

1 **Soil moisture and electrical conductivity relationships under typical Loess Plateau land**  
2 **covers**

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26 **Abstract**

27 Vegetation changes that are driven by soil conservation measures significantly affect  
28 subsurface water flow patterns and soil water status. Much research on water consumption  
29 and sustainability of newly introduced vegetation types at the plot scale has been done in the  
30 Loess Plateau of China (LPC), typically using local scale measurements of soil water content  
31 (SWC). However, information collected at the plot scale cannot readily be up-scaled.  
32 Geophysical methods such as electromagnetic induction (EMI) offer large spatial coverage  
33 and therefore could bridge between the scales. A non-invasive, multi-coil, frequency domain,  
34 EMI instrument was used to measure the apparent soil electrical conductivity ( $\sigma_a$ ) from six  
35 effective depths under four typical land-covers; shrub, pasture, natural fallow and crop, in the  
36 north of the LPC. Concurrently, SWC was monitored to a depth of 4 m depth using an array  
37 of 44 neutron probes distributed along the plots. The measurements of  $\sigma_a$  for six effective  
38 depths and the integrated SWC over these depths, show consistent behavior. High variability  
39 of  $\sigma_a$  under shrub cover, in particular, is consistent with long term variability of SWC,  
40 highlighting the potential unsustainability of this land cover. Linear relationships between  
41 SWC and  $\sigma_a$  were established using cumulative sensitivity forward models. The  
42 conductivity-SWC model parameters show clear variation with depth, despite lack of  
43 appreciable textural variation. This is likely related to the combined effect of elevated pore  
44 water conductivity as was illustrated by the simulations obtained with water flow and solute  
45 transport models. The results of the study highlight the potential for the implementation of  
46 the EMI method for investigations of water distribution in the vadose zone of the LPC, and in  
47 particular for qualitative mapping of the vulnerability to excessive vegetation demands, and  
48 hence unsustainable land cover.

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50 **Keywords:** land-use change, revegetation, electromagnetic induction, soil moisture,  
51 hydrogeophysics

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## 56 1. Introduction

57 Landscape alternation as conversion of natural ecosystems to agricultural lands, or  
58 application of soil conservation measures as revegetation for preventing land degradation,  
59 have a significant impact on soil water dynamics. The conversion of natural vegetation to  
60 croplands with shallow rooting systems can increase water levels in unconfined aquifers and  
61 mobilizes salts to groundwater (Hancock et al., 2008; Radford et al., 2009; Scanlon et al.,  
62 2009; Kurtzman and Scanlon, 2011). Afforestation or revegetation, where trees, grass and  
63 shrubs are replanted, were related to depletion of soil water and reduction in groundwater  
64 recharge fluxes (Scott and Lesch, 1997; Allen and Chapman, 2001; Zhang et al., 2008; Gates  
65 et al., 2011; Huang et al., 2013; Adane et al., 2018; Bai et al., 2020; Ouyang et al., 2021).  
66 Various factors are attributed to the disturbance of the soil water status such as high water  
67 demand, larger water-holding capacity of forest soils, deep roots, climate variability and  
68 plantation of vegetation in an inadequate environment (Cramer et al., 1999; Rodriguez-Iturbe  
69 et al., 2001; Jia and Shao, 2014; Barbeta et al., 2015; Lazo et al., 2021). However, the effect  
70 on water yield by revegetated areas is debatable and depends on different conditions (van  
71 Dijk and Keenan, 2007). Therefore, there is a growing interest in development of monitoring  
72 methodologies to improve our knowledge of these processes (Robinson et al., 2008; Krause  
73 et al., 2015).

74 The soil water content (SWC) comprises information regarding the interaction between  
75 climate, vegetation and soil (Rodriguez-Iturbe et al., 2001; Vereecken et al., 2014).  
76 Nevertheless, SWC is spatially and temporally highly variable (Western et al., 2002).  
77 Remote sensing of SWC can provide valuable spatial information of SWC but only on the top  
78 few centimeters of the soil; other methods, such as TDR and neutron probes, are limited in  
79 their support volume. In contrast, geophysical methods, such as ground penetrating radar,  
80 electromagnetic induction (EMI) and electrical resistivity, can be used for monitoring  
81 subsurface water and solute dynamics at a range of temporal and spatial scales (Binley et al.,  
82 2015).

83 The link between soil electrical conductivity ( $\sigma$ ) and SWC has been the focus of attention for  
84 some time. Gardner (1898) first proposed the use of electrical conductivity for inferring  
85 SWC. Although  $\sigma$  is strongly influenced by soil water content, it is also affected by other  
86 factors, such as soil texture, temperature and pore water electrical conductivity (e.g.,  
87 Friedman, 2005), necessitating the development of local (site specific) relationships between

88  $\sigma$  and SWC. Binley and Slater (2020) provide a comprehensive analysis of the properties and  
89 states of soil that influence electrical conductivity. In Section 2 we discuss the relationship  
90 between  $\sigma$  and SWC in detail, and in the context of the current study.

91 The EMI method measures the apparent bulk electrical conductivity of the soil ( $\sigma_a$ ), which is  
92 the depth weighted average value of the  $\sigma$ , with no requirement to establish any contact with  
93 the soil surface. The apparent conductivity is an integrated measurement of electrical  
94 conductivity that is governed by the depth-sensitivity pattern of the specific measurement.  
95 EMI is a relatively mobile technique allowing the measurement of  $\sigma_a$  over large scales (Abdu  
96 et al., 2008; Robinson et al., 2012). Doolittle and Brevik (2014) review the use of EMI  
97 measurements for qualitative mapping of soil properties and soil water processes. A number  
98 of studies have illustrated the potential and challenges of the EMI method for estimation of  
99 SWC over large areas by establishing relationships between  $\sigma_a$  and SWC (Robinson et al.,  
100 2012; Nagy et al., 2013; Calamita et al., 2015; Martini et al., 2017; Altdorff et al., 2018;  
101 Martínez et al., 2020). Although the  $\sigma_a$  - SWC relationship can indicate the integrated state of  
102 the soil water, a detailed description of the soil water state with depth is limited (Corwin and  
103 Rhoades 1982; Hendrickx et al., 2002). Modern EMI devices are manufactured with multiple  
104 coils and multiple frequencies, enabling the simultaneous measurement of  $\sigma_a$  from multiple  
105 effective depths. This permits the inversion of the measured  $\sigma_a$  values in order to obtain the  
106 ‘real’ soil conductivity,  $\sigma$ . Previous studies suggested a number of approaches to establish the  
107  $\sigma$  - SWC relationship under field conditions for different soil types (Huang et al., 2016,  
108 2017). They used  $\sigma$  values derived from inversion of the  $\sigma_a$  data and related these to  
109 observed SWC values. The major drawback of the inversion solution is non-uniqueness, i.e.  
110 multiple solutions for the same dataset. To encourage unique solutions and reduce some  
111 uncertainties, various approaches are suggested such as regularization or joint inversions of  
112 geophysical datasets (Constable, 1987; Linde et al., 2006). Recently, Robinet et al. (2018)  
113 reported on difficulties to invert  $\sigma_a$  for the establishment of *in situ*  $\sigma$  - SWC relationships.  
114 Instead, they utilized a  $\sigma_a$  forward modeling approach to develop field-based  $\sigma$  - SWC  
115 relationships.

116 Given the potential value of EMI for mapping variation in soil water and the need to  
117 understand the impact of land management practices, we carried out EMI measurements over  
118 four typical land covers (Peashrub, Purple Alfalfa, millet/soybean and fallow) at a study site  
119 in the north of the Chinese Loess Plateau. Previous studies have documented long term SWC

120 observations up to 4 m depth under each of the four plots (Liu and Shao, 2016; Zhao et al.,  
121 2017). Liu and Shao (2016) showed that the vegetation type significantly controls the vadose  
122 zone water dynamics. Furthermore, Zhao et al. (2017) analyzed a 10 year record of soil water  
123 variability under different land covers and revealed high temporal variability (coefficients of  
124 variation up to 40% to depths of 4 meters) under Purple Alfalfa and Peashrub covers, which  
125 reflect the significant water demands by these vegetation types. Earlier studies (e.g Li et al.,  
126 2008) have shown that water uptake under these vegetation types can extend to several  
127 meters depth. From the investigation of Zhao et al. (2017), the millet and soybean (and  
128 fallow) land covers seem to be the most sustainable in this environment. Therefore, the first  
129 objective of this study was to explore the capability of using  $\sigma_a$ , measured by EMI, to assess  
130 water sustainability of particular land covers. The second objective was to explore the  $\sigma$  -  
131 SWC relationships in the deep vadose zone under the different land covers. Most previous  
132 soil water – EMI studies have targeted relatively shallow variation in electrical conductivity;  
133 here we study variation in soil water and  $\sigma$  to depths of 4m.

