

1 ABA regulation of root growth during soil drying and recovery can involve auxin response

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16 **Running head:** ABA/IAA mediated adaptation of roots to drought

18 **Abstract**

19 Abscisic acid (ABA) plays the important roles in plant adaptation to water deficits, but its role in
20 regulating root growth (primary root elongation and lateral root number) during different drought-
21 phases remains unclear. Here, we exposed wild-type (WT) and ABA-deficient (*not*) tomato plants to
22 three continuous drought-phases (moderate drying: day 0-21; severe drying: day 22-47; re-watering:
23 day 48-51). It was found that WT increased primary root growth during moderate drying; maintained
24 more lateral roots, and greater primary root and total root length under severe drying; and produced
25 more roots after re-watering. After RNA-Seq analysis, we found that the auxin-related genes in root
26 showed different expression patterns between WT and *not* under drying or re-watering. Further,
27 exogenous supply of IAA partially recovered the root growth of ABA-deficient *not* plants under three
28 continuous drought-phases. Our results suggested that ABA regulation of tomato root growth during
29 soil drying and recovery can involve auxin response.

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32 **KEY WORDS:** ABA, auxin, drought responses, gene expression, root

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35 1 INTRODUCTION

36 Drought is responsible for around 40% of crop losses in some agricultural regions and decreases crop
37 yields (Pathan, T., Subudhi, & B., 2004). Roots are often the first organ to respond to environmental
38 fluctuations (Xing, Zhao, Gao, Xiang, & Zhu, 2016) and changes in root architecture can alleviate the
39 effects of various stresses on plants. Roots typically proliferate in moist and nutrient-rich soil while
40 avoiding drought (Galvan-Ampudia et al., 2013). Water deficit usually limits shoot growth more than
41 root growth (R. E. Sharp et al., 2004) and may increase root growth rate compared to well-watered
42 plants (Shaheen, Riaz, & Zafar, 2016). In the field, increased root production was observed when tomato
43 plants were exposed to moderate water deficits (Reid & Renquist, 1997). However, severe water deficit
44 restricts root elongation (Fang & Xiong, 2015). Despite the methodological challenges of measuring
45 root growth *in situ*, the regulation of root architectural changes in response to water deficit has attracted
46 considerable attention.

47 Drought-induced changes in the accumulation of, and response to, phytohormones mediates changes
48 in crop growth, development and reproduction, including root architecture. Among these hormones,
49 abscisic acid (ABA) has been regarded as most closely related to drought stress (J. Zhang, Jia, Yang, &
50 Ismail, 2006), since ABA accumulates throughout the plant especially in the leaf tissue. ABA alters
51 plant physiological processes by influencing gene expression, which further enables plants to adapt to
52 various conditions (Quach et al., 2014; K. Yamaguchi-Shinozaki & Shinozaki, 2006). A full ABA
53 response in terms of developmental changes requires auxin signaling components (Emenecker &
54 Strader, 2020; Sarah et al., 2018), suggesting ABA is able to integrate auxin signaling to modulate plant
55 performance. Indole-3-acetic acid (IAA), the main auxin in higher plants, is an essential hormone that
56 modulates plant cell division, elongation and differentiation thus controlling almost every aspect of
57 plant growth and development, including lateral root formation and elongation (Perrot-Rechenmann,
58 2010). In *Arabidopsis*, *MYB96*-mediated ABA signals are coordinated with IAA signaling pathway
59 including *GH3* genes encoding IAA-amido synthetases that conjugate excess IAA to amino acids. The
60 *MYB96*-knockout mutant produced additional lateral roots and was more susceptible to drought stress,
61 while *MYB96*-overexpressed lines showed enhanced drought resistance (Seo, Xiang, Qiao, Park, & Park,
62 2009). In rice (*Oryza sativa*), exogenous ABA induced root expression of IAA biosynthesis and efflux
63 genes including *YUC* and *PIN*, suggesting that ABA determines IAA homeostasis through controlling
64 IAA-related gene expression (F. Y. Zhao et al., 2015). Furthermore, ABA can inhibit IAA-mediated
65 lateral root primordia of peanut (*Arachis hypogaea*) by decreasing AUX-dependent auxin transport
66 (Guo et al., 2012), suggesting crosstalk between ABA and IAA signaling pathways. However, many of
67 these gene expression studies have supplied ABA to well-watered plants, or simulated drought by
68 imposing an osmotic stress (C. Li et al., 2019; Rowe, Topping, Liu, & Lindsey, 2016), and it is uncertain

69 whether this crosstalk regulates plant response to drying soil when substrate water potential decreases
70 and soil strength increases simultaneously (Jin et al., 2013).

71 Experiments with ABA-deficient mutants have demonstrated that ABA is essential to maintain root
72 growth in both well-watered and drying soil (Fang & Xiong, 2015). ABA-deficient mutants of
73 *Arabidopsis* (*nced3*) and tomato (*not* and *flc*) had longer and more numerous lateral roots when grown
74 *in vitro* without osmotic stress (Belimov et al., 2014; Guo, Liang, & Li, 2009). Osmotic stress (75 mM
75 mannitol applied to MS agar plates) inhibited lateral root length of *Arabidopsis*, but this response was
76 attenuated in the ABA biosynthetic mutant *aba2-1* (Xiong, Wang, Mao, & Koczan, 2006). When grown
77 in both loose and compact soil, *not* had shorter root length, depth and diameter and fewer lateral roots
78 than its WT, revealing that endogenous ABA positively regulates root growth (Tracy, Black, Roberts,
79 Dodd, & Mooney, 2015). Furthermore, reciprocal grafting between wild-type and ABA-deficient
80 mutants demonstrated that translocation of shoot-derived ABA promoted adventitious root growth
81 under well-watered conditions (S. A. McAdam, Brodribb, & Ross, 2016). Localized root ABA
82 accumulation is also essential to maintain root elongation in drying soil, as decreasing ABA
83 concentrations chemically (using the inhibitor fluridone) or genetically (the ABA-deficient maize
84 mutants *vp5* and *vp14*) decreased maize (*Zea mays*) primary root elongation (Robert E Sharp & LeNoble,
85 2002; Robert E Sharp, Wu, Voetberg, Saab, & LeNoble, 1994). However, to our knowledge there has
86 been no integrated assessment of how ABA status affects both primary and lateral root growth responses
87 to drying soil and thereafter re-watering.

88 Understanding how water deficit modulates root architecture is critical to understand plant drought
89 resistance. While previous investigations focused on how discrete changes in soil water status affected
90 root growth of wild-type (WT) plants (Dong et al., 2019; Vander Mijnsbrugge et al., 2016; X. Zhang,
91 Lei, Lai, Zhao, & Song, 2018), plants are often exposed to drying soil followed by re-watering in both
92 natural and cultivated conditions (AghaKouchak, Cheng, Mazdiyasi, & Farahmand, 2014; Dodd et al.,
93 2015). Thus, we progressively exposed WT tomato and its ABA-deficient mutant *notabilis* (*not*) to
94 three phases: moderate drying, severe drying and re-watering. At each phase, we analyzed the root
95 architecture, transcript profiling and conducted gene co-expression network analysis, in aiming to
96 unveil the mechanisms by which ABA regulates root architecture. Also, some plants were treated with
97 exogenous ABA or IAA to test how those phytohormones modulate root traits. We hypothesized that
98 endogenous ABA regulated root growth via auxin-dependent processes.

