

1 **Action Imagery and Observation in Neurorehabilitation for Parkinson's Disease**  
2 **(ACTION-PD): development of a user-informed home training intervention to improve**  
3 **functional hand movements**

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46 **ABSTRACT**

47 **Background:**

48 Parkinson's disease (PD) causes difficulties with hand movements, which few studies have  
49 addressed therapeutically. Training with action observation (AO) and motor imagery (MI)  
50 improves performance in healthy individuals, particularly when the techniques are applied  
51 simultaneously (AO+MI). Both AO and MI have shown promising effects in people with PD,  
52 but previous studies have only used these separately.

53 **Objective:**

54 This article describes the development and pilot testing of an intervention combining AO+MI  
55 and physical practice to improve functional manual actions in people with PD.

56 **Methods:**

57 The home-based intervention, delivered using a tablet computer app, was iteratively designed  
58 by an interdisciplinary team including people with PD, and further developed through focus  
59 groups and initial field testing. Preliminary data on feasibility was obtained via a six-week  
60 pilot randomised controlled trial (ISRCTN 11184024) of 10 participants with mild to  
61 moderate PD (6 intervention; 4 treatment as usual). Usage and adherence data were recorded  
62 during training, and semi-structured interviews were conducted with participants. Exploratory  
63 outcome measures including dexterity and timed action performance were tested.

64 **Results:** Usage and qualitative data provided preliminary evidence of acceptability and  
65 usability. Exploratory outcomes also suggested that subjective and objective performance of  
66 manual actions should be tested in a larger trial. The importance of personalisation, choice,  
67 and motivation was highlighted, as well as the need to facilitate engagement in motor  
68 imagery.

69 **Conclusions:** The results indicate that a larger RCT is warranted, and have broader relevance  
70 for the feasibility and development of AO+MI interventions for people with PD and in other  
71 populations.

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73 **Keywords:** Parkinson’s disease; activities of daily living; motor imagery; action observation;  
74 home-based.

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## 77 **Introduction**

78

79 Beyond the more widely recognised difficulties with gait, balance and gross motor  
80 functioning, Parkinson’s disease (PD) impairs fine motor skills including hand dexterity,  
81 which are needed for the successful performance of activities of daily living [1,2]. Sudden  
82 arrests in movement – known as “freezing” – of the upper limbs can also occur in PD, which  
83 may be correlated with freezing of gait [3]. Daily activities can be affected even in the early  
84 stages of PD [4], potentially impacting on work performance as well as household tasks, self-  
85 care, hobbies and leisure activities. Indeed, people with PD consistently report dexterity  
86 among the domains most affected by the condition [5,6], and have expressed a need for  
87 interventions to improve dexterity [7,8]. However, few studies have directly addressed  
88 dexterity problems in PD [9].

89

90 Although PD affects the internal generation of action [10], external cues such as visual  
91 stimuli (e.g., floor markers) and auditory stimuli (e.g., rhythmic music) can help to elicit or  
92 control movement; this may relate to the relative preservation of goal-directed movement  
93 pathways, which compensate for impaired habitual or automatic processes [11]. However,  
94 while such cues may be effective in improving gait parameters [13,14], they are less

95 applicable to the fine hand movements required for everyday functional actions. Additionally,  
96 they cannot always be readily applied in real-life situations outside of the clinic or laboratory,  
97 and long-term effects of cueing have not been established [12].

98

99 An alternative type of movement cue may be provided by observation of human action  
100 (action observation; AO). A large body of literature based on investigations in healthy  
101 participants has demonstrated that AO facilitates movement and increases motor learning.  
102 [13–16] This involves the activation of an action observation network[17], incorporating a set  
103 of fronto-parietal neural structures that are engaged during both AO and motor execution,  
104 referred to as the “mirror neuron” system. Another process that shares neural substrates with  
105 AO and motor execution [18] is motor imagery (MI). MI, also referred to as *action imagery*  
106 [19], is the imagination of movement with associated sensations (kinaesthetic imagery) and  
107 images (visual imagery), in the absence of overt action [20], and is found to facilitate learning  
108 and movement in healthy participants [21,22].

