# Downscaling satellite night-time lights imagery to support within-city applications using a spatially non-stationary model

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#### 12 Highlights

- The NTL data were sharpened using RFATPK and multi-covariates.
  - The sharpened NTL showed lower RMSE against Luojia1-01 NTL data.
- The downscaled NTL can be used for tracking human development at the city-scale.

#### 16 Abstract

17 For mapping and monitoring socioeconomic activities in cities, night-time lights (NTL) satellite sensor images are used widely, measuring the light intensity during the night. However, the main 18 19 challenge to mapping human activities in cities using such NTL satellite sensor images is their 20 coarse spatial resolution. To address this drawback, spatial downscaling of satellite nocturnal 21 images is a plausible solution. However, common approaches for spatial downscaling employ 22 spatially stationary models that may not be optimal where the data are spatially heterogeneous. In this research, a geostatistical model termed Random Forest area-to-point regression Kriging 23 24 (RFATPK) was employed to disaggregate coarse spatial scale VIIRS NTL images (450 m) to a fine spatial scale (100 m). The RF predicts at a coarse resolution from fine spatial resolution 25 26 variables, such as a Population raster. ATPK then downscales the coarse residuals from the RF 27 prediction. In numerical experiments, RFATPK was compared with three benchmark techniques, 28 including the simple Allocation of pixel values from the coarse resolution NTL data, Machine 29 Learning with Splines and Geographically Weighted Regression. The downscaled results were 30 validated using fine resolution LuoJia 1-01 satellite sensor imagery. RFATPK produced more 31 accurate disaggregated images than the three benchmark approaches, with mean root mean square errors (RMSE) for the year 2018 of 13.89 and 6.74 nWcm<sup>-2</sup>sr<sup>-1</sup>, for Mumbai and New Delhi, 32 33 respectively. Also, the property of perfect coherence, measured by the Correlation Coefficient, 34 was preserved consistently when applying RFATPK and was almost 1 for all years. The

35 applicability of the disaggregated NTL data to monitor socioeconomic activities at the within-city scale against the reference NTL was illustrated by utilizing them as a proxy for the Gross National 36 37 Income (GNI) per capita and the Night Light Development Index. The GNI estimation from the downscaled NTL outperformed the coarse resolution NTL when examining their coefficients of 38 39 determination, with  $R^2$  of 0.67 and 0.47 for the GNI estimation using the fine and coarse resolution 40 NTL data, respectively. For the Night Light Development Index (NLDI), the results of the index 41 were compared by measuring their correlation with the Human Development Index (HDI). The 42 NLDI from the downscaled NTL outperformed the coarse resolution NTL when measuring the 43 correlation with the HDI, with Pearson's correlation coefficients of -0.48 and -0.35 for the NLDI 44 using the fine and coarse resolution NTL data, respectively, for New Delhi. The outcomes indicate 45 that RFATPK provides more accurate predictions than the three benchmark techniques and the downscaled NTL data are more suitable for fine scale socioeconomic applications, as demonstrated 46 47 by the NLDI and GNI. This research, thus, shows that the RFATPK solution for NTL 48 disaggregation can facilitate data enhancement for fine-scale sub-national applications in social sciences and can be generalized worldwide by including other cities as well as other applications. 49

50 Keywords: satellite night-time lights, downscaling, random forest regression, area-to-point

51 Kriging, spatial non-stationarity, socioeconomic applications

## 52 **1. Introduction**

53 Human development is a crucial factor to consider when assessing a nation's degree of 54 development since it gives inhabitants equal chances and fair choices, extends their lives, and improves their living conditions, health care, and education (Wang et al., 2021). In September 55 56 2016, the world committed to implementing the 2030 Agenda for Sustainable Development. The 57 Sustainable Development Goals (SDGs), according to Reid et al. (2017), strike a balance between 58 the economic, social, and environmental dimensions of sustainable development. Despite decades 59 of tremendous progress in eradicating poverty and fostering wealth, a sizable segment of the 60 world's poorest population still encounters difficulties to maintain an acceptable standard of living 61 in emerging nations, particularly Asia, Africa, and Latin America and the Caribbean. It appears that regional and national differences have led to the unequal reduction of severe poverty in these 62 63 areas (Georgeson et al., 2016; Omar and Inaba, 2020). To achieve the goals of SDGs, we need better ways to collect and interpret information about many aspects of human development in a 64 65 timely, accurate and appropriate manner.

66 The traditional approach to examining human growth and well-being is based mostly on survey 67 data, which includes information on income, consumption, health, education, and housing. These 68 surveys are usually carried out every three to five years, but collecting survey data is expensive 69 and tedious process. Between surveys, detailed socioeconomic data are still needed (Watmough et 70 al., 2013). Moreover, countries in war or extreme poverty may even lack these survey data for years (Zhao et al., 2019). In addition, fewer than two census surveys in many developing nations, 71 72 such as African nations, were carried out in the decade leading up to 2000, limiting the construction 73 of nationally representative human development metrics (Jean et al., 2016). Additionally, several 74 nations, like India, have suspended measures like unemployment (Dasgupta, 2022). Another

75 limitation of these censuses is that population sizes between censuses are projected, frequently

- 76 with linear yearly growth rates, despite the fact that censuses are expensive and may only be
- vndertaken at sporadic intervals when resources are scarce. Despite the high levels of uncertainty
- in the estimates, they are utilized to evaluate, for example, the dangers to public health and need
- 79 for health services. Additionally, censuses are unable to reflect accurately intra-annual changes in
- 80 a nation's socioeconomic conditions since they are not designed to do so (Bharti and Tatem, 2018).

81 Using new passively gathered data sources, such as information from satellite sensors, provides an 82 alternate method of monitoring socioeconomic processes. Such data can help address the challenge 83 of scaling up (i.e., increasing the temporal resolution of) traditional data collection efforts which 84 are generally very limited in frequency due to financial cost (Jean et al., 2016). Early studies used 85 satellite "night-lights" data to demonstrate that areas with more economic output tended to emit more artificial light (Head et al., 2017). Nocturnal images, such as the Day-Night Band (DNB), 86 87 from the Visible Infrared Imaging Radiometer Suite (VIIRS) is a valuable source of satellite 88 imagery. The VIIRS is onboard the Suomi National Polar-orbiting Partnership (SNPP) satellite. 89 The ability for researchers to track socioeconomic activity is made possible by the worldwide 90 coverage and coarse spatial resolution of these data, which have pixels that are less than one square 91 kilometer in size. Additionally, nighttime lighting is consistently assessed across nations with extremely diverse institutional capacity and is not prone to manipulation for political reasons 92 93 (Zhang and Gibson, 2022). When compared to commercial fine-resolution images like EROS-B 94 or JL1-3B, NTL products (like VIIRS DNB images) are available for free and have a considerably 95 larger swath (Levin et al., 2014). The NPP-VIIRS NTL has a spatial resolution of 15 arc seconds 96 (or approximately 500 m at the Equator), which has the potential to support several practical applications like mapping at the country level, detecting military conflicts and assessing poverty 97 98 (Levin et al., 2020; Gibson et al., 2021).

99 NTL has achieved extensive research and applications in socioeconomic fields. The so-called 100 Night Light Development Index (NLDI), proposed by Elvidge et al. (2012) from nighttime satellite 101 sensor images and population density, evaluates disparities in the local population's geographical 102 distribution of night light. Using deep learning techniques, Bruederle and Hodler (2018) 103 demonstrated that NTL data are a suitable proxy for wealth and human development in 29 African 104 countries. Similar to the previous study, Yeh et al. (2020) estimated the wealthiness of 20,000 African villages using a combination of NTL data and daylight satellite sensor optical data, and 105 found that their technique could account for 70% of the variation in ground-measured village 106 107 wealth. Gosh et al. (2013) provided examples of numerous ways to gauge one's level of wellbeing. 108 Elvidge et al. (2011) used NTL satellite sensor imagery and population data to estimate the number 109 of people worldwide who have (or do not have) access to electricity. This is done because a lack 110 of electricity is a sign of poverty and is associated with conditions that are detrimental for health 111 and wellbeing, including the inability to refrigerate food, have access to clean water, and have adequate sanitary facilities. NTL and artificial neural networks were utilized by Jasiński (2019) to 112 113 gauge electricity usage at the Nomenclature of territorial units for statistics (NUTS) 2 level.