99

100 **2 MATERIALS AND METHODS**

101 2.1 Plant material and treatments

102 Tomato (*Solanum lycopersicum* L. cv Lukullus) seeds and its abscisic acid (ABA)-biosynthesis mutant
103 *notabilis* (*not*) were used. *not* is a null mutation in the gene *NCED1*, encoding a 9-cis-epoxycarotenoid
104 dioxygenase involved in ABA biosynthesis (Burbidge, Grieve, Jackson, Thompson, & Taylor, 2010).

105 For the pot experiments, surface-sterilized seeds were germinated on wet filter paper. Seven days after
106 germination, homogeneous seedlings with one true leaf were transplanted into PVC columns (height 24
107 cm and diameter 10 cm) filled with sieved sand (diameter ≤ 0.850 mm) maintained at two different soil
108 water contents (see below) under controlled conditions. During treatment, supplementary LED lighting
109 (LPSW-5050LED-304, low intensity white LED lights, Fujian Luopu Biotechnology Co., Ltd., China)
110 supplied $150 \mu\text{mol m}^{-2} \text{ s}^{-1}$ at the canopy height for 16 h day^{-1} , with day/night mean temperature of
111 $24/20^\circ\text{C}$. Greenhouse humidity averaged 65%. To avoid nutrient deficits, the water-washed and air-
112 dried sand was irrigated with half strength of Hoagland solution. In this study, three completely
113 independent experiments were performed using WT and *not* tomato plants: first experiment without the
114 application of exogenous ABA; second experiment with the application of exogenous ABA; and third
115 experiment with the application of exogenous IAA.

116 To expose WT and *not* plants to similar soil water conditions, seven-day-old seedlings with one true
117 leaf were transplanted to the same pot (one plant of each genotype in each column). In the duration of
118 the experiment, control (well-watered) plants were grown in sand with a water content of 14% (sand
119 water-holding capacity; water potential is -0.01 MPa), which was maintained by weighing (re-irrigated
120 from top and base to the target weight) every day. Seedlings were also transplanted into sand (5% water
121 content with a -0.68 MPa average water potential in the whole pot), with 3 mL of water applied daily
122 to both treatments (to avoid plant dehydration due to soil evaporation) for one week. For the first 21
123 days, sand water content was maintained at 5% by weighing (re-irrigated from base to the target weight)
124 every day, before plants were exposed to severe drought for another 26 days, with soil evaporation and
125 plant transpiration decreasing water content to 0.4% (with a -7.04 MPa average water potential in the
126 whole pot) by which time leaves of *not* plants were wilting. Then plants were re-irrigated to a water
127 content of 14% and allowed to recover for 4 days. Plants were harvested after 21 (phase I: moderate
128 drying), 47 (phase II: severe drying) and 51 (phase III: re-watering) days of treatment (Fig. S1). To
129 visualize the distribution of water in sand, the column without plants was opened longitudinally at the
130 end of each phase and the derived cross section of sand was photographed. Then the picture was
131 imported into ImageJ software (v2.5.2, NIH) and processed by the Plugins/Bio-Formats function
132 (Rellan-Alvarez et al., 2015). Sand water distribution in the column was visualized by different image
133 colours, with sand water content manually calibrated according to the actual water content in every 2
134 cm layer (12 layers from each 24 cm column were determined gravimetrically-Table S1).

135

136 **2.2 Sand water potential determination**

137 The average sand water potential in the pot was assessed by a Dewpoint PotentialMeter (WP4-T,
138 Decagon, USA) according the manual. Briefly, WP4-T was allowed a warm-up period of 30 min after
139 turning it on (continuous mode; with sample chamber temperature of 25.0°C). Then the potential meter

140 was calibrated with a serial of KCl standard solution (0.05, 0.20, 0.40, 0.60, 0.80 and 1.00 mol kg⁻¹).
141 Each sand sample (pre-incubated at 4 °C) was loaded into the plastic cup (sample covers the bottom of
142 the cup, but less than half full) and inserted into the chamber drawer to detect water potential.

143

144 **2.3 Exogenous supply of abscisic acid or indole-3-acetic acid in pot experiments**

145 To investigate abscisic acid (ABA) or indole-3-acetic acid (IAA) effects on WT and *not* plants during
146 three different phases, a completely independent pot experiment was set up. Seedling transplanting
147 procedure and plant growth period for each phase were the same as described above. For the external
148 hormone addition, 2 mL of 1 μM ABA or 5 nM IAA (dissolved in water) was exogenously applied to
149 roots from the bottom of pot at each time, and an equal volume of water was applied to untreated plants,
150 as indicated in Fig. S1. The concentration of ABA (1 μM) used in this study was selected based on an
151 earlier study (Ghassemian et al., 2000), in which the relative root growth of wild-type *Arabidopsis*
152 peaked when exogenous ABA concentration increase to 1 μM in the agar medium; preliminary
153 experiments established that 5 nM IAA was sufficient to promote lateral root number, whereas 10 nM
154 IAA had no effect on lateral root number (Fig. S2). ABA was re-applied at 6 and 7-day intervals during
155 the soil drying and severe drought phases of the experiment, as indicated by arrows (Fig. S1). After re-
156 watering, ABA solution was applied once to roots on the first day of this phase. IAA was re-applied at
157 3-day intervals throughout the experiment. Plant sampling and root morphological analysis used the
158 same procedure as described above.

159

160 **2.4 Analysis of plant morphological traits**

161 The sampled shoots were oven-dried at 70°C for 72 h, and the dry weight of each sample was measured.
162 Roots grown in the sand medium were carefully washed out over a sieve with a mesh size of 2 mm,
163 then three roots were oven-dried to determine the dry weight and other roots were scanned in water
164 with a flatbed scanner (Epson Perfection V700 Photo, SEIKO EPSON CORP., Japan). Subsequently,
165 the images were analysed using the software WinRHIZO™ Reg 2016a according to the manufacturer's
166 instructions (Régent Instruments Inc., Canada).

167

168 **2.5 Abscisic acid quantification**

169 At the end of each phase, the entire roots from pot experiments (with or without the addition of ABA
170 treatment) were sampled for abscisic acid (ABA) determination. For each sample, 0.1 g fresh root was
171 prepared and homogenized in cold buffer (methanol : H₂O : acetic acid = 80 : 20 : 1, v/v/v), after
172 purification by petroleum ether and ethyl acetate, abscisic acid (ABA) was quantified by HPLC (Rigol
173 L3000, RIGOL Technologies, Inc. China) with a reverse-phase C18 Kromasil HPLC column (250 mm
174 × 4.6 mm, 5 μm). The mobile phase consists of an equal volume mixture of methanol and 1% acetic

175 acid (1: 1, v/v), at a flow rate of 0.8 mL min⁻¹, column temperature at 35°C. Excitation and emission
176 wavelengths were set at 254 nm and 360 nm, respectively. The amount of ABA in the sample was
177 calculated from peak area. The peak area (A_{peak}) at retention time of 10.76 min indicating ABA was
178 quantified, and subsequently ABA concentration (C_{ABA}) in 0.1 g root was calculated against with the
179 linear equation ($C_{\text{ABA}} = [A_{\text{peak}} + 1.0627] / 59.695$; $R^2 = 0.9997$) from the calibration curve prepared by
180 a serial of ABA standards. To validate the accuracy of HPLC-detected ABA in this study, the ABA
181 concentration of root samples without addition of exogenous ABA treatment was also analyzed by a
182 radio-immunoassay (Quarrie et al. 1988).

