

# SuperDARN observations of the two component model of ionospheric convection

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## Key Points:

- A dependence of the local time of the dawn and dusk convection cell foci on IMF strength and geomagnetic activity is observed
- This provides supporting evidence for the two components of ionospheric convection predicted by the expanding contracting polar cap model
- These results were obtained using a 20 year archive of Super Dual Auroral Radar Network (SuperDARN) observations of the convection

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## Abstract

We use a 20 year database of Super Dual Auroral Radar Network (SuperDARN) observations to investigate the two component model of ionospheric convection. A convection pattern is included in the database if it is derived from at least 250 radar vectors and has a distribution of electric potential consistent with Dungey-cycle twin vortex flow (a negative potential peak in the dusk cell and a positive potential peak in the dawn cell). We extract the locations of the foci of the convection cells from the SuperDARN convection patterns, and compare their dependencies on the north-south component of the interplanetary magnetic field, IMF  $B_Z$ , and the auroral electrojet index,  $AL$ . We define a quantity,  $dMLT$ , as the hour angle between the dawn and dusk convection cell foci, which we use as a proxy for the extent to which the dayside or nightside component of the convection pattern is dominating. We find that at a fixed level of  $AL$ ,  $dMLT$  decreases with increasingly negative IMF  $B_Z$ , consistent with an increasing dominance of dayside reconnection. We also find that at a fixed level of IMF  $B_Z$ ,  $dMLT$  increases with increasingly negative  $AL$ , consistent with an increasing dominance of nightside reconnection, but only up to modest values of  $AL$  (to  $\sim -200$  nT). As  $AL$  becomes further enhanced  $dMLT$  decreases again, which we attribute to an inherent dependence of  $AL$  on IMF  $B_Z$ .

## Plain Language Summary

The Earth's upper atmosphere is coupled to the near-Earth space environment – the magnetosphere – via the planet's magnetic field. This magnetic coupling drives a circulation of plasma – the electrically charged component of the atmosphere, called the ionosphere – from day to night across the poles and back again at lower latitudes. This circulation of plasma is a key component of the energy transport in the magnetosphere-ionosphere system. The circulation is not steady, instead changing in strength whilst expanding and contracting due to the time-dependence of the driving mechanisms. To understand these mechanisms we can model the ionospheric circulation and test the models with observations. In this paper we use a 20 year database of ionospheric radar observations of the plasma flow to test one such model – the expanding-contracting polar cap (ECPC) model – and find evidence to support its predictions of separate dayside and nightside components of the flow.

## 1 Introduction

The expanding contracting polar cap (ECPC) model of ionospheric convection (Cowley & Lockwood, 1992) dictates that transpolar flow (and hence voltage) should be excited when magnetic reconnection changes the topology of the Earth's magnetic field. Dayside reconnection, between the interplanetary magnetic field (IMF) in the solar wind and the Earth's magnetic field opens previously closed terrestrial field lines, appending newly open flux to the magnetopause, which is then carried into the polar cap by an enhanced plasma flow (e.g. Etemadi et al., 1988; Greenwald et al., 1999). Nightside reconnection, between the open field lines of the northern and southern magnetotail lobes, closes previously open field lines which are carried out of the polar cap and back to the dayside by a similar excitation of plasma flows (e.g. Grocott et al., 2002; Gordeev et al., 2011). The ECPC model describes the basic form that the ionospheric convection cells should take during intervals of dominant dayside or nightside reconnection as illustrated in, for example, Figure 2 of Lockwood and McWilliams (2021). According to the model, when dayside reconnection is dominant the foci of the twin-vortex convection cells are expected to be displaced towards the dayside and when nightside reconnection is dominant the foci of the twin-vortex convection cells are expected to be displaced towards the nightside.

62 The idea that solar wind - magnetosphere coupling drives magnetospheric and iono-  
 63 spheric convection is not disputed. It is relatively straightforward to show that the strength  
 64 of the ionospheric convection (e.g. MacDougall & Jayachandran, 2001) or transpolar volt-  
 65 age,  $V_{PC}$  (e.g. Boyle et al., 1997) is related to the concurrent solar wind and IMF con-  
 66 ditions. Difficulties arise in isolating the separate contributions from dayside and, in par-  
 67 ticular, nightside reconnection. Whilst dayside reconnection rates are simple to estimate  
 68 from upstream solar wind and IMF observations (e.g. Milan et al., 2012), dayside driv-  
 69 ing tends to precipitate nightside reconnection. Statistical results, such as those of Boyle  
 70 et al. (1997) and larger-scale models of convection (e.g. Greenwald et al., 1996; Ruohoniemi  
 71 & Greenwald, 2005; Weimer, 2005; Thomas & Shepherd, 2018) therefore tend to reveal  
 72 a somewhat steady-state approximation to the response to solar wind driving.

73 Isolating and quantifying the nightside reconnection contribution is a particular chal-  
 74 lenge, owing in part to a difficulty in measuring the nightside reconnection rate, or es-  
 75 timating it from in-situ observations. In a study of transpolar voltage data from the Su-  
 76 per Dual Auroral Radar Network (SuperDARN) Lockwood and McWilliams (2021) used  
 77 the  $AL$  magnetic index as a proxy for the nightside reconnection rate. They used hourly  
 78 means to show that  $V_{PC}$  increases both for increasingly negative IMF  $B_Z$ , and increas-  
 79 ingly negative  $AL$  index, consistent with both dayside and nightside reconnection be-  
 80 ing responsible for driving convection, as predicted by the ECPC model. A difficulty in  
 81 interpreting this result arises from the fact that taking hourly averages significantly smoothes  
 82 structures in the data, especially high values, since  $V_{PC}$  is not normally distributed. It  
 83 is also the case that  $AL$  and IMF  $B_Z$  are not independent; intervals of strongly nega-  
 84 tive IMF  $B_Z$  correlate with intervals of enhanced  $AL$  index. Lockwood and McWilliams  
 85 (2021) attempt to mitigate this by considering that  $V_{PC}$  increases with increasing strength  
 86 of  $AL$  index even for a fixed value of IMF  $B_Z$ . However, this does not account for the  
 87 possibility that factors additional to IMF  $B_Z$  strength may directly affect the dayside  
 88 reconnection rate (e.g. Borovsky et al., 2008).

