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7 **The ABC of social learning: Affect, Behavior and Cognition**  
8

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29 **Abstract**

30 Debates concerning social learning in the behavioral and the developmental cognitive sciences have  
31 largely ignored the literature on social influence in the affective sciences despite having arguably the  
32 same object of study. We argue that this is a mistake and that no complete model of social learning can  
33 exclude an affective aspect. In addition, we argue that affect can allow bridging of the debates of the  
34 unique characteristics of social learning in humans compared to other animals. We first review the two  
35 major bodies of literature in non-human animals and human development, highlighting the fact that the  
36 former has adopted a behavioral approach while the latter has adopted a cognitive approach, leading to  
37 irreconcilable differences. We then introduce a novel framework, affective social learning (ASL), that  
38 studies the way we learn about value(s). We show that all three approaches are complementary and  
39 focus, respectively, on behavior towards, cognitions concerning, and feelings about objects, events and  
40 people in our environment. All three thus contribute to an affective, behavioral and cognitive story of  
41 knowledge transmission: the ABC of social learning. In particular, ASL can provide the backbone of  
42 an integrative approach to social learning. We argue that this novel perspective on social learning can  
43 allow both evolutionary continuity and ontogenetic development by lowering the cognitive thresholds  
44 that appear often too complex for other species and non-verbal infants. Yet, it can also explain some of  
45 the major achievements only found in human cultures.

46

47 **Keywords:** affect; affective social learning; behavioral processes; cognition; culture; social learning

48

49

**Introduction**

50

51 Social learning is at the heart of knowledge transmission and culture formation in many animal species,  
52 including humans. However, while most of the relevant research in non-human animals (henceforth,  
53 ‘animals’) has remained at the behavioral level, presumably for fear of anthropomorphism (J. Panksepp,  
54 2011b), the relevant research in humans has been mostly understood from a cognitive point of view,  
55 even from a very early age. To illustrate this, one can look at the acquisition of tool use in children and  
56 other animals: both learn that a tool is a particular object, but only a child is likely to learn that the tool  
57 has been made by someone to achieve a particular goal (German and Defeyter, 2003). Given the  
58 underlying cognitive implications of this second step, particularly in terms of cognitive representations  
59 (Gruber, Zuberbühler, Clément, & van Schaik, 2015), it is difficult to apply the same theoretical  
60 framework to animals. Furthermore, the transfer of this knowledge is thought to rely on specifically  
61 cognitive social learning mechanisms and processes, including imitation and teaching, where a  
62 connection between model and learner is needed (Haun & Over, 2013). But the establishment of such  
63 connections between two human beings most likely relies on affect, in the form of an emotional bond.  
64 Yet, affects have remained almost absent from the social learning literature and debates, and are still to  
65 be fully accepted by comparative psychologists as worthy of scientific study at all (de Waal, 2011).  
66 Thus, crudely put, while there seems to be two stories of social learning, one behavioral and animal, the  
67 other more cognitive and human, there may be good reason to believe the addition of a human, affective  
68 component would drive these two stories further apart.

69

70 However, in this article, we will not only argue for a rapprochement of these two parallel lines of  
71 research, we will also argue that social learning processes largely rely on affect, and that the latter is  
72 central to the learning process across species. In fact, affect may even provide an evolutionary bridging  
73 chapter, a chapter which points the way to a fully integrated affective, behavioral and cognitive story  
74 of social learning that includes both humans and animals. We will argue that social learning constantly  
75 requires feedback from other individuals (e.g. a parent’s admonishing scowl or a partner’s encouraging  
76 hug), and that emotion is the most common and effective form of this type of feedback (Clément &

77 Dukes, 2020). In other words, other individuals' expressions can elicit particular and specific cognitions  
78 and behaviors, leading perhaps to learning something about the object, the context, or even the expresser  
79 herself (Hareli & Hess, 2010). It is generally understood by affective scientists that emotion motivates  
80 behavior and cognition, and to such an extent that it is difficult to imagine a model of human behavior  
81 or cognition that would not benefit from including affective processes.

82

83 One recent advance in this direction specifically concerns the interplay between behavior, cognition  
84 and emotion in acquiring cultural knowledge. Ultimately and more broadly, when a relatively tightly  
85 interconnected group of people provide the same information – that talking with your mouth full is bad,  
86 that you should support the reds but not the blues, or that the Catskills are worthy of a visit, for example  
87 – that group's values are transmitted, and the receiver of that information has socially learned that  
88 particular culture's values (Clément & Dukes, 2013). This *affective social learning (ASL)* organizes  
89 various mechanisms of cognition and behavior, including emotional contagion, affective observation,  
90 social referencing and natural pedagogy along an axis of intentionality (Clément & Dukes, 2017), and  
91 appears particularly suited for broad use across developmental, social and comparative psychology  
92 (Dukes & Clément, 2019).

93

94 We believe that it is high time to connect the field of emotion to the behavioral and cognitive fields of  
95 social learning, and that the ASL framework can offer both continuity with other species *and* reasons  
96 to explain our own uniqueness. For example, it allows us to study how similar a chimpanzee juvenile  
97 observing a dominant individual's reaction before crossing a dangerous tarmac road is to a child trying  
98 to figure out from her siblings whether crossing a busy Manhattan intersection is safe; as well as how  
99 such behavior can become a socially shared feature of the given community displaying it. Hence,  
100 adopting a broad approach towards what social influences are, and the manners in which they influence  
101 others across species by learning through emotions, may offer a way to repair the evolutionary  
102 discontinuity between human cognitive social learning and animal behavioral learning. We will point  
103 out that in the search of the origins of social learning, traditionally, social influences can be split along  
104 three lines: behavioral *social learning*, cognitive *social learning* (Part 1); and emotional *social*

105 *appraisal* (Part 2). We will argue that these distinctions may not be so clear, as all three could highlight  
106 a different focus on the effects that others have on us, respectively, on our behavior towards, cognitions  
107 concerning, and feelings about objects, events and people in our environment. Throughout the article,  
108 it will become explicit that these notions often collide and overlap. Indeed, generally-speaking, affective  
109 scientists would agree that a clear distinction between the cognitive, the behavioral and the affective is  
110 complicated: most definitions of “emotion” would in fact include both cognitive and behavioral aspects  
111 (Sander, 2013). This is also reflected in recent animal studies, when, compared to early behaviorist  
112 approaches, behavioral outputs are often taken as evidence of cognitive processes (see below). We will  
113 therefore strive to describe the different traditions of social learning by highlighting their focus of  
114 interest, while acknowledging that the other dimensions are often present and interrelated. Yet, by  
115 arguing that the affective dimension has remained neglected, we will describe how social learning could  
116 be defined more broadly than is usually the case, encompassing Affect, Behavior and Cognition – an  
117 ABC story of social learning. Adopting such an approach allows us to explore new paths, which may  
118 have led our species to its unique characteristics. Thus, in the final part of this paper, we will show that  
119 the affective dimension can be integrated in models of the evolution of culture and language, providing  
120 further clues to explaining the uniqueness of humans. Yet, first and foremost, a re-appraisal of the  
121 literature is warranted to join these three lines of research that have seemingly ignored each other, to  
122 the detriment of all three. With a view to highlighting touching points between them, this re-appraisal  
123 will be presented in terms of the increasing intentionality displayed by the learners and knowers to  
124 receive and transmit knowledge, beginning with something close to a contagion, involving minimal  
125 intentions to teach or learn, and culminating with consideration of an active, highly intentional  
126 transmission of cultural knowledge.

127

### 128 **Part 1: Traditions of social learning**

129

130 The two major traditions of social learning have aimed to understand how animals acquire knowledge  
131 in their respective environments (Zentall & Galef, 1988), and how children acquire their knowledge  
132 and language (Tomasello, 1999). The point of this section is not to review the sometimes bitter debates

133 that have fueled the growing literature, particularly with respect to the specific mechanisms at work  
134 (Tennie, Call, & Tomasello, 2009; Whiten, McGuigan, Marshall-Pescini, & Hopper, 2009), neither to  
135 claim that the animal social learning literature is exclusively behavioral nor that the developmental  
136 literature is exclusively cognitive. Yet, by exploring the major conceptual advances made in both  
137 domains, we aim to show that they have, for the most part, followed different theoretical paths. Because  
138 we are mostly concerned with the acquisition of cultural knowledge, we will not specifically focus on  
139 the adult human social learning literature, although we will address the relevant papers when necessary.

140

#### 141 **The behavioral animal social learning tradition**

142

143 The animal social learning tradition is rooted in research on behavior, as behavior is the only measurable  
144 unit when assessing beings that cannot communicate directly about their goals and beliefs with  
145 experimenters. This section will summarize many of the main ideas expressed concerning animal social  
146 learning, from Pavlovian associative learning to more cognitively grounded approaches. It will conclude  
147 by showing that animal social learning research remains rooted in behavioral explanations, mostly  
148 defined in terms of what it is missing when compared to human social learning, and characterized as  
149 lacking some high-fidelity copying mechanisms, claimed to be human-specific, such as imitation and  
150 teaching.

151

#### 152 ***From Pavlov to the social world***

153 It may be somewhat unusual to start a discussion on animal social learning by referring to Pavlovian  
154 conditioning. Yet, by frontally opposing the notion of instinct nearly seventy years ago, reference to  
155 Pavlovian conditioning started a tradition of animal learning (Krause & Domjan, 2017) which continues  
156 to shape discussions of animal traditions and cultures today. Lehrman (1953)'s attack on the use of the  
157 word *instinct* triggered a revolution in our understanding of animal behavior, leading to the  
158 abandonment of a split between instinctive versus learned behavior (Bateson & Mamerli, 2007; Marler,  
159 2004). This provided the impetus for a theory of associative learning to emerge, built on a Pavlovian

160 conditioning paradigm: while displaying genetic adaptations characterizing their species, animals must  
161 display enough flexibility to react to their environment in real time, thereby demonstrating a faculty for  
162 learning. The Pavlovian approach rests on Unconditioned Stimuli, which do not require prior training  
163 or learning, either posing direct threats to survival (e.g. predatory attack), or sustaining survival (e.g.  
164 food, water), so long as Unconditioned Responses are elicited (e.g. fighting or fleeing). Pavlovian  
165 conditioning allows the pairing of a Conditioned Response to a Conditioned Stimulus or context paired  
166 with an Unconditioned Stimulus. An example of Pavlovian conditioning could be Diana monkeys in  
167 the Tai Forest learning the alarm calls of birds for “leopard” and therefore enhancing their own survival  
168 by reacting adaptively upon hearing such calls (Zuberbuhler, 2000). While a review of the specifics of  
169 Pavlovian conditioning is outside the scope of the present article, it is interesting for our purpose to note  
170 that much of the literature on animal learning has been articulated about rewards, particularly food-  
171 based, possibly because it is the easiest to implement in laboratory settings (Schultz, 2006); these  
172 rewards elicit a positive affective learning experience. In addition, other relevant notions found in the  
173 affective literature (see also Box 1) tied to conditioning are those of approach and withdrawal behavior,  
174 as well as motivational valence, which condition whether an animal will increase or decrease a behavior  
175 in light of a pleasing or aversive outcome (Schultz, 2006). For example, in the words of Schultz (2006),  
176 “punishers induce negative emotional states of anger, fear, and panic” (p.94), which influence learning.  
177 The latter also occurs in social contexts (J. B. Panksepp & Panksepp, 2017), and beyond the context of  
178 the laboratory, as described in the next section.

179

### 180 *Social learning mechanisms in animals*

181 Despite the power of individual learning, one of the advantages of social life is to witness others  
182 experiencing something without having to bear the consequences (Richerson & Boyd, 2005). For  
183 example, in the case of a first encounter with a leopard, one may not be offered a second chance to  
184 interpret the warning call correctly. The impact of associative learning has shaped both discussions of  
185 animal and human individual learning and social learning (Bandura, 1977; Shettleworth, 1998). There  
186 is still fierce debate concerning which social learning mechanisms are available to animals, with most  
187 organigrams establishing a hierarchy of social learning processes that include human-specific

188 mechanisms not available to other animals. Such theoretical positioning has implications for whether  
189 we can grant the ability to possess and maintain culture(s) to other animals, if culture is dependent on  
190 particular social learning mechanisms, such as imitation or teaching (see discussion in Gruber, 2016).  
191 In this respect, *stimulus* and *local enhancement* only position themselves one step ahead of associative  
192 learning, although they display a crucial characteristic: they happen in a social context. An animal is  
193 triggered to approach a location or a stimulus because another animal was or is still currently engaging  
194 with a particular food for example. Yet, both animals individually develop a behavior in response to the  
195 stimulus, with little to no attention paid to what the other one is doing. Such triggers may be sufficient  
196 for animals to express behavior that otherwise may qualify as cultural if the correct ecological and social  
197 environments are present, but would nevertheless fall short of what is usually required to instantiate  
198 human cultural behavior (Tennie, et al., 2009). Following such reasoning, if population-specific  
199 behavioral differences documented between chimpanzee communities (Whiten et al., 1999) can be  
200 explained by low-level stimulus enhancement or local enhancement, these cultural differences have  
201 little to do with the more cognitively acquired human cultures (Tennie, et al., 2009).

