

1 A wiring-diagram to integrate physiological traits of wheat yield potential

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19 **ABSTRACT**

20 As crop yields are pushed closer to biophysical limits, achieving yield gains becomes increasingly
21 challenging and will require more insight into deterministic pathways to yield. Here, we propose a
22 wiring-diagram (WD) as a platform to illustrate the interrelationships of the physiological traits that
23 impact wheat yield potential and to serve as a decision support tool for crop scientists. The WD is based
24 on the premise that crop yield is a function of photosynthesis (source), the investment of assimilates
25 into reproductive organs (sinks), and the underlying processes that enable expression of both. By
26 illustrating these linkages as coded wires, the WD can show connections among traits that may not have
27 been previously apparent, and can inform new research hypotheses and guide crosses designed to
28 accumulate beneficial traits and alleles in breeding. The WD can also serve to create an ever richer
29 common point of reference for refining crop models in the future.

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31 **Main**

32 Wheat is increasingly in demand from farmers, consumers and the food industry due to its high grain-
33 protein content, wide growing range and adaptability to most environmental stresses. However,
34 investments in wheat improvement have fallen behind other staple crops ¹. Published developments in
35 plant science and genetics can be harnessed to wheat breeding through translational research,
36 capitalizing on powerful new tools in genomics, phenomics and informatics, among others ^{2,3}. Such
37 approaches are justified by the high return on investment in agricultural research⁴ -in wheat in particular
38 ⁵- while meta-analysis of thousands of published simulations indicate that genetic improvement is the
39 most effective technology for achieving crop adaptation⁶.

40 To date, wheat breeding programs have been addressing the demands of a growing global population
41 through both incremental genetic gains in yield potential ⁷ and introducing broad-spectrum resistance to
42 pests and diseases ⁸. Although rarely grown under optimal conditions, multiple crop species show that
43 improvements in yield potential bring about increases in actual yields under a broad range of mild and
44 moderately stressful conditions⁹. Therefore, increasing yield potential is essential to raise farm yields,
45 especially where crop management is close to its economic optimum. Modern technologies that
46 leverage plant traits impacting photosynthesis and the partitioning of photo-assimilates to grain yield
47 can accelerate genetic gains through breeding, as well as being powerful research tools. However, many
48 promising plant discoveries are not translated into breeding technologies, while key bottlenecks in
49 understanding of root physiology, hormone cross-talk, source-sink balance and respiration, for example,
50 limit the level of integration of knowledge ¹⁰. To maximize the impact of the research portfolio, a
51 framework is needed to identify relevant traits and leverage interactions among them.

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53 **Source and sinks in yield determination**

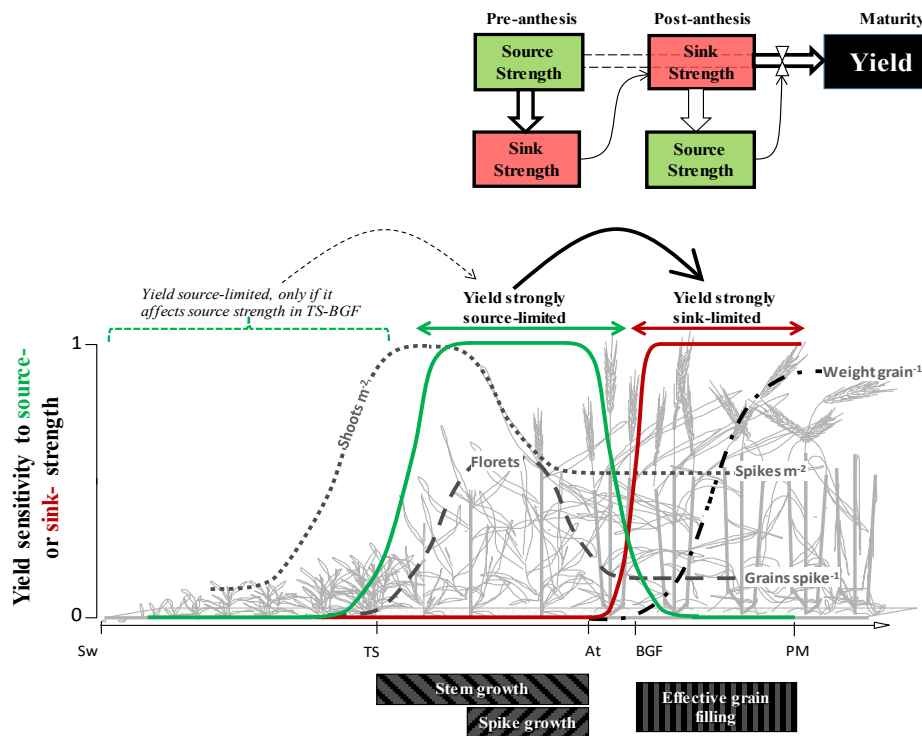
54 Crop physiology can be viewed as a set of engineering challenges whose interactions ultimately
55 determine the performance of a crop. The plant's energy source -photosynthesis- drives growth and
56 reproduction via the physical structures of the plant (photosynthetic canopy, stems, roots, floral
57 structures and seeds), assisted by the necessary transport and communications infrastructure (vascular
58 system, hormones and other signals) to coordinate activities ^{11,12}. Coordination includes partitioning of
59 resources among growing structures, the most important of which -in the commercial context- are the
60 reproductive sinks determining grain yield. A myriad of activities at the cellular, subcellular, biochemical,
61 biophysical and genomic levels underpin these high level processes. Therefore, to help frame
62 hypotheses, a simplified source-sink model has often been used that considers the photosynthesis of
63 leaves or canopy as the 'source', and the growth and fecundity of reproductive organs and related
64 processes as the 'sinks', as well as interactions between them. The model is reasonably easy to
65 understand and has been used as a basis for physiological breeding ¹³ and dissection of genetic gains ¹⁴.
66 However, the challenge of raising crop yields to full biological potential, often under extreme growing
67 conditions, will require a more detailed model.