89 In this paper we use a 20 year archive of SuperDARN radar data to provide direct  
 90 evidence for the two component model of ionospheric convection. We locate the convec-  
 91 tion cell foci in the SuperDARN observations and investigate the statistics of their lo-  
 92 cation, in the context of the transpolar voltage, solar wind and geomagnetic observations.  
 93 For simplicity, and in order to make direct comparisons with the findings of Lockwood  
 94 and McWilliams (2021), we use IMF  $B_Z$  and the  $AL$  index as proxies for the strength  
 95 of dayside and nightside reconnection. We find that significant voltages may be driven  
 96 for both dayside and nightside displaced convection cell foci. The foci tend to be displaced  
 97 towards the dayside when IMF  $B_Z$  is negative and  $AL$  small. They tend to be displaced  
 98 towards the nightside when IMF  $B_Z$  is positive and  $AL$  modest. The dawn and dusk cell  
 99 foci do not appear to respond in the same way to differing driving conditions, making  
 100 the overall behaviour of the convection patterns non-trivial to interpret.

## 101 2 Data Analysis

102 Large-scale observations of ionospheric convection from 1999-2018 are provided by  
 103 the Super Dual Auroral Radar Network (SuperDARN). SuperDARN is an international  
 104 array of HF ionospheric radars located in the polar regions of both hemispheres whose  
 105 fields-of-view cover much of the polar, auroral and subauroral regions. Each radar mea-  
 106 sures the line-of-sight (LOS) Doppler velocity of ionospheric plasma irregularities from  
 107 which the radars scatter (Greenwald et al., 1995; Chisham et al., 2007). The radars scan  
 108 through typically 16 beams (look directions) making observations at typically 75 loca-  
 109 tions along each beam at between 180 km and over 3500 km in range. The LOS veloc-  
 110 ities are derived from best fit autocorrelation functions of the backscattered radar sig-  
 111 nals. To produce large-scale convection maps, the line-of-sight velocities are median fil-  
 112 tered at 2-min cadence onto an equal area polar grid of cell size  $\sim 110 \times 110$  km. The  
 113 latitudinal extent of the convection is determined by fitting a ‘Heppner-Maynard’ bound-

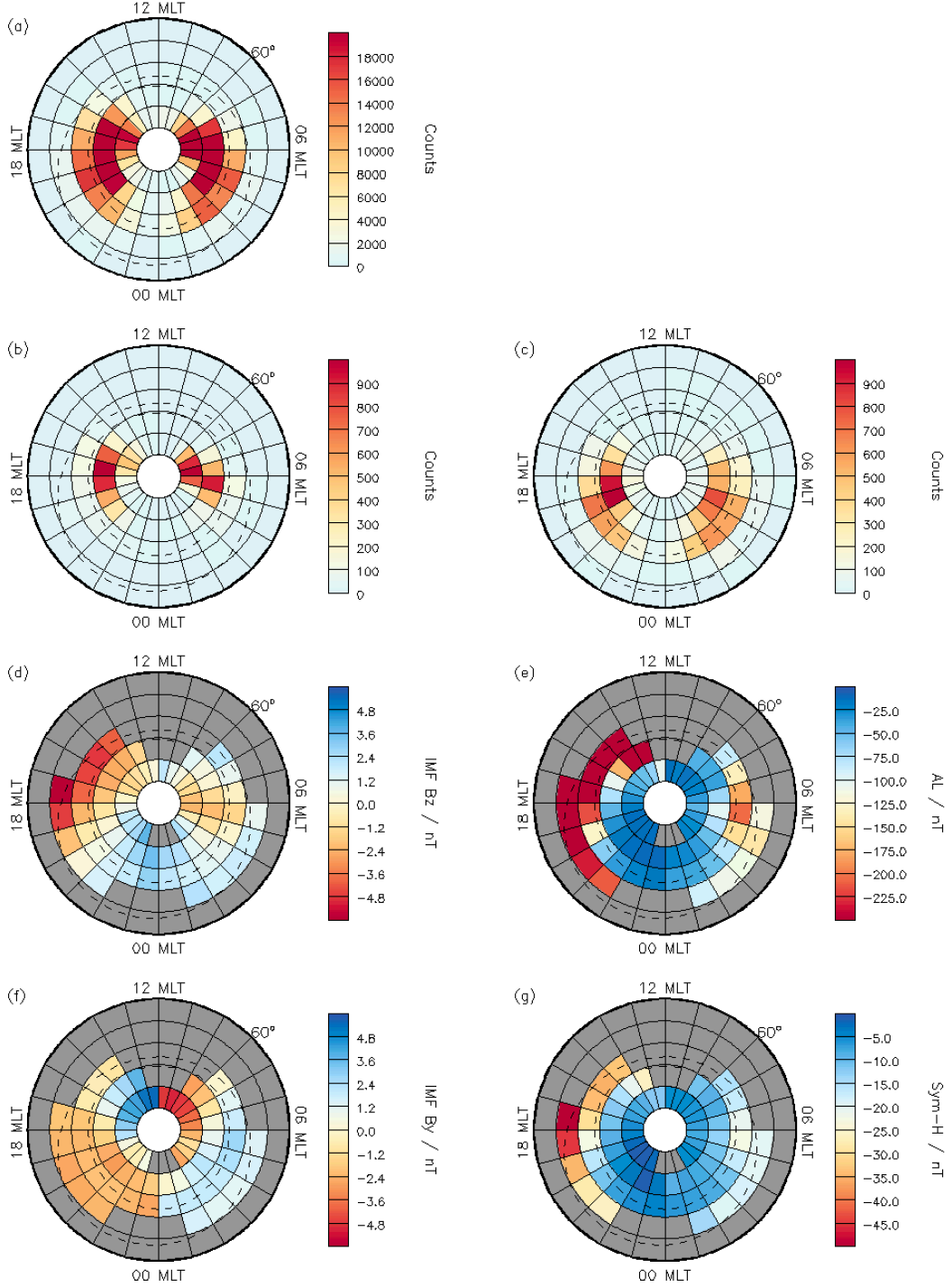
114 ary to the gridded velocities (see Heppner & Maynard, 1987; Shepherd & Ruohoniemi,  
 115 2000). A best-fit spherical harmonic expansion of the ionospheric electric potential is then  
 116 derived from the radar data (Ruohoniemi & Baker, 1998). Information from a statisti-  
 117 cal convection model (Thomas & Shepherd, 2018), parameterised by IMF conditions, is  
 118 used to supplement the radar observations to ensure sufficient coverage of data for the  
 119 spherical harmonic fit to converge. Full details of the convection mapping software are  
 120 provided by the SuperDARN Data Analysis Working Group et al. (2018) with the pro-  
 121 cessing steps followed in this study being detailed in Walach et al. (2022).