134

## 135 2. Method

### 136 2.1 Study Site

137 This study was conducted at the Shenmu Soil Erosion and Environment Experimental Station  
138 (38°47'46" N, 110°21'55" E) on the northern LPC. The mean annual air temperature is 8.4  
139 °C, the annual reference evapotranspiration ( $ET_0$ ) is 1020 mm and the average annual  
140 precipitation is 437 mm, 70% of which falls from July through October (climate records are  
141 presented in *Supporting Information*). Significant soil erosion driven by wind and rainfall in  
142 this region motivated the implementation of a large scale vegetation restoration, the 'Grain to  
143 Green' project, to improve soil stability (Jia and Shao, 2014; Feng et al., 2016). Since 1999,  
144 many farmlands were converted into forest and grassland, mainly in areas where slopes  
145 exceed 15° (Liang et al., 2015). Throughout the replantation project, nonindigenous and  
146 indigenous vegetation were introduced to the region (Feng et al., 2016). The study site was  
147 established to understand the impact of introducing different cover types in the Loess Plateau.  
148 Experimental data has indicated that the nonindigenous vegetation appear to have excessive  
149 demands on soil water, keeping the soil under dry conditions and limiting soil water  
150 replenishment, in addition to reducing aquifer recharge. Therefore, the sustainability of some  
151 introduced land cover types is in question (Liu and Shao, 2016; Zhao et al., 2017).

152 Four adjacent plots (61 m×5 m) were established in 2004 on slopes with a uniform gradient  
153 (12–14°) (Figure 1). To test the effect of different vegetation types on the dynamics of soil  
154 water, three vegetation covers were introduced: “shrub” (Korshinsk Peashrub - *Caragana*  
155 *korshinskii*); “grass” (Purple Alfalfa - *Medicago sativa*); “crop” (two-year rotation of millet  
156 and soybean). A “fallow” plot was also created. This was cultivated until 2004, and  
157 subsequently abandoned with no further disturbance. Different vegetation types grow over  
158 this plot. For the crop plot, the soybeans/millet were sowed during May and harvested in  
159 October. After harvest, the crop plot remains clear of vegetation until following May. Both  
160 crops were fertilized with 120 kg ha<sup>-1</sup> N and 60 kg ha<sup>-1</sup> P<sub>2</sub>O<sub>5</sub> annually, following the  
161 recommendation of the local agriculture service. The *Caragana* were planted at a planting  
162 spacing of 70 cm×70 cm, then left alone to grow naturally, and alfalfa were planted with a  
163 row spacing of 50 cm in 2004. The above-ground parts of the Alfalfa were cut in the  
164 beginning of July and October every year. Note that the plots are rainfed and no irrigation is  
165 applied. In order to maintain consistency with previous studies at the site, we adopt the same  
166 labelling here: shrub (SL), grass (GL), fallow (FL) and crop (CL) (Figure 1).

167 Neutron-probe access tubes to 4 m depth were installed along 11 points in the centerline of  
168 each plot, at 5 m intervals (Figure 1). A previous study (Liu and Shao, 2016) presented  
169 analyses of soil samples at the site, indicating similarity in soil physical properties between  
170 the plots. The soil is a Calcaric Regosol (FAO-UNESCO), developed from low fertility loess.  
171 The soil has weak cohesion, high infiltrability, low water retention, and is prone to erosion  
172 (Fu et al., 2010). The soil texture is composed of 11%-14% clay, 30%-45% silt and 45%-51%  
173 sand (Liu and Shao, 2016) and can be classified as loam. Figure 2 shows example particle  
174 size distribution data from two 3m deep sampling points at the site. The texture profiles  
175 show remarkable similarity over 3m depth; from these and other profiles measured at the site,  
176 the soil texture spatial variability is insignificant. As part of a regional deep vadose  
177 investigation, a borehole was drilled to bedrock at 60 m depth in Shenmu (Jia et al. 2018).  
178 Further, observations of bulk density from samples extracted from the deep vadose zone  
179 (Figure S2). These observations reveal an increase in bulk density over the top 4m of the  
180 profile.

181

## 182 2.2 Data Collection

183 Soil water content and apparent soil electrical conductivity ( $\sigma_a$ ) measurements were carried  
 184 out during three days in August and September, 2017 (Figure3). All measurements of SWC  
 185 and  $\sigma_a$ , were conducted at each of the four plots, at the 11 locations in the centerline of each  
 186 plot. SWC measurements were made using a CNC503DR Hydro probe neutron probe  
 187 (Beijing Super Power Company, Beijing, China). Neutron counts were taken at an interval of  
 188 0.1 m in the upper 1 m and at 0.2 m intervals over 1m to 4 m. Thus in total there are 3300  
 189 SWC measurements. Apparent electrical conductivity measurements were made using the  
 190 CMD Explorer (GF Instruments, Czech Republic) electromagnetic induction (EMI) device,  
 191 positioned at 1m above ground level and orthogonal to the neutron probe tube. The  
 192 instrument is 5 m long and has a 10-kHz transmitter coil and three receiver coils at different  
 193 spacing from the transmitter (1.48m, 2.82m, and 4.49 m). The accuracy of measurement is  
 194  $\pm 4\%$  at 50 mS/m (GF Instruments, Czech Republic). The instrument is used in two types of  
 195 coil orientation: horizontal coplanar (HCP) and vertical coplanar (VCP). Thus, the EMI  
 196 device allows the collection of  $\sigma_a$  from six different effective depths. In total, there are 792  
 197 measurements of  $\sigma_a$ . Field tests were conducted to confirm negligible impact of the neutron  
 198 probe access tube on the measurements when carried out 1m above ground level.

199 If EMI measurements are made at ground level and assuming relatively uniform electrical  
 200 conductivity, it is normal practice to assume that the cumulative sensitivity patterns can be  
 201 expressed, for VCP and HCP orientation, as (McNeill, 1980):

$$202 \quad CS_{VCP}(z) = \left[ 4 \left( \frac{z}{s} \right)^2 + 1 \right]^{0.5} - 2 \left( \frac{z}{s} \right) \quad (1)$$

203 and

$$204 \quad CS_{HCP}(z) = \left[ 4 \left( \frac{z}{s} \right)^2 + 1 \right]^{-0.5} \quad (2)$$

205 where  $s$  is the transmitter receiver coil spacing (1.48m, 2.82m or 4.49 m).

206 In equations (1) and (2) the cumulative sensitivity will be, by definition, unity at the ground  
 207 surface. As discussed by Morris (2009), measurements made with the coils above ground  
 208 level result in a modified cumulative sensitivity pattern, as shown in Figure 4 for  
 209 measurements made 1m above ground level. Adopting, as is common practice for EMI  
 210 measurements, a definition of the depth of investigation (DOI) as the depth over which 70%  
 211 of the signal is sensitive to, then for the VCP orientations we can compute a DOI of 2.7m,

212 3.4m and 4.5m for the three-coil spacing, and a DOI of 3.1m, 4.6m, 6.9m for the HCP  
 213 orientation (see Figure 4).

214

### 215 **2.3 Establishment of a relationship between SWC and $\sigma$**

216 The development of a relationship between SWC and  $\sigma$  is required in order to convert the  
 217 observed EMI data to SWC. Numerous models have been developed to relate  $\sigma$  to SWC.  
 218 Many originate from early oil reservoir studies (e.g. the well-established approaches of  
 219 Archie (1942) and Waxman and Smits (1968)); several approaches have targeted soils (most  
 220 notably Rhoades et al. (1976)). Models range from purely empirical, semi-empirical to  
 221 physics-based. Laloy et al. (2011) documents a valuable comparison of a range of models for  
 222 soils, using the term “pedo-electrical” model to differentiate this from the classical  
 223 petrophysics terminology.