202

203 Other theorists disagree with this view (e.g. Whiten et al., 2009) and argue that *observational learning*  
204 is necessary for some behavior to emerge. The two main processes described in the literature consist of  
205 *emulation* and *imitation*. The definition of emulation has varied in the literature (see review in Galef &  
206 Whiten, 2017), from *affordance learning* to the more recently acknowledged use of the term, *goal*  
207 *imitation*. In such cases, an individual will only imitate the end result, but will not copy the behavioral  
208 form of the action (Bandini & Tennie, 2017). Indeed, emulation emphasizes the instrumental action  
209 outcome without much regard to the process of how the goal was achieved (Whiten, et al., 2009). There  
210 are other debates about what constitutes imitation in the literature, from the distinctions between  
211 program-level and production-level imitation (Byrne, 2002), to the necessity of pairing Theory of Mind  
212 (ToM) with behavioral imitation to obtain ‘true’ imitation (Tomasello, Carpenter, Call, Behne, & Moll,  
213 2005), exemplifying the fact that the borders between behavioral and cognitive learning become ever  
214 more blurred. We believe that strong cognitive demands can be made when defining some terms, but  
215 that equal ground should be granted in their use, irrelevant of the species considered, to avoid confusion.



216 For example, many human developmental studies use the word ‘imitation’ when referring to tasks that  
217 may not be considered ‘imitation’ in the animal literature (e.g. Li, Liao, Cheng, & He, 2019). Thus, the  
218 impression that there is widespread presence of some mechanisms in humans but only limited presence  
219 in other animals might, at least in part, be explained by semantic differences, rather than differences in  
220 the way things actually are.

221

222 One uncontroversial claim is that there is limited teaching in animals. Evidence of animal teaching can  
223 be found if a functional biological definition is used (Caro & Hauser, 1992), illustrated by famous  
224 examples, such as meerkat scorpion hunting behavior (with adult individuals disabling preys for their  
225 youngs, Thornton & McAuliffe, 2006). Another interesting example is found in the domestic chicken,  
226 where research provides potential evidence for a large range of social learning mechanisms, including  
227 some form of teaching (Daisley, Rosa Salva, Regolin, & Vallortigara, 2011). For example, Nicol and  
228 Pope (1996) showed that hens would increase the rate of ground scratching – when no food was  
229 available – and of palatable food pecking, without ingesting it, therefore increasing “maternal food  
230 display” (p.772), if they observed chicks feeding on seemingly unpalatable food (unknown to the hen,  
231 the food was in fact palatable). Nevertheless, there is little evidence in the literature of human-like  
232 intentional teaching (see below) in other animals. However, recent data from wild chimpanzees may  
233 challenge the scientific doxa on the absence of this type of teaching. At Goualougou, Republic of  
234 Congo, chimpanzee mothers exhibit more directional scaffolding, including direct transmission of a  
235 tool in the context of termite fishing, than chimpanzees in Gombe, Tanzania (Musgrave et al., 2020).  
236 Crucially, the former community displays a more complex tool set to transmit than the latter. These  
237 findings can not only be added to the growing evidence that social learning mechanisms are at the heart  
238 of the transmission of culture in animals (Allen, Weinrich, Hoppitt, & Rendell, 2013; Hobaiter, Poisot,  
239 Zuberbühler, Hoppitt, & Gruber, 2014), but also demonstrate that further work is needed to uncover  
240 potential forms of teaching in our closest relatives and other animals.

241

242 **The cognitive developmental social learning tradition**

243 *Social learning strategies in human children*

244 This human social learning tradition is rooted in research on cognitive mechanisms underlying  
245 children's learning from social partners, in particular cognitions regarding children's epistemic  
246 evaluations of objects, events or social partners in their social environments. These evaluations allow  
247 children to identify people who can provide reliable information or explain ambiguous events to make  
248 appropriate decisions. Infancy presents a unique period for quickly and efficiently accomplishing a  
249 large amount of learning about the physical and social world. Children's cognitive development relies  
250 both on their first-hand exploration and on their interaction with others. Two metaphors have been used  
251 to explain children's impressive rate of knowledge acquisition: the child as a 'little scientist' – an  
252 autonomous explorer guided by experimentation, hypothesis testing and causal learning motivations  
253 (Gopnik, 2012; Piaget, 1952), and the child as a 'little anthropologist' (Legare & Harris, 2016;  
254 Vygotsky, 1987) – a social agent embedded in the societal structure which allows for rapid and effective  
255 learning of accumulated knowledge from others. At the core of cultural transmission is the infant's  
256 capacity to flexibly and effectively engage in a variety of social learning strategies, such as observation,  
257 active information solicitation, and pedagogy (Caldwell, Schillinger, Evans, & Hopper, 2012; Kendal  
258 et al., 2018).

259

260 Importantly, variations between cultures are also observed in the way children acquire their cultural  
261 knowledge, particularly with respect to imitation and the reliance on didactic pedagogy (Legare, 2017).  
262 Therefore, in line with a push towards less Western-centered psychology (Henrich, Heine, &  
263 Norenzayan, 2010; Kline, Shamsudheen, & Broesch, 2018), there have been calls in developmental  
264 psychology to increase the pool of tested infant and children populations (Nielsen, Haun, Kärtner, &  
265 Legare, 2017). It is also important to recognize that many of the theories of human social learning based  
266 on a small subset of the human global population have been "created, reviewed and edited" (Kline, et  
267 al., 2018, p.2) by researchers from the same cultural crucible as their study population. While we  
268 acknowledge that our subsequent review will necessarily suffer from the same bias because of the  
269 paucity of data from non-Western, educated, industrialized, rich, developed (WEIRD) countries, we

270 very much welcome current efforts to expand the datasets on which theory of human development are  
271 built, and will refer to studies with non-WEIRD samples in the subsequent paragraphs when possible.

272

273 In the following, we cover two particularly developed human cognitive strategies in social learning:  
274 *active* social learning (through explicit information seeking and information transmission) and *selective*  
275 social learning (through early emerging sensitivity to others' cues of reliability, accuracy, confidence  
276 and credibility, as well as informants' own characteristics).

277

### 278 *Active social learning*

279 While children visually and manually explore their environment, track patterns, test hypotheses, make  
280 inferences, and revise beliefs based on accumulated evidence (Gopnik & Wellman, 2012; Schulz, 2012;  
281 Shafto, Goodman, & Frank, 2012), most of their information gathering in real life occurs in social  
282 contexts. Direct observation of others is guided by the infant learner's attentional mechanisms and  
283 allows them to acquire new information about their environment. This information could probably have  
284 been discovered on their own at a later date, but social learning facilitates more efficient sharing of  
285 knowledge among conspecifics (Galef & Whiten, 2017; Paradise & Rogoff, 2009). The primary social  
286 learning strategies are imitation and emulation. As excellent imitators, children can copy with high  
287 fidelity a sequence of actions demonstrated by another person to achieve a goal (Nielsen, 2006; Want  
288 & Harris, 2002). While recent studies dispute the existence of neo-natal imitation (Oostenbroek et al.,  
289 2016; Slaughter, 2021), that humans are masters of imitation and the best at acquiring cultural  
290 information in this way is beyond doubt (Call, Carpenter, & Tomasello, 2005; Meltzoff, 2007). In  
291 addition, only humans 'overimitate' (but see Huber, Popovová, Riener, Salobir, & Cimarrelli, 2018),  
292 routinely and faithfully copying actions demonstrated in experimental paradigms, even those that are  
293 causally and explicitly irrelevant to success in a given task (Horner & Whiten, 2005; McGuigan,  
294 Makinson, & Whiten, 2011). At first sight, this excess of time and energy spent copying others appears  
295 to be wasteful behavior, affording no particular evolutionary advantage. Yet, overimitation extends  
296 beyond goal-directed actions (for a review, see Hoehl et al., 2019): motivation to overimitate has been  
297 provided by *cognitive* explanations, such as causal understanding (Lyons, Young, & Keil, 2007), and

298 more recently, by *socio-emotional* explanations such as the desire to affiliate with others (Over &  
299 Carpenter, 2013) or normativity (Keupp, Behne, Zachow, Kasbohm, & Rakoczy, 2015). Having  
300 multiple non-exclusive explanatory factors (Frick, Clément, & Gruber, 2017; Schleihauf & Hoehl,  
301 2020) is useful in framing the social learning debate, and simultaneously underlines that much remains  
302 to be done to satisfactorily explain our unique capacities for acquiring information from others through  
303 imitation. For example, there are noted differences between cultures in terms of overimitation (Nielsen  
304 & Tomaselli, 2010), which suggests a learnt component to imitation (Heyes, 2018).

305

306 Children can also obtain knowledge from others who are willing and able to share what they know via  
307 direct pedagogical instruction or intentional teaching. As compared to other social learning strategies,  
308 pedagogy, where knowledgeable individuals directly and intentionally ease the acquisition of  
309 information for naïve individuals through their behavior, facilitates acquisition of more complex  
310 knowledge and skills (Morgan et al., 2015; Zwirner & Thornton, 2015). Obvious examples across  
311 cultures include motherese (Broesch & Bryant, 2015; Fernald, 1985) and motionese (Brand, Baldwin,  
312 & Ashburn, 2002) whereby adults will talk and move in a way that makes it clear to the child that they  
313 are being addressed and that there might be something to learn (Clément & Dukes, 2017). A theory of  
314 Instructed Learning (Tomasello, 2016) argues that this social learning process evolved, not only to  
315 enable knowledge transfer, but also to establish common ground and social coherence. A theory of  
316 Natural Pedagogy (Csibra & Gergely, 2009, 2011) proposes that humans are uniquely predisposed to  
317 learn from social partners who display ostensive communicative cues, which signal transmission of  
318 generic and generalizable knowledge. Infants' early sensitivity to these cues indicates their readiness to  
319 learn and treat this information differently. In particular, natural pedagogy allows children to acquire  
320 opaque knowledge, that is, knowledge where the immediate causal relations between elements are not  
321 readily clear. Other cognitive developmental theories similarly emphasize social facilitation of the  
322 learner's input through apprenticeship, direct demonstration and feedback as teaching models (Rogoff  
323 et al., 1993; Vygotsky, 1987).

324

325 Despite the apparent dichotomy with active learning, social learning does not presume that children are  
326 passive receivers of knowledge. The social learning approach indeed presupposes observing and  
327 interacting with others to acquire information (Boyd, Richerson, & Henrich, 2011; Csibra & Gergely,  
328 2009; Harris, 2012; Herrmann, Call, Hernandez-Lloreda, Hare, & Tomasello, 2007). Knowledge  
329 exchange through a variety of social learning strategies then enables transmission of accumulated  
330 culture, from basic tool use to complex community rituals, passed on from one generation to another,  
331 from experts to novices, from adults to children. Hence, the active social learning approach incorporates  
332 the asocial (by means of individual experimenting) and social aspects as dual engines of knowledge  
333 acquisition and transmission. Here, children actively participate in the social knowledge exchange by  
334 integrating what they learned through first-hand exploration, observation, imitation, pedagogical  
335 instruction or seeking others' testimony by querying them (Saylor & Ganea, 2018) – to “gather just the  
336 information they want, on just the topic that interests them, at just the time they require it” (Baldwin &  
337 Moses, 1996, p. 1934), and to propagate such knowledge to others. Even preverbal infants use  
338 information seeking gestures to solicit information from social partners, with their communicative  
339 strategies becoming more varied and complex with the mastery of language (for reviews, see Harris &  
340 Lane, 2014; Ronfard, Zambrana, Hermansen, & Kelemen, 2018).