122 From our convection map archive we extract the magnetic latitude and magnetic  
 123 local time (MLT) of the peaks of the positive and negative electric potential. We then  
 124 impose a number of criteria by which we reduce the data set. We first remove any maps  
 125 for which the total number of gridded radar vectors,  $n$ , is less than 250. This criterion  
 126 removes maps which are more likely to be dominated by the statistical convection model  
 127 used in the fitting. Similar thresholds have been employed in previous studies (e.g. Imber  
 128 et al. (2013) used  $n > 200$ , Lockwood and McWilliams (2021) used  $n > 255$ , and Fogg  
 129 et al. (2020) used  $n > 400$ ). A sensitivity test of our results to the choice of  $n$  (not shown)  
 130 suggests no significant difference when using  $n > 250$ . We then impose the condition  
 131 that the MLT of the positive potential peak be less than 12 h, and that the MLT of the  
 132 negative potential peak be greater than 12 h. This is done to maximise the likelihood  
 133 that the positive and negative potential peaks identified correspond, respectively, to the  
 134 foci of the dawn and dusk Dungey-cycle twin vortex convection cells. The resulting data  
 135 set is then further subdivided by concurrent IMF conditions and geomagnetic activity  
 136 levels as discussed in the following section. IMF data are provided by the Magnetic Fields  
 137 Experiment (Smith et al., 1998) on board the Advanced Composition Explorer (ACE)  
 138 spacecraft (Stone et al., 1998) and geomagnetic activity indices are described by Davis  
 139 and Sugiura (1966).

### 140 3 Results

141 Figure 1 presents an overview of the convection cell foci statistics. All panels are  
 142 presented in magnetic latitude, magnetic local time coordinates, with a grid cell size of  
 143 1 h of local time and  $5^\circ$  of latitude. Overlaid on each panel for reference is a model kp=2  
 144 Feldstein and Starkov (1967) oval. In panel (a) we show the full distribution of the data  
 145 set which, after the filtering outlined in section 2, contains over 400,000 convection maps  
 146 ( $\sim 8\%$  of the total). A wide range of cell foci locations exist, with 96% of dusk foci (and  
 147 98% of dawn foci) lying in the latitude range  $70^\circ - 85^\circ$ , and 97% of dusk foci (95% of  
 148 dawn foci) lying in the MLT range 14 - 22 h (2 - 10 h).

149 Figure 1b-c show subsets of the data after filtering for conditions of IMF  $B_Z$  and  
 150  $AL$  index expected to yield dominant (b) dayside and (c) nightside reconnection. In panel  
 151 (b) the data have been filtered to include only instances of negative IMF  $B_Z < -2$  nT  
 152 and small  $AL > -5$  nT. In panel (c) the data have been filtered to include only instances  
 153 of strongly positive IMF  $B_Z > 4$  nT and modest  $-50 > AL > -150$  nT. The reasons  
 154 for this choice of filter parameter values is discussed in detail below. In panel (b) we can  
 155 see that the range of foci latitudes and local times has been reduced compared to the  
 156 full data set in panel (a). The foci in (b) tend to be limited to higher latitudes, indica-  
 157 tive of a smaller polar cap. Although the distribution of foci locations spans the dusk-  
 158 dawn meridian both to the dayside and nightside, there is a slight tendency towards the  
 159 dayside with 57% of dawnside foci and 59% of duskside foci being located closer to noon  
 160 than to midnight. In panel (c) we can see that the foci tend to be at lower latitudes than  
 161 in panel (b). Although the foci local times still exhibit some spread, they are more of-  
 162 ten located on the nightside, with 79% of dawnside foci and 77% of duskside foci located  
 163 closer to midnight than to noon.

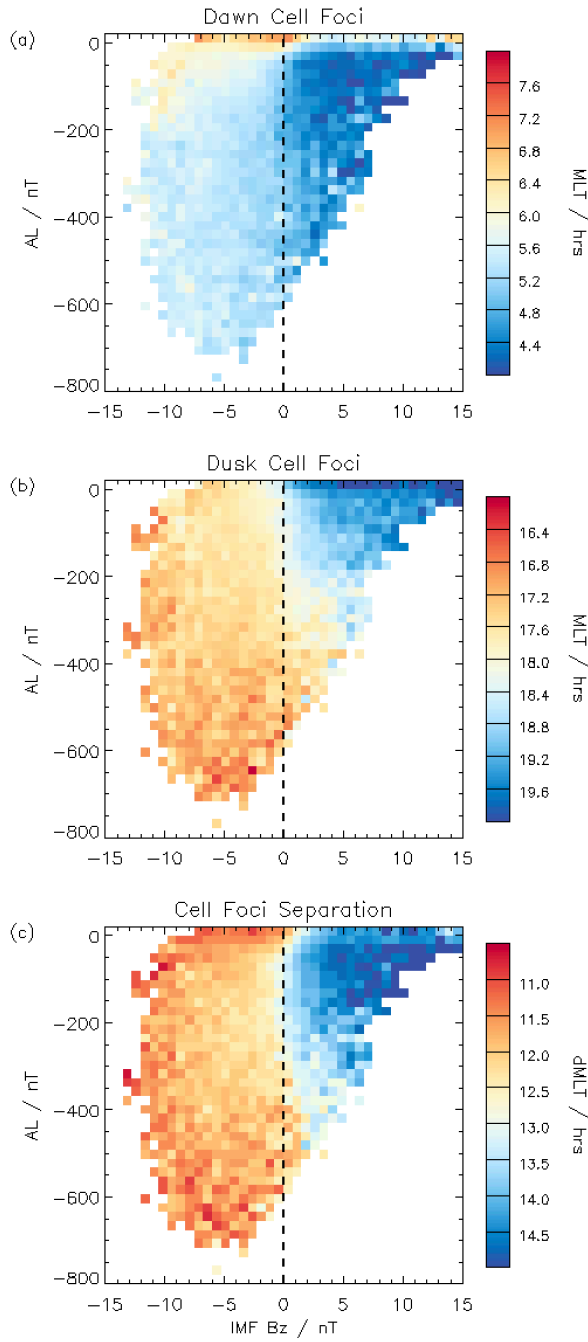


**Figure 1.** Distributions of the convection cell foci presented in a magnetic latitude, magnetic local time grid, with a model  $k_p=2$  Feldstein and Starkov (1967) oval shown for reference. (a) the distribution of all dusk and dawn cell foci included in the data set, (b) and (c) subsets of (a) that have been subject to filtering based on IMF  $B_z$  and  $AL$  to correspond to expected intervals of dominant reconnection on the dayside and nightside, respectively, (d) - (g) median parameters in each grid cell of panel (a): (d) IMF  $B_z$ , (e)  $AL$ , (f) IMF  $B_y$ , (g) Sym-H (cells containing fewer than 500 values are shaded grey).