114 Mapping and monitoring complex urban socioeconomic processes, particularly those that take 115 place within cities, can be challenging with NTL images since they often have a coarse spatial

116 resolution (Levin et al., 2020; Ye et al., 2021). According to Elvidge et al. (2007), the coarsest 117 acceptable spatial resolution of a satellite sensor image should exceed 100 m to research 118 socioeconomic issues at the city scale. Moreover, it can be important to track human development over time to determine if it is improving or developing. For example, a finer spatial resolution is 119 120 typically needed than the 450 m pixel size of VIIRS to accurately quantify development growth 121 rates at the scale of individual cities. These problems significantly hinder NTL applications, 122 especially at the city-scale. Enhancing the spatial resolutions of NTL satellite sensor imagery 123 products is increasingly urgent because the majority of the world's population, after 2007, is concentrated in urban areas (Marlier et al., 2016). 124

125 In remote sensing, spatial downscaling can be categorized in two classes based on their output 126 prediction, namely downscaling continua and sub-pixel mapping (SPM) (Wang et al., 2020). Whereas the first class predicts continua (e.g., in units of reflectance, brightness, etc.), the latter 127 128 class, also known as super resolution mapping in the remote sensing literature, predicts categories (i.e., land cover class labels) (Wang et al., 2020). Downscaling continua can create categorical 129 130 products by classification and is more often used. Generally, the methods for disaggregating 131 continua can be classified into the following classes: general statistical, spatial statistical, machine 132 learning, process-based, wavelet-based techniques, fractal techniques and hybrid methods (Park et al., 2019). Spatial statistical analysis has advanced the downscaling of raster images (i.e., satellite 133 134 remote sensing images), notably in terms of spatial interpolation, by taking advantage of the spatial 135 autocorrelation among geographical data. Area-to-point (ATP) interpolation, as opposed to generic 136 spatial interpolation, can address the problem of changeable areal units, when the supports before, 137 and during, downscaling are different (Kerry et al., 2012; Wang et al., 2016a). By making sure, for instance, that the total of the downscaled forecasts within each region equals the initial 138 139 aggregated count, ATP Kriging (ATPK) assures the coherence of predictions (Kyriakidis and Yoo, 140 2005). Yoo and Kyriakidis (2006) expanded on ATPK by taking the inequality limitations in 141 spatial interpolation into account. ATP interpolation emphasizes utilizing the information offered 142 by correlated variables since it can help in exploring the spatial variation of response variables at

143 a higher spatial resolution.

144 Wang et al., (2016b) further extended ATPK by introducing a regression term and they named the method area-to-point regression Kriging (ATPRK). ATPRK is a geostatistical technique used 145 frequently for downscaling day-time satellite sensor images. For example, Wang et al. (2015) used 146 ATPRK to downscale MODIS data and further employed it to downscale Landsat satellite sensor 147 148 images and Worldview-2 images (Wang et al., 2016a). Using multispectral satellite sensor 149 imagery, Zhang et al. (2021) developed object-based ATPRK to disaggregate IKONOS images. 150 Wang et al. (2017) implemented a spatio-temporal fusion method by combining MODIS and 151 Landsat data, downscaling 500 m MODIS data into 250 m as an initial step. Jin et al. (2018b) utilized Geographically Weighted Area-to-Point Regression Kriging (GWATPRK), a spatially 152 153 non-stationary method, to create a 1 km Surface Soil Moisture product. Xu et al. (2020) 154 downscaled ASTER thermal images using Random Forest ATPK. The majority of the above 155 research, as stated in the examples, aimed to downscale day-time satellite sensor data. However, 156 the remote sensing of nocturnal lighting has the potential to capture human socioeconomic 157 activities and/or track human development compared to day-time satellite sensor data, which is 158 critical in modelling complex urban environments for certain applications (Elvidge et al., 2017)

and downscaling is potentially useful in this context. Thus, there exists a gap in the literature.

160 However, the spatial pattern of NTL is diverse. For example, the light intensity differs depending

161 on the land use (Ye et al., 2021), and the spatial pattern of NTL intensity varies from geographic

- 162 region-to-region, even within the same area (e.g., city).
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Earth-observed variables also may exhibit spatial heterogeneity in addition to spatial autocorrelation (Jin et al., 2018). For such spatially diverse variables, the global model used in ATPRK may be unable to adequately capture local characteristics in the multivariate data. In essence, the global ATPRK model assumes that the process under inquiry is constant across space. Where the data exhibit spatial heterogeneity a more flexible model is needed; one that permits

169 spatial non-stationarity in some model parameters.

170 Wang et al. (2016a) extended the ATPRK by incorporating and adaptive window for the regression 171 part in order to account for the data's spatial heterogeneity. An ordinary linear regression model 172 was fitted using a coarse target variable and covariates within a local window, that is, a global 173 regression model within the constricted region. On the other hand, Random Forest regression (RF) 174 is a well-known non-stationary regression technique that takes into account non-linear correlations 175 between variables and has been frequently utilized for spatial analysis, either alone or in 176 combination (Xu et al., 2020; Tang et al., 2021). Xu et al. (2020) proposed RF area-to-point regression Kriging (RFATPK) for downscaling ASTER land surface temperature data. Cheng et 177 178 al., (2022) utilized a RF and ATPK to estimate monthly population distribution in China. However, 179 only a few related studies exist focusing on downscaling satellite night-time lights images, mainly 180 for impervious surface identification (Ye et al., 2021). To the authors' knowledge, there hasn't been 181 any prior research that specifically addresses NTL continua for socioeconomic applications.

182 To account for the issue of local heterogeneity and multivariate non-linearity, this research proposes the combination of RF and ATPK for disaggregating NTL using fine-spatial-resolution 183 184 predictors (e.g., WorldPop products). The suggested RFATPK technique captures the spatially non-linear correlations between the dependent and auxiliary variables while preserving the benefits 185 186 of ATPRK. The advantages of the proposed algorithm are: The advantages of the proposed 187 algorithm are: (1) RF can process high-dimensional data. (2) Overfitted phenomena do not easily 188 occur, because the final estimation is made through the average prediction of the decision trees. 189 (3) For a large number of remote sensing images and/or observations, training a RF model is fast 190 and efficient. (4) RF is immune to statistical assumptions compared to the original ATPRK. (5) 191 Another advantage is its ability to capture complex and non-linear relationships between predictors 192 and the response variable (Brokamp et al., 2017). By downscaling NTL satellite sensor images 193 from the VIIRS sensor from 450 m to 100 m, two practical socioeconomic applications were 194 executed to test this approach. The applications involved the Gross National Income (GNI) and 195 Night Light Development Index (NLDI) for New Delhi and Mumbai, two megacities of the 196 developing world. Disaggregated NTL data at this spatial resolution have the potential to be

utilized for monitoring such human development indicators at the city-scale. The research'scontributions are, thus, two-fold.

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- The geostatistical approach, ATPRK, combined with a spatially non-stationary model, was applied to downscale NTL images for the first time. To show that RFATPK is superior than the three previous well-known downscaling methodologies, a benchmark comparison between the three approaches and RFATPK was performed.
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   2. The spatial downscaled NTL data were further applied to estimate the GNI as well as to
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The remainder of this research paper is organized as follows. The research areas and the data used are described in Section 2. The suggested downscaling technique is described in Section 3. We give the results in Section 4. We expand on the suggested downscaling approach in Section 5

211 before presenting our conclusions in Part 6.