341

342 Children also participate in active social learning through actively transmitting knowledge themselves.  
343 Not only are children efficient recipients of others' pedagogy, their own, early emerging teaching  
344 behaviors may be key to understanding the very nature of information transmission which enables  
345 cultural evolution (Strauss, Calero, & Sigman, 2014). Despite the paucity of empirical research on the  
346 ontogeny of teaching, studies have shown that infants start to engage in basic preverbal information  
347 transmission (e.g. by using informative pointing, Liszkowski, Carpenter, & Tomasello, 2008) and  
348 preschoolers spontaneously teach their younger siblings, who, in turn, spontaneously request teaching  
349 (Howe, Della Porta, Recchia, & Ross, 2016). Two-year-old children selectively transmit information  
350 about novel objects functions to ignorant adults upon request (Bazhydai, Silverstein, Parise, &  
351 Westermann, 2020; Vredenburg, Kushnir, & Casasola, 2015). Preschoolers and older children exhibit  
352 an expanded teaching strategies toolkit, gradually becoming more contingent and selective in their

353 teaching, which is dependent on the development of mentalizing, metacognition, and executive function  
354 skills (Corriveau, Ronfard, & Cui, 2018; Gweon & Schulz, 2019). While the natural pedagogy theory  
355 described above does not directly address children's own teaching abilities, it has been proposed that  
356 pedagogy as a teaching strategy should be applicable, both to adults and children themselves, and, as  
357 such, enables fast and efficient bi-directional transfer of culturally relevant knowledge (Strauss, et al.,  
358 2014). In support, research has documented children's own spontaneous use of ostensive cues when  
359 teaching others, including direct eye gaze, informing gestures, and contingent and verbally explicit  
360 signals (Calero, Zylberberg, Ais, Semelman, & Sigman, 2015; Flynn & Whiten, 2010).

361

### 362 *Selective social learning*

363 Posing requests for information to social partners allows children to direct their own acquisition of  
364 knowledge. As children engage in seeking information and in transmitting acquired evidence socially,  
365 their choice of social partner is often selective. This selectivity primarily manifests through sensitivity  
366 to others' cues of reliability, accuracy, confidence and credibility, as well as informants' age, ingroup  
367 status, endorsement by others, and deference to majority (Harris, 2012; Sobel & Kushnir, 2013); such  
368 selectivity may not be limited to humans, as other species, particularly great apes, enjoy extended  
369 childhoods in which they learn their own cultural repertoire from closely related models (Lamon,  
370 Neumann, Gruber, & Zuberbühler, 2017; Schuppli et al., 2016). Children are sensitive to others' ability  
371 to provide useful information and take an interrogative stance towards them as sources of knowledge  
372 (Harris, Koenig, Corriveau, & Jaswal, 2018; Poulin-Dubois & Brosseau-Liard, 2016). Understanding  
373 the ontogeny of selective social learning sheds light on the later developing, more complex accounts of  
374 selective trust in testimony (Clément, 2010; Harris, et al., 2018) and knowledge clustering (Danovitch  
375 & Keil, 2004). As early as 8 months of age, infants treat reliable information provided through social  
376 cues, such as human faces, differently to other symbolic but non-social cues, such as arrows  
377 (Tummeltshammer, Wu, Sobel, & Kirkham, 2014). Reliability and accuracy cues play an important role  
378 in infants interaction with social partners in their second year of life: they selectively choose to follow  
379 their gaze (Chow, Poulin-Dubois, & Lewis, 2008), reference them in emotionally ambiguous situations  
380 (Stenberg, 2003), look longer at them upon detecting their inaccurate testimony (Koenig & Echols,

381 2003), imitate their actions (Poulin-Dubois, Brooker, & Polonia, 2011; Zmyj, Buttelmann, Carpenter,  
382 & Daum, 2010), and request labels for novel objects from them (Begus & Southgate, 2012). For  
383 instance, 12-month-olds have been shown to successfully distinguish the respective knowledgeability  
384 cues of available social partners, determine who is a better source of necessary information, and  
385 selectively refer to them when information is lacking, using pre-verbal communicative cues (Bazhydai,  
386 Westermann, & Parise, 2020).

387

388 In addition to the epistemic indices, infants exhibit selectivity to social cues, preferentially learning  
389 from adults versus peers (Kachel, Moore, & Tomasello, 2018; Zmyj, Daum, Prinz, Nielsen, &  
390 Aschersleben, 2012) and from ingroup rather than outgroup members (Buttelmann, Zmyj, Daum, &  
391 Carpenter, 2013; Gruber, Deschenaux, Frick, & Clément, 2019). Demonstrating the increasing  
392 importance of social non-verbal credibility cues, 24-month-olds referentially learned from people who  
393 presented themselves as confident, rather than actually knowledgeable (Brosseau-Liard & Poulin-  
394 Dubois, 2014). A recent set of meta-analyses reported that preschoolers exhibit selective trust based on  
395 both epistemic and social characteristics of the informants, with older children attributing more weight  
396 to the knowledge dimension rather than the social status (Tong, Wang, & Danovitch, 2020).  
397 Furthermore, with advances in cognitive development, preschoolers flexibly update their epistemic  
398 representations of informants in light of new evidence concerning their credibility, retrospectively  
399 revising acquired knowledge if necessary (Leech, Haber, Arunachalam, Kurkul, & Corriveau, 2019;  
400 Luchkina, Corriveau, & Sobel, 2020).

401

#### 402 **Section summary**

403

404 In this section, overall, we have shown that both the developmental and animal social literature, while  
405 sometimes intersecting, have followed different theoretical paths, particularly because of the difficulty  
406 in accessing animals' minds. Conversely, research in human children (although dealing with equally  
407 inaccessible minds in infancy) appears to often grant highly developed cognitive abilities to its subjects,

408 particularly with respect to taking others' perspectives, in line with claims of unique capabilities in their  
409 species such as ToM, imitation or teaching. Such conflicting theoretical positions have created a gap  
410 that threatens claims of continuity between humans and other animals. In addition, claims of  
411 universality remain to be tested with more non-WEIRD populations. Yet, a common point between the  
412 two traditions is that they have mostly ignored the field of the affective sciences. We believe this is a  
413 mistake, and that emotions may in fact constitute a missing bridge between the two traditions. Indeed,  
414 there is a large body of literature regarding social influence in affective sciences that may have escaped  
415 the attention of scientists in other fields, since it has not traditionally been framed in terms of social  
416 learning (Clément & Dukes, 2017; Dukes & Clément, 2017). Part 2 explores this aspect.

417

## 418 **Part 2: Emotions in social learning**

419

420 In a brief survey of possible systems of core social knowledge that is, innate systems that guide and  
421 navigate us in the social world throughout life, Spelke and colleagues (2013) identified three candidates:  
422 Natural Pedagogy (Csibra & Gergely, 2011), Natural Similarity (Meltzoff, 2007) and Natural  
423 Cooperation (Tomasello, 2009). We believe that all these systems are strongly influenced by affect.  
424 Indeed, more generally, a strong case can be made that research in developmental social cognition has  
425 historically failed to sufficiently acknowledge how important infants' understanding of others'  
426 expressions is to interpersonal relationships (Reschke, Walle, & Dukes, 2017; see also Box 1). In fact,  
427 affect appears to underpin the social transmission of knowledge, whether in terms of these systems, or  
428 through a variety of situations such as the emotional bond between learner and knower highlighted in  
429 the introduction, or the selective trust involved in the social transmission of knowledge mentioned in  
430 the previous section. The interest, enthusiasm and the passion with which one learns, or the importance  
431 of a positive relationship between students and teachers could also be added to this list (Lee, 2012;  
432 Pekrun, 2017). Such relationships have in fact often been considered under a motivational approach in  
433 the educational literature (Ryan & Deci, 2020), reflecting "people's inherent motivational propensities  
434 for learning and growing" (p.1). While motivation is here used in a different sense than in classic



435 affective theory<sup>1</sup>, it follows that all these approaches suggest *a priori* strong evidence that affect is at  
436 the heart of social learning.

437

438 One way to consider the impact of other people's emotions on our own cognitions and behaviors is in  
439 the form of *social appraisal*, where the social world has a direct impact on our evaluation of the objects  
440 in the environment (Manstead & Fischer, 2001). In short, when we appraise a particular object,  
441 especially one about which we are not sure how to feel – an *ambiguous* object - we integrate how other  
442 people appear to be appraising that object. Here an object can be a piece of art in a gallery for example,  
443 or a particular tool, but also an idea, another person or, in fact, any tangible or non-tangible  
444 phenomenon. As a major component of social appraisal (Clément & Dukes, 2017), *social referencing*,  
445 where learners directly seek affective evaluative information from more knowledgeable onlookers (e.g.  
446 whether the object is a threat or not) and behave accordingly (e.g. Klinnert, Campos, Sorce, Emde, &  
447 Svejda, 1983), is also of interest because it can bridge 'cognitively-demanding' to 'cognitively-simpler'  
448 mechanisms (Gruber & Sievers, 2019). A number of classic studies (Moses, Baldwin, Rosicky, &  
449 Tidball, 2001; Sorce, Emde, Campos, & Klinnert, 1985; Zabatany & Lamb, 1985), best exemplify  
450 what is typically referred to as social referencing. In particular, Sorce, et al. (1985) watched as 12-  
451 month-olds approached what must have appeared to the infants as a cliff, but what in reality was a  
452 transparent covering, that led to an alluring toy. As the child decided to move towards the toy, she was  
453 significantly more likely to cross this 'visual cliff' when her mother expressed joy or interest than fear,  
454 for example. Infants were keen on checking in with their mothers, to socially reference them as it were,  
455 but only when the cliff was a certain depth. If the 'cliff' was either too deep or shallow, the children  
456 were likely to cross or stop, irrespective of the mother's facial expression (Adolph, Kaplan, & Kretch,  
457 in press).

458

459 In a recent theoretical study, Reschke and colleagues argued that to understand others' emotions means  
460 understanding the relationship the others have to the objects in their environment, and their  
461 intentionality towards those goals (Reschke, Walle, & Dukes, 2020). Importantly, the authors  
462 encouraged going beyond traditional methods of imagining how affect is communicated (e.g. facial

463 expressions) to include, for example, a repeatedly failed but ultimately completed action (à la Meltzoff)  
464 as a sign first of frustration and then relief, or even pride. A reinterpretation of three classic  
465 developmental studies involving ToM (Buttelmann, Carpenter, & Tomasello, 2009), altruistic helping  
466 (Warneken & Tomasello, 2006) and behavioral re-enactment (Meltzoff, 1995) – including two of the  
467 systems surveyed by Spelke and colleagues – suggested examples of how important affect might be,  
468 even if each of those studies had either implicitly or explicitly discounted emotion as a factor.  
469 Importantly, Reschke and colleagues followed up by employing a modified version of the classic  
470 behavioral re-enactment procedure study, originally carried out by Meltzoff (1995). The results bridged  
471 research on infant social referencing and psychological reasoning, by indicating that 18-month-old  
472 infants can reference an adult’s emotional expression to disambiguate a motivational state, and not just  
473 the tangible referents that are typically examined in social referencing paradigms (Reschke, et al., 2020).  
474 Meanwhile, in another paper (Clément & Dukes, 2017), some of us have already pointed out that  
475 although natural pedagogy is almost always described in non-affective terms, emotion and emotion  
476 expressions seem to have a very important role, particularly in ostensive signaling (Csibra, 2010). Both  
477 natural pedagogy and social referencing constitute building blocks of the ASL framework, which we  
478 present in the following section along an axis of intentionality, from both learners’ and knowers’ sides.

479

#### 480 **The transmission of value through the ASL framework**

481

482 Social information gathering is at the core of the cultural transmission of knowledge (Baldwin & Moses,  
483 1996; Richerson & Boyd, 2005; Tomasello, 1999). When one thinks of social learning, it is difficult not  
484 to think of a “classical” setting where an attentive adult is leaning toward a child, doing their best to  
485 assure a specific piece of cultural information is transmitted to the new generation. This idealized image  
486 is however misleading. First, such scaffolded transmission seems to be rare, or maybe even non-  
487 existent, in non-human primates. Nevertheless, cultural transmission is a phenomenon which is not  
488 unique to our species (Hobaiter, et al., 2014; Whiten, et al., 1999). Therefore, this form of careful  
489 pedagogy cannot be the only form of cultural transmission. Moreover, anthropologists have highlighted

490 the fact that such explicit and organized intersubjective transmission is in fact quite rare in traditional  
491 societies, where children take the responsibility for learning, notably by observing the adults (Paradise  
492 & Rogoff, 2009; Rogoff, 2003). As highlighted above, once the primacy of this image embedded in the  
493 Western imagination is abandoned, the perception of social learning can become radically different.  
494 Cultural transmission is no more systematically dependent on an intersubjective relationship involving  
495 structured and intentional verbal exchanges: it is possible to learn simply by occupying the position of  
496 an external witness, observing the behaviors of more experienced members of one's society (see also  
497 Kline, 2014). Moreover, an ostensive system of communication, where each member of the interaction  
498 must make the others understand that they are willing to engage with them communicatively, is not  
499 necessary for this process to occur (Gruber & Sievers, 2019). The onlooker can, for instance, notice that  
500 certain actions they observe trigger different sorts of results: some are welcomed with joy or interest,  
501 others with sadness or anger. These emotional reactions become, therefore, essential to evaluate the  
502 different behaviors that are perceptible to her. These affects indicate that an action is appropriate to get  
503 a certain result, whether technical (making the *right* move with a tool) or social (greeting a person in an  
504 *appropriate* way). In other words, social learning does not require for the subjects (a) to be necessarily  
505 involved in an intersubjective relationship – it can result from third-party observation, (b) to master an  
506 explicit language – it can be embedded in the interpretation of emotions.