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69 While the original source-sink model remains valid, it must embrace complexity more explicitly. For
70 example, wheat, like other small-grain cereals produces many tillers which as they grow behave first as
71 sinks, then as sources via their leaves and green stem, and ultimately, when the spike emerges
72 performing both roles, acting as a 'nursery' for developing seeds while simultaneously
73 photosynthesizing. Photosynthesis by the wheat spike itself significantly contributes to grain-filling and
74 shows genetic variation that is independent of leaf photosynthesis ¹⁵. To add further complexity, the
75 stems of tillers which are green and capable of photosynthesis also amass fructans and nitrogen as
76 reserves which may later be transported to grains or other sinks. There are other traits and processes
77 that cannot neatly be characterized as source or sink. Crop phenology affects the photosynthetic canopy
78 in a dynamic fashion over development while at the same time having a profound effect on the
79 formation of sinks (**Fig. 1**). Lodging resistance comprises a set of physical traits that when expressed sub-
80 optimally, result in structural failure that compromises the photosynthetic canopy as well as spikes and
81 seeds. Communications and transport related functions -for which genetic diversity in wheat has been

82 documented, e.g. signaling^{11,16}, vascular anatomy¹⁷ phloem loading¹⁸- also impact the expression of
 83 source and sink traits. For example, transgenic approaches that boost phloem loading show significant
 84 and positive effects on source : sink in wheat¹⁹. Clearly, the designation of source and sink is quite fluid
 85 in time, and spatial dimensions and a more comprehensive model is needed to guide research, crop
 86 modelling and breeding decisions. With this in mind, a WD was conceived to show explicitly connections
 87 between and among yield-related traits over crop development, along with an estimate of their
 88 potential impact and the likelihood that adequate genetic variation exists to exploit in breeding for
 89 improved yield potential.