Figure 1d-g presents four examples of how the convection cell foci might exhibit a dependency on the IMF and geomagnetic activity. In each panel, the data set from panel (a) is now colour-coded to the median value in each grid cell of (d) IMF  $B_Z$ , (e)  $AL$  index, (f) IMF  $B_Y$  and (g) Sym-H index. To minimise the effect of small statistics unduly influencing the results we colour cells containing fewer than 500 values grey. Panel (d) indicates that, for the dusk cell in particular, strongly negative  $B_Z$  favours lower-latitude, dayside convection cell foci, with positive  $B_Z$  favouring nightside foci. Any dawn cell focus dependency is less apparent. Cells close to dawn generally correspond to weakly negative  $B_Z$  and cells nearer to midnight weakly positive  $B_Z$ , but there is also a population of cells closer to noon corresponding to weakly positive  $B_Z$ . Panel (e) suggests that when  $AL$  is more strongly negative, the convection cell foci (and hence polar cap boundary) tends to be at lower latitudes. However, there is no clear tendency for more nightside located foci at more negative  $AL$  values. Panel (f) reveals an IMF  $B_Y$ -dependent rotation of the convection pattern, with positive  $B_Y$  producing a clockwise rotation (dusk foci closer to noon and dawn foci closer to midnight) and negative  $B_Y$  producing an anticlockwise rotation (dusk foci closer to midnight and dawn foci closer to noon), but with no tendency for both foci to be closer to either noon or midnight. Lastly, panel (g) shows that the foci latitude decreases with increasingly negative Sym-H, with no obvious correlation with the foci local times.

The data presented in Figure 1 are suggestive of a dependence of the foci local times on IMF and geomagnetic activity, but also of the specifics of that dependence being non-trivial. In Figure 2 we therefore explore this dependence in more detail. Figure 2a-b show, respectively, the distributions of the dawn and dusk convection cell foci local times (which we henceforth refer to as CCFLT for brevity). In each case the CCFLT data are plotted versus  $AL$  and IMF  $B_Z$ , with cells containing fewer than 30 values omitted. The first thing to note is that there is an interdependence of  $AL$  and  $B_Z$ , with strongly positive  $B_Z$  only occurring for weak or positive  $AL$  and strongly negative  $AL$  only occurring for negative  $B_Z$ . This inherently limits the possible dependencies of the CCFLT on the two parameters. At modest levels of  $AL$  there is a clear dependence of the CCFLT on  $B_Z$ . The dawn CCFLT moves from being located close to dawn for negative  $B_Z$  into the predawn sector for positive  $B_Z$ . Likewise, the dusk CCFLT moves from being located close to dusk for negative  $B_Z$  to the postdusk sector for positive  $B_Z$ . The dawn CCFLT shows very little dependence on  $AL$ . There is some indication that at the weaker (positive) end of the  $AL$  range the CCFLT are slightly shifted towards the dayside. This would be consistent with weak or positive  $AL$  being indicative of a lack of nightside reconnection, but negative of about  $-50$  nT there is little further discernible trend. The dusk CCFLT seem to respond more to changes in  $AL$ . Moving from weak (positive)  $AL$  to more strongly negative  $AL$  the CCFLT tend to move towards the dayside. This is counter to what is expected, if increasingly negative  $AL$  was indicative of more dominant nightside reconnection.

In Figure 2c we attempt to combine the information about the dusk and dawn CCFLT into a single parameter, to quantify the extent to which the foci are closer to the dayside or nightside. We define a quantity  $dMLT$  which is the hour angle, or difference in hours of local time, between the dusk and dawn cell foci, or  $CCFLT_{dusk} - CCFLT_{dawn}$ , such that  $dMLT = 12$  h corresponds to convection cell foci that lie along a meridian line. This might be the dawn-dusk meridian or, if the convection pattern is rotated about the pole, then one cell's focus would be displaced towards noon to the same extent that the others cell's focus was displaced towards midnight. Values of  $dMLT < 12$  h then represents a convection pattern where the CCFLT are offset towards the dayside, or at least, where one cell's focus is displaced towards noon to a greater extent than the other's is displaced towards midnight. Similarly,  $dMLT > 12$  h represents a convection pattern where the CCFLT are offset towards the nightside, or at least, where one cell's focus is displaced towards midnight to a greater extent than the other's is displaced towards noon.



**Figure 2.** Distributions of the convection cell foci magnetic local times plotted versus  $AL$  and  $IMF B_z$  for (a) the dawn cell and (b) the dusk cell. (c) hours of separation of the dawn and dusk cells,  $dMLT$ . Cells containing fewer than 30 values are omitted.

218 Figure 2c shows that for the majority of negative IMF  $B_Z$  and  $AL$  conditions, the  
 219 CCFLT separation,  $dMLT$ , is close to 12 h. When  $AL$  is weak and  $B_Z$  negative,  $dMLT$   
 220 is less than 12 h. This informed our choice of IMF  $B_Z < -2$  nT and  $AL > -5$  nT for  
 221 our dayside reconnection filter. As  $AL$  becomes increasingly negative, up to  $\sim -400$  nT,  
 222 so  $B_Z$  must be increasingly negative for  $dMLT$  to remain less than 12 h. For  $AL$  index  
 223 below  $\sim -400$  nT, however, it appears that  $dMLT$  becomes less sensitive to  $B_Z$ , with  
 224  $dMLT$  remaining less than 12 h for increasingly weaker  $B_Z$ . For  $AL$  index below  $\sim -600$  nT  
 225 it appears that  $dMLT$  may be less than 12 h for any value of negative  $B_Z$ . When  $B_Z$   
 226 is positive,  $dMLT$  is almost always greater than 12 h, with the largest values occurring  
 227 for  $B_Z > 4$  nT and  $-50 > AL > -150$  nT. This informed our choice of nightside re-  
 228 connection filter. As  $AL$  becomes increasingly negative below  $\sim -200$  nT,  $dMLT$  de-  
 229 creases.