# 212 2. Study areas and data

# 213 **2.1. Study areas**

New Delhi and Mumbai were chosen as the areas under investigation to manifest the utility of the technique (Figure 1). New Delhi is India's capital and a densely populated metropolis (~10,400

people per km<sup>2</sup>) with a population of about 16 million people and it is a key international hub on

the Asian sub-continent. The city has experienced rapid urbanization and industrialization, with

218 93% of the population residing in urban areas compared to the national average of 31.16%. There

are 675 slum clusters in New Delhi (Figure 1) (Bhanarkar et al., 2018; Malik et al., 2022). The

220 Mumbai Metropolitan Region, including Mumbai and its surrounding suburban area, is known as

India's economic engine as it accounts for over 6.16% of India's GDP, providing 10% of industrial

jobs. More than 20 million people live in this territory today and this amount is predicted to increase by 10.36 million by the end of 2036. Mumbai, like New Delhi, has a large number of

slums, with an estimated number of 67 (Nijman, 2010; Vinayak et al., 2021).



Figure 1: Location and maps of the two cities of New Delhi and Mumbai.

## 227 **2.2. Datasets**

Remote sensing nocturnal images, population count, Landsat's 8 thermal band and the global human settlement layer data were used. A summary of these follows below:

- Version 2.1 of the NPP-VIIRS DNB cloud-free yearly composite NTL product for 2013 to
   2020 for Mumbai and New Delhi, respectively, were acquired from the Earth Observation
   Group website (<u>https://eogdata.mines.edu/products/vnl/</u>) (accessed 10/01/2023). The pixel
   size of the NTL images was 450 m.
- 234 2) Yearly population count derived from data were the WorldPop website 235 (https://www.worldpop.org/) (accessed 10/01/2023) for the calculation of NLDI, the 236 estimation of GNI and to assist the spatial downscaling. The NLDI and GNI were used to highlight the applicability and superiority of the downscaled NTL product compared to the 237 original coarse resolution NTL. 238
- 239 3) Landsat 8 data were used to obtain land surface temperature (LST). We selected Landsat 8
   240 OLI/TIRS yearly median cloud-free imagery for the same years as for NTL. The nominal
   241 resolution of the initial images was 100 m.
- 4) The global human settlement (GHS) layer is a human settlement map product covering the
  entire world (Pesaresi and Politis, 2022). We used the GHS layers of 2015 and 2020 as
  well as the average global building height (AGBH) product of 2018 (accessed 10/01/2023).
- 5) The results of the downscaling were validated using Loujia1-01 imagery. Loujia1-01 data has a pixel size of, approximately, 120 m and wider spectral range compared to the VIIRS NTL data (Liu et al., 2020).

#### 248 **3. Methodology**

249 The Methodology is organized as follows: (1) Firstly, a brief introduction of the ATPRK is given.

250 (2) A detailed explanation of the proposed RFATPK and its parts (i.e., RF regression and ATPK)

follows. Additionally, a description of the benchmark methods is given and lastly the two socioeconomic applications. Figure 2 summarizes the methodology as a series of successive steps

designed to meet the research objectives. The first part includes selection of the Inputs (the target

variable and the covariates), namely the NTL data, WorldPop product, the LST band from Landsat

255 8 and the GHS and AGBH layers, respectively. Then, the data were regressed utilizing RF

regression and the predictions were separated from the residuals. In the third part, the residuals

257 from the RF model were downscaled using ATPK. Finally, the prediction was added to the

downscaled residuals and the NTL raster layer at 100 m spatial resolution was produced.



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Figure 2: Flowchart of RFATPK. The first part includes the Inputs which are the target variable and the covariate. In the second part, the input data are regressed using RF regression. The third part involves ATPK-based downscaling of the residuals. Finally, the prediction is added to the downscaled residuals and the NTL raster layer at 100 m spatial resolution is produced.

## 264 **3.1 Downscaling**

265 ATPRK is a spatial downscaling method that applies a regression model to coarse spatial resolution data and subsequently applies ATPK to enhance the spatial resolution of the residuals 266 267 (Wang et al., 2016a). The regression component alone is insufficient for disaggregation because it 268 does not utilize fully the spectral characteristics in the observed low-resolution data. As an addition 269 to the regression step, ATPK-based residual downscaling is utilized to account for the spectral 270 characteristics of the coarse data. The ATPK component is a sharpening method that predicts values on a smaller pixel (i.e., support) than the original, coarse scale, data (Kyriakidis, 2004; 271 272 Kyriakidis and Yoo, 2005; Atkinson, 2013). It varies from conventional Kriging in that it takes 273 into account the observation's spatial support and it accounts for the size of support, spatial 274 correlation and the point spread function (PSF) of the sensor, instead of treating each observation 275 as a centroid. Moreover, a crucial advantage of ATPK is, the so-called, property of perfect

- coherence (Kyriakidis, 2004; Kyriakidis and Yoo, 2005): that is, it can maintain accurately the spectral features of the nominal coarse data.
- The regression model in ATPRK has two parts, the prediction and the residuals. The residuals canbe extracted as follows:

$$e(S_i) = z(S_i) - [\beta_0 + \sum_{k=1}^{n} \beta_k * h_k(S_i)]$$
(1)

280 where  $e(S_i)$  are the coarse residuals,  $\beta_0$  and  $\beta_k$  are coefficients of the linear regression,  $z(S_i)$  is the

target random variables of coarse pixel  $S_i$  and  $h_k(S_i)$  is the aggregated fine pixel within the coarse one.

The coarse residuals are downscaled using ATPK. The residual of a fine-resolution pixel  $s_j$  is estimated as a linear fusion of  $e(S_l)$  (l = 1, ..., L) in L nearest coarse pixels, via ATPK:

$$e(s_j) = \sum_{l=1} \lambda_{jl} e(S_l)$$
<sup>(2)</sup>

where  $\lambda_{jl}$  represents the weights for the prediction at fine scale that honor the sum-to-one constraint  $\sum_{l=1}^{L} \lambda_{jl} = 1$ . The weights can be calculated by lessening the error variance of the prediction. The analogous Kriging matrix is depicted in Equation 6:

$$\begin{bmatrix} \gamma_{11}^{SS} & \gamma_{1L}^{SS} & 1\\ \vdots & \ddots & \vdots\\ \gamma_{L1}^{SS} & \gamma_{LL}^{SS} & 1\\ 1 & 1 & 0 \end{bmatrix} \begin{bmatrix} \lambda_{1j}\\ \vdots\\ \lambda_{jL}\\ \mu_j \end{bmatrix} = \begin{bmatrix} \gamma_{1j}^{SS}\\ \vdots\\ \gamma_{Lj}^{SS}\\ 1 \end{bmatrix}$$
(3)

288 where  $\gamma_{ij}^{SS}$  is the block-to-block (i.e., area-to-area) variogram among coarse pixels  $S_i$  and  $S_j$ ,  $C_{jj}^{SS}$  is 289 the point-to-point covariance between fine spatial resolution pixels  $s_j$  and  $s_j$ ,  $\gamma_{lj}^{SS}$  is the ATP

290 variogram between high resolution pixel  $s_j$  and coarse resolution pixel  $S_l$  and the  $\mu_j$  are Lagrange

291 multipliers. The covariance can be produced from the variogram.

292 The error variance  $\delta$  of the ATPK prediction for the  $s_j$  at fine-resolution can be calculated as 293 follows:

$$\delta_{ATPK}(s_j) = C_{jj}^{ss} - \sum_{l=1}^{J} \lambda_{jl} * C_{lj}^{ss} - \mu_j$$

$$\tag{4}$$

is  $C_{lj}^{SS}$  the area-to-point covariance between coarse spatial resolution pixels *Si* and fine spatial resolution pixels *sj*.