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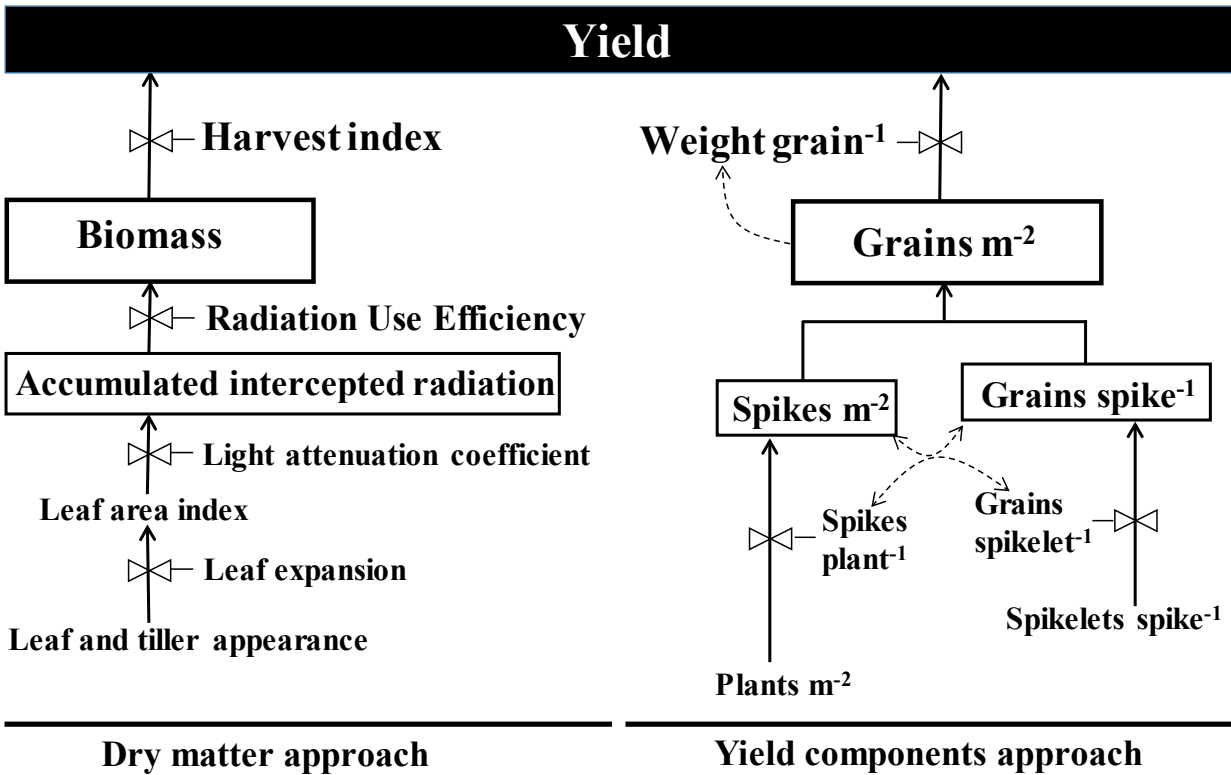
94 **Figure 1. Graphical representation of how source- and sink-strengths may interact with crop**
 95 **developmental stage to determine yield.** In the period immediately before to shortly after anthesis,
 96 source strength determines both the number of grains set and also the potential size of those grains,
 97 which together constitute the sink strength which then limits yield during the effective grain filling
 98 period (and consequently may down-regulate post-anthesis photosynthesis). Sw, TS, At, BGF and PM
 99 represent sowing, terminal spikelet, anthesis, beginning of grain filling, and physiological maturity,
 100 respectively. Adapted from Slafer and Savin²⁰. Examples of how genetic variation expressed early in
 101 source development affects sink development and yield are given in Box 1.

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104 **Designing the wiring diagram**

105 The common analytical frameworks to examine traits that control wheat yield^{20,21} consider yield either
 106 as a fraction of biomass produced by the crop (**Fig. 2**, left) or as the product of a few numerical
 107 components (**Fig. 2**, right). These can be thought of as simple wiring diagrams or flow charts, with a
 108 syntax developed from deWit in the 1960s²².

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110
 111 **Figure 2. Schematic representation of two analytical frameworks for dissecting wheat yield.** The dry
 112 matter approach considering yield as simply a fraction of the total growth produced by the crop (left)
 113 and the yield components approach considering yield simply a function of the multiplication of its
 114 numerical components (right). Time advances from bottom to top of the scheme, although it is naturally
 115 represented here only qualitatively. The dashed lines on the right represent expected negative
 116 interactions (for more details, see Slafer *et al.*²¹). (Adapted from Slafer and Savin²⁰).

117
 118 The two approaches have different biases in inferring how the final yield is determined. The dry matter
 119 approach infers that yield is predominantly limited by photosynthesis, and there is no explicit indication
 120 of the relevance of sink-strength in yield determination (it is implicit in harvest index), giving an
 121 unbalanced view that yield can be increased simply by increasing growth. For example, this framework is
 122 the basis of a spring wheat simulation model by Amir and Sinclair^{23,23}, and is still applied in a number of
 123 wheat models²⁴. However, when the physiological basis for genetic yield gains achieved over the last
 124 century is analyzed, the vast majority of studies conclude that wheat yields have dramatically increased

125 without simultaneous gains in biomass (e.g., Mondal *et al.*²⁵). Nonetheless, some exceptions can be also
126 found (e.g. Ferrante *et al.*²⁶). Furthermore, there is evidence of a likely trade-off between biomass and
127 harvest index when the former is genetically improved (e.g. Aisawi *et al.*²⁷), emphasizing that genetic
128 improvement in biomass might not result in yield improvements if there are no tandem gains in sink
129 strength.

130 In contrast, the yield components approach used across other wheat crop models²⁴ is a simple
131 description of the average distributions of seeds, tillers and plants and often does not capture some of
132 the dynamic and possibly regulatory feedback mechanisms among these components (dashed lines **Fig.**
133 **2**, right). This can be problematic as it suggests that yield is only sink-limited with no explicit indication of
134 the relevance of source in yield determination as suggested by other studies. For example, Fischer²⁸ and
135 subsequent studies^{29,30} have demonstrated that grain yield depends directly on crop growth and
136 biomass partitioning in the period immediately before anthesis. In addition, pre-anthesis growth affects
137 grain development and size³¹.