224 Despite the range of approaches, the general structure of a  $\sigma$  - SWC model is that there  
 225 should be a conducting term for the pores and a parallel contribution from conduction along  
 226 the particle surface (‘surface conduction’), which is intuitively linked to the proportion of fine  
 227 particles, often based on clay content (see, for example, Revil and Glover (1998)). Laloy et  
 228 al. (2011) show, from their comparison, that a volume averaging approach, used by Linde et  
 229 al.(2006), was the most effective at fitting their experimental data. This model can be written  
 230 as:

$$231 \quad \sigma = \frac{1}{F} \left[ \sigma_f \left( \frac{\theta}{\phi} \right)^n + (F - 1) \sigma_s \right], \quad (3)$$

232 where  $F$  is the formation factor,  $\sigma_f$  is the fluid electrical conductivity,  $\theta$  is the SWC,  $\phi$  is  
 233 porosity,  $n$  is a parameter that is controlled by the texture of the media, and  $\sigma_s$  is the surface  
 234 electrical conductivity. The formation factor,  $F$ , is also a function of the soil texture and  
 235 porosity, typically expressed as  $\phi^{-m}$ , where  $m$  is the commonly named cementation  
 236 exponent.

237 A number of studies have shown that a simple linear relationship can be established between  
 238 water content and electrical conductivity (e.g., Michot et al., 2003; Calamita et al., 2012;  
 239 Robinet et al., 2018), which is clearly equivalent to assuming  $n = 1$  in equation (3).

240 Following this, we may write:



241 
$$\sigma = a * \theta + b \quad (4)$$

242 where, if adopting equation (3), the coefficients are:

243 
$$a = \sigma_f \phi^{m-1}, b = (1 - \phi^m) \sigma_s. \quad (5)$$

244 To convert the  $\sigma$  from equation 4 to  $\sigma_a$ , the forward solution of the cumulative sensitivity  
 245 model is utilized, following the approach of Robinet et al. (2018). The EMI instrument  
 246 measures the bulk apparent electrical conductivity ( $\sigma_a$ ), which, using the cumulative  
 247 sensitivity functions in equations (1) and (2), is related to  $\sigma(z)$ . Assuming a series of layers,  
 248 where the middle of each layer is the SWC depth measurement, with conductivity  $\sigma_i$   
 249 ( $i=1,2,3\dots M$ ), the apparent conductivity for a given coil spacing,  $s$ , and orientation, can be  
 250 expressed as:

251 
$$\sigma_a = \sigma_1 [1 - CS(z_1)] + \sum_{i=2}^{M-1} \sigma_i [CS(z_i) - CS(z_{i-1})] + \sigma_M CS(z_{M-1}), \quad (6)$$

252 where  $M$  is the lowest layer. In this study we have SWC observations to 4m depth and so the  
 253 value of  $\sigma_M$  is assumed to represent the electrical conductivity at greater depths.

254 The approach adopted involved taking, for all land cover types, measurements of SWC at 25  
 255 depths, and converting these to 6 apparent conductivities (3 coil spacings, 2 orientations) for  
 256 the 11 locations on three dates using a given value of  $a$  and  $b$  in equation (4). The optimum  
 257 values of  $a$  and  $b$  that minimize the root mean square error of a sample size  $N$ , given by

258 
$$RMSE = \sqrt{\frac{1}{N} \sum (\sigma_{a(obs)} - \sigma_{a(predicted)})^2} \quad (7)$$

259 where  $\sigma_{a(obs)}$  are the observed apparent conductivities and  $\sigma_{a(predicted)}$  are the predicted  
 260 values for a given  $a$  and  $b$ . The optimization was carried out using the *fminsearch* function  
 261 that is available on the Matlab optimization toolbox (MathWorks, 2015). This function uses  
 262 the Nelder-Mead simplex algorithm (Lagarias et al., 1998).

263

## 264 **2.4 Unsaturated water flow and solutes transport modelling**

265 For the current study there are no measurements of pore water electrical conductivity. To  
 266 address this, the Richards equation and the advection – dispersion equation (ADE) were used  
 267 to simulate the accumulation of chloride in the vadose zone of the four land covers.

268 We implemented a calibrated unsaturated water flow model that was calibrated to long term  
 269 data measured at the study site (Bai et al., 2020). For detailed description of the model  
 270 calibration and validation results, the reader is referred to Bai et al. (2020). The unsaturated  
 271 water flow is described by the Richards equation:

$$272 \quad \frac{\partial \theta}{\partial t} = \frac{\partial}{\partial z} \left[ K(\psi) \left( \frac{\partial \psi}{\partial z} + 1 \right) \right] - S, \quad (8)$$

273 where  $\psi$  is the matric potential head [L],  $\theta$  is the volumetric water content [ $L^3 L^{-3}$ ],  $t$  is time  
 274 [T],  $z$  is the vertical coordinate [L],  $K(\psi)$  [ $L T^{-1}$ ] the unsaturated hydraulic conductivity  
 275 function, is a function of the matric potential head and  $S$  is a root water-uptake sink term [ $L^3$   
 276  $L^{-3} T^{-1}$ ]. The Richards equation was solved numerically by using the Hydrus 1D code  
 277 (Šimůnek et al., 2008). Simulation of the root water uptake rate (the sink term) was  
 278 conducted according to the model suggested by Feddes et al. (1978); parameters used for the  
 279 different plant type were obtained from the Hydrus 1D database (millet (crop), grass and  
 280 alfalfa (shrub)). The Mualem - van Genuchten calibrated unsaturated hydraulic functions  
 281 obtained by Bai et al. (2020) were implemented in the model.

282 The ADE was applied to describe the unsaturated chloride transport in the unsaturated zone  
 283 of the different land covers:

$$284 \quad \frac{\partial \theta C_{Chloride}}{\partial t} = \frac{\partial}{\partial z} \left[ \theta D \frac{\partial \theta C_{Chloride}}{\partial z} \right] - \frac{\partial q C_{Chloride}}{\partial z}, \quad (9)$$

285 where  $C_{Chloride}$  [ $M L^{-3}$ ] is chloride concentration in the pore-water solution,  $D$  [ $L^2 T^{-1}$ ] is the  
 286 hydrodynamic dispersion coefficient and  $q$  [ $L T^{-1}$ ] is the water flux. Turkeltaub et al. (2018)  
 287 suggested a representative value of 7.5 cm for the longitudinal dispersivity in the LPC. This  
 288 value was calculated according to sampled chloride and nitrate vadose zone profiles across  
 289 the LPC.

290 Atmospheric boundary conditions with a surface layer (assuming zero for ponding depth at  
 291 the soil surface) were prescribed at the upper boundary (land surface) as rain, leaf area index  
 292 (LAI), potential evapotranspiration ( $ET_0$ ), rain chloride concentrations and the minimum  
 293 allowed pressure head at the soil surface ( $h_{CritA}$ ) (Šimůnek et al., 2008) at a daily temporal  
 294 resolution. To estimate the potential  $ET_0$  values, reference evapotranspiration ( $ET_{ref}$ ) values  
 295 were multiplied with the single crop coefficients ( $K_c$ ).  $K_c$  values for millet (crop), grass,  
 296 alfalfa (shrub) and bare soil were based on Allen et al. (1998). The chloride concentration in  
 297 the rain was 1.7 mg/L (Huang et al., 2013).