183

184 **2.6 RNA- sequencing and data processing**

185 Total RNA of the entire root, collected from plants at the end of each growth phase, was isolated by the
186 TRIzol[®] Reagent RNA preparation method (Invitrogen). RNA-sequencing (RNA-Seq) was performed
187 on the BGISEQ-500 platform. Data processing of RNA-Seq experiments raw data in the fastq format,
188 clean reads were obtained by removing reads containing adapter, unknown bases (N) and low-quality
189 reads from raw data (Chen et al., 2018). All the downstream analyses were based on clean data with
190 high quality. The clean reads were mapped to the reference genome
191 (https://www.ncbi.nlm.nih.gov/genome/?genome_assembly_id=393272) with an average mapping
192 ratio of 94% using HISAT2 (D. Kim, Langmead, & Salzberg, 2015), and then the fragments per kilobase
193 of transcript per million mapped reads (FPKM) were calculated using RSEM (B. Li & Dewey, 2011).
194 The differential gene of RNA-Seq experiments was determined using DESeq (Wang et al., 2010). The
195 resulting *P* values (negative binomial distribution) were adjusted using Benjamini and Hochberg's
196 approach to control the false discovery rate (FDR). Genes with $|\log_2 \text{FC (Fold change)}| > 1$ and false
197 discovery rate (FDR) value < 0.001 were defined as differentially expressed. The expression dynamics
198 of genes used in this study were visualized using the "ComplexHeatmap" R package. Gene ontology
199 enrichment analysis of the differentially expressed genes (DEGs) was performed using the DESeq
200 (2012) R package based on the hypergeometric distribution. After filtering the low-expressed DEGs, a
201 co-expression network for the remained 5,500 genes was analyzed by weighted gene co-expression
202 network analysis (WGCNA) according to the previous study (Langfelder & Horvath, 2008). The
203 generated co-expression networks were visualized by Cytoscape, and hub genes were identified using
204 the CytoHubba application in Cytoscape (Chin et al., 2014).

205

206 **2.7 Statistical analysis**

207 Three-way ANOVA (with main factors of experimental phase, genotype and watering treatment)
208 determined treatment effects, with Duncan's multiple range test used to discriminate means across all
209 experimental phases, with all analyses conducted using SPSS (v25). Unless stated otherwise, a
210 statistical significance level of $P < 0.05$ was used.

211

212 **2.8 Data Availability**

213 The RNA-Seq data have been deposited to the NCBI sequence read archive (SRA) under accession
214 number PRJNA670031. All other data supporting the findings of this study are available within the
215 article and its supplement.

216

217 **3 RESULTS**

218 **3.1 Morphological traits in the three different phases**

219 WT and *not* plants were grown in the same pot to ensure roots of both genotypes were exposed to similar
220 sand moisture (Figs. 1A, S1). Sand water content increased from 9% at the top to 18% at the bottom of
221 the pot even in well-watered plants (Table S1). In drying sand, these gradients were magnified, with
222 evaporation and plant transpiration decreasing sand water content at the top of the pot to 1%, with only
223 1-2 cm of moist sand (10%) remaining at the bottom of the pot at the end of phase I. At the end of the
224 drought (phase II), there was little water throughout the vertical profile, with dry sand (4%) even at the
225 bottom of the pot. Under these conditions, lateral gradients in sand water content were similar (Fig. 1B),
226 indicating the root system of each plant was exposed to similar conditions.

227 ABA concentrations of well-watered roots increased throughout the experiment (by 31% when
228 averaged across both genotypes), indicating that ABA status depended on plant development.
229 Throughout the experiment, *not* roots had 67% the ABA concentration of WT roots, with moderate
230 drying increasing ABA concentrations by 38% (averaged across both genotypes) in both genotypes (no
231 significant genotype \times treatment interaction – Table S2). Re-watering tended to decrease ABA
232 concentrations of WT roots after 4 days (Fig. 1C). The exposure of *not* to moderate drying resulted in
233 it having the same ABA concentrations as well-watered WT plants at the beginning of the experiment.
234 Thus, the two genotypes differed in their root ABA status, but not in how ABA responded to time or
235 drying. The root ABA concentrations of WT were always higher than those of *not* under well-watered
236 conditions, moderate drying, severe drying and re-watering. The ABA concentration from HPLC was
237 validated by the data obtained from radio-immunoassay (Figs. 1C, S3), suggesting that the ABA
238 concentrations detected by HPLC in this study were accurate.

239 Under well-watered conditions (14% sand water content), shoot biomass increased throughout the
240 experiment (by 85% when averaged across both genotypes). WT and *not* tomato had similar shoot
241 biomass throughout the experiment when grown in well-watered soil (Figs.1, S1). Under moderate
242 drying (day 0-21 with around 5% sand water content), no significant difference was found in shoot
243 biomass between WT and *not* tomato. During severe drying (day 22- 47 with sand water content from
244 5% to 0.4% and the average sand water potential in the whole pot dropped from -0.68 MPa to -7.04
245 MPa), the shoot biomass of *not* tomato was significantly lower than that of WT. Leaf relative water
246 content (RWC) of *not* plants was marginally less at each experimental phase (Fig. S4). At the end of

247 phase I (moderate drying) and phase III (re-watering), RWC did not significantly differ between WT
248 and *not* tomato. However, at the end of phase II (severe drying), RWC of *not* plants was significantly
249 lower than WT plants, as indicated by a significant phase × treatment interaction (Table S2). Thus,
250 based on these results, we set up these experimental phases (well-watered, moderate drying, severe
251 drying, re-watering).

252 Moderate drying significantly increased PRL (primary root length) of WT by about 20%, but failed
253 to promote PRL of *not* (Fig. 2A), indicating that ABA-mediated regulation of primary root elongation
254 not just depends on soil moisture but also ABA (significant genotype × water interaction – Table S2).
255 Well-watered WT plants had significantly more (by 27% averaged over the experiment) lateral roots
256 than *not* plants. Moderate drying decreased the number of lateral roots (NLR), and magnified this
257 genotypic difference such that *not* plants exposed to drying soil had 55% fewer lateral roots over the
258 experiment.

259 Similarly, a greater total root length (TRL) from WT plants compared with *not* was observed
260 especially under water-deficient conditions (Figs. 2B, 2C, S5). Compared to well-watered plants, soil
261 drying at phase I largely decreased NLR and TRL of *not* plants (54% and 45%, respectively), and severe
262 drying (at the end of phase II) further decreased NLR and TRL of *not* by 70% and 50%, respectively.
263 Water deficiency at the first two phases also negatively affected on NLR and TRL of WT plants (-25%
264 of NLR and -21% of TRL, averaged across both phases). Re-watering at phase III promoted NLR and
265 TRL of WT but had very limited effects on *not* plants after 4 days (Fig. 2B, 2C).

266 Taken together, compared to ABA-deficient tomato (*not*), WT increased primary root growth during
267 moderate drying; maintained lateral root number, primary root and total root length under severe drying,
268 and produced more root number and length after re-watering. Further, endogenous ABA status
269 modulated these various root traits (Table S2).

270

271 **3.2 Transcriptome analysis of tomato roots**

272 To give insight into molecular aspects of tomato roots responding to moderate drying, severe drying
273 and re-watering, the expressions of large-scale genes from the entire roots were quantified by RNA-
274 Sequencing. A total of 24,226 genes were detected in 36 root samples from the three progressive phases
275 (Fig. S6), of them 7,025 differently expressed genes (DEGs, WT vs *not*) were identified (Table S3) and
276 those DEGs were classified by the enrichment analysis of Gene Ontology (GO). The GO terms
277 GO:0005975 (carbohydrate metabolic process), GO:0055114 (oxidation-reduction process) and
278 GO:0042446 (hormone biosynthetic process) were over-represented (Table S4). Severe drying resulted
279 in larger number of different expressed genes in roots when compared with that of well-watered plants
280 (Fig. 3A, left). The gene expressions including those for ABA biosynthesis and response in WT plants
281 tended to increase in response to soil drying, but decreased in *not* plants (Fig. S7). Besides, overall gene

282 expression level in *not* plants was relatively lower than WT (Fig. 3A right), indicating ABA may
283 promote gene expression regardless of sand water status.