507

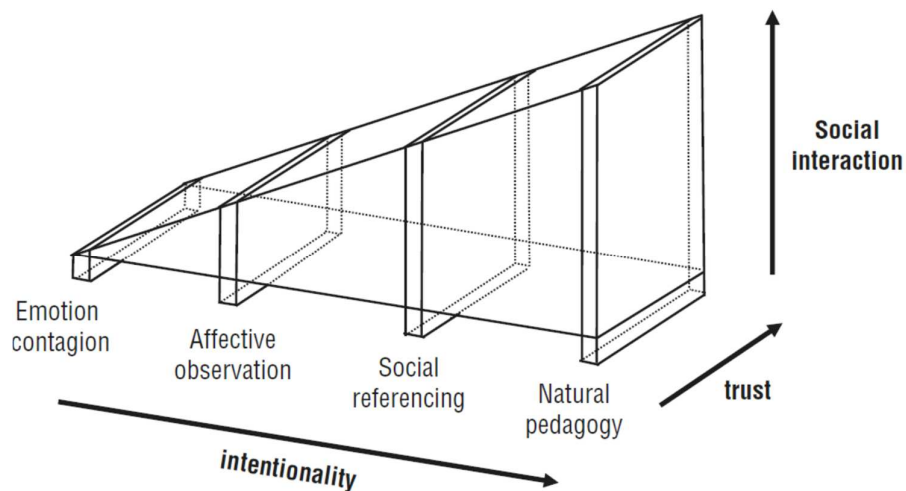
508 To detail the different possibilities offered by this fresh perspective on social learning, some of us  
509 recently proposed to call this *affective social learning* or ASL (Clément & Dukes, 2017). The original  
510 idea was to organize the different forms of social learning along a hierarchical line, both in term of  
511 cognitive complexity and interactional intensity (Figure 1). The most basic form of ASL is “accidental”:  
512 *emotional contagion*. The individuals that play the role of cultural models are not aware that their  
513 behavior may have an impact *on* a learner, nor is the learner aware that they are learning anything: the  
514 model simply reacts to an event in an emotional way, as the learner ‘catches’ the felt emotion, and will  
515 henceforth associate the ongoing script or situation to a given affect. Imagine, for instance, a very  
516 conservative family where every mention of their homeland, and each manifestation of their country's  
517 grandeur, triggers a respectful silence and a sense of pride. In such a cultural environment, it is likely

518 that the usual triggers of such affects (the first notes of the national anthem, the raising of the flag, etc.)  
519 will trigger a similar emotion. In such circumstances, the new members of a group will ‘learn’ to value  
520 certain objects, events or persons in a way that is considered as culturally appropriate. This basic form  
521 of social transmission does not involve either intentional communication by the model, nor an  
522 interrogative attitude by the learner, who is taken by the emotionally charged context.

523

524 **Figure 1**

525 The ASL scale according to three dimensions of intentionality, trust and social interaction



526

527 Note. Adapted from Dukes, D., & Clément, F. (Eds.). (2019). *Foundations of Affective Social Learning: Conceptualizing the Social Transmission of Value*. Cambridge: Cambridge University Press. Page 11.  
528  
529 Reprinted with permission from Cambridge University Press.

530

531 The second form of ASL requires the learner to intend to make sense of something that she is observing,  
532 hence the name, *affective observation*. Typical cases are situations where the curious agent is trying to  
533 actively figure out how to behave given that the meaning of the context is still obscure for her. She will  
534 therefore explore her environment in search of a model whose reaction will inform her about the  
535 appropriate way to react in this context. Imagine that someone is invited to a party in a country where  
536 she has just arrived and where everything looks very exotic to her. Once arrived, she will rapidly scan  
537 the other guests to see how they are behaving, and observe the reactions displayed by the hosts before

538 she decides on a way to greet them: how broad should the smile be, how low should the respectful bow  
539 go? In this case too, the models do not have to intentionally communicate some culturally relevant  
540 information; the information is out there, at the disposal of any observer. Alternatively, such observation  
541 of the affective signals of a model may lead to the communication and integration of implicit biases,  
542 even if the model is unaware of those biases (Halberstadt, Hagan, & Lozada, in press). Note that in none  
543 of these cases, did the learner have to master the local language to either figure out what she was  
544 supposed to do, or what she was (not) supposed to learn.

545

546 The next step in ASL requires explicit emotional communication. This time, the subject is ostensibly  
547 referring to the model for help, not knowing how to behave when confronted by an ambiguously  
548 valenced object (e.g. one that may or not be dangerous), hence the name: *social referencing*. This  
549 phenomenon has been discussed above: a visual cliff experiment stages a clear situation of  
550 intersubjectivity, where the two participants are intentionally engaged in a communicative exchange.  
551 Infants are requesting information and adults are intentionally providing effective cues to shape their  
552 child's behavior. The child behaves (and perhaps, thinks) in reaction to their parent's affective signal:  
553 a positive expression signaling "Safe. You can cross", while a negative expression signals "Danger. Do  
554 not cross". However, this interaction does not necessitate full-blown informative meaningful signals  
555 used in communicative interaction (e.g. expressed through verbal language): both the request  
556 (interrogative gaze) and the response (emotional expression) can be wordless.

557

558 While affective observation and social referencing originally stem from a division of the concept of  
559 social appraisal introduced by Manstead and Fischer (2001), the last type of ASL, *natural pedagogy* (as  
560 described earlier), will be more familiar to scholars of social learning. It requires more cognitive abilities  
561 from the model because the latter undertakes to transmit complex abilities or knowledge in a structured  
562 way, checking as things progress that the learner is incorporating the information; this process is  
563 classically called pedagogy. Even if this transmission does not necessarily require linguistic exchanges  
564 (it could involve gestures, for instance), the models must possess some metarepresentational abilities.  
565 They should notably represent the present informational state of the learners and imagine a strategy to

566 help them acquiring the new pieces of knowledge. By observing the learners' progress, they should  
567 evaluate their understanding and regulate the rhythm of teaching in accordance. As in all these examples  
568 of ASL, the affective bond between the social partners (labelled as 'trust' in Figure 1), promotes the  
569 successful transfer of the information. Here, in (natural) pedagogy, we can imagine a longer, more  
570 emotionally engaged discussion about the *value* of a particular toothbrush, team or tenet. We are  
571 therefore dealing here with a complex intersubjective interaction, with both parties deeply immersed in  
572 an intentional activity that is certainly cognitive, but also highly affective. Each stage of learning is  
573 welcomed with a positive reaction by the model and, when progress is made, a feeling of satisfaction is  
574 also experienced by the learner.

575

576 While natural pedagogy has been markedly absent in all species other than humans, all three of the  
577 previous steps are likely to appear, to various degrees, in a number of species, offering a valid  
578 evolutionary pathway to explore both humans and other animal social learning (Gruber & Sievers,  
579 2019). An interesting comparative aspect additionally lies in the fact that while animals are often  
580 described as having very little control over the display of their emotions (but see Gruber & Grandjean,  
581 2017; Tomasello, 2008), it is in fact quite rare for a human observer to notice emotional changes in  
582 animals with the exception of strongly marked emotional reactions (e.g. fear or aggression). Yet, as  
583 Schuppli and van Schaik (2019) note: "in the absence of any discretely displayed emotion, the emotional  
584 engagement of the role model may be on a much more subtle level. Some degree of *joy* or *happiness*  
585 may result from having found a food source, even if it is an ordinary one. Building a nest might be  
586 connected to the *anticipation* of getting to lie down and rest soon. Complex foraging tasks (e.g. tool  
587 use) may come along with a certain *excitement* about getting to eat a particularly tasty or satiating food  
588 item. These emotions (or temporary affective states) of the role model may be enough to elicit an  
589 emotional engagement in the learning..." (p.34, the original authors' emphasis). Note also that some of  
590 the described examples can alternatively also be described as possible motivations to act (Frijda, 2010).  
591 Overall, the ASL framework allows exploration of an additional dimension of learning, and to  
592 operationalize the inclusion of emotion in the social learning debate.

593

594 **ASL and emotional feedback within the existing literature**

595

596 We see both similarities and differences between the ASL framework (and the emotional feedback it  
597 predicts and allows) and other models in the literature, both on a small and a large scale. The most  
598 similar large scale model was proposed by de Waal: the Bonding and Identification-based Observational  
599 Learning (BIOL) model (de Waal, 2001; de Waal & Bonnie, 2009) with BIOL defined as “a form of  
600 learning born out of the desire to belong and fit in” (de Waal & Bonnie, 2009, p22). We see many  
601 convergences with this model, particularly its departure from the classic view (e.g. Bandura, 1977) that  
602 social learning only occurs when there are extrinsic rewards either for the model or for the observer, a  
603 focus on rewards still very present in the animal learning literature today. The BIOL model predicts that  
604 social learning will be guided by social relations, and several instances of supporting evidence have  
605 appeared in the context of cultural and communication acquisition in animals (Fröhlich, Müller, Zeitrüg,  
606 Wittig, & Pika, 2017; Lamon, et al., 2017; Mann, Stanton, Patterson, Bienenstock, & Singh, 2012).  
607 However, there are important differences between BIOL and ASL, the most important of which is that  
608 BIOL focuses on the social relationship between two conspecifics and what underpins that relationship  
609 – the bonding and identification - rather than the consequences of that relationship. In contrast, while  
610 ASL does indeed highlight the importance of that relationship, especially in the later steps, it focuses  
611 more on how much the successful transmission of value(s) is a result of the established relationship,  
612 whatever it is (e.g. one would still learn something from an unrelated man screaming while running  
613 away from a subway entrance).

614

615 In terms of similar smaller scale theoretical frameworks and models similar to ASL, one concerns the  
616 learning of fear, and has the advantage of offering numerous cross-species comparisons. Previous work  
617 carried out by Olsson and Phelps (Olsson & Phelps, 2007) points out that it is particularly important to  
618 try and learn from others’ relations to objects in the environment when the objects can be risky, for  
619 example, by recognizing other people’s fear (see also Part 1). In one experiment, Olsson and Phelps  
620 (2004) compared the use of Pavlovian conditioning, observational learning and vocal ‘instruction’ in  
621 the learning of a painful experience (electric shocks). All three groups learned to associate the stimuli

622 (angry faces) with the shocks in an unmasked condition (i.e. when the stimulus was clearly perceptible  
623 to the participants); however, only participants in the Pavlovian and observational conditions still  
624 reacted physiologically to the conditioned stimuli when they were masked. Hence, being told that a  
625 particular neutral stimulus is dangerous only worked at a conscious level, while experiencing the  
626 consequences oneself or observing someone else suffer the consequences, was enough for the reaction  
627 to become automatic. There is substantial cross-species support for the idea that fear can be learned  
628 from others, particularly when the stimulus is naturally aversive. In one such study, mice that observed  
629 biting flies attacking other mice reacted just as strongly as the models to the flies 24 hours later, despite  
630 being exposed to harmless flies (Kavaliers, Choleris, & Colwell, 2001).

631

632 According to Olsson and Phelps (2007), social learning “lies at the core of the forces that create and  
633 maintain culture, which might then affect biological evolution”, with “social fear learning offering the  
634 opportunity to study the transmission of biologically relevant information between individuals” (p.  
635 1100). This echoes our description of ASL as a conduit for the social transmission of social value, and  
636 a means by which culture can be transmitted and perpetuated (Clément & Dukes, 2017). Phelps and  
637 Olsson limit their claims to the learning of *fear* and *threat* within a Pavlovian reward-based model  
638 (Debiec & Olsson, 2017; Olsson, Knapska, & Lindström, 2020; Olsson, Nearing, & Phelps, 2007;  
639 Olsson & Phelps, 2004), citing evidence related to naturally aversive stimuli. In line with this, a recent  
640 study of the social learning of fear in fear-relevant (naturally aversive) and fear-irrelevant stimuli,  
641 corroborating earlier findings (Hygge & Öhman, 1978), revealed stronger acquisition effects for fear-  
642 relevant (snake and spider), verbally conditioned stimuli compared to fear-irrelevant (bird and  
643 butterfly), verbally conditioned stimuli (Mertens, Raes, & De Houwer, 2016). Yet, we argue that  
644 affective evaluations can be learned about objects that have no naturally occurring aversive quality. A  
645 particular haircut, a certain style of dance, or a specific idea can become a source of ridicule or respect,  
646 depending on how those around us evaluate them. Objects that may have left an observer entirely  
647 indifferent can also acquire value through exposure to the affective reaction of others, whose social  
648 appraisal works best in ambiguous situations (Bruder, Fischer, & Manstead, 2014).