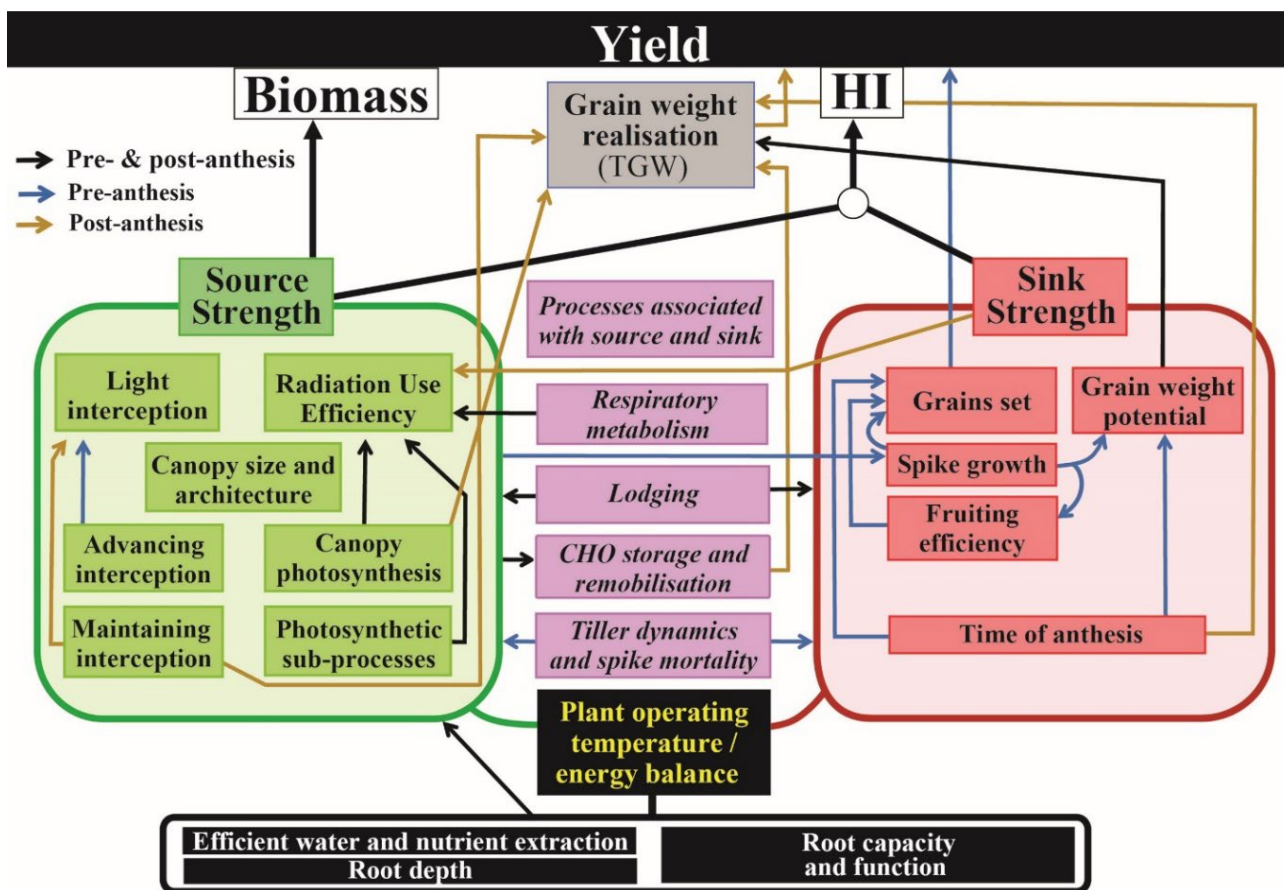
138 The apparent limitations of both approaches (**Fig. 2**) could be minimized by combining them in a more
139 comprehensive scheme of yield determination where yield potential is a function of the dynamic
140 balance between sources and sinks over time³². While crop simulation models incorporate aspects of
141 both, some of the potential dynamic interactions are not captured^{10,24}. The WD concept provides a
142 comprehensive platform to present all documented and conceptually probable trait interactions without
143 making assumptions about which traits are key drivers. The fact that simulation model ensembles
144 typically achieve better predictions than any single model²⁴ is indicative of how the WD could help
145 establish an inclusive baseline that would facilitate the discovery of yield boosting or yield-limiting traits
146 more holistically, while explicitly highlighting knowledge gaps.