298 The vertical root density distributions for the different covers were implemented according to  
 299 the root profiles that were published by Bai et al. (2020). For the crop plot, a linear root  
 300 distribution was assumed till approximately 50 cm depth (Bai et al., 2021). Under the grass  
 301 and the shrub plots, the roots were distributed over 400 and 270 cm, respectively (Bai et al.,  
 302 2021). For the root distribution profiles, the reader is referred to Figure S2 in the supporting  
 303 information provided by Bai et al. (2020). The increase in leaf area index (LAI) during the  
 304 growing season for millet, grass and alfalfa was estimated with the model of Leenhardt et al.  
 305 (1998), where the increase in LAI is assumed a function temperature according to:

$$306 \quad LAI(T) = \frac{LAI_{max}}{[1+e^{-b(T-T_i)}]}, \quad (10)$$

307 where  $LAI_{max}$  is the maximum LAI of the crop,  $T_i$  ( $^{\circ}C$ ) is the sum of temperature at the  
 308 inflection point of the curve, and  $b$  is a curvature parameter. The  $LAI_{max}$  and the  $b$  parameters  
 309 were estimated using the temperature database and reported LAI curves (McVicar et al.,  
 310 2005, natural grass; Wu et al., 2003, millet; Zhao et al., 2004, alfalfa). For further information  
 311 of the calculated LAI of the different plant types, the reader is referred to Figure S3 in  
 312 Supporting Information. Daily climate data, covering the period 01-Jan-1961 to 31-Dec-  
 313 2017, were obtained in the vicinity of the study site (State Bureau of Meteorology, 2020;  
 314 <http://cdc.cma.gov.cn>). The simulations started in 01-Jan-1961 and ceased on the 21-Aug-  
 315 2017 (20718 days). By running the models over a long period, the effect of the initial  
 316 conditions was minimized. The models performance evaluation was conducted following the  
 317 analysis suggested by Bai et al. (2020). Three types of statistical measures were used: (1) The  
 318 Nash-Sutcliffe efficiency coefficient (NSE); (2) root mean square error (RMSE); (3) mean  
 319 absolute percent error (MAPE). The closer NSE to 1, the better the model fit. Lower values  
 320 of RMSE and MAPE indicate a better fit between model and data.

321

### 322 **3. Results and Discussion**

#### 323 **3.1 Spatio-Temporal Variability of SWC**

324 In Figure 5, SWC profiles for all the survey dates are shown. The movement of a drying front  
 325 can be seen between the first two survey dates, followed by subsequent wetting in the third  
 326 survey (following the late August rainfall event). The profiles show similarity for a given  
 327 land cover type (limited horizontal variability was observed along the slope) and also the

328 reduced soil water content at depth for the grass and shrub cover type, due to the greater  
329 water demands of such cover and the deep root penetration, which is estimated to be greater  
330 than 4 m depth (Zhao et al. 2017). These are consistent with the long term study at the site of  
331 Zhao et al. (2017) who also showed that water percolates to deeper parts of the vadose zone  
332 under the crop cover compared to the other land covers. The significant differences in SWC  
333 between the land covers, which are subjected to the same climatic conditions, and uniform  
334 soil texture (Figure 2), highlights the potential negative effect on SWC due to the plantation  
335 of vegetation that is unsustainable in the LPC region (Fang et al., 2016; Liu and Shao, 2016;  
336 Zhao et al., 2017). Figure 6 summarizes the SWC data for the three survey dates, adding  
337 further illustration of the effect of land cover type on soil water availability.

338

### 339 3.2 $\sigma_a$ Measurements

340 The apparent conductivity measurements are summarized as box and whisker plots in Figure  
341 7. The vertical coplanar and horizontal coplanar configurations with similar depths of  
342 investigation show consistency. The plots indicate an increasing conductivity with depth  
343 across all land cover types and a clear contrast in apparent conductivity for the four land  
344 covers, particularly for the measurements over greater depths. There is a clear similarity  
345 between land cover contrasts in SWC (Figure 6) and apparent conductivity (Figure 7),  
346 particularly when we compare the shrub and grass cover to the fallow and crop cover.

347 Robinson et al. (2008) reported on similar variability in  $\sigma_a$  for different vegetation species.  
348 They related the ranking in  $\sigma_a$  values to the relationship between plant communities and soil  
349 types. The plots in the current study are, however, adjacent and major differences in soil  
350 texture are not observable (Figure 2). Therefore, it can be assumed that the ranking of  $\sigma_a$  is  
351 probably dominated by the water conditions in the vadose zone, influenced by the water  
352 demand of the vegetation cover. We note that some discrepancy between crop and fallow  
353 cover might be related to the fertilizer application for the crop (Zhao et al., 2017). Similar  
354 observations were reported elsewhere (Calamita et al., 2015). Nevertheless, the  $\sigma_a$  values  
355 obtained at the crop and fallow are generally higher to those obtained on the shrub and grass  
356 plots, which are known to experience bigger demands on soil water status.

357 Further interpretation was suggested in previous studies regarding the statistics of the  $\sigma_a$   
358 values (Robinson et al., 2008; Calamita et al., 2015). For the following interpretation, two

359 assumptions are made: 1) the  $\sigma_a$  measurements reflect the soil water conditions (as was  
360 shown above) and 2) vegetation under optimal conditions would show a low coefficient of  
361 variation (CV) of the SWC (Robinson et al., 2008; Zhao et al., 2017). Robinson et al. (2008)  
362 showed empirically that highly skewed  $\sigma_a$  distributions and high CVs values indicate that  
363 vegetation grows outside their optimal environment. The long-term investigation (over 10  
364 years) of SWC time series measurements at the study site by Zhao et al. (2017) revealed a  
365 decreasing trend in the coefficient of variation of SWC as follows: crop < fallow < grass <  
366 shrub. Similarly, a high coefficient of variation was calculated for the  $\sigma_a$  measurements under  
367 the shrub cover (Table 1). Thus, following the presented analysis, we observe the same  
368 ranking of variation in apparent conductivity for the deeper measurements (see Table 1).  
369 Based on their observations of SWC, Zhao et al. (2017) concluded that the Korshinsk  
370 Peashurb is not sustainable, in terms of SWC use, in the region. The EMI results presented  
371 here may offer a means of detecting areas that might be affected by revegetated plants under  
372 unsustainable conditions in the LPC.

373

### 374 3.3 SWC - $\sigma$ Relationship

375 The measurements obtained in the current study enabled us to explore relationships between  
376 SWC and  $\sigma$  at the study site. As stated earlier, the approach involved compiling an aggregate  
377 dataset for the site, rather than applying the model search for different cover types, since  
378 there is likely to be a limited range of the data to perform the latter. Table 2 reports the linear  
379 coefficients  $a$  and  $b$  (equation 4) obtained using the optimization process adopted here. The  
380 fit for each model is similar, approximately 1mS/m, which is within the accuracy of the  
381 instrument. Power law models were also tested, however, these models did not provide any  
382 further improvement in performance, which is in line with previous studies (Michot et al.,  
383 2003; Calamita et al., 2012). In addition, Robinet et al. (2018) noted that a better linear  
384 relationship between  $\sigma_a$  and soil moisture could be obtained by using  $\sigma_a$  observations from  
385 their deeper sensed EMI configuration.

386 Figure 8 shows the model fit for the six coil orientations, plotted to differentiate the four  
387 cover types. The grass and shrub cover data show the greatest departure from the 1:1  
388 apparent conductivity, particularly at greater depths. This may be related to the relatively  
389 high salinity conditions that might prevail under these cover types due to elevated  
390 evapotranspiration.

391 Figure 9 shows the variation in  $\sigma$  - SWC relationship parameters with depth, using a nominal  
392 depth as that at which the cumulative sensitivity function  $CS(z)$  equals 0.5, i.e., the depth over  
393 which 50% of the EMI measurement is sensitive to. Note that this ‘halfdepth’ is a nominal  
394 depth, used for illustration, although it is sometimes used to guide EMI survey design (see  
395 Morris, 2009). A consistent increase with depth in both  $a$  and  $b$  is seen for both coil  
396 orientations. From equation (5) an increase in  $a$  could be accounted for (i) increase in pore  
397 water conductivity, (ii) reduction in porosity, (iii) increase in cementation exponent,  $m$ . An  
398 increase in  $b$  can also be attributed to a reduction in porosity and an increase in  $m$ , in addition  
399 to an increase in surface conductivity. The observations of bulk density reveal an increase in  
400 bulk density over the top 4m of the profile (Figure S2). Assuming a particle density of 2.65  
401  $\text{g}/\text{cm}^3$ , this equates to a reduction in porosity from 0.50 at 0.5m depth to 0.44 at 4.5m depth,  
402 i.e. a reduction by 10%. Assuming a cementation exponent,  $m = 2$  since most porous  
403 sediments have cementation exponents between 1.5 and 2.5 (Cai et al., 2017) such a  
404 reduction in porosity can only account for a 30% increase in  $a$ . It would appear, therefore,  
405 that pore water conductivity variation with depth is a primary driver of the change in model  
406 coefficients with depth.