649 Overall, the ASL framework fits well with other large or smaller scale models that have strived to  
650 include an affective dimension to learning. However, we believe that by highlighting the role of affect  
651 in the social learning process, ASL contributes to integrating affect into models of social learning.

652

653 **Defining ‘values’: from ‘relevant behavior’ to complex ‘social values’**

654

655 ASL was originally defined as the social learning of values (Clément & Dukes, 2017). For this concept  
656 to be relevant across sciences, one needs to define clearly what the term ‘values’ encompasses. On the  
657 one hand, the term ‘values’, at its core, can be understood through general emotion theories,  
658 encompassing for example dimensional models of emotions (Sander, 2013). In particular, common  
659 valence-based distinctions are found between “positive” and “negative” emotions, evidenced by  
660 Tomkins’ (1963) influential division between *positive* and *negative affects*. Such distinctions are found  
661 in most models of emotions. Hence, for affective scientists, the notion of social value may find a place  
662 at the core of affective theory. However, values can also be discussed as the patriotic feeling towards  
663 the flag we discussed above. This is an equally valid interpretation of the term, yet it also raises several  
664 questions. While this value can be acquired through seemingly simple cognitive processes that do not  
665 require ostension or directed teaching, it is unlikely to be found in non-human animals, nor in ancient  
666 hominin societies, including our direct ancestors, that did not possess such notions as patriotism. In  
667 contrast, the positive/negative dichotomy may be present across species, itself requiring little conceptual  
668 understanding, while still allowing the evolutionary possibility of metacognitive thinking about such  
669 values. For example, Panksepp discusses a definition of affective consciousness as “brain states that  
670 have an experiential feel to them” (J. Panksepp, 2005, p.32), and argues that reflective sensory-  
671 perceptual feelings and emotional-motivational experiences, completed by secondary-consciousness  
672 (which refers to the capacity to have thoughts about external events), are present to some extent in other  
673 animals. Yet, he excludes a third layer of metacognitive reflection upon those brain states, which would  
674 be limited to humans. We believe that such a distinction and this three-step consciousness scale of affect  
675 is of particular interest from both a comparative and developmental perspective.

676

677 From a developmental perspective, the transmission of these ‘lean’ values appears more  
678 straightforward, with numerous examples documented over the last 40 years (Sorce, et al., 1985). A  
679 relevant question here is at which point such reasoning becomes self-conscious in the child’s mind,  
680 reaching the third metacognitive level hinted at by Panksepp. In other words, many seemingly complex  
681 cognitive processes in developing infants and children may be more simply explained by ‘lower level’  
682 ASL steps that do not require explicit complex processes such as ostensive behavior or complex  
683 metacognitive reasoning abilities. To illustrate this, one can look at the relationship that young infants  
684 establish with artifacts across development. The latter is first described with 2-year-olds reaching an  
685 understanding of some properties of artifacts but without forming an overall concept of tools (Mandler,  
686 2007), followed by 3-year-olds understanding that tools are ‘made for’ a given purpose and selecting  
687 them accordingly (DiYanni & Kelemen, 2008). When close to six years of age, children start  
688 understanding that a tool has been intentionally manufactured by a designer to fulfil some function  
689 (Kelemen & Carey, 2007). This also represents an important cognitive and representational shift from  
690 age five, when the function of an artifact is not completely clear in the child’s mind, fulfilling any goal  
691 a user might have, to age seven, when the function has become that of the artifact’s typical or intended  
692 use (Defeyter & German, 2003). In other words, according to this cognitive framework, it is only by  
693 age five that ostension or metarepresentative abilities are needed to fully acquire the concept of tools.  
694 Yet, the preparatory work before that age may be accomplished through the assistance of ASL processes  
695 that allow particular objects to acquire value *as* tools in the child’s mind. On the other hand, whether  
696 tool-using animals are ever to grant a value to a particular tool remains to be investigated, with the  
697 possibility of some objects acquiring a *relevance* in some animal groups, which disappears in those that  
698 do not make use of these tools, seeding cultural differences (Gruber, Muller, Reynolds, Wrangham, &  
699 Zuberbühler, 2011).

700

### 701 **Section summary**

702

703 In this section, overall, we have shown that the affective literature includes many notions also found in  
704 the classical social learning literature and can be integrated into a general discussion of social learning.

705 In particular, we have proposed that the ASL framework allows investigation of such dimensions, and  
706 is crucially organized according to the same intentionality scale found in the developmental and  
707 behavioral literature (Clément & Dukes, 2017; Dukes & Clément, 2017). We also argued that the ASL  
708 framework is particularly suited to study cultural transmission across species and developmental stages  
709 by allowing the existence of mechanisms which vary in their cognitive demand. In the following section,  
710 we aim to expand this approach to highlight the fact that no current model of social learning can in fact  
711 make complete abstraction of emotion, and that in reality, they are, possibly unconsciously, already  
712 including them in their models as notions in developmental cognitive research.

713

### 714 **Part 3: Integrating the three approaches of social learning**

715

716 In this section, we evaluate how the different approaches to social learning (behavioral, cognitive and  
717 affective) overlap in their object of study, and argue that separate domains of study should strive to  
718 adopt a common language where an affective layer is acknowledged. We illustrate our point with two  
719 examples taken from the literature. First, we argue that what developmental psychologists often study  
720 as ‘curiosity’ can also be investigated under the affective notion (or emotion) of ‘interest’, and that this  
721 notion can also be found in animals, particularly when formalized under the notion of ‘peering  
722 behavior’. Second, we argue that social referencing, in itself, offers ways to navigate between  
723 emotionally and cognitively-loaded approaches. Finally, we begin to introduce how neuroscience can  
724 contribute to integrating these three approaches of social learning, employing the research in empathy  
725 as an example, as it too strives to integrate cognitive and affective aspects, thus providing a blueprint  
726 for investigating the neural correlates of affective, behavioral and cognitive social learning.

727

#### 728 **Emotional interest and epistemic curiosity**

729

730 As we argue for an integration of the three lines of research on social learning, the distinction between  
731 what is affective, behavioral and cognitive often blurs. One such blurring distinction is that between a  
732 (non-affective) epistemic curiosity and the emotion of interest. Confusingly perhaps, the term *epistemic*

733 *emotion* actually often includes phenomena that would not normally be included as emotions (Arango-  
734 Muñoz & Michaelian, 2014; Meylan, 2014). While it is certainly possible to make a case to maintain  
735 these two phenomena as distinct (Hidi & Renninger, 2020), we argue that there is much reason to  
736 analyze them together. Indeed, they have even been used synonymously by some researchers (Silvia &  
737 Kashdan, 2009). Crucially, they provide an example of a cross-specific and cross-developmental  
738 application of our theoretical position.

739

740 Curiosity is broadly defined as active information seeking motivated by internal rather than external  
741 rewards, and the term captures a range of behaviors, including those that pertain to infants, from targeted  
742 search for a particular bit of information to broad sampling of the environmental affordances, and from  
743 tactile stimulation seeking to the pursuit of knowledge. Curiosity is most often analyzed from a  
744 cognitive perspective and *as* a cognitive phenomenon (Bazhydai, Twomey, & Westermann, 2020;  
745 Berlyne, 1960; Gottlieb & Oudeyer, 2018), often taking place in social contexts, manifesting in infants'  
746 active social learning through interaction with suitable (familiar, friendly, or knowledgeable) social  
747 partners, and ultimately helping fulfil infants' information seeking goals and maximizing their  
748 epistemic benefit. A different take on curiosity (as here defined, 'a desire') is to approach it as an  
749 emotion: *interest*. Such a reading does not change fundamentally the way one approaches curiosity. As  
750 part of an inherent interplay between autonomous and social processes, it is a catalyst of social learning  
751 and epistemic development, broadly speaking. Yet, this also underlines the blurry lines between  
752 cognitive and emotional approaches at a developmental stage, where it is difficult and perhaps inutile  
753 to try and parse what is cognitive and what is emotional.

754

755 An important comparative aspect here lies in the existence of a similar mechanisms in non-humans  
756 during learning acquisition: peering behavior, that is the attentive close-range watching of the activities  
757 of an (often older) conspecific (Schuppli, et al., 2016; Schuppli & van Schaik, 2019). This offers ways  
758 of discussing behavioral continuity in knowledge transmission, particularly with our closest relatives,  
759 the great apes. Orangutans, in particular, acquire much of their knowledge through peering behavior;  
760 summarizing a large body of work in one location in Sumatra famous for its tool-using orangutans,

761 Suaq Balimbing, Schuppli and van Schaik (2019) show that peering behavior could be involved in the  
762 acquisition of 191 different skills and knowledge elements spanning knowledge of food species to  
763 consume, moving habits, social behavior as well as tool use. Favored models for infants were adults,  
764 with often very little information taken from juveniles and other infants; yet, interestingly, during late  
765 juvenility (corresponding to the human adolescence), the most frequent peering targets turned out to be  
766 other juveniles, mirroring other findings in the development of chimpanzee vocal behavior (Laporte &  
767 Zuberbühler, 2011). Overall, these findings show that there is much ground for comparison between  
768 human and nonhuman curiosity and interest.

769

770 **Social referencing: how affective is it?**

771

772 A second example of the blurred distinction is that of social referencing as an essentially emotional or  
773 cognitive mechanism. Several theories requiring different levels of cognitive complexity have been  
774 proposed to explain the development of social referencing behavior. To adjudicate between low-level,  
775 associative, and higher-level, cognitively rich explanations, a developmental perspective can be  
776 adopted. According to such an approach, social referencing in the first year of life may constitute  
777 information seeking with rudimentary understanding of intentional communication, which is  
778 nevertheless sufficient to solicit timely and reliable transfer of knowledge from social partners. An  
779 important point of discussion has been whether social referencing in uncertain situations constitutes  
780 information seeking or attachment motivated behavior (Stenberg & Hagekull, 2007; Striano, Vaish, &  
781 Benigno, 2006). Overall, studies provide support for the expertise rather than attachment (or comfort  
782 seeking) account of social referencing, proposing that infants are sensitive to the social distribution of  
783 knowledge (Feinman, Roberts, Hsieh, Sawyer, & Swanson, 1992; Stenberg, 2013). In particular, when  
784 a situational expert is an unfamiliar experimenter, infants are more likely to refer to them rather than  
785 their primary caregiver or another less knowledgeable adult, who in this context is as uncertain about  
786 the situation as the infant herself (Stenberg, 2013).

787

788 Whether or not preverbal infants have a full grasp of intentionality of their communicative acts, they do  
789 learn a great amount of new and useful information through initiating a social gaze, which may be a  
790 genesis of social information exchange. Some studies suggest that social referencing serves a  
791 cognitively rich function of information seeking, going beyond the emotional ‘go/no-go’ checking in  
792 as potential proto-interrogative, requestive acts, which develop before interrogative pointing (Begus &  
793 Southgate, 2018; Harris & Lane, 2014). For example, experimental reports focus on situations of  
794 cognitive-perceptual ambiguity rather than unpleasantness or perceived danger – situations of epistemic  
795 uncertainty featuring a lower threshold of uncertainty than the typical highly emotionally arousing  
796 paradigms. Reports show that infants refer to their social partners when their expectations are violated  
797 (Dunn & Bremner, 2017; Koenig & Echols, 2003), upon detecting humorous situations (Mireault et al.,  
798 2014), when facing uncertainty about object-label relationships (Bazhydai, Westermann, et al., 2020;  
799 Hembacher, deMayo, & Frank, 2020), or needing information about hidden object location (Goupil,  
800 Romand-Monnier, & Kouider, 2016). These studies challenge the long-standing view that social  
801 referencing seeks others’ socio-emotional engagement and is not fully intentionally communicative  
802 until the second year of infant’s life (Baldwin & Moses, 1996; Schaffer, 1984). Instead, they suggest  
803 that social referencing is an active communicative behavior allowing preverbal infants to resolve not  
804 only affective, but also epistemic uncertainty in social learning contexts.

805

806 In sum, well established accounts of social referencing propose that children refer to social partners to  
807 gather their reactions to uncertainty, which is affective in nature, and to determine how to appropriately  
808 react to it. However, with development, the same behavior can become less emotionally laden,  
809 transforming into strategic information seeking rather than social appraisal seeking. Bridging the  
810 cognitive and affective social learning aspects, we argue that social referencing lies at the heart of  
811 children’s social acquisition of knowledge, epitomizing the parallel between the affective and cognitive  
812 dimensions. Among examples of these two sides, are infants’ social referencing towards their caregivers  
813 upon encountering not only an unexpected emotional but also a cognitive challenge (as described  
814 above).