284 All the DEGs were subjected to TCseq analysis, which generated 6 gene clusters (Fig. S8). Those
285 clusters were further assigned into 3 different expression patterns and visualized by heatmap (Fig. 3B).
286 The genes from each pattern underwent enrichment analysis of GO (Fig. 3C). At phase I (moderate
287 drying), the GO terms GO:0055114 (oxidation-reduction process) and GO:0009628 (response to abiotic
288 stimulus) were over-represented (Table S5). At phase II (severe drying), a total of 45 GO terms, such
289 as GO: GO:0009734 (auxin-activated signalling pathway), GO:0006833 (water transport), GO:0030104
290 (water homeostasis) and GO:0009992 (cellular water homeostasis), were significantly enriched (Table
291 S6). At phase III (re-watering), the GO terms GO:0006950 (response to stress), GO:0006952 (defense
292 response), GO:0042446 (hormone biosynthetic process), GO:0042445 (hormone metabolic process),
293 GO:0009692 (ethylene metabolic process) and GO:0009693 (ethylene biosynthetic process) were over-
294 represented (Table S7).

295 To determine specific genes that are highly associated with plant drought-resistance, we identified
296 20 distinct modules using weighted gene co-expression network analysis (WGCNA), amongst them the
297 magenta module was closely correlated with endogenous ABA (Fig. S9). Expression of genes in the
298 magenta module was depressed in *not* plants especially with soil drying, but recovered with re-watering.
299 By contrast, WT plants maintained relatively stable gene expression throughout the experiment (Fig.
300 S10), indicating that internal ABA level may affect the expression of genes in magenta module under
301 drought. Genes in this module were over-represented in the GO terms GO:0022613 (ribonucleoprotein
302 complex biogenesis) and GO:0090304 (nucleic acid metabolic process), as shown in Table S8. By using
303 CytoHubba application in Cytoscape, it was predicted that four hub genes *NRP2* (NAP1-related protein
304 2-like), *NOP6* (nucleolar protein 6), *NOC2* (nucleolar complex protein 2) and *CPN60-2* (chaperonin
305 CPN60-2) might regulate *ABI5L* (ABA-responsive element binding factor) and further influences plant
306 response to drought (Fig. 3D; Tables S9, S10).

307

308 **3.3 Root morphology as affected by exogenous abscisic acid or indole-3-acetic acid**

309 Throughout the experiment, exogenous ABA enhanced root ABA concentration of *not* plants to a
310 similar level to WT plants (Fig. S11). Applying ABA to water-stressed plants (phase I and II) enhanced
311 PRL and NLR of *not* plants to similar levels as WT plants (Fig. 4), largely independent of soil water
312 status (Table S11). Thus ABA addition phenotypically rescued root growth of *not* plants in drying soil,
313 but had no deleterious impact on WT plants.

314 Differentially expressed genes involved in the indole-3-acetic acid (IAA) pathway were identified in
315 the three phases (Fig. 5). At phase I (moderate drying), most of the IAA-related genes (24 out of 27)
316 had higher expression levels in WT than *not* plants under the same water conditions. At phase II (severe
317 drying), genes involved in IAA synthesis, homeostasis and response were highly activated in WT plants

318 but further repressed in *not*, indicating an interaction between endogenous ABA and IAA pathway. At
319 phase III (re-watering), the re-supply of water de-repressed more IAA-related genes in *not* plants when
320 compared to WT. Meanwhile, the expression of genes encoding auxin-induced protein and auxin
321 response factor was maintained at a relatively high level.

322 The impact of IAA on root growth of WT and *not* was assessed by exogenous application of IAA to
323 plant roots. Moderate drying decreased primary root length (PRL) of *not* compared to WT, while IAA
324 addition increased the PRL of *not* to a level similar with WT throughout the experiment (Fig. 6A),
325 independent of soil water status (Table S12). Furthermore, IAA addition increased the number of lateral
326 roots (NLR) and total root length (TRL) of *not* plants at phase I and II. Re-watering (phase III) could
327 not restore NLR and TRL of *not* regardless of exogenous IAA, with 29% fewer lateral roots and 26 %
328 less TRL than WT plants (Fig. 6B, 6C). Thus, IAA addition phenotypically rescued root growth of *not*
329 plants in drying soil, but had no deleterious impact on WT plants.

330

331 **4 DISCUSSION**

332 **4.1 Responses of root to different levels of drought**

333 In the field, plants regularly face periods of soil drying or even extreme drought followed by rainfall,
334 especially as climatic changes result in more frequent occurrences of drought and flooding events
335 (AghaKouchak et al., 2014). In this study, we mimicked the water changes in pot experiment to
336 understand tomato root growth responses to soil drying and thereafter re-watering (Fig. 1). During Phase
337 I (moderate drying), to alleviate impacts of drying from the upper soil, tomato primary root growth was
338 stimulated to reach water in the deeper soil. Similar results were reported in other plants species,
339 moderate drought (with water potential of -0.51 MPa) largely increased Arabidopsis primary root
340 elongation rate compared with well-watered control (-0.10 MPa) (Van der Weele, Spollen, Sharp, &
341 Baskin, 2000), the stimulation was also shown for rice (Y. Kim et al., 2020). The ability of plant to
342 develop deeper root in respond to water limitation was recognized as an important strategy for plant
343 drought resistance (Fang & Xiong, 2015). In contrast, severe drought often decreased root growth rate,
344 as assessed by the restriction of length of partial/whole root system in Arabidopsis or rice (Y. Kim et
345 al., 2020; Van der Weele et al., 2000). The findings coincide with the results from our study, during
346 Phase II (severe drying), a long-term drought restricted tomato root growth but activated several
347 processes including stress hormone metabolism to cope with severe or extreme drought. The activation
348 of hormonal signaling such as ABA and IAA was found to be associated with enhanced plant drought
349 tolerance in tomato and Arabidopsis (Lee et al., 2012; T. Zhao et al., 2021). It is notable that at the end
350 of phase II it has a -7.04 MPa sand water potential (average water potential in the whole pot), which is
351 much lower than the previously proposed wilting point -1.5 MPa (O'Geen, 2013). The reason is that
352 tomato plants were continuously grown in three progressive phases, with the sand gradually dried

353 during phase II (the upper sand in the pot firstly dried), tomato plants developed deeper roots and could
354 absorb water at the bottom of pot where there was still 3.0-4.4% water (the lower sand between 20 to
355 24 cm) at the end of severe drying (phase II), even though the upper sand was very dry (Fig. 1B; Table
356 S1). The substantial water uptake by relatively few deeper roots at base of the pot under drought has
357 been evident by previous study of maize (Sharp & Davies, 1979). During Phase III (re-watering),
358 growth resumed following re-watering via producing new lateral roots in tomato plants. The newly
359 formed lateral roots after re-watering could increase the volume of soil reached by root, and thus confer
360 plant a stronger ability to absorb both nutrient and water for a quick recovery (Carvalho & Foulkes,
361 2018).

