value means the most accurate result under each metric).

	metric).		
×	$\checkmark$	$\checkmark$	$\checkmark$
$\checkmark$	×	$\checkmark$	$\checkmark$
$\checkmark$	$\checkmark$	×	$\checkmark$
94.00	93.81	94.13	95.05
81.76	79.47	82.21	84.37
	× √ √ 94.00 81.76	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	× $$ $$ $$ $\times$ $$ $$ $$ $$ $$ $$ $\times$ 94.00         93.81         94.13           81.76         79.47         82.21



**TSI-Siamnet** 

Without-DM

Without-MDFM Without-CBAM

Cloudy image Ground reference

Fig. 13. Cloud and shadow detection results of TSI-Siamnet with different modules removed or altered. (a)–(g) refers
to the main land cover types of barren, forest, grass/crops, shrubland, urban, water and wetlands, respectively. True
color composites (R:4, G:3 and B:2) of the testing images are shown in the first column. White, gray and blue
represent cloud, cloud shadow and background, respectively.

590

592 **4. Discussion** 

593

594 4.1. The rationale behind RPCA

595 The proposed TSI-Siamnet method identifies cloud pixels by comparing the difference between the target cloudy image and the RPCA-composite reference image. To analyze the 596 rationale of using RPCA to construct a composite reference image, we selected a scene 597 598 containing a clear background, and thin and thick clouds simultaneously. As shown in Fig. 14, the interference of both thin and thick clouds is suppressed after the RPCA process, and the 599 background information is revealed to some extent. To quantitatively analyze the difference 600 601 between the target cloudy image and the corresponding RPCA-composite image, we, respectively, selected three blocks of size  $50 \times 50$  pixels from these two images to provide scatterplots in the 602 603 seven bands. As shown in Fig. 15, the thick cloud pixels present a large difference and separation 604 compared with the corresponding pixels of the RPCA results in all bands. For the thin cloud pixels, the RPCA-composite image is partially different from the target cloudy image, especially 605 for the blue, green and red bands. It should be noted that some of the thin cloud pixels do not 606 present obvious differences after the RPCA process, and these pixels are also difficult for 607 non-deep learning-based methods to detect. For the clear background pixels, there is no 608 609 significant difference before and after the RPCA process, indicating that the background interference can be effectively removed during cloud detection. 610





(a)

Fig. 14. An example of a cloudy image with RPCA-based processing (A: clear background; B: thin cloud; and C:
 thick cloud). (a) Target cloudy image. (b) RPCA-composite reference image.

614

# 615 4.2. Computational complexity

In Table 7, we evaluated the complexity and efficiency of the deep learning-based methods by the number of parameters and the inference time. The inference time is counted for an image of size  $1k \times 1k$  pixels. As can be seen from the table, our method has only 3.2 million parameters, which is far fewer than the other models, indicating that TSI-Siamnet is a relatively lightweight model. Since TSI-Siamnet has to extract features from both the target cloudy image and the corresponding RPCA-composite image, the inference time is slightly longer than the other methods, but this sacrifice is acceptable for much greater accuracy.



Fig. 15. Comparison between the target cloudy image and the RPCA-composite reference image. (a)-(g) are results
for bands 1 to 7 for Landsat 8.

Table 7 Computational complexity analysis of different deep learning-based methods.

	Parameters	Inference time (s) $(1k \times 1k)$
TSI-Siamnet	3.2×10 <sup>6</sup>	0.70
CDUnet++	$9.1 \times 10^{6}$	0.68
PSPnet	$46.7 \times 10^{6}$	0.53
DeepLabV3+	$41.1 \times 10^{6}$	0.53
MUnet	8.6×10 <sup>6</sup>	0.50

## 631 4.3. Future research

Although TSI-Siamnet achieves promising cloud and shadow detection results, there is still 632 room for further enhancement. First, our algorithm does not consider cloud and shadow detection 633 in snow/ice covered areas. It would be worthwhile research to undertake research to identify the 634 differences in physical characteristics between snow/ice and cloud, and develop corresponding 635 modules in TSI-Siamnet to effectively reduce the interference caused by snow/ice in cloud and 636 shadow detection. Second, in this paper, the RPCA algorithm was used to synthesize a single 637 auxiliary reference image by integrating the valid information in the available time-series data, 638 which inevitably leads to a certain degree of information loss. In future research, it would be 639 interesting to develop models that can more exploit the remaining information in time-series data 640 to synthesize more reliable reference images, such as to provide more reliable input to Siamnet. 641

642

643

## 644 **5. Conclusion**

645

In this paper, we proposed a new multi-temporal-based method called TSI-Siamnet for cloud

647	and shadow detection in optical remote sensing images. The algorithm implements cloud and
648	shadow detection from the perspective of change detection, reducing the interference of complex
649	backgrounds and increasing cloud and shadow detection accuracy. TSI-Siamnet consists of two
650	main parts: (i) cloud-free reference image construction based on RPCA and (ii) cloud and shadow
651	detection via the extended Siamnet. The developed RPCA method mines effectively the valid
652	information in time-series cloudy images to synthesize reliable reference images, suppressing the
653	interference of cloud contamination in the time-series data. The developed extended Siamnet,
654	including the construction of DM and MDFM modules, utilizes fully the
655	spectral-spatial-temporal features of the available images and extracts reliable feature differences.
656	TSI-Siamnet was tested with the Landsat 8 Biome dataset (including 336 images covering
657	seven land cover types) and compared with four deep learning-based methods (i.e., CDUnet++,
658	PSPnet, DeepLabV3+ and MUnet) and two classical non-deep learning-based methods (i.e.,
659	ATSA and Fmask). The key findings are summarized as follows.
660	1) TSI-Siamnet produced the greatest cloud and shadow detection accuracy amongst the
661	seven methods, with an OA of 95.05% and MIoU of 84.37%.
662	2) The advantage of CDUnet++ over three mono-temporal deep learning methods validated
663	the effectiveness of the composite reference image, while the advantage of TSI-Siamnet
664	over CDUnet++ validated the advanatage of the developed extend Siamnet.
665	3) TSI-Siamnet also outperformed the benchmark methods in terms of stability and cloud
666	coverage estimation.

667 4) For the three more challenging cases, TSI-Siamnet also demonstrated noticeable

668	advantages. Specifically, TSI-Siamnet produced the most accurate boundaries of thin
669	clouds, and produced obviously fewer omission errors when detecting broken clouds.
670	Moreover, for the interference of highly reflective artificial surfaces, the benchmark
671	methods are susceptible to incorrectly detecting background pixels as cloud pixels, while
672	TSI-Siamnet produced far fewer commission errors.
673	
674	
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678	
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