147 For the most part, realized genetic gains in wheat have been achieved by increasing sink strength, as
148 indicated by the relatively stable expression of biomass over time. Nonetheless, source strength is
149 generally the limiting factor determining grain set (sink strength)(**Fig. 1**)³³. The WD therefore focuses on
150 traits most commonly associated with source and sink strength.

151 Many processes determine how source and sink traits interact with each other and with the
152 developmental stage to determine final grain yield (Fig 1 and Box 1). Some of these are accepted to be
153 critical, e.g. the impact of leaf area growth on light interception (source) and the impact of the survival
154 of developing florets and seed set on harvest index (sink). Other trait interactions are based on empirical
155 data collected in a limited range of genetic backgrounds and environments, such as the boost to post-
156 anthesis radiation use efficiency (RUE) by increasing sink strength³⁴, the down regulation of
157 photosynthesis during grain filling, reflecting a lack of sink strength (e.g. Serrago *et al.*³⁵), or the trade-off
158 between partitioning of assimilates to spikes versus stem internode growth³⁶. Other hypotheses remain
159 to be tested, such as the potential to boost RUE through further optimization of canopy architecture or
160 upregulation of key Calvin cycle enzymes³⁷, or the potential role of spike hormones in determining floret
161 death and/or grain abortion³⁴. The complexities of interacting processes that determine yield potential
162 can be bewildering, so the WD introduced here attempts to illustrate the many relevant relationships
163 graphically and will be developed to explicitly consider their interaction with growth stages. To present
164 the rationale for a WD, we focus on its framework, including the major source and sink traits and traits
165 and processes that underpin the expression and coordination of source and sink (**Fig. 3**). Genes of major
166 effect that impact such traits and processes (**Box 1**) are examples of specific genes influencing traits

167 during early source growth that later affect source-sink balance and yield. As illustrated in **Fig. 1**, sink-
 168 strength (grain number and potential size) is determined in an approximately 20-30 day window
 169 spanning anthesis and is strongly influenced by current carbon assimilation rates²⁸. After this, the
 170 realization of photosynthetic capacity is driven largely by the potential of grains to grow and
 171 remobilization of reserves, with attendant respiratory costs (**Fig. 3**).

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175 **Figure 3. A generalized wiring diagram (WD) for wheat.** The diagram depicts the traits most commonly
 176 associated with source (left) and sink (right) strength and others (middle) that impact sink and source,
 177 largely dependent on growth stage.

178

179 At this relatively high level of integration, achieving a step-change in yield potential could, in summary,
 180 be achieved by increasing RUE between onset of stem elongation until the end of grain-set shortly after
 181 anthesis, and responding to the increased RUE by increasing spike growth, grain set and grain weight
 182 potential. The resulting increased sink strength would enable photosynthetic potential to be more fully
 183 utilized. It is fully recognized that achieving such goals is dependent on a large number of underlying
 184 variables and genetic systems, some of which are known (Box 1) and are used to generate the
 185 connections in the WD and others yet to be elucidated. The genetic bases for these connections

186 include, for example, gene-based mapping of biochemical pathways (e.g. trehalose biosynthetic
187 pathway genes that reveals association with source- and sink-related yield traits³⁸), genetic manipulation
188 of developmental pathways such as those of spike growth by increasing specific transcription factor
189 activity³⁹ and transport pathways that move carbohydrate between source and sink²³. A recent
190 transgenic study overexpressed expansin in wheat, thereby increasing grain weight potential (and yield)
191 without any reduction in grain number³¹, calling into question the widely accepted trade-off between
192 these traits.

193

194 **Potential of wiring diagrams in wheat research**

195 The WD (**Fig. 3**) has been principally developed at the whole crop and plant organ scale and includes
196 plant signaling and metabolic traits that influence yield. The WD intends to capture traits expressed and
197 able to be measured in field-grown plant organs or canopies, rather than data from controlled
198 conditions or *in vitro* research looking at cellular and subcellular processes. Nonetheless, the current
199 integrative-trait level WD can provide a platform for framing research at many different levels of
200 integration.

201 The trait interactions addressed have an explicit focus on yield potential under relatively favorable
202 environments. However, most of the traits and interactions among them are relevant across a wide
203 range of environmental conditions. When comparing optimal trait interactions among environments,
204 the main differences will be more quantitative than qualitative.