- 296 The generation of the point support variogram is considered the most crucial step in area-to-point
- Kriging method, for which Wang et al., (2016b) provides the necessary details, including an explanation of how to employ a deconvolution process. The target fine pixel and the original coarse
- 298 explanation of now to employ a deconvolution process. The target line pixel and the original coarse 299 pixel can be used as point and area supports, respectively, in the ATPRK prediction, which can be
- 299 pixel can be used as point and area supports, respectively, in t300 described as follows:
  - $z(s_j) = \hat{\beta}_0 + \sum_{k=1}^K \hat{\beta}_k * h_k(s_j) + \sum_{l=1}^L \lambda_{jl} * [z(S_l) (\hat{\beta}_0 + \sum_{k=1}^K \hat{\beta}_k * h_k(S_l)]$ (5)

#### 301 3.2 Random Forest area-to-point Kriging

302 In the presence of spatial variability in a region, the global regression approach in the original 303 ATPRK implementation is unsuitable for characterizing this variability. A non-stationary model 304 is more appropriate when the association between the target and variable and the covariates varies 305 geographically (Jin et al., 2018a, 2018b). The global regression residuals, on the other hand, may 306 not meet stationarity criteria (such as second-order stationarity), making Kriging interpolation hard 307 to implement (Jin et al., 2018b). Moreover, to account for the spatial variability in the correlation 308 between the variables, RF regression generates local coefficients (Jin et al., 2018b; Pereira et al., 309 2018).

- 310 RFATPK is proposed in this research to increase downscaling accuracy by taking into account 311 spatial non-stationarity. The trend and residuals are likewise included in RFATPK, with the trend
- 312 being fitted utilizing the RF approach (Equation 2). To predict the spatial trend at fine scale,
- 313 RFATPK first fits the RF regression model between the response variable and the covariates at the
- 314 coarse spatial resolution. The regression residuals are then disaggregated at the desired pixel size
- 315 using ATPK (Xu et al., 2020; Cheng et al., 2022). After the regression using RF, the model's errors
- 316 (i.e., residuals) are expected to be less heterogeneous and assure the requirements for
- 317 semivariogram estimation (i.e., sufficiently large and homogeneous areas) (Jin et al., 2018b). In
- 318 this research, a deconvolution procedure was utilized to implement the ATPK predictions and the
- 319 spherical model was fitted to the experimental variograms (Goovaerts, 2008). This algorithm for
- 320 enforcing ATPK requires inversion of a large matrix, which is computationally expensive. For the
- 321 downscaling process the R software and the package atakrig were utilized (Hu and Huang, 2020).
- 322 To generate the downscaled 100 m NTL, the RFATPK disaggregating approach of combining the
- 323 RF (Breiman, 2001) and ATPK (Kyriakidis, 2004) methods was developed. The spatial non-
- 324 stationarity of the regression's residuals was taken into account by the RFATPK, as well as the
- 325 nonlinear association between NTL and the covariates.
- Suppose  $Z_C^l(x_i)$  are the pixel values (i.e., gray value) of pixel *C* located at  $x_i$  (*i* = 1, ..., *M*, where *M* is the number of pixels) in coarse image I(I = 1, ..., B), where *B* represents the amount of images) and  $Z_F(x_j)$  is the value of pixel *F* centered at  $x_j(j=1,...,MG^2)$ , where *G* is the zoom factor between the coarse and fine bands) in the stack layers. The letters *F* and *C* represent the fine and coarse pixels, respectively. The goal of sharpening is to predict response variables  $Z_F^l(x)$  for all fine pixels in all *B* coarse images. RFATPK consists of two steps: RF regression and residual downscaling using ATPK. Assume  $\hat{Z}_{F1}^l(x)$  and  $\hat{Z}_{F2}^l(x)$  are predictions of the RF regression and
- 333 ATPK parts, the RFATPK forecast is:

$$\hat{Z}_{F}^{l}(x) = \hat{Z}_{F1}^{l} + \hat{Z}_{F2}^{l} \tag{6}$$

#### 334 **3.2.1 Random Forest Regression modelling for the trend prediction**

The RF is a non-parametric machine learning (ML) method for regression tasks (Breiman, 2001),

which has been applied to fields such as, population mapping and properties relating to the soil

337 (Cheng et al., 2022; Takoutsing & Heuvelink, 2022). Based on bagging method of the training

data, the RF constructs an ensemble or forest of individual and non-correlated trees, saves the best

- randomly chosen variable combination for each node of each tree, and then uses an average of the
- individual trees' predictions to make the final prediction (Cheng et al., 2022).
- Since they offer more useful higher spatial resolution and richer textural information than the response low resolution variable, the covariate(s) in RFATPK (e.g., the Population raster) are utilized to detrend the  $Z_F^l(x)$  and are crucial in sharpening. The regression stage aims to fully use the fine spatial resolution textural and geographic information in the given data by characterizing
- 345 the correlation between each coarse response image and the fine predictors.
- A fine-scale predictor (e.g., Population raster)  $Z_F$  is initially aggregated to  $Z_C$  to match the pixel size of the coarse response image (Wang et al., 2016a). The relationship between  $Z_C$  and each coarse band *I* is then established by RF regression.
- The generic equation of the RFATPK involves two parts, the trend component and the residuals component, and can be written as:

$$Z_C^l(x) = f(B(x_i|\theta) + R(x))$$
<sup>(7)</sup>

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Where *f* is a RF model,  $B(x_i)$  represents the predictors at location  $x_i$ ,  $\theta$  constitutes the model's parameters and R(x) are the residuals, or model error. The RF-based nonlinear regression model  $(\theta)$  in Equation 7 can receive the fine resolution predictors directly, based on the scale-invariance assumption. The NTL spatial trend can then be produced at a downscaled 100 m spatial resolution. Due to the availability of the predictors at the fine spatial scale, the RF regression prediction at a location x at the fine spatial scale, that is,  $\hat{Z}_{F1}^{l}(x_0)$ , is calculated as:

$$\hat{Z}_{F1}^l(x_0) = f(B(x_i|\theta) \tag{8}$$

358

359 It is crucial, when using RF, to fine-tune the model parameters (Takoutsing and Heuvelink, 2022).

#### 360 **3.2.2 Random Forest regression parameter fine-tuning**

#### 361 **3.2.2.1. Default Random Forest regression model parameters at the coarse spatial scale**

362 First, we used R's caret package to conduct RF regression using all the covariates and the default

363 model settings. 500 trees, a node size value of 5 and a third of the total number of covariates (mtry)

- 364 were included in the default model parameters. The entire study region was considered in this step.
- 365 **3.2.2.2. Model calibration and fitting**

The study region was divided initially into two sets, the training and a test set. The splitting of the two sets was conducted based on a stratified random sampling. This is an efficient sampling

- 368 method because it captures the variability of multiple inputs of auxiliary information in the feature
- 369 space (Getis and Ord, 1992). At the 450 m, the training and test samples for Mumbai were 1617

and 450, respectively, while for New Delhi were 5852 and 450. For the 2025 m scale, the splitting

- 371 sets for Mumbai were 79 and 20, while for New Delhi were 285 and 72 for the training and test
- 372 set, respectively. The RF model was then calibrated using the training data and its performance
- 373 was assessed using the test set. We applied the model to the entire region if the  $R^2$  difference
- between the training and test sets was minimal. Two user-defined arguments (the number of trees
- 375 (ntree) and the number of variables chosen at each split (mtry)) were used to calibrate the RF 376 model (Probst and Boulesteix, 2017). For the ntree parameter, we investigated a range starting at
- 577 500 and increasing to 9000 with a step of 500. The default setting for mtry was the third the total
- 378 number of covariates, rounded down. With the help of the R package ranger, we fitted a final RF
- 379 model for each annual NTL image using all of the pixel data, the predictors and the chosen fine-
- tuned arguments for the ntree and mtry.