407 Developing relationships between soil water content and electrical conductivity is constrained  
408 by the influence of a range of properties, making the use of universal models somewhat  
409 limited without local calibration. **GF Instruments report that the measurement accuracy for  
410 the CMD-Explorer is  $\pm 4\%$  and the measurement accuracy of the CNC503DR Hydro neutron  
411 probe is also reported to be about 4%. The RMSE values of all the models are 10% or lower  
412 than the mean of the measurements.** Furthermore, the  $R^2$  and the RMSE values that were  
413 reported here are comparable to previously published calibrated models (**Tromp-van  
414 Meerveld & McDonnell, 2009; Robinson et al., 2012; Calamita et al., 2015; Coppola et al.,  
415 2016; Robinet et al., 2018**). Therefore, for the dataset studied here a linear  $\sigma$  – SWC model  
416 was considered to be suitable. Although we recognize that given a wider range of soil water a  
417 more non-linear function may be suitable (as in, for example, Robinet et al., 2018). Despite  
418 this, our results show that, qualitative mapping of the impact of soil water reduction from  
419 excessive crop water uptake is potentially feasible in the Loess Plateau region of China.

420

### 421 3.4 Accumulation of chloride in the vadose zone

422 Simulated and observed SWC are plotted in Figure S4 and Figure S5 in the Supporting  
423 Information. Note that the soil hydraulic functions and root vertical distributions were  
424 prescribed according to Bai et al. (2020) and no further adjustments were conducted. The  
425 RMSE and MAPE were similar and low for all the plots (Figure S5), while the NSE value  
426 was different for each plot and showed higher efficiency for the Crop and Grass plots (Figure  
427 S5). These results were comparable to the analysis presented by Bai et al. (2020). Thus, the  
428 model can be considered to adequately describe the SWC dynamic under the investigated  
429 plots (Bai et al., 2020). By including the longitudinal dispersivity in the model, the transport  
430 of chloride (of rainfall origin) in the vadose zone under the different covers is revealed.

431 Figure 10 presents the calculated chloride concentrations at the end of the model runs (20<sup>th</sup>  
432 September 2017). The simulated chloride concentrations under the alfalfa are nearly two  
433 times higher compared with the fallow and six times that with the crop (millet, Figure 10).  
434 Previous studies in the LPC reported soil profile information that are comparable to the  
435 simulated chloride. Huang et al. (2013) showed an intensive accumulation of chloride under  
436 alfalfa (about 6.5 times higher than under rain-fed winter wheat crop). Additional studies  
437 (Gates et al., 2011; Huang et al., 2021) revealed an increase in chloride accumulation in the  
438 vadose zone under similar shrub covers as in this study and under orchards in the LPC.

439 An earlier study by Hilhorst (2000) suggested that under dry conditions, the  $\sigma_a$  measured by  
440 EMI, is more affected by the increase of pore water conductivity and less closely associated  
441 to SWC. Furthermore, in semi-arid areas the climatic forcing has a major effect on deep  
442 drainage. The level of deep drainage intensity would define the build-up of salts and their  
443 distribution in vadose zone (Scanlon et al., 2010). Recently, several studies have indicated  
444 that the pore-water conductivity distribution in the vadose zone should be considered when  
445 establishing an *in situ*  $\sigma$  - SWC relationship in semi-arid areas (Moreno et al., 2015; Cassiani  
446 et al., 2016). However, currently there are no reported field studies of *in situ* simultaneous  
447 measurements of SWC,  $\sigma_a$  and pore water conductivity under semi-arid conditions. The  
448 build-up of salts and associated soil salinity in the LPC vadose zone has surprisingly received  
449 little attention.

450

#### 451 **4. Summary and Conclusions**

452 The measurements of SWC in deeper parts of the vadose zone at large scales is challenging.  
453 Geophysical methods such as the EMI approach might facilitate a bridge between processes  
454 observed locally and at larger scales. Here, EMI was applied to measure apparent electrical  
455 conductivity over six effective depths in four plots covered by typical land cover types  
456 (shrub, grass, fallow and crop) in the north of the LPC. SWC were measured with neutron  
457 probes from the ground surface to a depth of 4 meters. The unique loess environment in the  
458 LPC, with its characteristic deep soils and relatively insignificant soil variability, reduces the  
459 effect of soil texture variation on EMI readings to minimum. Moreover, for this particular  
460 study, soil textural variation is insignificant and can be neglected. The similarity of the soil  
461 texture between all plots enabled a focus of investigation on the potential influences of  
462 different cover types on the spatiotemporal variability of SWC and apparent electrical  
463 conductivity.

464 An increasing trend in  $\sigma_a$  values: SL<GL<FL<CL, corresponds with the increase in average  
465 SWC in the plots. Moreover,  $\sigma_a$  values that were measured in the shrub covered plot show a  
466 relatively high variability, which is consistent with documented variability of SWC for soils  
467 under this vegetation, indicating unsustainable water conditions in the vadose zone.

468 Linear relationships between soil water content and specific-depth soil electrical conductivity  
469 ( $\sigma$ ) under the different land covers were established. The  $\sigma$  values were estimated using the  
470 SWC observations, assuming a linear relationship between these variables. The analysis  
471 reveals a change in model parameters with depth. Textural variation is apparently negligible  
472 (to 3m depth at least), however, such variation in model parameters may be attributed, in part,  
473 to changes in bulk density. Increases in pore water electrical conductivity are hypothesized as  
474 a primary cause of the depth dependency of the  $\sigma$  - SWC model parameters. Simulations of  
475 chloride profiles support the hypothesis that contrasts in pore water electrical conductivity  
476 could exist under different crop types. Elevated pore water conductivity beneath shrub and  
477 grass covers would imply even greater significance of the soil water content since these two  
478 cover types exhibit lower apparent conductivity than the other two cover types. To improve  
479 SWC prediction from EMI observations pore-water conductivity should be measured.  
480 Nevertheless, the results presented here illustrate how excessive water demands of Korshinsk  
481 Peashrub and Purple Alfalfa at the study site are revealed by their lower apparent  
482 conductivity and (for the case of the shrub cover at least) their high variation in apparent  
483 conductivity. Our EMI dataset reveals an immense potential for mapping, qualitatively at



484 least, areas of the Loess Plateau that are vulnerable to excessive vegetation demands, and  
485 hence unsustainable land cover.

486

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492

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779 **Figures**

780 **Figure 1** *Layout of the four plots in the Shenmu Research site. The lower part of the*  
781 *photograph is downslope. The black dots in the schematic show the locations of neutron*  
782 *probe and EMI measurements.*

783 **Figure 2** *Profiles of particle size distribution for two locations at the site, showing little*  
784 *spatial variability in textural properties. The locations of the shrub and fallow plots are*  
785 *shown in Figure 1.*

786 **Figure 3** *Daily rainfall between July 2017 and October 2017. The arrows indicate when the*  
787 *SWC and EMI surveys were conducted.*

788 **Figure 4** *Cumulative sensitivity functions for vertical coplanar (VCP) and horizontal*  
789 *coplanar (HCP) orientations with instrument located 1m above ground level. Arrows are*  
790 *positioned at the depth of investigation for a given coil spacing,  $s$ .*

791 **Figure 5** *Soil water content profiles in the four plots on the three survey dates. The solid line*  
792 *is the median profile; the shaded region shows the 1st and 3rd interquartile range.*

793 **Figure 6** *The average soil water contents under the different land covers. The horizontal line*  
794 *shows the median SWC, the box shows the 2nd and 3rd quartile range and the whiskers show*  
795 *the 1st and 4th quartiles.*

796 **Figure 7** *Box and whisker plots of the apparent electrical conductivity ( $\sigma_a$ ) measurements*  
797 *from six effective depths, which were obtained over the different land covers. The horizontal*  
798 *line shows the median SWC, the box shows the 2nd and 3rd quartile range and the whiskers*  
799 *show the 1st and 4th quartiles.*