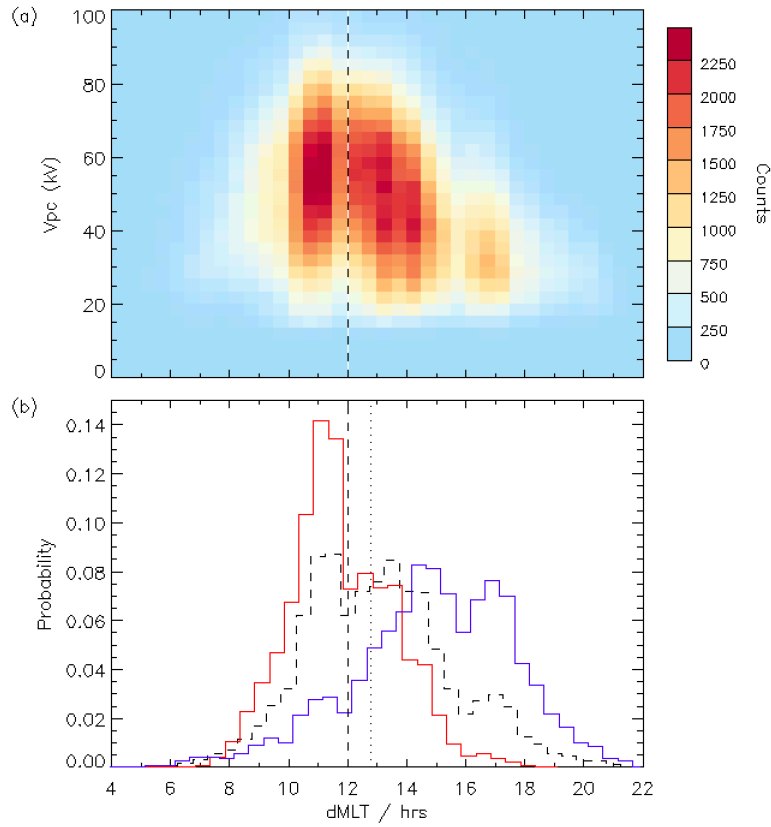
230 In Figure 3 we inspect the behaviour of  $dMLT$  in more detail. In (a) we show the  
 231 variation of  $V_{PC}$  with  $dMLT$  and in (b) we show probability distributions of the  $dMLT$   
 232 data subsets. The vertical dashed line in both panels marks  $dMLT = 12$  h. The distri-  
 233 bution of  $V_{PC}$  appears to be multimodal, with major peaks at  $dMLT \sim 11$  h and  
 234  $dMLT \sim 13$  h and with a local minimum at 12 h. At the same time, the highest  $V_{PC}$   
 235 values occur for  $dMLT$  values close to 12 h. For earlier and later  $dMLT$  values, the peak  
 236  $V_{PC}$  values decrease. Dayside driving seems to be limited to a small range of  $dMLT$ , whereas  
 237 nightside  $dMLT$  values occur over a wider range. In particular, a second small popula-  
 238 tion of weaker  $V_{PC}$  exists at large  $dMLT$  values of  $\sim 16 - 18$  h.

239 The distribution of  $dMLT$  values for the full data set from Fig.1a is shown as a  
 240 dashed black line in Figure 3b. This is consistent with the broad nature of the distri-  
 241 butions of CCFLT. Shown in red is the distribution of the data subset from Fig.1b fil-  
 242 tered for dominant dayside reconnection. The distribution is somewhat narrower, and  
 243 is shifted to smaller  $dMLT$ , consistent with the cell foci being closer to the dayside. Shown  
 244 in blue is the distribution of the data subset from Fig.1c filtered for dominant nightside  
 245 reconnection. This distribution is still quite broad, and does overlap with the dayside  
 246 distribution, but is overall shifted to larger  $dMLT$ , consistent with the cell foci being closer  
 247 to the nightside.

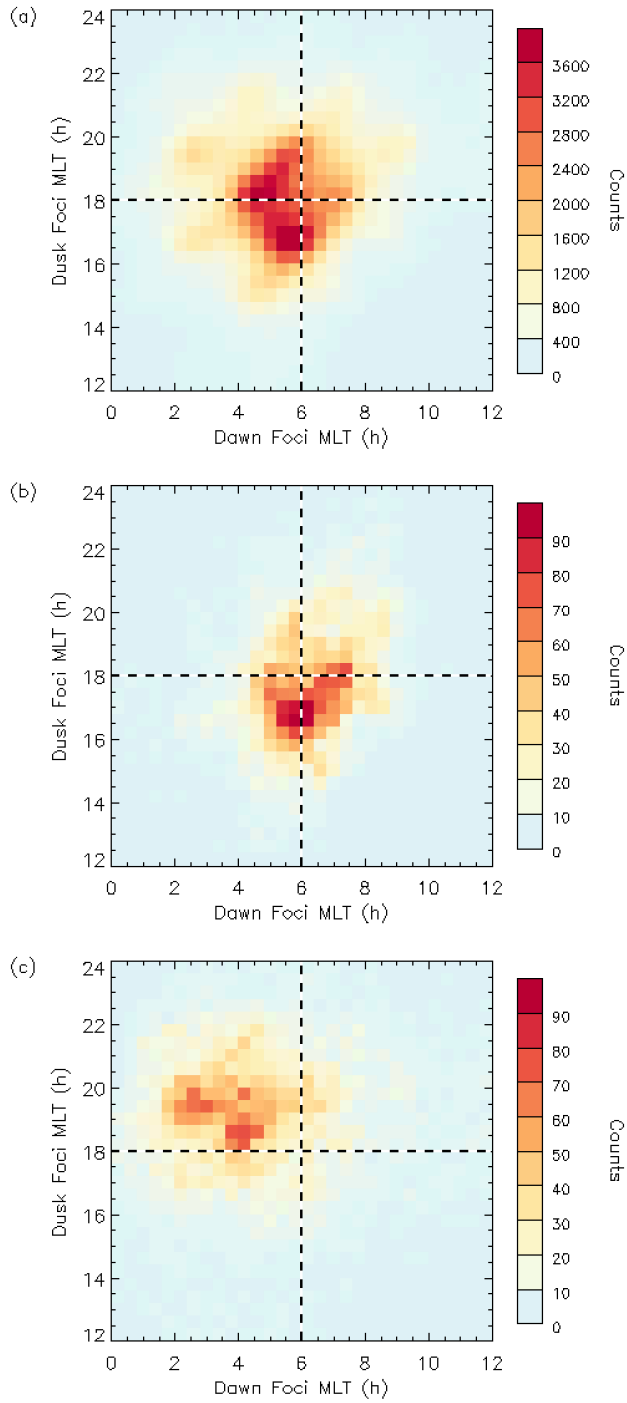
248 In Figure 4 we explore whether the overlap between the dayside and nightside re-  
 249 connection filtered  $dMLT$  values is reflected in the separate dawn and dusk CCFLT, or  
 250 whether individually they form discrete populations. Panel (a) presents the the full  
 251 data set from Fig. 1a, with the dusk CCFLT plotted against the dawn CCFLT. This shows  
 252 that, whilst the dusk cell focus is found with similar prevalence in the afternoon (47%)  
 253 and evening (53%) sectors, the dawn cell focus is less often located on the dayside (35%),  
 254 being more often predawn (65%). An intrinsic asymmetry is apparent in the foci loca-  
 255 tions, in that the most populous quadrant of the distribution is pre-dawn/pre-dusk (33%),  
 256 consistent with a clockwise rotation of the convection pattern. This compares to only  
 257 21% in the post-dawn/post-dusk quadrant that corresponds to an anticlockwise rota-  
 258 tion. The post-dawn/pre-dusk quadrant (dayside foci) contains just 14% of the data, whereas  
 259 the pre-dawn/post-dusk quadrant (nightside foci) contains 32% of the data.