815

816 How much of this is present in non-humans is worth investigating. Non-humans are sensitive to both  
817 conspecifics' and non-conspecifics' emotional cues. For example, vervets (*Chlorocebus pygerythrus*)  
818 are sensitive to meaningful alarm vocalizations that are mostly regarded as emotional (Price et al.,  
819 2015). These cues might even be emitted to aid learning (Seyfarth & Cheney, 1986). Elsewhere, some  
820 of us have argued that social referencing is particularly promising to study the acquisition of animal  
821 cultures and signals (Gruber & Sievers, 2019) as it represents a good compromise, by being less  
822 cognitively demanding than traditional learning processes, yet well suited to the emotional dimension  
823 inherent to animal learning (LeDoux, 2012; J. Panksepp, 2011a). For instance, the way members of a  
824 stick-less chimpanzee community proceed with intentionally removing sticks from the hands of their  
825 offspring may qualify as an example of social referencing (or even pedagogy), leading ultimately to the  
826 failure of these chimpanzees to represent sticks as tools (Gruber & Sievers, 2019).

827

### 828 **Neural substrates of cognitive and emotional processes overlap**

829

830 Discussion concerning the similarities and differences between what is cognitive and what is affective  
831 can be found in several of the disciplines that contribute to the affective sciences. For example,  
832 'affective neuroscience', a term first coined in the 1990s (J. Panksepp, 1998), has from its very inception  
833 addressed questions concerning how to characterize cognitive and affective processes and to identify  
834 areas and networks of the brain that could be said to be wholly one or the other, or indeed both. While  
835 such discussions continue, one area of research that can perhaps serve to illustrate such debate and  
836 suggest how to ground the current approach on a more neuroscientific footing is the research on  
837 empathy. The cognitive and affective aspects of empathy, and their overlap, have indeed been the focus  
838 of much interest. Often defined from a mentalistic perspective as putting oneself in someone else's  
839 shoes (Baron-Cohen, 2005), the cognitive approach, fully at work during the teaching process displayed  
840 by humans, can be contrasted with a more emotional approach to empathy, a useful notion particularly  
841 for animals and young infants (de Waal & Preston, 2017). Emotional empathy includes mechanisms  
842 such as emotional contagion, which we have already highlighted as a main component of ASL,  
843 suggesting perhaps that empathy should also be taken into more consideration in future studies of ASL.

844 For example, in a more complex form of empathy known as *targeted helping* (de Waal & Preston,  
845 2017), a chimpanzee finds the specific tool that another needs in an experimental context (Yamamoto,  
846 Humle, & Tanaka, 2012), a result directly relevant to the investigation of teaching behavior in the wild  
847 (Musgrave, et al., 2020). Research on the neural correlates of empathy, which has flourished over the  
848 last two decades, may allow characterizing the mechanisms at work during the different components of  
849 this complex phenomenon as cognitive, affective, or both (de Waal & Preston, 2017).

850

851 In particular, neuroscience has allowed the identification of regions that are more concerned with  
852 affective empathy than with cognitive empathy (de Waal & Preston, 2017), but also regions that are  
853 involved in both processes such as the anterior middle cingulate cortex (aMCC), located at the extremity  
854 of the anterior cingulate cortex (ACC). Alongside structures such as the amygdala (often involved in  
855 fear learning, but generally present in most emotionally-salient processes) or the insula (found  
856 particularly in connection to disgust), the ACC is known for its involvement in affective processes,  
857 particularly as an integration hub between the affective limbic system and the more cognitive prefrontal  
858 cortex (Sander, 2013; Stevens et al., 2011). Another region of overlap between cognition and affect,  
859 and itself also an integration hub, is the Inferior Frontal Gyrus (IFG), involved in cognitive sequential  
860 structures, language and emotion evaluation (Greenfield, 1991; Gruber & Grandjean, 2017; Koechlin  
861 & Jubault, 2006). Interestingly, the IFG is also part of the human mirror neuron system, which has been  
862 connected to a large range of human socio-cognitive abilities, including empathy (but see Hickok, 2014;  
863 Iacoboni, 2009). While we will not engage here in the debate on the role of the mirror neuron system  
864 in these abilities, their potential involvement in chimpanzee imitative behavior during tool use  
865 acquisition (Fuhrmann, Ravnani, Marshall-Pescini, & Whiten, 2014) provides another bridge between  
866 empathy and social learning research; with the former providing a blueprint to investigate the affective  
867 and cognitive aspects of social learning concurrently through neuroimaging, in a comparative (e.g.  
868 Debracque, Gruber, Lacoste, Grandjean, & Meguerditchian, 2021) and developmental perspective.

869

870 **Section summary**

871



872 In this section, we have seen that major objects of research overlap across the three approaches,  
873 sometimes being referred to by different names (cognitive curiosity versus emotional interest) or by the  
874 same one (social referencing). We have argued that associating both a cognitive and affective dimension  
875 appears the most promising approach, explaining the behavior inherent to social learning, and  
876 illustrating that such a position is shared in the study of complex phenomena such as empathy. Besides  
877 the obvious connections of the latter with ASL, we believe that the neuroscientific research on empathy  
878 offers a way forward to integrate the affective and cognitive dimensions of social learning. We have  
879 also argued that adopting a stance combining cognitive and affective dimensions allows evaluation of  
880 the predominantly behavioral animal literature within the same theoretical framework and hence  
881 promote continuity between humans and other animals. In the final section, we present our  
882 implementation of an ABC approach to social learning. To do so, we propose a radical extension of the  
883 ASL framework as a tentative move to fully integrate the three traditions into one complete story.

884

#### 885 **Part 4: The ABC of social learning**

886

#### 887 **ASL as an extended backbone to models of social learning**

888

889 ASL in its four-step form may not be exhaustive in covering all possible cases of learning involving  
890 affective input by a knower. For a case of social referencing, the learner seeks out information from the  
891 knower by focusing on the knower's expressive behavior by intentionally establishing eye-contact.  
892 According to the ASL framework, the knower provides information intentionally through displaying a  
893 befitting affective state. While at this stage limited active exchange of meaningful, informative signals  
894 is necessary, this is a mandatory requirement for natural pedagogy. In turn, for a case to count as natural  
895 pedagogy, ostensive communication of meaningful signals (e.g. words or gestures) is necessary (Gruber  
896 & Sievers, 2019). Given how ostensive communication is often described as cognitively challenging,  
897 requiring layers of meta-representations and a full-blown theory of mind, only (some) human

898 interactions fall under these stringent requirements, and any kind of simpler communicative display by  
899 knowers would not meet the criteria. This concerns, for example, cases that involve an active exchange  
900 of signals between learner and knower, e.g. with the knower producing behavior or signals in  
901 accordance to their goals towards the learner, but without being interested in accessing the mind of the  
902 latter. These cases are not described in the ASL framework, as they go beyond what is generally labelled  
903 social referencing, but are not yet to be counted as natural pedagogy. Cases like this may involve active  
904 teaching to a certain degree. Most examples of animal teaching rely on so-called innate processes that  
905 appear far from the intentional transmission found in humans (see above). Yet, an emotion-based social  
906 learning framework may explain the recent claims of chimpanzee teaching made by Musgrave, et al.  
907 (2020) without having to argue for additional associated cognitive complexities of intention-based  
908 teaching. The directional scaffolding the authors describe could indeed form the basis of a cultural  
909 transmission of a *relevant behavior* for chimpanzees amongst a complex dataset.

910

911 Another possible scenario involves learners actively producing meaningful signals, either ostensibly  
912 or not, and knowers simply responding behaviorally, showing affective states, but not engaging in  
913 communication (think for example of a curious child observing a lion escaping its cage at the zoo and  
914 actively seeking to exchange information about this novel setting with her parents while seeing them  
915 suddenly screaming in fear). Real cases of interactions that facilitate learning may also in general not  
916 be as clear-cut as required by experimental paradigms. For instance, when chimpanzees cross a road,  
917 the interaction between knowledgeable individuals crossing first, waiting for, and interacting more or  
918 less actively with young individuals who are scared of crossing the road (see Table 1), could entail very  
919 different levels of active influencing by the knower and active requesting of information by the learner,  
920 leading cases to be classified as social referencing or the possibility of extending beyond the borders of  
921 the former.

922

923 All the illustrated examples above in comparative and developmental psychology suggest that the  
924 various existing steps of the ASL framework are part of a continuum. Yet, this limitation of considering  
925 ASL as a four-step framework would be rather structural, and was highlighted here in this way to offer

926 a common language between well-defined concepts in both affective science and developmental science  
927 incorporated into a hierarchy of processes that involve affective states as elements that facilitate  
928 learning, topped by the most distinctively human and cognitively complex form of active teaching. At  
929 the theoretical level, the scope of the ASL framework is indeed about describing a knower's emotional  
930 states impact on the process of social learning in a learner, and in particular, ASL is about learning how  
931 to feel about something, how to value it. One way to deal with this issue of precisely attributing a case  
932 to a given category would be to introduce further steps into the framework. While it may be impossible  
933 to distinguish steps to cover all possible cases, they will all be situated along a continuum involving  
934 more or less active communication and affective input on both the knower's and learner's sides. We  
935 thus argue that ASL can constitute a backbone to an affective model of social learning across species  
936 (Figure 2), irrespective of whether a particular step must be identified, as long as the particular cognitive  
937 requisites (e.g. ostension, representational level, degree of interaction between learner and knower, see  
938 Gruber & Sievers, 2019) can be described. Overall, our approach aims to illustrate that whether  
939 individuals seek to exchange information that is itself either affective or not (e.g. seeking an object's  
940 label rather than seeking positive emotional feedback), all learning is influenced by emotional cues, if  
941 not completely embedded in emotional interpersonal communication.

942

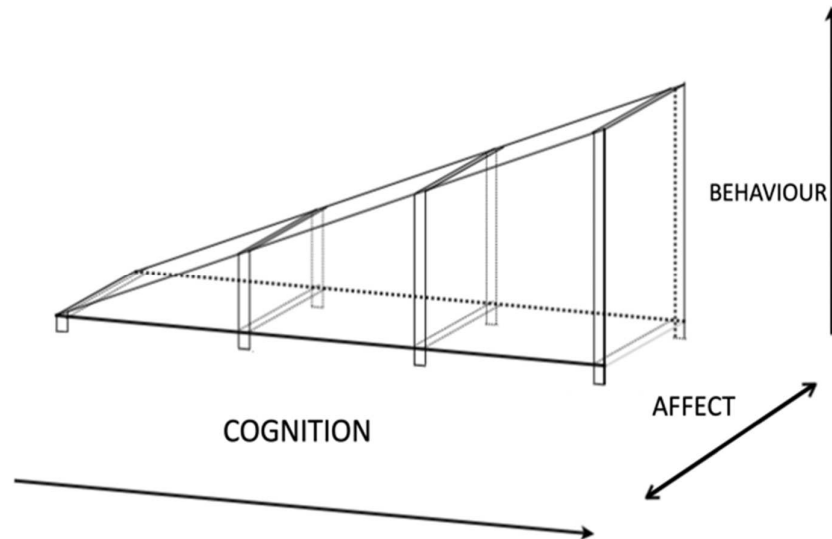
943 As the clean lines of what is affective, behavioral and cognitive blur, it is worth considering the original  
944 ASL framework again. In Part 1 of this paper, we described the animal behavioral and human cognitive  
945 traditions of social learning in terms of increasing intentionality, as the cognitive mechanisms involved  
946 become more complex. In Part 2 and 3, we described how there was an affective base underpinning all  
947 of these mechanisms whether explicitly in ASL, or implicitly in the other traditions. In the ASL  
948 framework, this affective component is held to be equally important across all of the mechanisms, and  
949 the same is true here. And finally, with a view to integrating these models, it can be observed that there  
950 is increasing social interaction, or behavior, on a third axis (Figure 2). As argued above, the ASL  
951 framework can constitute the structure of an ABC approach that integrates all three strands of the social  
952 learning story.

953

954

955 **Figure 2**

956 ASL as a backbone to an integrated approach of affect, behavior and cognition to social learning.



957

958 Note. As in Figure 1, the direction of the arrows for cognition and behavior indicates that they can only  
959 grow in terms of content and complexity. However, the bidirectional arrow for affect suggests that the  
960 affective dimension is more or less developed depending on the species considered as a whole. Adapted  
961 from Dukes, D., & Clément, F. (Eds.). (2019). *Foundations of Affective Social Learning:  
962 Conceptualizing the Social Transmission of Value*. Cambridge: Cambridge University Press. Page 11.  
963 Reprinted with permission from Cambridge University Press.