800 **Figure 8** *Estimated versus observed  $\sigma_a$  for all crop cover types using the relationships in*  
801 *Table 2. The black line in each plot is the 1:1 relationship.*

802 **Figure 9** *Variation in  $\sigma$  - SWC relationship parameters with depth.*

803 **Figure 10** *Simulated chloride profiles in the vadose zone under the four land cover types.*

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806 **Tables**807 **Table 1.** *Coefficient of variation of apparent conductivity measurements*

Coil configuration and spacing	Crop	Fallow	Grass	Shrub
VCP 1.48m	9.23	15.65	8.57	18.25
VCP 2.82m	8.24	6.30	6.90	13.72
VCP 4.49m	5.45	5.96	7.23	9.98
HCP 1.48m	6.70	5.81	8.90	19.05
HCP 2.82m	6.16	6.23	7.80	12.11
HCP 4.49m	6.84	7.25	8.54	10.68

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809 **Table 2.** *Estimated relationships between soil water contents and  $\sigma$  for all land covers.*

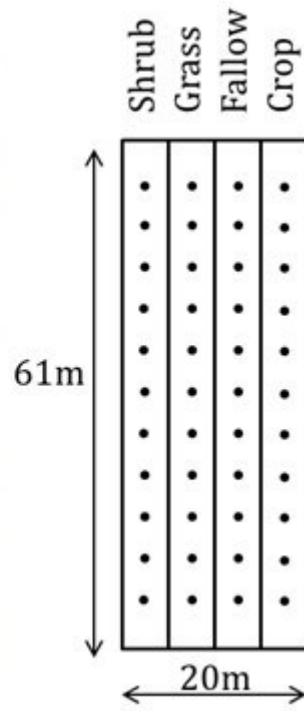
Configuration	Coil spacing, $s$ (m)	DOI (m)	$a$ (mS/m)	$b$ (mS/m)	RMSE (mS/m)
VCP	1.48	2.7	23.7	1.7	0.7
VCP	2.82	3.4	32.3	4.1	0.8
VCP	4.49	4.5	38.9	5.7	1.0
HCP	1.48	3.1	19.6	5.2	0.8
HCP	2.82	4.6	30.3	7.8	1.0
HCP	4.49	6.9	37.5	9.2	1.3

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Figure 1



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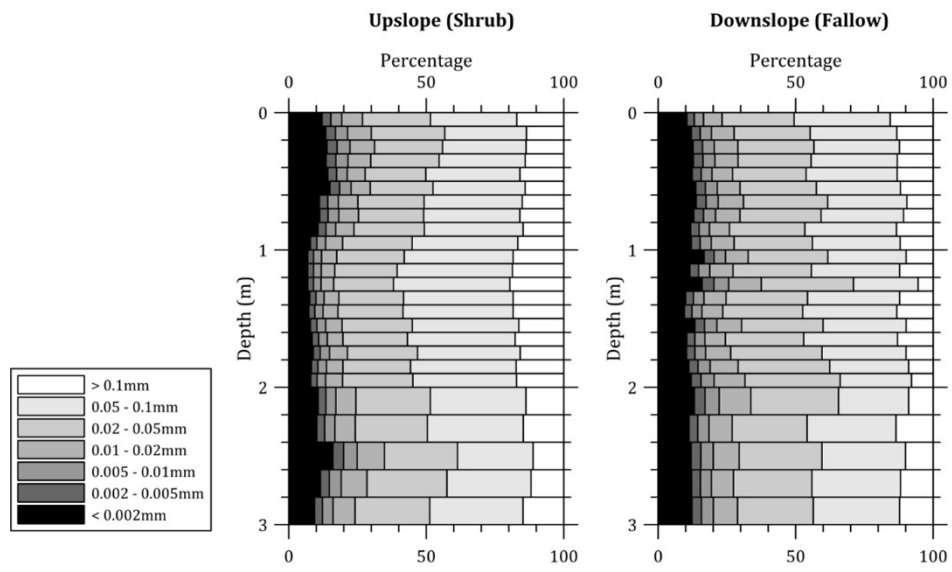
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Figure 2

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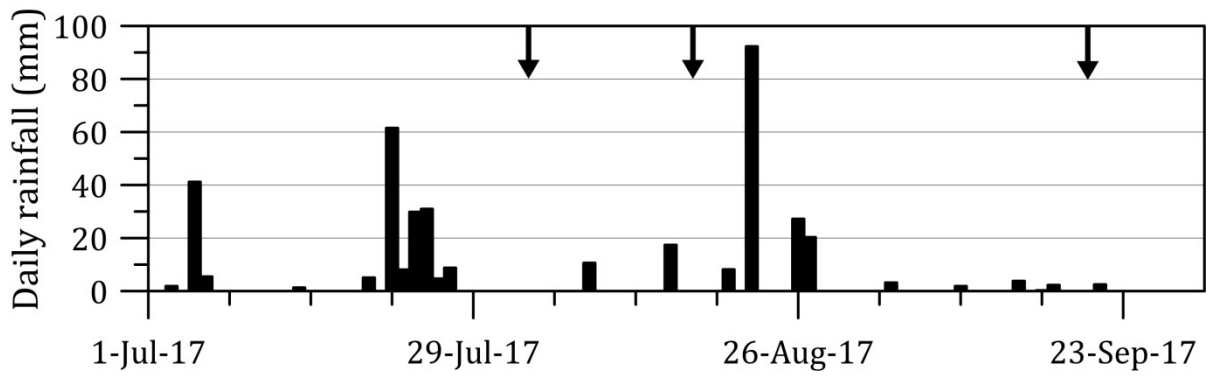
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**Figure 3**

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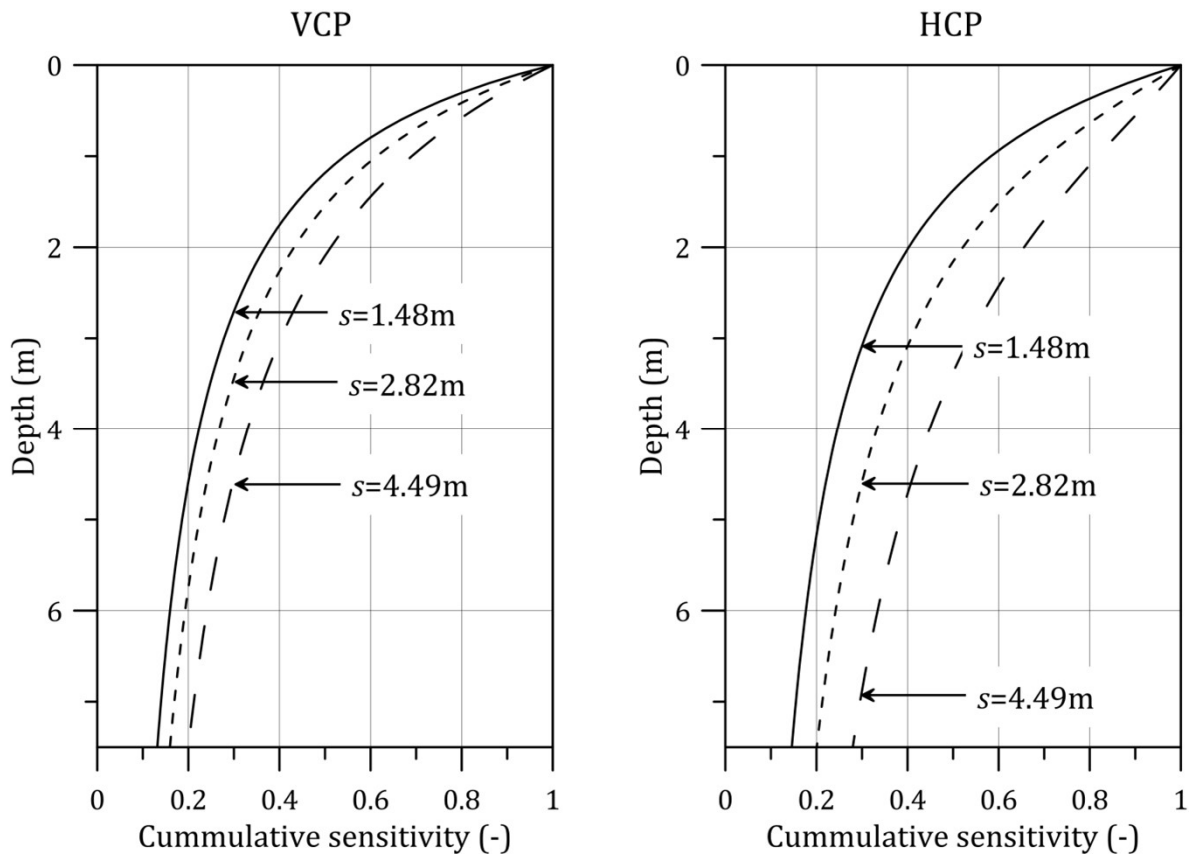
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Figure 4