## **381 3.2.3. Spatial prediction at the fine spatial scale**

- 382 The average of all measurements embedded in one of the end nodes of the tree serves as the
- 383 forecast of a single decision tree of RF for a new site *x*<sub>0</sub>. By branching through the tree depending
- 384 on the covariate values at  $x_0$ , the end node may be located.
- The RF prediction can be calculated by taking the mean of all tree forecasts. Because it is a weighted linear combination of the measurements, it can be represented as:

$$\hat{Z}_{F1}^{l}(x_0) = \sum_{i=1}^{n} w_i * y_i$$
(9)

387 where  $\hat{Z}_{F1}^{l}(x_0)$  stands for the prediction, *n*,  $w_i$  and  $y_i$  are the number of measurements, the weights

and the NTL measurements, respectively. Note that the weights are obtained from the variables at
the observed and predicted location, even though this isn't stated explicitly in Equation 1.
(Takoutsing and Heuvelink, 2022).

# **391 3.3 Benchmark methods**

- 392 In this research, the proposed approach was compared to three benchmark methods, namely GWR,
- 393 Machine Learning with Splines and the Allocation of raster values. The benchmark methods are
- 394 described below.
- 395 Prior to GWR, simple linear regression models were, thereafter, fitted to reveal the model's  $R^2$  and
- AIC (Wang et al., 2015; Middya and Roy, 2021). The covariates that contributed to the linear model
- 397 with the largest  $R^2$  were also used for GWR. The GWR model, can be represented as follows:

$$z(s_{j}) = \hat{\beta}_{0}(s_{j}) + \sum_{k=1}^{K} \hat{\beta}_{k}(s_{j}) * h_{k}S_{j}$$
<sup>(10)</sup>

- 398 where,  $\hat{\beta}_0(\cdot)$  and  $\hat{\beta}_k(\cdot)$  represents the estimated GWR coefficients with spatial locations centered 399 at fine pixel  $s_j$  and coarse pixel  $S_j$ , respectively.
- 400 For GWR's kernel a Gaussian function was selected and the width of the kernel was determent 401 using an adaptive spatial kernel function (Chen, 2015). The Gaussian function describes the

- 402 relationship between the weight  $W_{ij}$  and distance from center  $d_{ij}$  and is a continuous monotonically
- 403 decreasing function. The Gaussian function is used widely:  $W_{ij} = exp \left(-d_{ij}^2/b^2\right)$ (11)

404 where *b* and  $d_{ij}$  are the kernel bandwidth and the distance between two locations *i* and *j*, 405 respectively. According to Chen et al. (2015) the regression results are sensitive to parameter *b* 406 which can, thus, be obtained by cross-validation.

407 Machine Learning with Splines (ML with Splines), in order to predict the dependent variable, the 408 algorithm tries many ensembles of six and giving one ensemble as an output, weights them 409 differently and evaluates the fit. Six algorithms are included in this approach, namely: 1) boosted 410 regression trees, 2) generalized additive model, 3) multivariate adaptive regression splines, 4) 411 neural networks, 5) RF, 6) support vector machines. The algorithm interpolates noisy multivariate 412 data through ensemble machine learning (EML). Additionally, using thin-plate-smoothing splines, 413 the residuals of the final model are interpolated from the full training dataset. In the final ensemble 414 model, this produces a continuous error surface that is used to eliminate the majority of the

- 415 remaining errors (Bullock et al., 2020).
- 416 With the allocation-based method, a new fine spatial resolution raster (i.e., 100 m pixel size) is 417 created with null cell values, but with the same spatial reference system as the coarse resolution
- 418 raster and then the two rasters are properly overlaid. Then, the pixels of the newly created empty
- 419 raster are given a value corresponding to the pixel value of the overlaid coarse spatial resolution
- 420 raster. This approach, thus, represents the "do nothing" or "null" baseline and all other methods of
- 421 allocation should improve on this baseline if they add any useful information.

## 422 **3.4 Two socioeconomic criteria**

- 423 The use of NTL as a proxy to various socioeconomic indexes is a major application. Therefore,
- 424 the application of downscaled imagery to proxy the Gross National Income per capita and the
- 425 Night Light Development Index (NLDI) is meaningful to illustrate the necessity of downscaling.
- 426 Payments go toward a country's Gross National Income (GNI), which is comprised of the GDP
- 427 plus net revenues from employee compensation and foreign property income. The money that 428 foreign migrants send to their home nations is known as remittances (Ghosh et al., 2009). To
- foreign migrants send to their home nations is known as remittances (Ghosh et al., 2009). To measure the association between the GNI and the NTL at the city scale, we sum all the lit pixels
- 430 of the NTL, where "lit pixel" means a radiance value equal to or greater than 1 nWcm<sup>-2</sup>sr<sup>-1</sup>. Then
- 431 we computed two linear regression models, one using the coarse resolution NTL as explanatory
- 432 variable and one linear model using the disaggregated NTL and compared their  $R^2$  values (Gibson
- 433 and Boe-Gibson, 2021). The dependent variable in both cases was the GNI and it was measured
- 434 in 1000 US dollars.
- 435 The NLDI varies from 0 to 1, representing perfect equality and inequality, respectively. The two
- geo-referenced gridded layer inputs to the NLDI were the population count raster and the NTLimage.
- The brightness (NTL's pixel value) and population count were associated in tables created using crosstabulation. In order to compute the NLDI, the two rasters were stacked and the joint
- 440 distribution of brightness and population count in cell was calculated. To measure equality in the

- 441 geographic distribution of lights, the Gini index was computed based on the statistical distribution
- 442 (i.e., the table containing the pixel values of NTL and Population, sorted by the NTL) according
- to the formula:

$$R = 1 - \frac{2\sum_{i=1}^{n-1} Q_i}{n-1}, 0 \le R \le 1$$
<sup>(12)</sup>

- 444 where *R* and *n* represents the NLDI and the number of raster images, respectively,  $Q_i =$
- 445  $\sum_{j=1}^{i} x_j / \sum_{j=1}^{n} x_j$  is the number of lights corresponding to the raster with the proportion  $P_i$  of
- 446 population count in which  $x_j$  is the value of light intensity class. Moreover,  $P_i = \sum_{j=1}^{i} x_j / n$ .

#### 447 **4. Results**

- 448 The experiments were conducted in the two mega-cities each month between 2013 and 2020. To
- 449 evaluate the results of downscaling, due to a lack of validation data at 100 m for each year, we
- 450 upscaled the NTL observations to 2025 m spatial resolution and used the original NTL data at 450
- 451 m spatial resolution as the reference (Ge et al., 2019). In the downscaling stage, the coarse 2025
- m NTL data were disaggregated to the initial finer spatial scale (450 m) and were validated using
- the raw nocturnal data.
- 454 Lastly, the sharpening was conducted to the 450 m data to predict NTL at the 100 m. For the year
- 455 2018 the downscaled results were compared against LuoJia1-01 as an extra validation step.
- 456 Additionally, because the variogram can reflect the benefits of downscaling prediction, it can be
- 457 used as an assessment metric when there are no reference data available at the fine spatial
- 458 resolution. Thus, here, the downscaling predictions at 100 m spatial resolution were also evaluated
- 459 using the variogram (Wang et al., 2020).
- 460 **4.1. Comparison with other downscaling methods**
- 461 **4.1.1. Downscaling prediction (2025 m to 450 m)**

462 To demonstrate the superiority of the proposed approach, the predicted NTL images were compared against the predictions of three benchmark methods and the results were illustrated in 463 464 Figure 4 and Figure 5 for Mumbai and New Delhi, respectively. It can be shown that RFATPK 465 and GWR-based downscaling achieved good agreement with the original NTL product when 466 comparing the spatial patterns of the downscaling results with the Reference image. Although local detailed variance may be seen, the RFATPK prediction shows it more clearly. In comparison, the 467 468 blocky artifacts are highly noticeable and Machine Learning with Splines and Allocation-based 469 downscaling failed to maintain the patterns in NTL. For the instance of Machine Learning using 470 Splines, over-fitting issues can be used to explain this outcome. Since the raw NTL coarse 471 reference data are known perfectly in the experiment, preservation of the original patterns is the

472 desired target.