964

965 **Using affect as an evolutionary and developmental bridge**

966

967 One may raise the paradox of including emotional contagion in an account of learning about objects.  
968 Social learning is characterized by there being three relational corners – the learner, the knower and the  
969 object – even if, unlike in social appraisal, there is no explicit object in emotional contagion strictly  
970 speaking (Parkinson, 2011). While we motivated emotional contagion’s inclusion earlier from a  
971 theoretical point of view, emotional contagion proper may nevertheless not find its place in an account  
972 of social learning other than as an endpoint, a boundary condition with any slight increase of

973 intentionality leading to social learning, or a zone delimiting what is non-object centered emotional  
974 contagion and what is object centered affective observation. Yet, emotion contagion has often been  
975 used as a baseline explanatory process in animals (e.g. Wheeler & Fischer, 2012), underlying the interest  
976 in keeping this notion in the ASL framework, bringing continuity between humans and other animals  
977 from a comparative and evolutionary perspective. In effect, the use of this ‘low-cognition process’ as  
978 an explanatory factor contrasts very much with the evolutionary explanations traditionally offered to  
979 explain the occurrence of features such as language conventions and learning of opaque knowledge,  
980 which are said to rely on complex cognitive capacities such as meta-representation, mindreading, or  
981 perspective taking (Townsend et al., 2017). All of those are high-cognition complex features (but see  
982 Southgate, 2020), which in part makes the presence of some of them in infants and young children  
983 almost impossible to explain, or their cognitively-loaded explanations highly questionable. For instance,  
984 for young children to acquire opaque knowledge, as reviewed above, it is often required that children  
985 display the ability of perspective-taking, i.e. that they take the perspective of the knower to grasp the  
986 latter’s intention, allowing them to imitate a given action (Tomasello, et al., 2005). It is additionally  
987 suggested that to do so, children must display a fully developed ToM, even though the latter may only  
988 arise around four years of age, suggesting the need for alternative explanations in younger children. The  
989 same holds true of other animals. Elsewhere, some of us have argued that some animals are likely to  
990 exhibit the cognitive abilities for at least three of the ASL steps (Gruber & Sievers, 2019), including  
991 social referencing, suggesting that any step in between can be identified as well. However, it remains  
992 unlikely that any non-human may engage in human-like natural pedagogy.

993

994 Yet, by acknowledging that affective states may play a big role in acquiring knowledge, we argue that  
995 assumptions regarding cognitively loaded features (e.g. metarepresentation) can be downgraded, being  
996 *in fine* applicable for both non-humans and very young children. For example, learning from complex  
997 behavior only requires learners to attend to certain aspects of the behavior displayed by the knower to  
998 infer the knower’s intention linked to the behavior. Capacities such as perspective taking (but also  
999 shared attention) can, however, be established through the affective states in the knower perceived by  
1000 the learner. By displaying a certain affective state towards an object, for instance, or a certain way of

1001 doing things, the knower ascribes value to their behavior, and in turn directs the focus of the learner.  
1002 This may imply that cognitively complex processes are not necessary: for instance, full-blown ToM  
1003 may become superfluous in this instance, as learners are not required to infer concrete intentions but  
1004 merely some unspecified goals in the knower involved. Overall, relying on an affective dimension in  
1005 the model allows lowering the high cognitive thresholds proposed by a cognitive-only approach, while  
1006 not denying the particular requisites at each step, offering a solution in both the evolutionary and  
1007 developmental debates at stake.

1008

1009 **Connecting traditions to obtain a complete picture of social learning**

1010

1011 While one of our aims was to blend affect into theoretical models of cultural learning (ASL in particular  
1012 aims at explaining how individuals learn to feel about something, which has deep cultural consequences,  
1013 see below), our principal objective was also to reconcile three strands of research that seem to have  
1014 either ignored or even denigrated each other (e.g. Boesch, 2007). In our view, all three approaches are  
1015 valid and can describe and illuminate a particular side of knowledge acquisition in both humans and  
1016 non-humans (e.g. Table 1). Only by precisely describing every aspect can we obtain a complete picture  
1017 of the learning processes, as well as their comparability across species and developmental stages. Yet,  
1018 given that three different elements (affective, behavioral and cognitive output) appear involved in  
1019 parallel in the cases described so far, it may be complicated to define clear-cut steps characterized by  
1020 particular degrees of cognitive complexity or the importance of emotion in the learning processes;  
1021 hence, the involvement of each of the three elements may have to be described separately for any given  
1022 scenario. This is, of course, common practice in science, as researchers reduce a particular phenomenon  
1023 to observable and measurable parts for convenience. The ABC approach puts the three-part story of  
1024 social learning back together again, superimposing the three dimensions of Affect, Behavior and  
1025 Cognition while acknowledging their specificities. Even when similarly organized along the lines of  
1026 intentional behavior, all dimensions can thus be considered independently from each other, giving  
1027 overall more flexibility for analysis. For example, it is possible that a grown-up adult who normally  
1028 engages with a toddler using pedagogy incites the latter to engage with a new device by simply

1029 interacting with it (stimulus enhancement) while pretending to ignore the toddler to foster her curiosity:  
1030 in such a case, from the view of the child, there is no interaction with the model, while the model herself  
1031 displays fully developed ToM to pretend that she is not aware that her actions will modify her toddler's  
1032 goals. Similarly, the amount of feedback received by the learner can vary from an emotional experience  
1033 (e.g. a female chimpanzee scared to approach an experimental task), to a precise technique (e.g. how to  
1034 manufacture a stick to extract honey from a log), to the instructions to follow to successfully complete  
1035 a particular task (so far limited to humans).

1036

1037 In effect, it is possible to describe (emotional) contagion, (affective) observation, social referencing and  
1038 (natural) pedagogy cases in affective, behavioral, and cognitive terms (Table 1). For some, the work  
1039 appears straightforward: as described above, social referencing has been both used in emotional and  
1040 cognitive contexts in the literature, despite the fact that that one dimension is unlikely to go without the  
1041 other. And, notably, whether the focus was on the cognitive or affective context, successful referencing  
1042 was often measured in term of the presence or absence of resulting behavior. It stems from these studies  
1043 that infants are able to appreciate, deliberately seek out, and incorporate information (such as intentional  
1044 emotional expressions and knowledge about the world, broadly speaking) from trusted adults into their  
1045 decision-making process about the encountered emotionally or epistemically uncertain situation.  
1046 Perhaps this is moderated by the ability to not only appreciate the relation between the other and her  
1047 goal or the relevant object, but also the desire to understand the other by reading her emotions, and by  
1048 the motivation to seek out information from the knowledgeable other, and by the relationship between  
1049 the two people. A similar approach can be used for affective observation and natural pedagogy. In  
1050 addition, it is possible to equally describe what happens in other species, highlighting where differences  
1051 occur with human development.

1052

1053 **Table 1**

1054 Applying the ABC approach to social learning cases in the real world

1055

THE ABC OF SOCIAL LEARNING

Examples	Affective approach	Behavioral approach	Cognitive approach
A young boy learning to tie his shoes	<p>He is excited, motivated and interested in learning a new skill</p> <p>He is curious about how this is done</p> <p>He is frustrated when not succeeding at first</p> <p>He recognizes and responds to his mother's praise</p> <p>He is overjoyed when succeeding, pushing him to restart immediately</p>	<p>He observes the end point left by his mother (a node made on the other shoe)</p> <p>He observes the behavioral form produced by his mother to tie her own node</p> <p>He attends to the modeling of his mother</p>	<p>He imagines the shape of the node to be made</p> <p>He represents the exact moves that need to be made to obtain the node as demonstrated by his mother</p> <p>He recognizes his mother's intention to teach him</p> <p>He asks his mother for help or instructions (to explain or demonstrate)</p>
A young chimpanzee learning to crack nuts	<p>He feels that cracking nuts is safe as his mother does not demonstrate visible fear</p> <p>He recognizes his mother is interested in her tool-using activity</p> <p>He is sufficiently interested (perhaps because he is hungry?) to attend to his mother's display rather than play with other juveniles</p> <p>Once he succeeds, he feels a heightened anticipation toward the cracked nut, and then enjoyment as he eats it. He is motivated to continue</p>	<p>He observes the end result (a cracked nut)</p> <p>He observes the behavioral form produced by a knowledgeable individual</p> <p>He produces a sequence of actions aiming at opening the nut</p>	<p>He represents the end state of the nut (a cracked nut)</p> <p>He associates hammering and obtaining a cracked nut</p> <p>He notices his cracking behavior does not result in obtaining a cracked nut</p> <p>He attends to the tool-using activity of his mother</p>
A young girl aiming to cross the road where her sibling has already crossed	<p>She is afraid of crossing because a very fast car just passed</p> <p>She is anxious of being scolded by her siblings if they are late</p> <p>She trusts her siblings' judgement that the road is safe to cross</p>	<p>She displays behavior clues that she wants to join her siblings who have already crossed the road</p> <p>She observes her siblings on the other side of the road, who are gesturing and calling towards her</p>	<p>She represents her siblings' goal: they want her to cross the road</p> <p>She understands that they will be late if she does not cross. She does not want to make them wait and risk being late for dinner</p> <p>She asks her sister for guidance how to cross the road safely</p>
A young chimpanzee crossing the road when his group has already crossed	<p>She is afraid of crossing because a very fast car just passed</p> <p>She feels the tense situation in other individuals being alert when crossing the road</p> <p>She does not get upset as the behavior of the alpha male is not threatening</p>	<p>She displays behavior clues that she wants to join the rest of the group on the other side of the road</p> <p>She observes the alpha male waiting on the other side and looking back towards her</p>	<p>She recognizes the danger associated with crossing the road</p> <p>She knows she is likely to encounter humans (or hear them) while crossing the road</p> <p>She notices the lack of fear expression in the alpha male's facial expression</p>



1056

1057 **Application of the ABC approach to the evolution of culture and language**

1058

1059 In this final section, we illustrate how the ABC approach can shed some new light on a diversity of  
1060 phenomena spanning from cultural learning to the occurrence and proliferation of language  
1061 conventions, language and signal comprehension and acquisition. In each of these cases, affect, in  
1062 parallel to cognition, facilitates the occurrence and fixation of the feature in a given group, leading to  
1063 the appropriate behavior involved in the feature in question. To underline the use of our approach, in  
1064 what follows, we explain how an ABC approach contributes to a paradigmatic change of describing  
1065 these features and their occurrences through cognition, affect and behavior in unison.

1066

1067 While definitions of social cognition have perhaps principally focused on the mental states of others  
1068 and predictions of their behavior (on others, Baillargeon, Scott, & Bian, 2016; Fiske & Taylor, 2013),  
1069 one alternative, as exemplified in our ABC approach, is to focus on the information itself (from others,  
1070 Clément, 2010; Harris, 2012). Accordingly, the study of the affective information provided to us by  
1071 others falls under the term ‘social appraisal’: whether someone tells us that a film is worth watching, or  
1072 that we have to decide whether the majority or expert minority are better sources of information, we are  
1073 taking into consideration other people’s affective views about an object. Both adults and infants as  
1074 young as 3-years-old trust more the testimony of people who look happy than those who look angry,  
1075 easing their learning (Clément, Bernard, Grandjean, & Sander, 2013). Incorporating this ‘affective  
1076 testimony’ (Clément & Dukes, 2017; Harris, 2019) as part of a general social appraisal in the ABC  
1077 approach is mandatory, and is in line with Manstead and Fischer’s original goals for the scope of social  
1078 appraisal (Fischer & van Kleef, 2010; Manstead & Fischer, 2001; Parkinson & Manstead, 2015).

1079

1080 The introduction of affect as a means to control knowledge transmission can apply as much to deciding  
1081 to engage in an emotionally-charged ritual in traditional or ancient human societies, as it can to  
1082 chimpanzees approaching an unknown nut, experimentally introduced in their environment (Biro et al.,  
1083 2003). In the latter case, we do not expect the added folklore, stories, and abstract values attached to

1084 the human rituals to appear, but the emotional connection to an unknown experience may well favor or,  
1085 on the contrary, force the disappearance of a given behavior present in the community, adding to the  
1086 ecological variables that already impact the maintenance of the behavior in the first place (Grund,  
1087 Neumann, Zuberbühler, & Gruber, 2019). The ABC approach can also add clarity to several contentious  
1088 issues related to animal culture. For example, there has been much debate on the type of conformity  
1089 present in animals (van Leeuwen, Kendal, Tennie, & Haun, 2015; Whiten & van de Waal, 2016).  
1090 Conformity is particularly challenging because it is founded on complex metarepresentational processes  
1091 (Gruber, et al., 2015). One may however argue that original studies of conformity (Asch, 1956) or  
1092 bystander effect (Latane & Darley, 1968) included affective statements by knowledgeable participants,  
1093 which influenced the way the naïve participants behaved. This affective dimension may well be at play  
1094 in animal conformity cases, offering a less cognitively-loaded account, which still does not deny the  
1095 reality of the phenomenon observed in non-humans.