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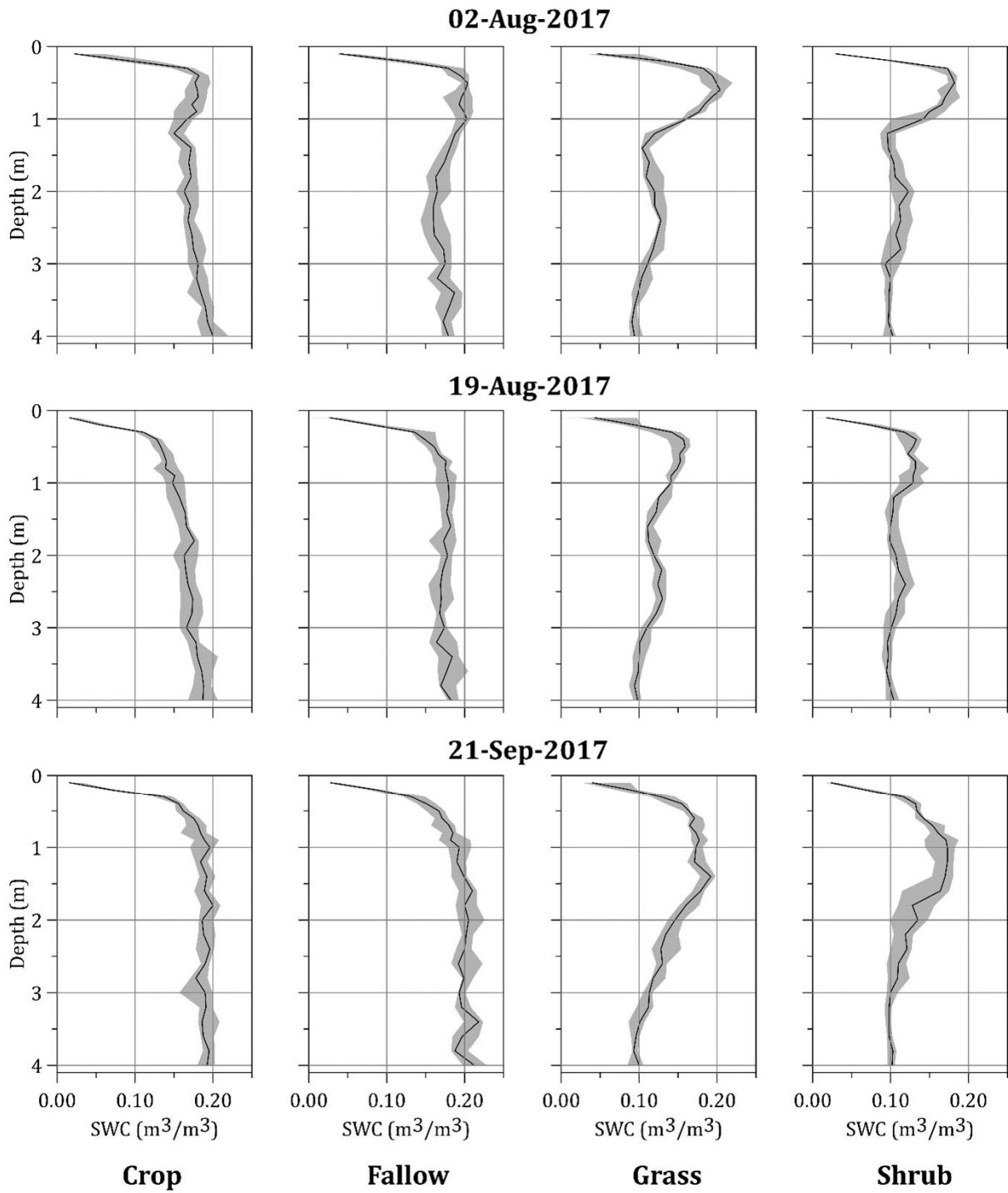
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Figure 5

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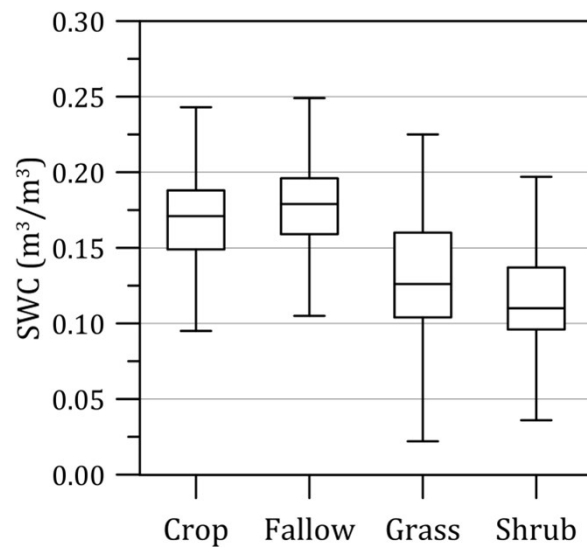
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**Figure 6**

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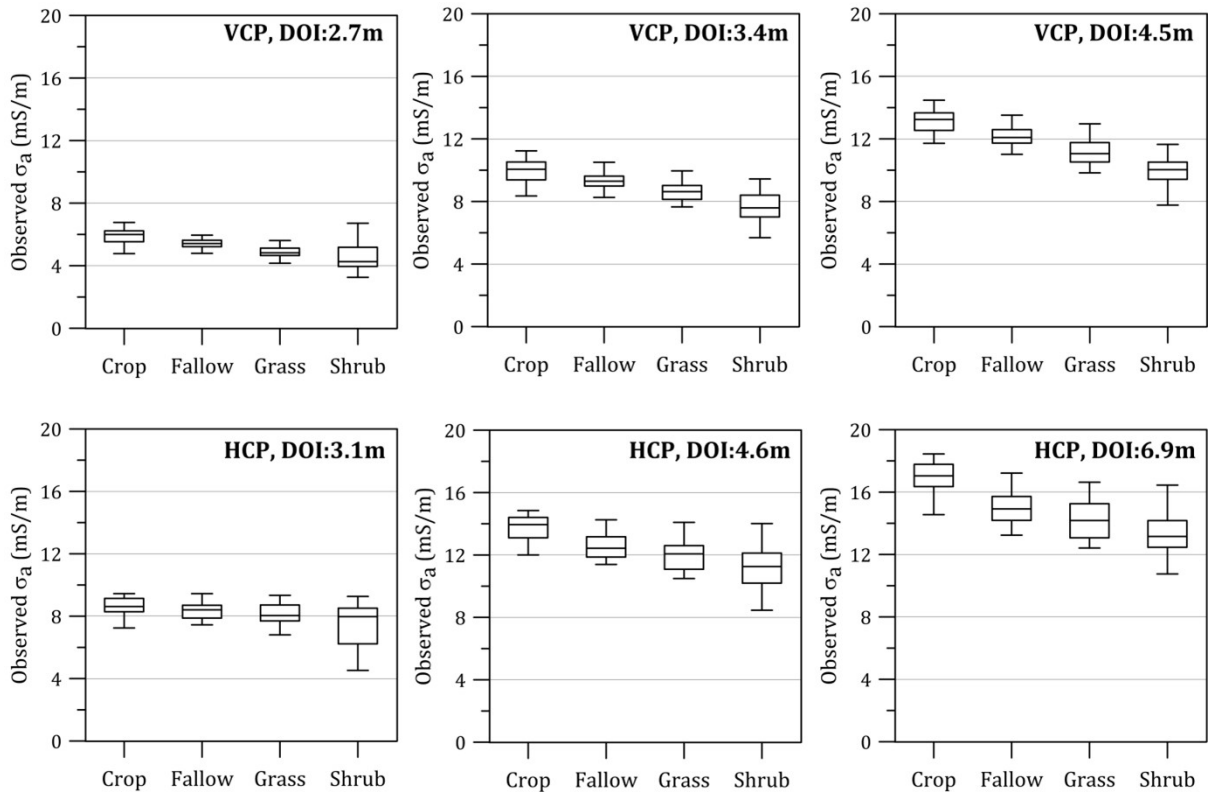
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Figure 7