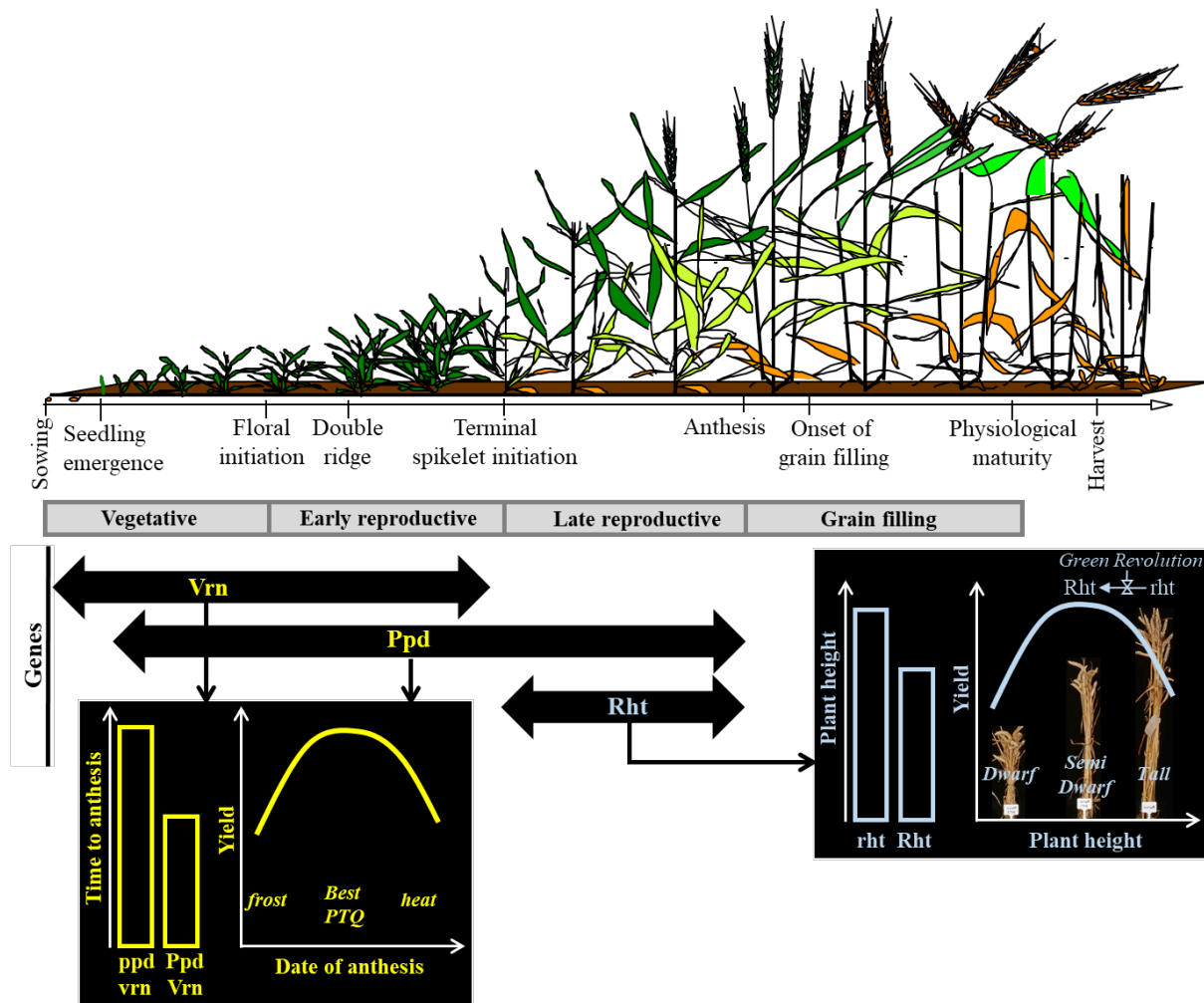
205 The WD (**Fig. 3**) is not intended to be a static figure, but rather a framework that can be actualized as the
206 science base grows, providing a range of different functions. For example, a graphically-assisted guide
207 integrating current knowledge of physiological traits that determine yield potential in wheat. The WD
208 can also be used as an interactive forum to map new knowledge along with credible hypothesis around
209 current knowledge gaps, within a comprehensive and rigorous scientific framework. One of the main
210 reasons for designing the WD is to provide a qualitative tool for breeders when designing crosses among
211 physiologically and genetically well-characterized parents. The WD can also provide a new context to
212 refine or redesign crop simulation models, by illustrating the relative importance of the different
213 connections among traits in their appropriate phenological context, while highlighting where major
214 'black boxes' still exist. In the longer term, the WD could become a universal decision support tool which
215 if adopted by the wheat improvement community at large, could be customized to discrete target
216 environments to sharpen research focus and highlight context-specific knowledge gaps. The WD can
217 also provide a roadmap to help frame and prioritize research at other levels of integration such as for
218 metabolomic or gene expression studies. The WD concept could also be adapted to other species, as
219 many of the same traits and processes are relevant across crops. Finally, the WD can be adapted as a
220 novel, interactive training tool and as a prioritization framework for strategists and science funding
221 agencies.