362

363 **4.2 Roles of ABA in root growth adaptation to drought**

364 Comparing root architecture traits and gene expression profiles between wild-type (WT) and the ABA-
365 synthesis mutant (*not*) revealed that low endogenous ABA concentrations profoundly restricted overall
366 gene expression and reshaped root architecture in the three progressive phases, suggesting that ABA is
367 a key regulator of plant drought resistance by modulating gene expression and root architecture. In *not*
368 plants, the lacking of *NCEDI* led to a decrease in ABA concentration especially under drought, the less
369 accumulation of ABA subsequently restricted shoot growth partially via affecting water loss from
370 stomatal (Fig. 1). Previous studies unraveled that ABA is predominantly synthesized in leaves in
371 respond to soil drying, the foliar ABA could be transported via the phloem to roots and alters plant root
372 growth (Scott AM McAdam & Brodribb, 2015; S. A. McAdam et al., 2016; Scott AM McAdam, Manzi,
373 Ross, Brodribb, & Gómez-Cadenas, 2016). Deeper roots in soil were recognized as a desirable trait for
374 better acclimation to drought (Fang & Xiong, 2015; Mohamed, Keutgen, Tawfika, & Noga, 2002).
375 During moderate (phase I) and severe drying (phase II), water limitation highly repressed the number
376 of lateral roots and total root length, particular in the ABA-biosynthesis mutant; while it promoted
377 primary root elongation of WT plants. However, other factors may also contribute to the increased root
378 growth under soil drying. Earlier study found that a better aeration caused by partial drying could
379 increase root elongation, the reason is that a better aeration in soil will provide more O₂ for root
380 respiration and thus support the energy demand of root growth toward water (Liang, Zhang, & Wong,
381 1996). Under well-watered conditions, the primary root length of *not* was similar or slightly greater
382 than WT (Fig. 2), indicating that the effects of endogenous ABA concentrations on root growth depend
383 on soil water availability (Table S2). Similarly, increasing root tip ABA content of well-watered plants
384 greatly inhibited root elongation, but in drying soil chemically (inhibitor) or genetically (*vp5* or *vp14*)
385 decreasing ABA content restricted root growth (Robert E Sharp & LeNoble, 2002; Robert E Sharp et
386 al., 1994). With greater root extension, plants can take up water and water-soluble nutrients from deeper
387 soil and thus maintain turgor, transpiration and photosynthesis for longer under drought, thereby
388 prolong plant survival (Fang & Xiong, 2015; Rellán-Álvarez et al., 2015; Uga et al., 2013). ABA-

389 mediated root growth promotion under water-limited conditions were confirmed in the second
390 experiment, the application of 1 μ M ABA to an ABA-biosynthesis mutant phenotypically rescued root
391 growth under drought (Fig. 4). Similarly, exogenous application of ABA to ABA-deficient mutants
392 (*Arabidopsis thaliana*) rescued the ability of roots to grow towards higher moisture (Takahashi, Goto,
393 Okada, & Takahashi, 2002). Low exogenous ABA doses (100 nM) promotes root growth rate in the
394 wild-type *Arabidopsis* but not *snrk2.2 snrk2.3* (mutant in ABA perception), which was accompanied
395 by an increase in cell division and mature cell length (Dietrich, Pang, Kobayashi, Fozard, & Bennett,
396 2017). Four days after re-watering, the primary root growth and root branching of *not* tomato were
397 partially restored compared with WT at the end of phase III (re-watering), while the combination of re-
398 watering and ABA application fully recovered those variables to WT levels (Figs. 2, 4), suggesting an
399 indispensable role of ABA in plant drought recovery.

400 The less accumulated endogenous ABA, in particular under drought, significantly down-regulated
401 thousands of genes in roots (Figs. 1, 2A, 2B). In the comparison with WT plants, the repressed genes
402 in *not* were overrepresented in the gene ontology (GO) terms related to carbohydrate metabolism,
403 oxidation-reduction and response to the abiotic stimulus at phase I (moderate drying); carbohydrate
404 metabolism, auxin-activated signaling pathway, water transport and water homeostasis at phase II
405 (severe drying); regulation of the metabolic process, carbohydrate metabolic, response to stress, defense
406 response, hormone biosynthesis/metabolism and ethylene biosynthesis/metabolism at phase III (re-
407 watering); the activation of auxin-signaling pathway in wild type tomato but not in the ABA-deficient
408 plants under drought suggested the necessity of ABA signaling for the auxin-dependent root growth
409 (Fig. 3C; Tables S4-S6), effects of ABA and IAA interaction on root architecture were also evident by
410 an earlier study that loss-of-function *abi3* *Arabidopsis* reduced numbers of lateral roots in the presence
411 of auxin (Brady, Sarkar, Bonetta, & McCourt, 2003). Among the enriched biological processes at phase
412 I and II, some of the GO terms agree with earlier findings that mild and severe drought limited metabolic
413 process but activated defense response (Chaves, Maroco, & Pereira, 2003; Fang & Xiong, 2015). A co-
414 expression network from DEGs responsive to drought was analysed by weighted gene co-expression
415 network analysis (WGCNA). Four hub genes including *NRP2* (NAP1-related protein 2-like), *NOP6*
416 (nucleolar protein 6), *NOC2* (nucleolar complex protein 2) and *CPN60-2* (chaperonin CPN60-2) with a
417 potential target gene *ABI5L* (ABA-responsive element binding factor) were predicted by WGCNA (Fig.
418 3D). ABI5 is a member of basic leucine zipper (bZIP) transcription factor and it has been recognized
419 as a major ABA signaling component. In *Arabidopsis*, the homologue of *SLAB15* functions as an
420 activator in the expression of ABA-responsive element (ABRE) via the binding specifically to the
421 *LET65/RD29B* gene promoter (Uno et al., 2000), which enables plants respond to abiotic stress such as
422 drought (Kazuko Yamaguchi-Shinozaki & Shinozaki, 1993). Among those hub genes, the nucleosome
423 assembly protein-related protein (NRP) was recently evident that it is associated with plant drought
424 tolerance, *NRP1*-overexpressing *Arabidopsis* showed a better drought tolerance than the wild-type and

425 the *nrp1-1 nrp2-1* mutants through some unknown mechanisms (Barna, Gémes, Domoki, Bernula, &
426 Fehér, 2018). The results raise the possibility that *NRP* likely alter plant drought resistance by
427 modulating *ABI5L*. However, further experiments are needed to validate the interaction between the
428 hub genes and their target.

429

430 **4.3 Roles of IAA in root growth adaptation to drought**

431 Prolonged soil drying significantly enriched genes involved in auxin-activated signalling pathways (Fig.
432 3C), suggesting indole-3-acetic acid (IAA) signalling participates in regulating plant drought resistance.
433 Increased drought intensity gradually activated the IAA signalling pathway at the transcriptional level
434 in WT plants, while re-watering decreased the number of highly expressed genes. In contrast, *not* plants
435 showed an opposite response in the three phases (Fig. 5), suggesting the IAA pathway was activated by
436 drought in an ABA-dependent manner, especially under extreme drought conditions. Indeed, drought
437 induced the flavin monooxygenase gene *YUC7* in *Arabidopsis* roots (belonging to IAA biosynthetic
438 pathway) is an ABA-dependent manner (Lee et al., 2012). The plant hormone IAA, a predominant
439 endogenous form of auxin, regulates plant primary and lateral root growth and development by
440 controlling cell division and elongation (Perrot-Rechenmann, 2010; Stefan & Peter, 2016), thus it was
441 presumed that endogenous IAA may contribute to the drought-induced primary root elongation. Besides,
442 elevated IAA also positively correlated with several stress-related gene expressions and antioxidant
443 enzyme activities, which decreased reactive oxygen species and thus enhanced drought tolerance (Kim
444 et al., 2013; Shi et al., 2014). Re-watering partially eliminated the repression of polar auxin transport
445 and auxin-dependent signaling processes in the ABA-biosynthesis mutant (Figs. 3, 5), which might lead
446 to an enhanced IAA movement and the subsequent restoration of lateral root growth (Qin & Huang,
447 2018). Moreover, suppressing ethylene biosynthetic and metabolic processes after re-watering may also
448 associate with the regrowth of primary and lateral roots (Fig. 3B, C), as evident by the finding that the
449 accumulation of internal ABA maintains maize (*Zea mays*) primary root elongation by restricting
450 ethylene synthesis (Spollen, LeNoble, Samuels, Bernstein, & Sharp, 2000).