1096

1097 The ABC approach can also be proposed for complex species-specific cultural phenomena such as  
1098 language evolution in humans. Building on evolutionary approaches that aim to lower the cognitive  
1099 threshold for studying their occurrence in other species (for imitation learning in the acquisition of novel  
1100 words, see Fridland & Moore, 2014; for intentionality in communication, see Townsend, et al., 2017),  
1101 we believe that the ABC approach can provide a more accurate perspective on language evolution than  
1102 current models that heavily rely on cognitive mechanisms. The main issue for these approaches is how  
1103 to explain the occurrence of language conventions without relying on traditional descriptions such as  
1104 the one by Lewis (1969). Lewis claimed that for language conventions to occur, i.e., for words to have  
1105 the property of being context-independently meaningful, we all, as part of a language community,  
1106 indirectly committed to using the word in a certain way and we are actively aware of these agreements  
1107 (that is, member X of language community L knows that member Y of the same language community  
1108 also knows that word W means M and uses it in accordance with that meaning). Human language  
1109 conventions though are not infinitely stable, but dynamic; novel language conventions are introduced  
1110 through novel uses of signals by language users (e.g., a prime example consists of the use of novel  
1111 words used by adolescents, that can eventually become part of established dictionaries). According to

1112 Lewis, the novel use is detected by other members of the language community through grasping the  
1113 intentions involved on the speaker's side when producing a word with a novel meaning. To grasp these  
1114 intentions, established research traditionally describes the context, previous meanings of the word, and  
1115 additional ostensive signals by the speaker, all of which are used as premises (e.g. Sperber & Wilson,  
1116 1995). The entire process is usually assumed to be highly cognitively loaded, making arbitrarily  
1117 meaningful signals and language conventions per se one of the defining and exclusive features of human  
1118 communication versus other animals' (e.g. Scott-Phillips, 2015). Compared to these accounts,  
1119 evolutionary accounts consider that the level of cognitive requirements for language conventions to  
1120 appear and remain in circulation are too complex, especially when aiming to provide a narration of an  
1121 evolutionary continuum (Millikan 2005; Moore 2013). Millikan for instance claims that while a speaker  
1122 may intentionally start using a word in a novel way, a recipient, while not excluding it, does not need  
1123 to focus on the speaker's intention; this is because the latter is rather interested in the use (or 'function'  
1124 in Millikan's words) of this new word to describe the world (Millikan, 2005; for more discussion, see  
1125 Sievers, Wild, & Gruber, 2017). In addition, a word's meaning remains in use (that is, the word has a  
1126 'proliferation history' in Millikan's words) because using the word with its meaning fulfils this function  
1127 (Millikan, 2005), i.e. using the word *grizzly bear*, referring to the presence of the particular species of  
1128 bear, serves the function of warning and survival, which has allowed the variant to remain in the  
1129 population. This is opposed to other approaches that claim that words remain in use because of the  
1130 known intentions involved in all members of the language community (i.e. Lewis, 1969), and with that  
1131 complex mindreading capacities involved (i.e., inferring the intention used by communicator when  
1132 using a word in a novel way, see Bloom, 2002).

1133

1134 While the Millikanian 'function' of a word is certainly an important factor for the proliferation, we  
1135 believe the actual proliferation mechanisms might be linked to the ascription of value for using a word  
1136 in a certain way (Sievers & Gruber, 2020). It is claimed that for young children to learn language  
1137 conventions – thereby guaranteeing the proliferation of the convention – complex learning (i.e.,  
1138 imitation learning) and teaching processes are involved in grasping arbitrary meanings of words (Moore  
1139 2013). ASL may help explaining how these processes come about in a less cognitively challenging

1140 manner: communicators engaging with a certain object linked to the novel meaning of the signal ascribe  
1141 value to the object for the novel word use. For example, adolescent children may see several or one  
1142 particularly influential peer using a word in a certain context with a certain meaning, and ascribe value  
1143 to it, meaning that there is importance to this use of the word for the adolescent child. That is, the peer  
1144 ascribes value to the use by producing the word in the given context, and makes the adolescent drawn  
1145 to this way of usage. In a next step, for the adolescent child to gain more information about the concrete  
1146 usage and, with that, the meaning of the word, again affective states play an important role: facial  
1147 expressions as displays of affective states (Ekman & Friesen, 1978; but see Fridlund, 1994) are often  
1148 considered ostensive signals during communication (Wharton & Saussure, 2020). These ostensive  
1149 signals are important tools to direct attention to the relevant information for understanding the word use  
1150 and with that its precise meaning. In this manner the important peer may ‘teach’ the adolescent the use  
1151 of the word, in a non-active way.

1152

1153 Overall, affective states and value ascription are an important part of introducing language conventions  
1154 (i.e. novel word uses), and the identification of these involved affective states or valuable objects are  
1155 central for other community members to grasp this new meaning. The ABC approach here may help  
1156 explain the exact learning and attention-getting processes that are involved. In particular, while not  
1157 excluding complex ToM-based processes for the establishment of novel convention, adopting an ABC  
1158 approach does not deny the possibility for less cognitively-centered processes. This is particularly  
1159 important while considering language evolution, for example, the different degrees of arbitrariness  
1160 found in animal signals, which may allow for an evolutionary continuous explanation for the appearance  
1161 of full-blown arbitrary meaningful signals such as human words, from less-arbitrary beginnings as can  
1162 be found in other great apes (Sievers & Gruber, 2020).

1163

## 1164 **Conclusion**

1165

1166 In this article, we have argued that the current literatures on social learning and affective social  
1167 influence, for historical reasons more than apparent theoretical disagreements, have remained divided.

1168 Yet, besides the frustration of being unable to maneuver across disciplines concerned with a similar  
1169 object of study, we have argued that it is crucial to recognize striking commonalities. We have proposed  
1170 a novel ABC approach of social learning, including Affect, Behavior and Cognition, building on the  
1171 three major traditions that we have reviewed in the literature. Our attempts at reviewing these three  
1172 major domains have been necessarily patchy. For example, we have only superficially reviewed the  
1173 major debates in the social learning literature between animal and human social learning, which has  
1174 occupied much of the debate on the uniqueness of human culture over the last two decades. Yet, we  
1175 also believe that this debate has reached a stand-still, with scholars on both sides (animal culture  
1176 proponents and sceptics, respectively) unable to convince the others to join them at the theoretical level  
1177 (Gruber, 2016; Tennie, et al., 2009; Whiten, et al., 2009). Our proposal to include affect in the debate  
1178 can, we hope, unlock the stalemate, as well as contribute to the debates in the developmental literature  
1179 with respect to the (non-affective) cognitive achievement of infants and toddlers (Gredebäck, Astor, &  
1180 Fawcett, 2018; Heyes, 2017).

1181

1182 Overall, we believe that scientists should strive to integrate affect as part of any social learning model,  
1183 as it is likely to always color one's perception of one's environment. Affect provides a continuum, from  
1184 uncontrollable tantrums present in babies of many species, to the faculty to manipulate, consciously or  
1185 not, the appreciation of a learner of a given object of its environment, whether animated or not. We  
1186 believe that the ABC approach thus not only provides a bridge between species, but also highlights that  
1187 any social learning process will be somehow influenced by its affect, as largely studied and  
1188 demonstrated in other domains by affective sciences. While we do not believe that animal and human  
1189 social learning theories have completely ignored affect, we believe the latter deserves a much more  
1190 central place in the debate, and we hope that our contribution will foster discussions between the three  
1191 major branches of social learning, as well as with other disciplines such as affective neurosciences (see  
1192 also Olsson, et al., 2020), that can lead to the reconstruction of the evolution of the mind as a product  
1193 of affect, behavior and cognition. In this respect, we have briefly described potential important  
1194 applications of the ABC approach, in providing a scaffold for the evolution of culture and language.  
1195 While not denying the uniqueness and achievement of our own species, we believe such an approach

1196 can be used as a starting point to determine how emotion and cognition kept interacting throughout our  
1197 evolution, rendering our cultures and communications unique in scope and nature.

1198

1199 Notes: 1. Interestingly, this approach to motivation appears to depart from the usual use of the term in  
1200 emotion research where it refers to event-induced states of relatively short duration where one is  
1201 inclined to act or not to act (Frijda, 2010).

1202

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- 1811

1812 **Box 1**

1813 Understanding emotions in others' actions

1814 Humans are notorious for their ability to express and understand emotions (Sauter, Eisner, Ekman, &  
1815 Scott, 2010; Scherer, 2005). Our understanding of emotions grows gradually; from an early onset  
1816 already identifiable in the first year of life, at the end of which infants are able to recognize positive and  
1817 negative facial expressions and to respond appropriately (Sorce, et al., 1985), to age 10 or 11 when they  
1818 can recognize most facial expressions (Pons, Harris, & de Rosnay, 2004). In the process, they also  
1819 understand the social underpinnings of emotions and will attribute the correct 'emotion' to a given  
1820 story (Saarni, 1979). While the universality of specific emotions is a continuous source of debate  
1821 (Crivelli, Russell, Jarillo, & Fernández-Dols, 2016; Ekman, 1992), the ability to recognize how  
1822 conspecifics relate to the objects in their surroundings is nevertheless very likely to be present in all  
1823 human societies suggesting that there is an evolutionary advantage to being able to do so. While being  
1824 able to understand immediately that a conspecific is scared (Olsson & Phelps, 2007), disgusted or  
1825 angry, there may be evolutionary benefits too in appreciating that someone is proud, enthusiastic or  
1826 interested (Mortillaro & Dukes, 2018). The concept of social appraisal (Manstead & Fischer, 2001)  
1827 highlights how a learner can use others' appraisals of an object while appraising the object themselves.  
1828 In simple terms, we can learn how others evaluate the objects in the environment: we may learn that  
1829 an otherwise ignored object is in fact relevant, and then engage with it ourselves, we may also assume  
1830 that members of the same group feel the same way and prefer learning from them (Gruber, et al., 2019).  
1831 Observation of other people's manifestations of their relation with an object and subsequent inferences  
1832 about how they feel towards the object, can inform the learner about how they should feel about the  
1833 object themselves and predict how the other might behave (Egyed, Király, & Gergely, 2013).

1834

1835 According to appraisal theorists, emotions are the result of the goals and motivations that an individual  
1836 has on the other object (Campos, Mumme, Kermoian, & Campos, 1994; Lazarus, 1991; Scherer, Schorr,  
1837 & Johnstone, 2001). Emotion can thus be directly seen in the action of the other and contextual (e.g.  
1838 bodily) information may be quasi-automatically integrated, presumably even before an emotion is fully

1839 identified for categorization (Frijda & Tcherkassof, 1997): in line with Dennett's (1987) intentional  
1840 stance, to grasp the sense of relational activity, "merely requires that movements be viewed as behavior  
1841 – that is, as purposive, as movements related to the organism's environment and as guided by aims in  
1842 relation to that environment" (Frijda & Tcherkassof, 1997, p.95-96).

1843

1844 Social referencing is often described as comprised of two behavioral elements: initiating a look at the  
1845 adult and using adult's emotional cues in guiding further actions (Walden, 1991). The focus on the  
1846 visual modality for emotion recognition likely results from the propensity of infants to pay special  
1847 attention to human faces, which may underlie their predisposition to learn about the world through a  
1848 caregiver's face (Farroni, Csibra, Simion, & Johnson, 2002). With development, looking at others  
1849 allows them to obtain crucial feedback on the situation. As described in the main text, infants use social  
1850 gaze to emotionally check in with their caregivers upon encountering a potentially dangerous situation,  
1851 such as an obstacle on their path, a barking dog or a spider. This process is not limited to humans, as  
1852 has been demonstrated in domesticated cats and dogs when dealing with humans within interspecific  
1853 social referencing protocols (Merola, Lazzaroni, Marshall-Pescini, & Prato-Previde, 2015; Merola,  
1854 Prato-Previde, & Marshall-Pescini, 2012).

1855

1856 Additional emotion clues can be found in the vocal (Banse & Scherer, 1996) and tactile modalities  
1857 (Hertenstein, Keltner, App, Bulleit, & Jaskolka, 2006). Vocally communicated transmission may work  
1858 better in some contexts, particularly when vocal communication is the only way to transmit such  
1859 emotional information (Grandjean et al., 2005). This also opens experimental opportunities for  
1860 investigating emotion recognition in other species. Comparative work indeed often relies on field  
1861 experiments using vocal play-back, which offers a strong methodological approach in natural settings  
1862 to explore the connections between affect and the social world. For example, chimps show 'surprise',  
1863 in terms of longer orienting responses, when they have heard what they think is a lower-ranked member  
1864 challenge a higher-ranked member of the group (Slocombe, Kaller, Call, & Zuberbühler, 2010). Such  
1865 recognition is not limited to primates, with dogs having been shown to recognize both conspecific and  
1866 heterospecific (human) emotional content in vocalizations (Albuquerque et al., 2016).