222

223 **Application of wiring diagram in stacking complex traits in breeding**

224 Deterministic progress in crop breeding has relied mainly on deploying genes of major effect, such as
225 those described in **Box 1**. Acceleration of genetic yield gains will require new favorable combinations of

226 genes of minor effect, including from sources outside the elite gene-pools used to train genomic
 227 selection models. Trait stacking through hybridization is a way to achieve this, recognizing that
 228 incomplete understanding of physiological and genetic interactions will result in unexpected and
 229 sometimes disappointing outcomes, as with any semi-empirical process. Thus detailed considerations of
 230 the relationships among traits and their contributions to yield, as defined in WDs, aids formulation of
 231 trait stacking and hybridization strategies without full knowledge of the underlying genetics that
 232 underpin crop improvement.



233
 234 **Box 1. Impact of major genes in wheat (*Ppd*, *Vrn* and *Rht*) -at different development stages (indicated**
 235 **by horizontal arrows)- that have been widely deployed in breeding³⁶ and are responsible for several**
 236 **traits considered in the WD.** The *Vrn* and *Ppd* genes responsible for conditioning to vernalization and
 237 photoperiod, respectively (left side of box), have been critical to adjust time to anthesis. This helps the
 238 crop to experience a favorable photo-thermal quotient (PTQ) during spike growth, a major driver for
 239 sink-strength. Allelic variation at the MADS box gene *Vrn-1*⁴⁰, differentiates spring and winter wheat
 240 with spring habit alleles being dominant. *Ppd-1* expressed during vegetative growth modulates the
 241 strength of the floral promoting signal (FT) that moves from leaves to spike meristems during early
 242 reproductive development and influences the conversion of axillary meristems to spikelet meristems⁴¹.
 243 Through modifying the duration of growth phases, *Vrn* and *Ppd* genes also affect the architecture of the

244 canopy and therefore source potential. *Rht* genes (right side of box) are associated with reduced height.
245 Semi dwarf alleles of *Rht-1* especially, drove a step change in yield potential (i.e. the Green Revolution)
246 by decreasing partitioning of photo-assimilates to stems in favor of juvenile-spike growth, thereby
247 increasing sink-strength and ultimately harvest index⁴². Deployments of these genes have been largely
248 optimized through conventional breeding of winter and spring wheats because of their sizeable and
249 largely heritable phenotypic effects. However, since phenotypes associated with major genes show
250 interaction with genetic background and environment (albeit less than that seen for the combined effect
251 of many minor genes), their deployment in breeding can require ‘retuning’ to optimize expression of
252 phenology and harvest index⁴³. These genes can be mapped to the WD based on previous studies with
253 isogenic lines^{42,44}. However, timing of expression of particular alleles, their dominance and epistatic
254 relationships implies influence on several ‘wires’ of the WD depending on growth stage.

255

256 Trait interactions derive from genetic interactions as well as a variable environment within and across
257 seasons (Box 1). The identification of all the causal genes determining a trait under realistic growing
258 conditions is, therefore, a painstaking and resource-demanding process, especially when taking into
259 account climate variability within a target environment. When working with complex traits having a
260 relatively low heritability, they become major challenges for genetics research and breeding, despite
261 progress⁴⁵. An alternative rationale for more deterministic hybridization approaches is to stack traits to
262 enrich the frequency of potentially yield-boosting alleles in breeding gene pools. This is not different in a
263 genetic sense from using GS models to stack favourable small-effect alleles for yield⁴⁶, except that it
264 also embraces the potential of additional genetic variation for key traits identified from outside current
265 gene-pools.

266 The trait-stacking approach, informed by the WDs, increases the probability of accumulating novel,
267 potentially favorable allelic combinations. Clearly, linkage drag of unfavorable alleles from novel sources
268 can also occur. However, pilot studies have shown that this can be overcome if the relatively exotic
269 sources are selected for important agronomic traits^{13,47}, (which has not generally been the case when
270 exotic germplasm was a source of urgently needed disease resistance genes, for example).

271 In other words, the deterministic approach hybridizes complementary sources of relatively heritable,
272 beneficial complex traits, and uses phenomic and genomic selection models to identify progeny with a
273 winning combination of traits and alleles. A mundane analogy is playing cards, which like crop breeding
274 requires a mixture of strategy and luck to be successful. By holding specific or higher value cards (the
275 equivalent of potentially beneficial traits expressed in parents) the probability of achieving a final
276 winning combination (equivalent of higher yield in progeny) is increased, in spite of not knowing the
277 winning combinations in advance. Outcomes from such trait combinations can then be used to update
278 the WDs.