260 In Figure 4b-c we show similar plots for the (b) dayside and (c) nightside recon-  
 261 nection filtered subsets from Fig.1b and c. The nightside subset (panel c) clearly shows  
 262 the expected behaviour, with 60% of the data located in the pre-dawn/post-dusk quad-  
 263 rant (corresponding to  $dMLT > 12$  h) and only 5% in the post-dawn/pre-dusk quad-  
 264 rant (corresponding to  $dMLT < 12$  h). The behaviour of the dayside subset (panel b)  
 265 is less clear cut, with only 30% in the post-dawn/pre-dusk quadrant. A similar propor-  
 266 tion of this subset (31%) is in the pre-dawn/pre-dusk quadrant, similar to panel (a). This  
 267 is consistent with the absence of any significant dawn CCFLT  $> 6$  h for any combina-  
 268 tion of  $B_Z$  or  $AL$  as noted in reference to Fig. 2. Nevertheless, it is very much appar-  
 269 ent that the dayside subset is quite distinct from the nightside subset, with only 14%





**Figure 3.** Probability distributions of the dawn-dusk foci separation,  $dMLT$ . The full data set from Fig.1a is shown as a black dashed line and the filtered data sets from Fig.1b and c are shown by the solid red (dayside) and blue (nightside) lines, respectively. The vertical dashed line marks 12 MLT. The vertical dotted line marks the mean  $dMLT = 12.8$  h.



**Figure 4.** Occurrence distributions of coincident dawn and dusk convection cell foci magnetic local times. (a) the full data set from Fig.1a, (b) and (c) the filtered data sets from Fig.1b and c, respectively.

270 in the pre-dawn/post-dusk (nightside) quadrant where most of the nightside subset is  
 271 located.

## 272 4 Discussion

273 The primary aim of this study was to determine whether the two-component model  
 274 of ionospheric convection predicted by the ECPC model is apparent in SuperDARN iono-  
 275 spheric convection observations. Using the locations of the peaks in electric potential as  
 276 proxies for the convection cell foci, we have presented statistics of the locations of the  
 277 foci from which we can draw a number of conclusions. Firstly, as shown in Figure 1a,  
 278 the distribution of foci locations is revealed by the SuperDARN data to be quite wide,  
 279 despite our pre-selection criteria for the foci having reduced the data to 8% of the to-  
 280 tal available. The spread of latitudes of the foci indicate a range of polar cap sizes and  
 281 the spread of local times is consistent with convection being driven from both the day-  
 282 side and nightside. In order to isolate the convection patterns associated with dominant  
 283 dayside and nightside reconnection we have inspected the dependence of the locations  
 284 of the convection cell foci on a number of parameters. It is apparent from Figure 1d-e,  
 285 however, that no single parameter can explain the observations.

286 Figure 1d suggests that IMF  $B_Z$  does exhibit some control, being more positive for  
 287 foci locations on the nightside. This is consistent with the idea that the Dungey cycle  
 288 can be maintained even during intervals of positive IMF  $B_Z$ , but that dayside low-latitude  
 289 reconnection will be inactive, or at a low enough rate that nightside reconnection will  
 290 be dominant (e.g. Grocott et al., 2002, 2003). When  $B_Z$  is negative, and the dayside re-  
 291 connection rate is high, we might expect the foci to be located on the dayside. This seems  
 292 to be the case for the dusk cell, but appears not to be the case for the dawn cell, for which  
 293 moderately negative  $B_Z$  correspond to foci being located close to dawn. The lower mag-  
 294 nitude of the  $B_Z$  averages on the dawn side also suggests that the dawn cell location is  
 295 less strongly correlated with  $B_Z$ . We consider this apparent dawn - dusk asymmetry fur-  
 296 ther, below.

297 Figure 1e shows a dependence of the foci locations on the  $AL$  index. In this case,  
 298 the dependence appears to more strongly control the latitude of the foci, with  $AL$  be-  
 299 ing of lower magnitude for higher latitude foci and larger magnitude for lower latitude  
 300 foci. There is little evidence that the  $AL$  index alone has any influence on the local time  
 301 of the foci. This relationship is very similar to the relationship with Sym-H shown in panel  
 302 (g). Sym-H is a proxy for the ring current strength (Iyemori, 1990) and as such is a more  
 303 global measure of geomagnetic activity that will tend to be high when the polar cap is  
 304 expanded and both dayside and nightside reconnection are active (e.g. Walach & Gro-  
 305 cott, 2019), although there is some evidence that nightside reconnection may be suppressed  
 306 on shorter timescales when Sym-H is high (e.g. Nakai & Kamide, 2003; Milan et al., 2008).  
 307 That the pattern of  $AL$  index resembles the Sym-H pattern is indicative of an inherent  
 308 dependence of  $AL$  on  $B_Z$ , certainly on average and, as we discuss below, even on much  
 309 shorter timescales, such that increasingly negative  $AL$  is always more likely for increas-  
 310 ingly negative IMF  $B_Z$ . This suggests that although nightside reconnection is expected  
 311 to become enhanced with increasingly negative  $AL$ , it does so in response to enhanced  
 312 dayside reconnection. This coupled nature of the nightside and dayside reconnection serves  
 313 to complicate efforts to disentangle the contribution of each to the ionospheric convec-  
 314 tion pattern.