474 Figure 3: Downscaling results of NTL at 450 m for Mumbai, 2018. From left to right, the Reference

- 475 NTL, Allocation-based downscaled NTL, Machine Learning with Splines-based downscaled NTL,
- 476 GWR-based and RFATPK-based downscaled NTL. Bold shows the proposed method.



Figure 4: Downscaling results of NTL at 450 m for New Delhi, 2018. From left to right, the
Reference NTL, Allocation-based downscaled NTL, Machine Learning with Splines-based
downscaled NTL, GWR-based and RFATPK-based downscaled NTL. Bold shows the proposed
method.

482 Table 1: Quantitative comparison of the downscaling approaches at 450 m (reference is the original

483	NTL)	for Mumbai.	The best	performance	is highlighted	l in <b>bold</b> .
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		2013	2014	2015	2016	2017	2018	2019	2020
	Allocation	10.1172	14.2775	10.9951	11.7604	11.8663	12.9181	15.5305	16.1761
	ML with splines	10.3092	16.5331	10.5267	11.0395	11.3876	12.166	15.4108	15.8129
RMSE	GWR	11.3387	17.8948	11.9249	12.9232	13.3665	14.0287	17.8138	17.9765
	RFATPK	1.7165	2.7673	2.0354	2.1364	2.7848	2.498	4.1899	3.4906
	Allocation	102.359	203.848	120.893	138.307	140.81	166.877	241.198	261.668
	ML with splines	106.279	273.343	110.811	121.870	129.678	148.013	237.494	250.049
MSE	GWR	128.567	320.224	142.205	167.009	178.664	196.804	317.332	323.157
	RFATPK	2.9464	7.6583	4.1429	4.5645	7.7555	6.2403	17.556	12.1843

	RFATPK	0.995	0.9923	0.9932	0.9935	0.9887	0.9923	0.9838	0.9884
CC	GWR	0.7566	0.5985	0.7342	0.7295	0.6961	0.7224	0.647	0.6181
	ML with splines	0.8041	0.6724	0.8005	0.8116	0.7911	0.8002	0.7515	0.7224
	Allocation	0.8187	0.7839	0.7893	0.7918	0.7817	0.7818	0.761	0.7254

Table 2: Quantitative comparison of the downscaling approaches at 450m (reference is the original
NTL) for New Delhi. The best performance is highlighted in **bold**.

		2013	2014	2015	2016	2017	2018	2019	2020
	Allocation	9.4589	9.0099	9.1661	8.1967	8.5255	8.3571	8.4641	7.5097
	ML with splines	9.0594	9.1414	8.0654	7.8573	7.71	7.5779	8.5324	6.5307
RMSE	GWR	9.8107	10.057	10.7049	8.9059	9.6294	8.9825	9.3896	8.5971
	RFATPK	2.5113	2.2719	2.5727	2.1916	2.3779	2.1511	1.8615	2.0369
	Allocation	89.471	81.1797	84.0189	67.1861	72.6850	69.8423	71.6414	56.3963
	ML with splines	82.0733	83.5664	65.051	61.7373	59.4446	57.4259	72.8033	42.6505
MSE	GWR	96.2505	101.154	114.596	79.3157	92.7269	80.6858	88.165	73.9109
	RFATPK	6.3068	5.1617	6.6191	4.8033	5.6546	4.6276	3.4655	4.1491
	Allocation	0.9157	0.9234	0.9189	0.9165	0.9094	0.9068	0.8953	0.9091
	ML with splines	0.9233	0.9214	0.9381	0.9241	0.927	0.9245	0.8941	0.9325
CC	GWR	0.9095	0.9041	0.8882	0.9014	0.8832	0.8922	0.8702	0.8799
	RFATPK	0.9943	0.9953	0.9938	0.9943	0.9932	0.9941	0.9952	0.9936

487

488 Tables 1 and 2 provide a quantitative comparison of the downscaling methods using three indices: 489 Root Mean Square Error (RMSE), Mean Square Error (MSE) and Correlation Coefficient (CC). 490 RFATPK is clearly more precise than the three benchmark methods in terms of all three indices. 491 This is due to the fact that the sceneries under study are highly developed metropolitan 492 environments with a variety of impervious surfaces (such as buildings, roads, and vegetation), 493 which are better suited to being well described by a spatially non-stationary model. Machine 494 Learning with Splines yielded greater accuracy compared to GWR and Allocation-based 495 downscaling. The least accuracy resulted for GWR-based downscaling, in terms of all three 496 indices.

## 497 4.1.2. Downscaling prediction (450 m to 100 m)

To facilitate visual comparison, three zoomed sub-areas selected randomly and their corresponding results are shown in Figure 6 Figure 7 for Mumbai and New Delhi, respectively. The sub-areas include landscapes with a mix of dense and less dense urban structures. The disaggregating findings demonstrate that RFATPK renders those landscapes well. Due to poor prediction, the GWR approach distorts the borders, whereas Machine Learning with Splines excessively smooths the boundaries. When it comes to preserving spectral characteristics and recovering both dense and less dense textures, RFATPK performs satisfactorily.

505



506

507 Figure 5: Downscaling visual results (100 m) in three sub-areas for Mumbai, 2018. From left to 508 right: Raw NTL, Allocation-based, Machine Learning with Splines, GWR, **RFATPK**. Each 509 column illustrates one of the three selected random areas. **Bold** shows the proposed method.

510 The variograms were compared for the different downscaling methods. Due to large volume of

511 images produced regarding the comparison of the variograms for all the years, a single example

512 for the year 2018 is shown for every region.



-Fitted area variogram Deconvoluted point variogram •Empirical area variogram 513 514 Figure 6: Variograms of the downscaling methods for Mumbai, 2018. a) Allocation-based 515 prediction, b) Machine Learning with Splines-based prediction, c) GWR-based prediction and d) 516 **RFATPK**-based prediction. **Bold** shows the proposed method.

517 For the calculation of the variograms, the downscaled images were upscaled to 450 m, subtracted 518 from the reference and the variograms computed between the downscaled image at 100 m and the 519 subtraction. Compared to the other approaches, the Allocation-based downscaling method's 520 variogram exhibited the highest semivariance (Figure 7). The GWR-based downscaling approach 521 in Figure 9 provided the highest semivariance, while the Machine Learning with Splines-based

522 downscaling method produced the lowest semivariance.





Figure 7: Downscaling visual results (100 m) in three sub-areas for New Delhi, 2018. From left to right: Raw NTL, Allocation-based, Machine Learning with Splines, GWR, RFATPK. Each 525 526 column illustrates one of the three selected random areas. Bold shows the proposed method.

#### **Deconvoluted variograms**



527 528 Figure 8: Variograms of the downscaling methods for New Delhi, 2018. a) Allocation-based 529 prediction, b) Machine Learning with Splines-based prediction, c) GWR-based prediction and d) 530 **RFATPK**-based prediction. **Bold** shows the proposed method.

531 In comparison to GWR and Machine Learning with Splines, the suggested RFATPK generated the 532 best visual outcome among the three downscaling techniques and also had the attribute of perfect 533 coherence (Table 3). Allocation-based downscaling also preserves the property of perfect 534 coherence, but no new information is added and there is, consequently, no spatial variability in the 535 NTL intensity within the fine resolution pixels.

536 Table 3: Measurement of perfect coherence, of the four downscaling methods for Mumbai and 537 New Delhi for all years under investigation. **Bold** shows the largest results in terms of coherence.