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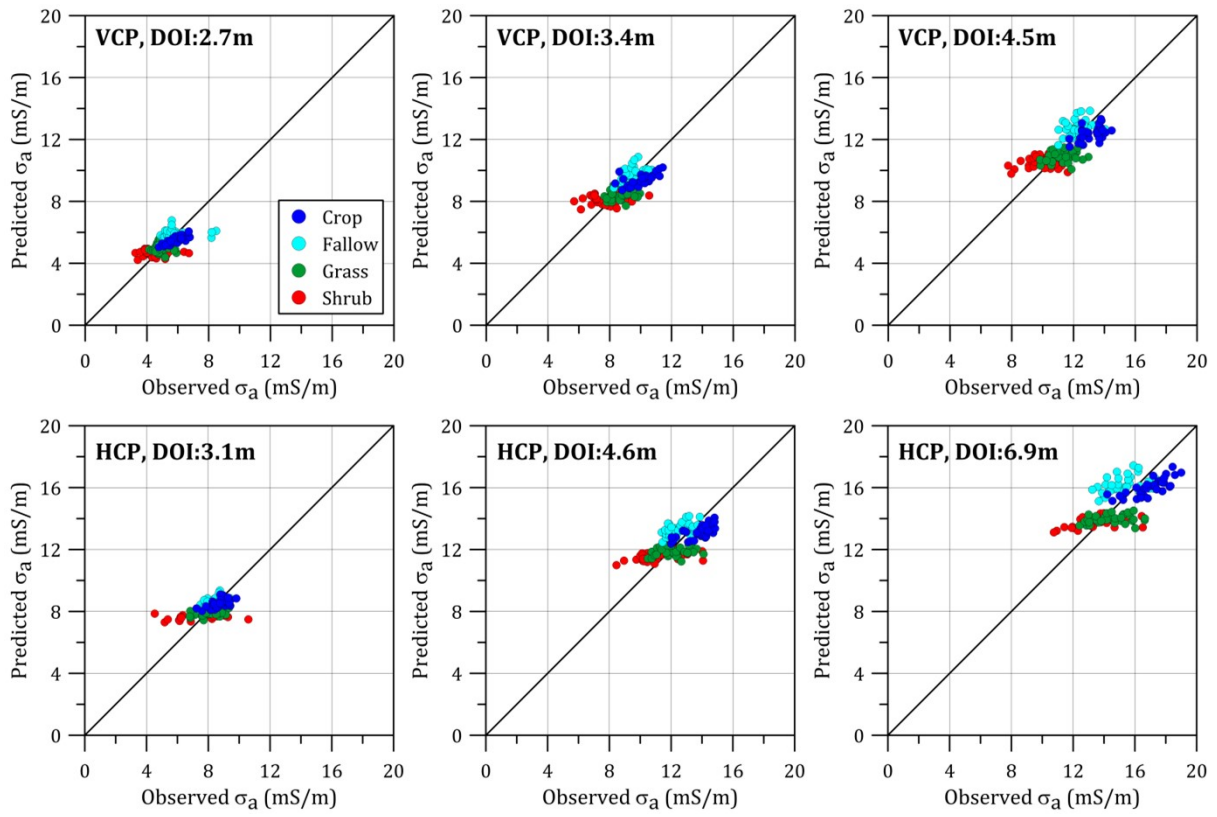
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Figure 8

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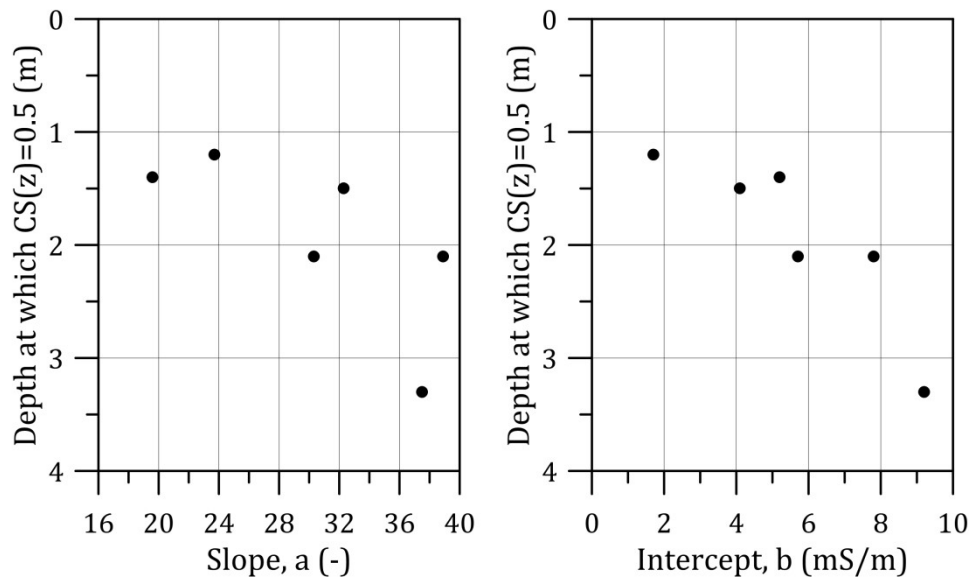
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Figure 9

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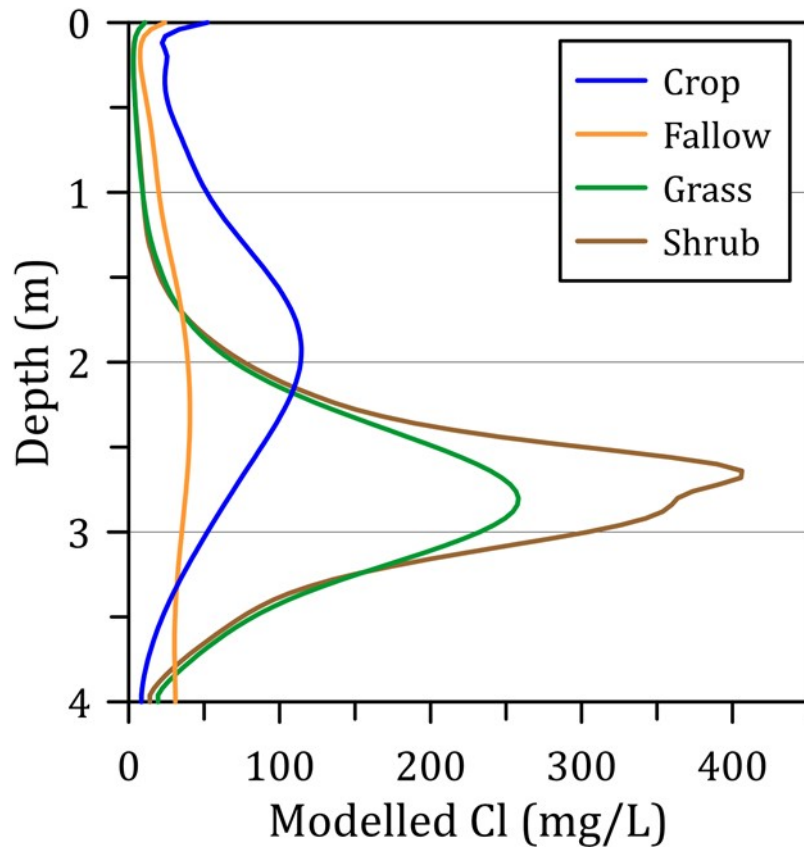
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Figure 10

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