451

452 **4.4 Crosstalk of ABA and IAA in response to external water change in three drought phases**

453 The addition of 5 nM IAA to *not* partially or fully rescued primary and lateral root growth when
454 compared with WT in three progressive phases (Figs. 4, 6). Either exogenous ABA or IAA tended to
455 recover root growth in *not* plants, likely via ABA-IAA interactions in roots. ABA together with its
456 receptor PYL8 can promote lateral root formation and elongation in an auxin-dependent manner. Briefly,
457 PYL8 could induce MYB77-dependent *ARF7* expression, which further increases the lateral organ
458 boundaries domain transcription factor LBD16 and LBD29 to promote lateral root growth (Xing et al.,
459 2016). Plants respond to drought by multiple mechanisms at morphological, biochemical and molecular
460 levels, ABA and its interactions with IAA was regarded as important. Earlier studies (Boyer, 1982;

461 Kramer & Boyer, 1995; Levitt, 1980) proposed that plant drought resistance involves several
462 mechanisms: drought avoidance (the ability of plant to maintain high water potential through reducing
463 water loss and enhancing water uptake), drought tolerance (the ability of plant to sustain basic level of
464 physiological activities through maintaining cell turgor pressure and reducing harmful metabolites),
465 drought escape (the artificial or natural adjustment to avoid the seasonal drought stress), and drought
466 recovery (the ability of plant to resume growth after re-watering). In the present study, we analyzed
467 plant root responses to different levels of drought: moderate drying, severe drying and re-watering. In
468 the three progressive phases, plant showed the abilities to alter root structure and modulate the
469 expression of hundreds of genes in respond to external water fluctuations, the adjustments at
470 morphological and molecular level confer plant drought resistance via different mechanisms.

471 In conclusion, our study provides an insight into the function of ABA and its coordination with IAA,
472 to modulate plant drought resistance by dynamically reshaping root architecture and influencing large-
473 scale of root gene expressions in the three progressive phases, which are important for our
474 understanding of plant adaption to continuously-changed soil water status.

475

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481

482 **CONFLICT OF INTEREST**

483 The authors declare that they have no competing interests.

484

485 **AUTHOR CONTRIBUTIONS**

486 Weifeng Xu, Qian Zhang and Wei Yuan designed the experiments. Wei Yuan, Qianwen Wang, Yiying
487 Cao, Feiyun Xu and Qian Zhang performed the experiments. Qian Zhang, Weifeng Xu, Ian C. Dodd
488 and Wei Yuan interpreted the data and drafted the manuscript.

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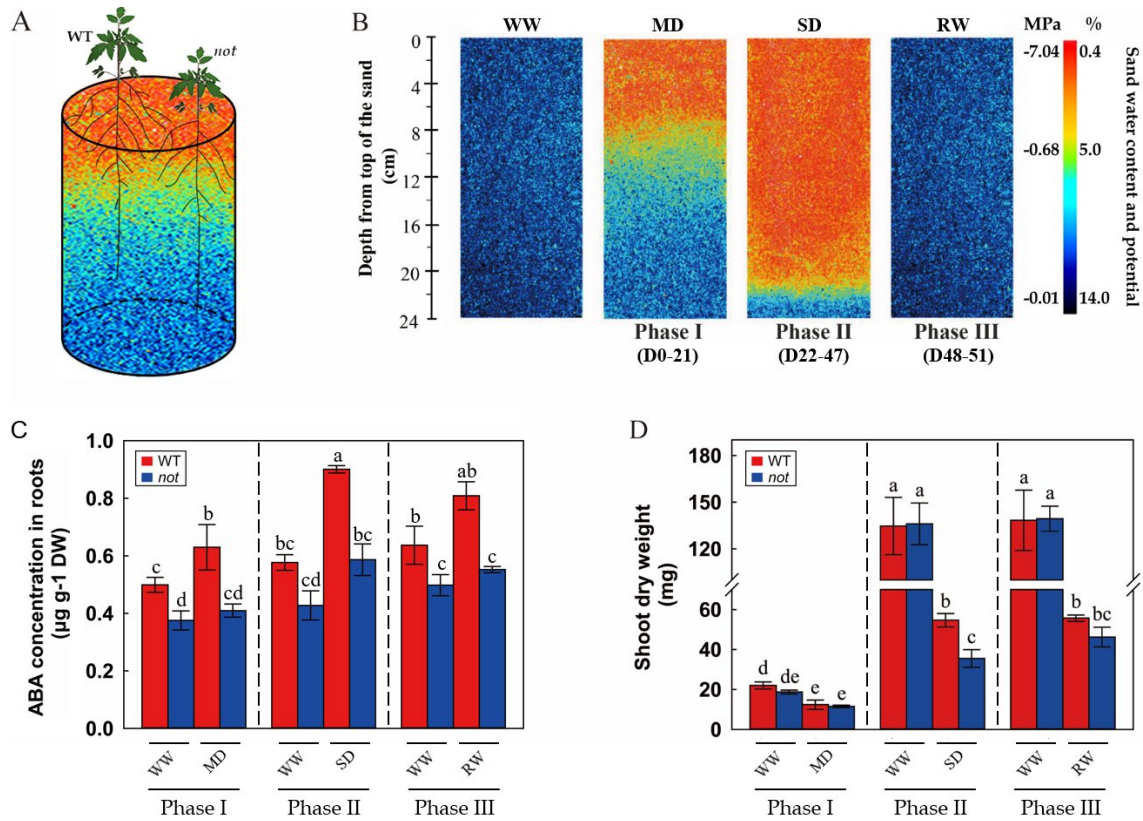
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665 **Figures and Legends**



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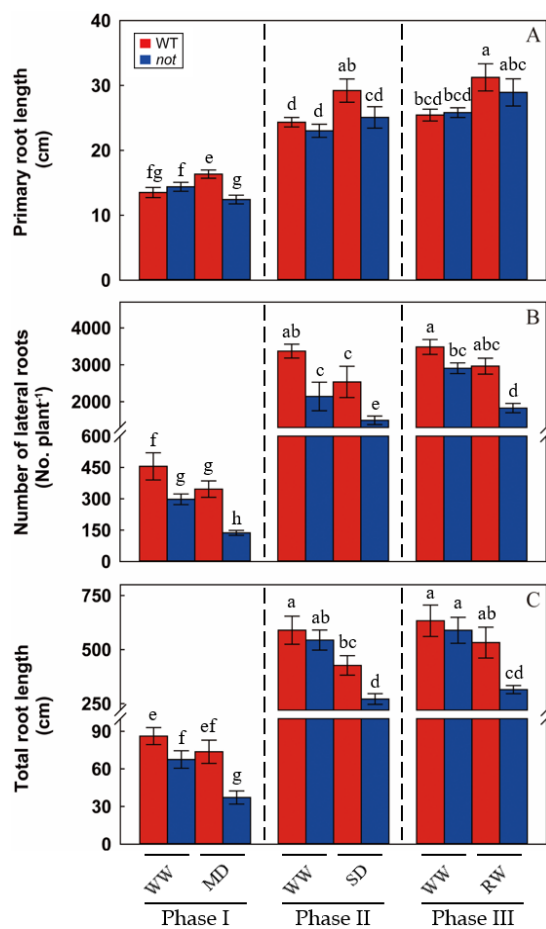
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Fig. 1. Experimental setup and plant growth of wild-type (WT) and ABA-biosynthesis mutant (*not*) tomato in three progressive phases of drought stress and recovery. At day 0, seven-day-old seedlings with one true leaf were transplanted to the pot (one plant of each genotype WT or *not* in each column) filled by sand, and sand water-holding capacity is 14%. Phase I: moderate drying (MD, day 0-21; 5% sand water content with water potential of -0.68 MPa); Phase II: severe drying (SD, day 22-47; sand water content gradually dropped from 5% at day 22 to 0.4% at day 47 with water potential of -7.04 MPa); Phase III: re-watering (RW, day 48-51; 14% sand water content with water potential of -0.01 MPa). (A) WT and *not* plants are grown in the same pot to minimize the difference in growth conditions. (B) Water profile in the pot at drying, drought and re-watering phases. Red color represents low water content in the sand, while blue represents high water content as shown by the color legend. Root ABA concentration (C) and shoot dry weight (D) of WT and *not* during the three experimental phases. At each phase, WW in the X-axis label means well-watered conditions. Bars in C and D represent means \pm SE of three plants, with different letters indicating significant difference between means across all three phases according to Duncan's multiple range test ($P < 0.05$).