279 This approach can be extended by analyzing results from multi-location trials of such progeny to
280 identify marker-trait associations at the genome level⁴⁸. Modelling outcomes of interactions among
281 candidate traits and genes will also lead to better focused screening of crop genetic resources. Since
282 many factors are involved in yield determination, overcoming one set of genetic bottlenecks will likely
283 highlight others, leading to additional breeding cycles, with each iteration generating refined trait
284 targets, and new information to populate the WD.

285 Where a trait, or more likely a constellation of traits, is particularly rewarding in terms of productivity
286 gains, the outcome will enable a more focused application of wheat sequencing and gene expression
287 technologies, potentially leading to genetic manipulation using cis or transgenic approaches, targeted
288 mutagenesis, gene editing, etc.⁴⁹. The WD will enable wires representing multi-genic effects to be
289 dissected systematically because the WD not only indicates empirically documented physiological links
290 but also hierarchies in terms of cause and effect, models testable through genomic and metabolic
291 research. As Box 1 indicates, crop phenology which is integral to understanding crop genetics and
292 physiology, invokes more wires and genetic interactions as the crop develops.

293

294 **The wiring program as a resource to improve crop simulation models**

295 Crop simulation models are implicitly based on a similar concept as the WD. However, the WD is quite
296 different in that it makes no assumptions as simulations must but rather offers a comprehensive
297 springboard based largely on empirical evidence. The WD can be seen as a trait and process-based
298 roadmap to help refine crop simulation models of yield, by illustrating the relative importance of the
299 different connections among traits in their appropriate phenological context, while highlighting where
300 major knowledge gaps still exist. It also provides a framework to analyze outputs of simulation exercises
301 with respect to the assumptions used therein. For example, Messina and colleagues⁵⁰ employed the
302 concepts of a WD approach in developing a dynamical model of cohorting of reproductive structures
303 along the maize ear, using empirical data and detailed phenological and carbon/water supply and
304 demand balances to predict emergent phenotypic responses to drought.

305 Implementing the crop physiological knowledge of the WD is likely to improve the performance of
306 NWheat and other crop models. The validation of NWheat model⁵⁵ with de-graining experiments
307 showed that NWheat simulated most yields well, but it did not consider calculating a potential grain
308 weight determined before and around anthesis as outlined in the WD, because it had a maximum grain
309 size of 55 mg as a constant parameter⁵⁶. The exposure to de-graining and shading experiments also
310 indicated that the NWheat model failed sometimes to simulate grain numbers correctly during severe
311 source limitations around anthesis⁵¹. Implementing the crop physiological knowledge of the WD is likely
312 to improve the performance of NWheat, but also other crop models. For example, the Agricultural
313 Model Intercomparison and Improvement Project AgMIP (<https://agmip.org/>)⁵² has compared 27 of the
314 estimated 35 wheat crop models existing world-wide, showing that a third of the models employ yield
315 components, another third just harvest index, while the remainder uses intermediate or other
316 approaches to simulate grain yield²⁴. All these crop models are mostly source-limited in calculating grain
317 yield, despite some of them setting a sink-strength via grain number, but without considering that
318 potential grain size -another component of the sink- is set during a similar period, well before the linear
319 phase of grain filling starts. As a result, most models are likely to perform well when the source tends to
320 limit yield, but will fail when the sink is limiting. The model comparison in AgMIP also identified large
321 crop model uncertainties²⁴, some of which have been reduced through targeted model improvements,
322 based on crop physiology and experimental data^{53,54}. In summary, the combination of WD with crop
323 simulation may be used iteratively to refine each other, incorporating new knowledge as it comes to
324 light.

325

326 **Conclusions**

327 The WD suggests ways to improve elite breeding material and to explore untapped genetic resources for
328 unique traits and alleles. These approaches along with rapid generation cycling, production of doubled
329 haploids, marker-based chromosome engineering etc., now enable proofs of concept to be established
330 relatively fast. Furthermore, knowledge from other -and especially related- crops via comparative
331 phenomics, genetics and genomics adds a further dimension to translational research and deterministic-
332 orientated breeding.

333 In addition, the WD can serve as a platform onto which new empirical data are routinely mapped and
334 new concepts added, thereby creating an ever richer common point of reference for refining models in
335 the future, as well as an up-to-date decision support tool for research, breeding and investment
336 strategies.

337

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342 writing of the paper; all authors contributed suggestions and reviewed and refined text.

343 **Ethics declarations: Authors declare no ethical conflicts**

344 **Competing interests: Authors declare no competing interests.**

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