315 To better understand the interdependency of the  $AL$  index and IMF  $B_Z$  we pre-  
 316 sented in Figure 2a-b the distributions of the dawn and dusk convection cell foci local  
 317 times (CCFLT), respectively, with respect to  $AL$  and  $B_Z$ . These data revealed a de-  
 318 gree of complexity in the relationships and we comment on a few key findings here. First,  
 319 the differing behaviour of the dawn and dusk cell foci is readily apparent. The dusk cell  
 320 focus is less often on the nightside and, contrary to expectations that enhanced (nega-

321 tive)  $AL$  should indicate dominant nightside reconnection, the focus is generally only on  
 322 the nightside for weaker  $AL$  values above  $\sim -200$  nT, and only if  $B_Z$  is also positive.  
 323 When  $AL$  is more strongly negative, the dusk focus tends to be on the dayside. This is  
 324 therefore more consistent with enhanced dayside reconnection and suggests that more  
 325 elevated levels of nightside reconnection are themselves triggered by intervals of strong  
 326 dayside reconnection, such that strongly negative  $AL$  is necessarily accompanied by neg-  
 327 ative  $B_Z$  and similar or even greater levels of dayside reconnection.

328 This tendency for strong  $AL$  to be often associated with an apparent absence of  
 329 dominant nightside reconnection is also apparent in the  $dMLT$  data in Figure 2c. For  
 330 example, if we consider a fixed IMF  $B_Z$  value, e.g.  $B_Z = 0$ , we see that below  $\sim -200$  nT,  
 331 as  $AL$  becomes increasingly negative,  $dMLT$  tends to decrease. This suggests either a  
 332 weakening of nightside reconnection, or a strengthening of dayside reconnection. This  
 333 appears contrary to the conclusion of Lockwood and McWilliams (2021) that an increase  
 334 in  $V_{PC}$  with increasing  $AL$  magnitude at a fixed IMF  $B_Z$  was indicative of dominant night-  
 335 side reconnection driving the convection. We suggest that this is a result of the intrin-  
 336 sic dependence of  $AL$  on  $B_Z$ , in that strong  $AL$  requires as a prerequisite strong day-  
 337 side driving as well. This is consistent with the results of Milan et al. (2021) who stud-  
 338 ied the magnetospheric flux throughput in the Dungey cycle for a variety of convection  
 339 states during the year 2010. We further suggest that considering a fixed value of, e.g.,  
 340 IMF  $B_Z$  is insufficient to ensure a fixed level of dayside driving. Otherwise an increased  
 341 magnitude of  $AL$  ought not be associated with a smaller  $dMLT$ . This is further evidenced  
 342 by considering that below  $AL$  values of  $\sim -600$  nT it appears that  $dMLT < 12$  h can  
 343 occur for any value of negative  $B_Z$ . In other words, dayside driving must be high to pro-  
 344 duce such a large magnitude  $AL$  irrespective of the strength of IMF  $B_Z$ .

345 The upshot of this analysis is that the determination of suitable limits of IMF  $B_Z$   
 346 and  $AL$  index to be used as filters for intervals of dominant dayside and nightside re-  
 347 connection is not straightforward. It seems that in general, the convection cell foci will  
 348 be on the dayside for negative  $B_Z$  irrespective of  $AL$ . However, it is true that for mod-  
 349 est  $AL$ , down to  $\sim -200$  nT, the average  $dMLT$  increases, presumably as a result of  
 350 the contribution of nightside reconnection driven flows. The simplest way to ensure a  
 351 predominance of dayside reconnection driven flows is thus to place a strict limit on the  
 352 value of  $AL$ , and as such we have used  $AL > -5$  nT. Determining similar limits to yield  
 353 a predominance of nightside reconnection is more difficult. As noted above, high mag-  
 354 nitude  $AL$  tends to require ongoing dayside driving, such that below values of  $\sim -200$  nT  
 355  $dMLT$  tends to reduce. Nevertheless, some  $AL$  enhancement is required, or else  $dMLT$   
 356 may be less than 12 h even for positive IMF  $B_Z$ . As negative  $B_Z$  will always produce  
 357 a component of dayside reconnection driven flow, we thus opted for positive  $B_Z$  and mod-  
 358 est negative  $AL$  as a filter for nightside reconnection dominated flow.

359 Returning then, to the distributions of foci locations, we now discuss the data sub-  
 360 sets for dominant dayside or nightside reconnection driven flows shown in Figure 1b-c.  
 361 The latitudes of the foci seem to vary as predicted by the ECPC model, being higher  
 362 when we might expect dayside reconnection to dominate (Figure 1b), and being lower  
 363 when we might expect nightside reconnection to dominate (Figure 1c). The reason for  
 364 our expectations is based on the assumption that nightside reconnection only becomes  
 365 significant once the polar cap has expanded, due to an accumulation of open flux. Prior  
 366 to such an accumulation, when the polar cap will be smaller, we thus expect dayside re-  
 367 connection to dominate. The expansion and contraction of the polar cap in association  
 368 with dayside and nightside reconnection is not a new finding, having been demonstrated  
 369 with respect to the substorm cycle in auroral (e.g. Milan et al., 2009), field-aligned cur-  
 370 rent (e.g. Coxon et al., 2014) and convection data (e.g. Grocott et al., 2009), tested us-  
 371 ing radar observations (e.g. Walach et al., 2017; Sotirelis et al., 2017), and recently stud-  
 372 ied in detail over an extended interval by Milan et al. (2021). Here we simply note that  
 373 the convection cell foci latitudes seem to obey the same basic behaviour.

374 The main focus of our analysis has concerned the local times of the convection cell  
 375 foci. According to the ECPC model, as discussed theoretically by Cowley and Lockwood  
 376 (1992), and later modelled numerically (e.g. Freeman & Morley, 2004; Lockwood & Mor-  
 377 ley, 2004; Lockwood et al., 2006; Milan et al., 2013; Walach et al., 2017), dayside and  
 378 nightside reconnection each drive an independent component of the ionospheric convec-  
 379 tion pattern. The foci of the convection cells are expected to lie at the ends of the iono-  
 380 spheric projection of the reconnection line, hence, for dayside reconnection driven flow  
 381 the foci are expected to be located on the dayside and for nightside reconnection driven  
 382 flow the foci are expected to be located on the nightside. We see some evidence for this  
 383 in Figure 1b-c, with the peaks of the foci distributions being located (just) on the day-  
 384 side in Figure 1b and further round to the nightside in Figure 1c. The effect is perhaps  
 385 clearer in the distributions of  $dMLT$  shown in Figure 3b, in which the distributions of  
 386 subsets filtered for dominant dayside and nightside reconnection are clearly separated.  
 387 Nonetheless, significant overlap of the distributions is also apparent, suggesting that ei-  
 388 ther our choice of filter values is imperfect (quite likely) or that the  $dMLT$  parameter  
 389 is itself inadequate to fully capture the convection cell behaviour (also quite likely).