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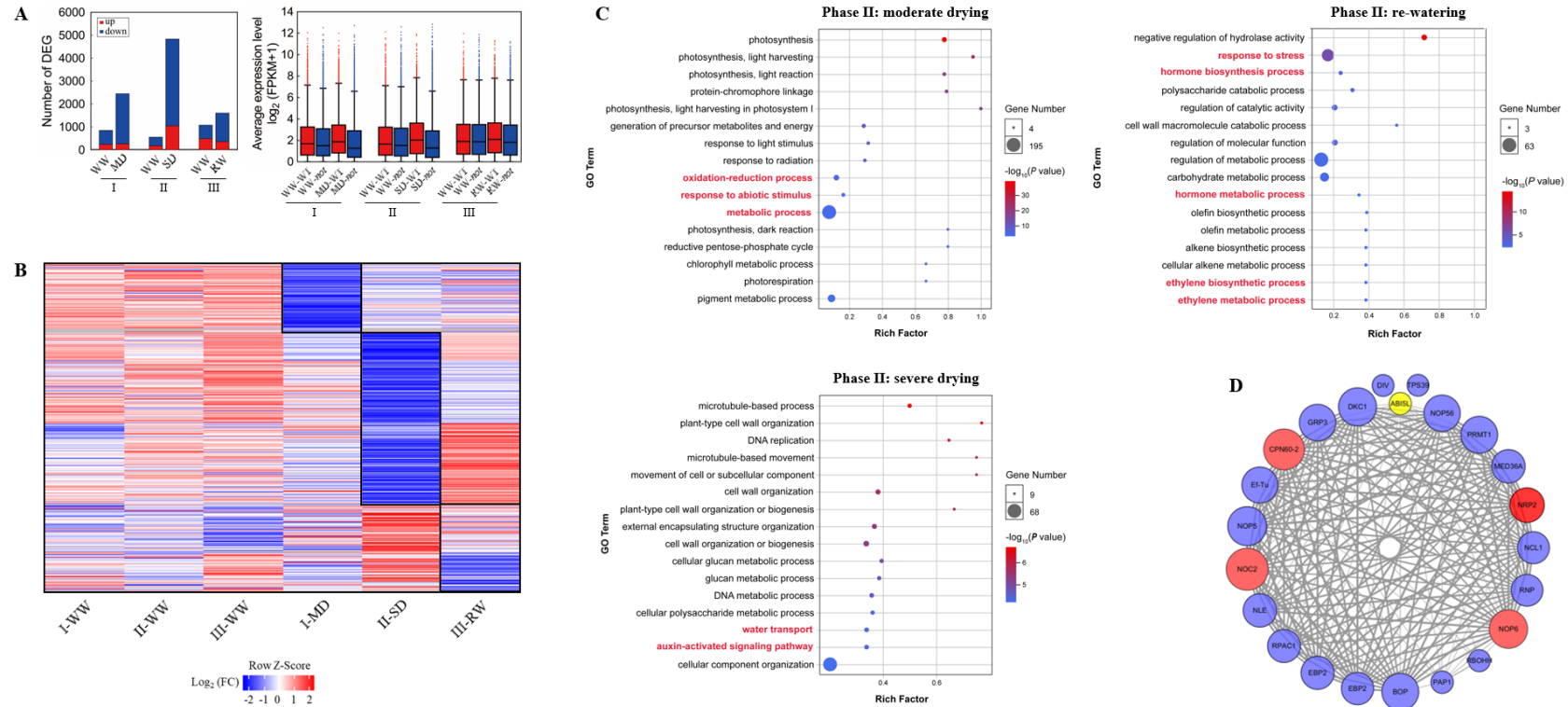
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685 **Fig. 2. Root traits of wild-type tomato (WT) and ABA-biosynthesis mutant (*not*) at the end of**
 686 **Phase I: moderate drying (MD); Phase II: severe drying (SD); Phase III: re-watering (RW).**
 687 Primary root length (A), lateral root number (B) and total root length (C) of WT and *not* plants during
 688 the three experimental phases. Bars represent means \pm SE of three plants, with different letters
 689 indicating significant difference between means across all three phases according to Duncan's multiple
 690 range test ($P < 0.05$).

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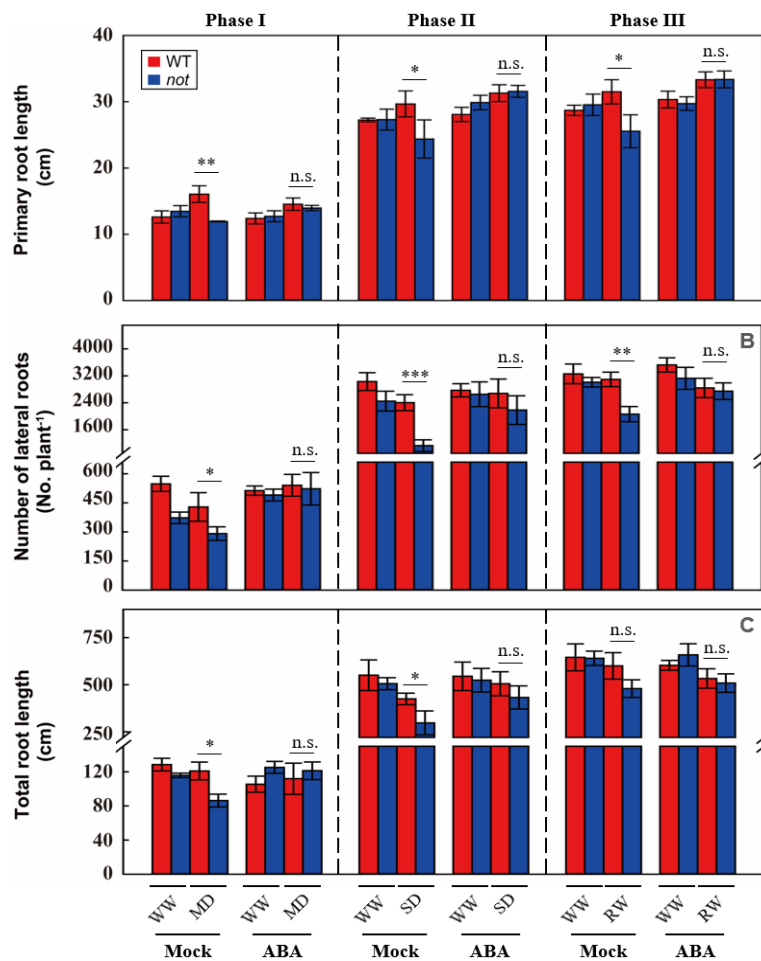