		2013	2014	2015	2016	2017	2018	2019	2020
	Allocation	0.9957	0.9907	0.9958	0.9958	0.995	0.9954	0.9949	0.9927
	ML with splines	0.9916	0.9869	0.9919	0.9917	0.9922	0.9925	0.9921	0.9897
Mumbai	GWR	0.9756	0.9582	0.9797	0.9798	0.9784	0.9731	0.9744	0.9636
	RFATPK	0.9950	0.9923	0.9932	0.9935	0.9987	0.9923	0.9938	0.9984
New Delhi	Allocation	0.998	0.9994	0.998	0.9979	0.9978	0.9979	0.9978	0.9979
	ML with splines	0.9967	0.9967	0.9949	0.9964	0.9954	0.9948	0.9966	0.9946
	GWR	0.9943	0.9947	0.9877	0.9931	0.9857	0.9879	0.9856	0.9872
	RFATPK	0.9943	0.9953	0.9938	0.9943	0.9932	0.9941	0.9952	0.9936

538 According to Table 3, the property of perfect coherence was achieved in all years for both regions

539 only for the RFATPK and Allocation-based methods. RFATPK had the highest Correlation

540 Coefficient (CC) index for the years 2014, 2017 and 2020, while the Allocation-based method

541 produced the maximum for the rest of the years, for Mumbai. For New Delhi, the Allocation 542 method had the highest CC value for all years. In summary, the proposed method was the only one

543 to achieve perfect coherence consistently throughout the years across all regions, despite the fact

544 ML with Splines had higher values of CC for New Delhi compared to RFATPK. ML with Splines

545 was inconsistent in achieving perfect coherence across the regions and for all years as it can be

seen for the year 2014 for Mumbai.

Tables 4 and 5 show the quantitative comparison of each method with the LuoJia 1-01 used as a reference.

549 Table 4: Quantitative comparison of the downscaling approaches at 100 m for Mumbai, 2018

550 (reference is the LuoJia 1-01). **Bold** shows the best results.

		Allocation	ML with splines	GWR	RFATPK
Mean	RMSE	13.9105	16.8635	15.7574	13.8938
	MSE	196.1515	286.4333	248.6675	193.2563
	PCC	0.6056	0.5274	0.5408	0.6757
StD	RMSE	1.8192	1.6027	0.6798	0.5219
	MSE	50.9233	54.305	21.4063	14.7106
	CC	0.0893	0.1044	0.066	0.0204

551

Table 5: Quantitative comparison of the downscaling approaches at 100 m for New Delhi, 2018

553 (reference is the LuoJia 1-01). **Bold** shows the best results.

		Allocation	ML with splines	GWR	RFATPK
Mean	RMSE	7.4432	7.711	8.5856	6.7488
	MSE	55.4679	59.4932	73.790556	45.889
	РСС	0.9268	0.9225	0.9062	0.9392
StD	RMSE	0.2868	0.2044	0.31086	0.6541
	MSE	4.2883	3.1429	5.401	8.8968
	CC	0.0045	0.0048	0.0045	0.0068

554 It can be seen in Tables 4 and 5 that the proposed method produced the smallest mean RMSE and 555 mean MSE in each of the 50 iterations (2000 random samples in each iteration) as well as the largest mean correlation coefficient with LouJia1-01. More specifically, the RFATPK method, for 556 Mumbai, produced the smallest average RMSE of 13.8938 nWcm<sup>-2</sup>sr<sup>-1</sup>, while the other methods 557 558 produced larger RMSEs, with the Machine Learning with Splines being the largest. The same is true for MSE, where RFATPK produced the smallest average MSE compared to the other three 559 560 methods. Finally, the correlation coefficient was the largest for RFATPK, at 0.6757 for Mumbai. 561 For New Delhi, again RFATPK produced the most precise predictions compared to the other approaches with mean RMSE, MSE and CC of 6.7488 nWcm<sup>-2</sup>sr<sup>-1</sup>, 45.889 nWcm<sup>-2</sup>sr<sup>-1</sup> and 0.9392, respectively. Considering that the LouJia1-01 imagery is an external (unseen) validation dataset produced with different sensing characteristics than the NTL imagery and that the prediction is made at a four point fine-fold finer spatial resolution than the original data, this result can be considered promising for a range of applications.

#### 567 4.2. Night Light Development Index

568 The index was calculated utilizing the coarse-resolution nocturnal data (NLDIcoarse) and the 569 disaggregated NTL (NLDIfine). To validate the results, the values of the Human Development 570 Index for New Niludipine Delhi for the years 2013 to 2019 were acquired from the Global Data Lab website (https://globaldatalab.org/) (accessed 05/02/2023). The relationship between the 571 572 NLDI and HDI is well established in the literature. Hence, this index was selected for validation 573 (Elvidge et al., 2012). Using the NLDIcoarse the Pearson's correlation coefficient with the HDI was 574 -0.35 while when using the NLDIfine the association with the HDI was -0.48. These results are 575 impressive, indicating that the downscaled NTL data were more correlated with human

576 development compared to the raw NTL data at 450 m.







579 Figure 9: Night Light Development Index plotted through time for Mumbai (red line) and New580 Niludipine Delhi (green line).

581 Figure 10 illustrates the evolution of the NLDI for the period 2013-2020 for the cities of Mumbai

and New Niludipine Delhi. It can be seen that the index for Mumbai shows an upward trend which

583 means that light inequality is increasing. On the contrary, the NLDI index for New Niludipine

584 Delhi is decreasing with the exception for 2015. The data are consistent with the HDI index from

585 Global Data Lab which reveals an increase in the index through time (Table 6).

Year	HDI	NLDI	
2013	0.72	0.25	
2014	0.73	0.25	
2015	0.73	0.26	
2016	0.73	0.24	
2017	0.74	0.24	
2018	0.74	0.25	
2019	0.75	0.24	

587 Table 6: The HDI and NLDI indexes for New Delhi.

588 According to many authors, there is an inverse relationship between the two indices (Elvidge et

al., 2012; Ghosh et al., 2013). There are no yearly measures of HDI for Mumbai to validate the results, but many newspapers highlighted the rise in inequality in this region (Bendix, 2018; Ashar,

591 2019).

#### 592 **4.3. Gross National Income** *per capita*

The GNI was measured only for New Niludipine Delhi for the years 2013 to 2019. It can be seen from Table 7 that there was a slight increase in the index from 2013 to 2015, then a small decrease for 2015 and then the index increased again. The second and third columns show the Sum of Lights (SOL) for the coarse resolution and fine resolution NTL. The GNI index is measured in 1000 US dollars and the values are in logarithmic scale as well the SOL. The coarse and fine SOL showed overall a slight decrease in grey values, but the downward is more obvious in the coarse SOL.

Table 7: Yearly GNI values and the Sum of Lights values using the coarse and fine spatial scaleNTL.

Year	Coarse SOL	Fine SOL	GNI	
2013	5.334	6.625	9.345	
2014	5.34	6.635	9.378	
2015	5.346	6.623	9.528	
2016	5.299	6.602	9.449	
2017	5.3	6.594	9.522	
2018	5.289	6.563	9.576	
2019	5.272	6.57	9.618	

<sup>601</sup> The correlation coefficient ( $R^2$ ) between Fine SOL and GNI is much higher compared to Coarse

#### 605 **5. Discussion**

#### 606 5.1. Random Forest area-to-point regression Kriging (RFATPK)

607 RFATPK is analogous to the AATPRK reported by Wang et al., (2016a). However, a different 608 non-stationary model was implemented in this study. The results show a notable improvement of

#### 586

<sup>602</sup> SOL and GNI. Specifically, Fine SOL predict 67.6% of the variance in annual GNI, compared to

<sup>47.4%</sup> that Coarse SOL does. The model fit utilizing the fine spatial resolution NTL is noticeably

<sup>604</sup> better by about 20% contrasted to coarse resolution NTL.