390 To investigate the latter, we further probed the local time distributions of the dawn  
 391 and dusk convection cell foci in Figure 4. An offset to the distribution corresponding to  
 392 a clockwise rotation was noted, that might be partly responsible for the differing behaviour  
 393 of the dawn and dusk foci mentioned above. Furthermore, we should note that this also  
 394 implies a discrepancy between any discussion of dayside or nightside foci, and the use  
 395 of  $dMLT < 0$  or  $dMLT > 0$ , since any rotation could move both cell foci between  
 396 the dayside and nightside without any change to  $dMLT$ . One factor known to introduce  
 397 a clockwise rotation to the convection pattern is IMF  $B_Y > 0$  (e.g. Grocott et al., 2012).  
 398 Figure 1f revealed a  $B_Y$ -dependence of the foci locations, but an opposite one at dawn  
 399 and dusk, thus corresponding to a rotation rather than a shift of both foci towards the  
 400 dayside or nightside. We checked whether the inherent clockwise rotation might be due  
 401 to any bias in the prevalence of IMF  $B_Y$  in the intervals studied. We found that only  
 402 47% of the intervals had  $B_Y > 0$  and 53% had  $B_Y < 0$ , suggesting that the observed  
 403 average clockwise rotation not due to IMF  $B_Y$ . This is also consistent with the findings  
 404 of Grocott et al. (2012) who found a similar  $B_Y$ -independent clockwise rotation. To check  
 405 for any significance to the potential bias in the dawn and dusk foci locations we can turn  
 406 to Figure 4b-c. Here we showed the distributions of the foci local times for the dayside  
 407 and nightside reconnection driven subsets separately. Whilst there is some spread in each  
 408 case, the two subsets barely overlap, suggesting that they represent distinct populations.

409 We finally return briefly to the transpolar voltage data presented in Figure 3a. It  
 410 was shown above that  $V_{PC}$  peaks occur at  $dMLT$  values of  $\sim 11$  h and  $\sim 13$  h. That  
 411 there is a local minimum at 12 h is consistent with a steady state of balanced dayside  
 412 and nightside reconnection being less common than a dominance of either dayside or night-  
 413 side driving. That the peak  $V_{PC}$  values decrease away from  $dMLT = 12$  h, where we  
 414 expect more dominant day or nightside driving is also consistent with the ECPC model.  
 415 According to Lockwood (1991)  $V_{PC}$  is related to the dayside and nightside reconnection  
 416 rates,  $V_D$  and  $V_N$ , by

$$V_{PC} = \frac{V_D + V_N}{2} \quad (1)$$

417 such that, for the case where either  $V_D$  or  $V_N$  is zero,  $V_{PC}$  is equal to half of the rate  
 418 of the active reconnection line. If we assume that the most extreme  $dMLT$  values cor-  
 419 respond to the most imbalanced reconnection, then we might expect  $V_{PC}$  to be approx-  
 420 imately half its peak value at these extremes.

## 421 5 Conclusions

422 In this paper we have presented an analysis of the locations of the foci of the twin-  
 423 vortex ionospheric convection cells using a 20 year archive of SuperDARN radar obser-

424 vations. We filtered the data to include only intervals of particularly high backscatter  
 425 echoes ( $n > 250$ ) and also only intervals where the negative cell focus was constrained  
 426 to 12 – 24 MLT and the positive cell focus to 0 – 12 MLT, consistent with Dungey cy-  
 427 cle twin-vortex flow. We can conclude that the SuperDARN convection maps capture  
 428 a wide spread of foci locations and that the locations are sensitive to both IMF  $B_Z$  and  
 429 the  $AL$  index. This suggests that the cell foci locations are responding to differing rates  
 430 of dayside and nightside reconnection. We further filtered the data by suitable ranges  
 431 of  $B_Z$  and  $AL$  to isolate one population that is dominated by dayside reconnection and  
 432 another dominated by nightside reconnection. Analysis of these data provides evidence  
 433 that the response is consistent with the predictions of the expanding-contracting polar  
 434 cap model. First, the foci tend to cluster at higher latitudes when dayside reconnection  
 435 dominates, and lower latitudes when nightside reconnection dominates. Second, the hour  
 436 angle between the dawn and dusk foci,  $dMLT$ , is reduced (foci closer to noon) when day-  
 437 side reconnection dominates, and increases (foci closer to midnight) when nightside re-  
 438 connection dominates.

439 We draw a number of further conclusions. The relationship between the foci local  
 440 times and the  $AL$  index is not straightforward. Whilst we find that, at a fixed level of  
 441  $AL$ ,  $dMLT$  decreases with increasingly negative IMF  $B_Z$  we find that, at a fixed level  
 442 of IMF  $B_Z$ ,  $dMLT$  increases with increasingly negative  $AL$  only up to modest values  
 443 of  $AL$  (to  $\sim -200$  nT). As  $AL$  becomes further enhanced  $dMLT$  decreases again. We  
 444 attribute this to an inherent dependence of  $AL$  on IMF  $B_Z$  in that, for  $AL$  to reach strongly  
 445 negative values,  $B_Z$  must also be negative. In other words, episodes of intense nightside  
 446 reconnection (and associated open flux closure) only occur if there has been, and is likely  
 447 ongoing, persistent dayside reconnection (and open flux production). Only for relatively  
 448 modest levels of dayside reconnection can nightside reconnection dominate. This inter-  
 449 dependency complicates efforts to isolate the nightside component of the flow. The day-  
 450 side component is easier to isolate, as it takes some time for nightside reconnection to  
 451 become enhanced following the onset of dayside reconnection.

## 452 6 Open Research

453 SuperDARN data was accessed via the British Antarctic Survey data archive (<https://www.bas.ac.uk/projects>)  
 454 Other data mirrors are hosted by the Virginia Tech SuperDARN group (<http://vt.superdarn.org/>)  
 455 and the University of Saskatchewan (<https://superdarn.ca/data-download>). The radar  
 456 data fitting and spherical harmonic analysis were performed using the FITACF2.5 library  
 457 and version 4.2 of the Radar Software Toolkit (RST) (SuperDARN Data Analysis Work-  
 458 ing Group et al., 2018). The Map Potential data processing is described fully in (Walach  
 459 et al., 2022) and we use the equivalent of their ‘D4’ dataset. All solar wind data were  
 460 downloaded from NASA’s SPDF Coordinated Data Analysis Web (<https://cdaweb.gsfc.nasa.gov/index.html/>).  
 461 The  $AL$  auroral electrojet index used in this paper was provided by the WDC for Ge-  
 462 omagnetism, Kyoto (<http://wdc.kugi.kyoto-u.ac.jp/wdc/Sec3.html>) (World Data Cen-  
 463 ter for Geomagnetism, Kyoto et al., 2015).

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