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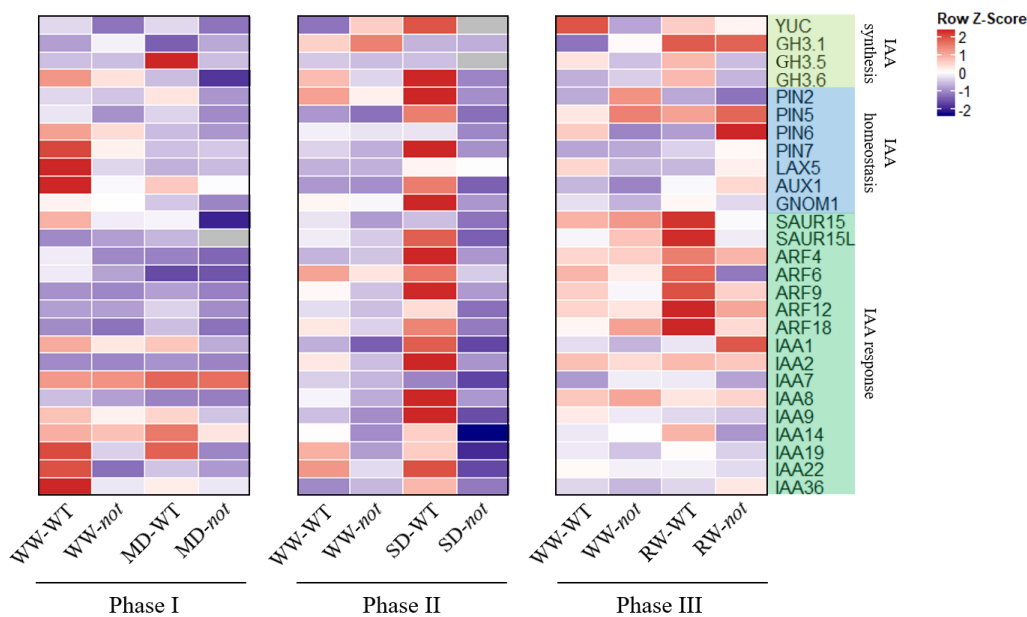
696 **Fig. 3. Gene expression pattern and co-expression network analysis.** (A) The number of differently expressed genes (DEG, WT vs *not* and fold change >
 697 2) and expression level of wild-type (WT) and ABA-biosynthesis mutant (*not*) at the end of Phase I: moderate drying (MD); Phase II: severe drying (SD);
 698 Phase III: re-watering (RW), FPKM represents Fragments per Kilobase Million. (B) Heatmap of DEGs during the three experimental phases. (C)
 699 Significantly enriched GO terms during the three experimental phases. (D) Identification of hub genes involved in plant drought responses by using weighted
 700 gene co-expression network analysis (WGCNA), red color represents four hub genes, while yellow indicates the target gene.

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Fig. 4. Exogenous supply of ABA recovered root growth of ABA-biosynthesis mutant (*not*) in three progressive phases under drought. Phase I: moderate drying (MD); Phase II: severe drying (SD); Phase III: re-watering (RW). Primary root length (A), lateral root number (B) and total root length (C) of WT and *not* plants during the three experimental phases. Mock plants received the same volume of water as the ABA-treated plants. Bars represent means ± SE of three plants, with asterisk indicating a significant difference between means according to Duncan's multiple range test. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$, n.s. indicates no significant difference at $P < 0.05$.



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717 **Fig. 5. Heatmap of IAA-related genes expression in wild-type (WT) tomato and ABA-biosynthesis**718 **mutant (*not*) at the end of Phase I: moderate drying (MD); Phase II: severe drying (SD); Phase**719 **III: re-watering (RW).** At each phase, WW in the x-axis label means well-watered conditions. YUC:

720 indole-3-pyruvate monooxygenase; GH: indole-3-acetic acid-amido synthetase; PIN: auxin efflux

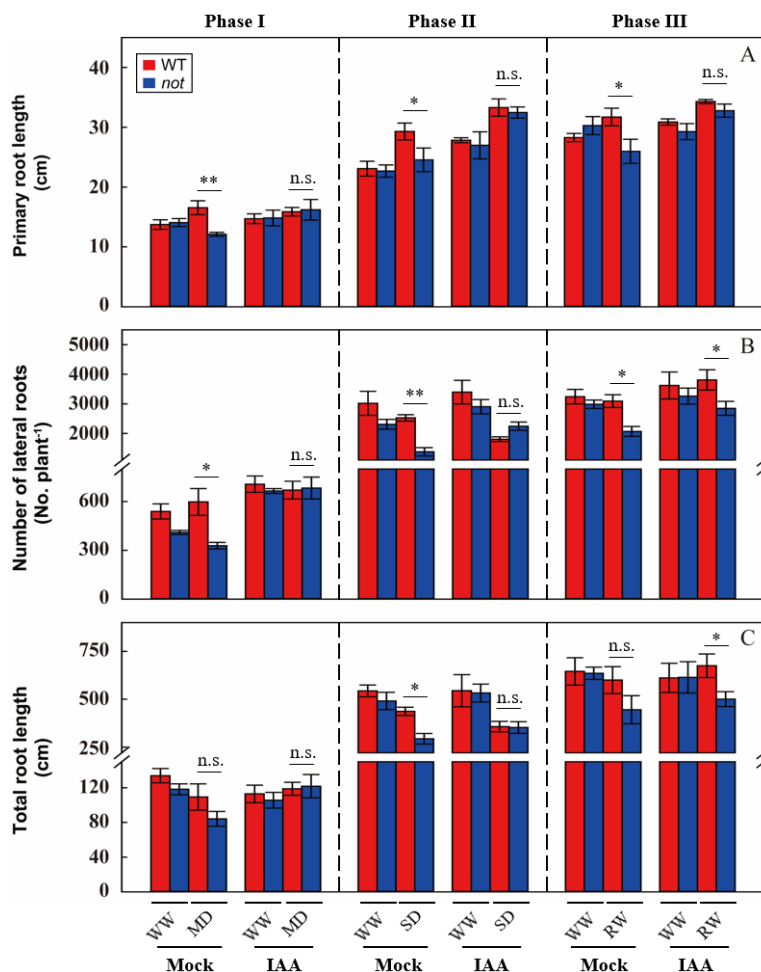
721 facilitator; LAX: auxin influx carrier, LAX family; AUX: auxin influx carrier; GNOM1: ARF guanine-

722 nucleotide exchange factor GNOM-like; SAUR: auxin-induced protein; ARF: auxin response factor;

723 IAA1-36: auxin-responsive protein.

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729 **Fig. 6. Exogenous supply of IAA partially recovered the root growth of the ABA-biosynthesis**
 730 **mutant (*not*) in three progressive phases under drought.** Phase I: moderate drying (MD);
 731 Phase II: severe drying (SD); Phase III: re-watering (RW). Primary root length (A), lateral root
 732 number (B) and total root length (C) of WT and *not* plants during the three experimental phases.
 733 Mock plants received the same volume of water as the IAA-treated plants. Bars represent means
 734 \pm SE of three plants, with asterisk indicating a significant difference between means according
 735 to Duncan's multiple range test. * $P < 0.05$, ** $P < 0.01$, n.s. indicates no significant difference
 736 at $P < 0.05$.