609 the merged images both visually and quantitatively resulting from the adoption of the spatial non-610 stationary regression model, reflected in a correlation coefficient larger than 0.84 in the regression 611 part for all months and cities, with the exception of Mumbai 2020. In RFATPK, residual sharpening was conducted by ATPK which considered a global method and it is different from the 612 613 approach proposed by Pardo-Iguzquiza et al. (2011), who developed a local scheme for Kriging interpolation. For each coarse pixel in local ATPK interpolation, semivariogram deconvolution is 614 615 used to parameterize the regression model and the Kriging weights are calculated. This requires a lot of computational power, especially for areas with many pixels. We instead used global ATPK, 616 which does not require the same computational cost. Since RFATPK is an extension of ATPRK, 617 618 it benefits from ATPRK's advantages as it takes into consideration both the size of the support and 619 the spatial correlation. Additionally, it can accurately maintain the spectral characteristics of the 620 original coarse data, as illustrated in Tables 4 and 5. The experimental results showed that 621 RFATPK predicted more accurately than the three benchmark techniques. The resulting residuals 622 may differ significantly from region to region if the global regression model in ATPRK is unable to represent adequately the relationship between the coarse and fine images when the observed 623 624 scene varies locally (i.e., requiring a spatially non-stationary method). Thus, the residuals produced by the local non-stationary regression model are likely to be more suited for subsequent 625 manipulation using a stationary downscaling model. Another point of consideration is the scale 626 627 effect. It is acknowledged that due to the scale effect there exists differences in the two 628 downscaling processes, that is, from 2025 m to 450 m and from 450 m to 100 m spatial resolution 629 (Zhou et al., 2016; Pu, 2021). The scaling effect in downscaling NTL from a coarse spatial 630 resolution to a high spatial resolution is beyond the scope of this research.

## 631 **5.2. RFATPK and benchmark comparison**

632 When the area of interest is spatially heterogeneous, the RFATARK technique yields more precise 633 predictions. Comparing the proposed RFATPK method against three other image fusion 634 techniques (GWR from regression-based methods, machine learning with splines from hybrid-635 based techniques and allocation of raster value) is of great interest. Since all computations are 636 undertaken independently in each coarse band, RFATPK is substantially faster than the hybrid 637 technique since it needs to model only the auto-semivariogram for each low resolution image. The Kriging system in Equation 6's matrices now have substantially smaller sizes. Consequently, 638 639 RFATPK is more user-friendly and much simpler to automate. Although the regression-based 640 method is similar to RFATPK, the latter has the appealing property of perfect coherence, which is 641 inherent with ATPK. Compared to the simple allocation of raster values, the geostatistical solution 642 preserved fairly accurate, both visually and quantitatively, the spatial patterns of NTL intensity, a 643 property which simple allocation of raster values does not have. In conclusion, the suggested 644 RFATPK method has the following features and benefits.

- Regression modelling can employ fines-resolution predictors to lessen the uncertainty in
   spatial downscaling, improving the fused images visually and quantitatively;
- 647
  647 2. RFATPK clearly takes into consideration the spatial (auto) correlation between the data
  648 and the size of the support (pixel) by inheriting the advantages of ATPRK;
- 649 3. RFATPK, can precisely preserve the spectral features;

4. Unlike machine learning with splines, which executes six regression models, RFATPK
executes only one local model and incorporates a global method (ATPK), calculates the
Kriging weights only one time for the entire region and uses considerably smaller matrices
in the Kriging method; this makes it faster for downscaling images.

## 654 **5.3. Use case studies**

- 655 Monitoring socioeconomic indicators at the city-scale is of great importance for governments and
- 656 policy makers. As such unbiased data at fine spatial resolution are a critical input to support policy
- 657 development and decision-making. To highlight the applicability of the downscaling method, two
- 658 socioeconomic applications were considered at the city-scale.

# 659 5.3.1. Night Light Development Index

660 The index is an estimation for economic and human development in a region. The strong 661 association between the NLDI and the HDI suggests the former index measures human 662 development, which is consistent with Elvidge et al. (2012). The results using the fused NTL are 663 encouraging and we suggest the downscaled data are suitable for measuring human development 664 at the city-scale.

## 665 **5.3.2. Gross National Income** *per capita*

- The reference NTL data were less accurate at predicting yearly GNI than the downscaled NTL at the city scale. The application of studies that demonstrate the efficiency of estimating such socioeconomic indicators at the city level is called into question by the poor association between coarse resolution nocturnal data and GNI and makes it difficult to understand how such data may serve as a reliable indicator of changes in city-scale economic activity. The results provided here, on the other hand, point to the downscaled NTL as a far more accurate way to quantify GNI and a
- 672 viable substitute for the index.

## 673 **5.4. Future research**

674 The point spread function (PSF) exists in every satellite sensor imagery. It has a significant impact on image quality and sets a strict cap on how much information is included in satellite sensor 675 676 images (Wang et al., 2020). It is clear that the PSF can affect the downscaling process because 677 disaggregating methods aim to increase the pixel size by creating more (sub-) pixels than the 678 original image and thus, better describing the spatial content of a region. A variety of PSFs will be 679 evaluated in a future effort to reduce the uncertainty in the downscaling procedure. Another 680 important limitation is that NTL values cannot be determined from a single covariate, as shown by 681 the global model. This means that, more ancillary variables are more suitable for charactering NTL 682 intensity and may lead to more accurate prediction of the trend (Ye et al., 2021). Future research 683 will focus on incorporating more ancillary variables, mainly from the so called 'social pixels', for 684 example, geo-tagged Twitter data or geo-located POIs. Thus, fusion with social data at a fine 685 resolution should be tested in future. Lastly, as mentioned in the Discussion, Section 5.1, this 686 research did not take into account the scale effect. Therefore, studies in the future should need to 687 be designed for and check if the by accounting for the scale effect will improve the downscaling 688 predictions.

#### 689 **6.** Conclusion

690 Spatial downscaling is widely used to transform remotely sensed images from coarse resolution to 691 fine resolution in order to track human activity. For the first time, a strategy for spatially 692 downscaling nocturnal pictures was presented in this study using RF and ATPK. The RFATPK 693 approach has the advantage of taking into consideration both the spatial correlation between the 694 response variable and the predictors as well as local spatial variation. To show the effectiveness of 695 this approach, it was used on yearly coarse NTL products in two separate Indian megacities.

696 The geostatistical RFATPK solution was compared against three benchmark algorithms in experiments conducted on one experimental case in the two mega-cities. The results are 697 698 summarized as follows: 1) The three benchmark methods were outperformed by RFATPK, 699 demonstrating the utility of this technique for spatial sharpening; 2) RFATPK, consistently, 700 assures total coherence with the original coarse data, in contrast to two of the benchmarks, and 3) 701 due to its spatially non-stationary nature, RFATPK was able to lower the residual variance in 702 comparison to a single, global regression model. The encouraging results suggest that RFATPK 703 can produce images that are suitable for socioeconomic analysis at the city-scale, as illustrated 704 when comparing a human development index using coarse-resolution NTL data against fine-705 resolution nocturnal lights. Indeed, the GNI index was better approximated using the downscaled 706 NTL data. Another application suggesting that the disaggregated NTL are more suitable for fine 707 scale (social) applications was the measurement of wellbeing by means of light inequality. The 708 results implied that using the proposed solution, the nocturnal satellite sensor data are closer to the 709 values of the official statistics (i.e., HDI). According to the results, our method can be generalized 710 worldwide (i.e., to other cities) and for a variety of social science applications.

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## 714 *CRediT* authorship contribution statement

Tziokas, Nikolaos: Conceptualization, Methodology, Validation, Formal analysis, Visualization,
 Writing-original draft, Editing. Drolias, C. Garyfallos: Methodology, Formal analysis. Zhang,
 Ce: Supervision, Writing-review & editing. Atkinson, M. Peter: Supervision, Writing-review &
 editing.

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