109

110 AO and MI have shown promising effects in neurorehabilitation [23–25]. In a small number  
111 of laboratory studies in people with PD, AO influenced movement speed and timing in  
112 reaching [26] and finger-tapping [27] tasks, as well as hand movement amplitude [28], and  
113 preserved motor resonance for incidentally-observed hand actions has been found in PD [29].  
114 People with PD also report similar vividness of MI to healthy controls; however, like their  
115 actual movements, their imagery may be slowed [30], and compensatory mechanisms may be  
116 involved, such as a greater reliance on visual processes [31,32].

117

118 Small-scale intervention studies in PD have provided preliminary evidence that AO  
119 combined with physical practice can improve motor symptoms, balance and gait [33,34], as

120 well as dexterity [35] and functional independence [36]. Increased activation in cortical  
121 motor areas has also been found following AO-based training in PD[33], suggesting potential  
122 neuroplastic effects. MI has been found to help overcome freezing of gait in people with PD  
123 [37], and MI training combined with physical practice improved timed motor  
124 performance[38].

125

126 In healthy participants, combining AO and MI has been found to produce greater behavioural  
127 and neurophysiological effects than either process in isolation [22,39,40], and preliminary  
128 evidence suggests that combined “AO+MI” may be effective in stroke rehabilitation [41].

129

130 However, only one study to date has investigated AO+MI in PD, which showed increased  
131 imitation of hand movements when participants engaged in MI during AO, compared to AO  
132 alone [28]. It has been proposed that combining AO and MI may increase corticospinal  
133 excitability in people with PD, thereby enhancing pre-movement facilitation [42].  
134 Additionally, concurrent observation provides an ongoing visual input, which may facilitate  
135 the generation of motor imagery[39], potentially compensating for difficulties with MI that  
136 people with PD may experience [28].

137

138 To investigate the potential of combined AO+MI training to improve everyday activities in  
139 PD, we designed the ACTION-PD intervention, which utilises video-based AO+MI and  
140 physical practice of functional manual actions, delivered via an app on a tablet computer.  
141 This home-based intervention differs from previous AO therapies, which were conducted in  
142 clinics or under physiotherapist supervision (e.g., [33–35]). People with PD were involved  
143 in the development process through focus groups and as members of the research team, and

144 our initial focus group [7] indicated that a home-based combined AO+MI intervention would  
145 be acceptable and useful, including the potential to offer personalised treatment.

146

147 Given the heterogeneous nature of PD, “personalised treatments” has been identified as a  
148 research priority by people with PD [8]. In this respect, training based on action  
149 representation (AO and MI) can be tailored to the individual’s needs and rehabilitation goals.  
150 While the ultimate aim of the intervention is to develop skills in using AO+MI that  
151 individuals can apply across multiple situations, focusing on personally meaningful actions is  
152 likely to increase motivation and engagement with the training [7].

153

154 This article describes the next stages in the development and pilot testing of the intervention,  
155 which consisted of: (i) design of the intervention prototype; (ii) initial field testing; and (iii) a  
156 pilot randomised controlled trial (RCT). The aim of the present study was to collect  
157 preliminary qualitative and quantitative data on usability and acceptability, and to explore  
158 potential outcomes of the intervention, in order to establish whether a full RCT is warranted.  
159 The intervention development process from conceptualisation to pilot testing is outlined in  
160 Figure 1.

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Rationale for intervention	Need to address everyday functional hand movements in PD Importance of personalised and user-informed therapies	Concept of combined AO + MI intervention for people with PD Laboratory evidence of AO + MI effects in PD	
User input	Focus group with people with PD (n = 6) to discuss proposed intervention and identify potential actions (Bek et al., 2016)		
Development	Selection and filming of actions for prototype app based on focus group and further consultations	Development of app interface: PD-specific instructions and content	
User input	Second focus group (n = 6) to obtain feedback on prototype app and explore use of technology and potential barriers		
Testing	Initial testing of intervention (n = 4): <ul style="list-style-type: none"> <li>• Participants with mild to moderate PD</li> <li>• 3 personally-selected actions, 2 core actions</li> <li>• 6 weeks x 150 minutes (target)</li> </ul>		
Development	Updated action library informed by field-testing and further discussions	Further app development including transfer to new platform, secure collection of usage and self-report data	Testing of software and content by research team and patient representatives
Testing	Pilot RCT (n = 10): <ul style="list-style-type: none"> <li>• Participants with mild to moderate PD</li> <li>• Intervention (n = 6) vs. treatment as usual (n = 4)</li> <li>• 3 personally-selected actions, 2 core actions</li> <li>• 6 weeks x 120 minutes (target)</li> </ul>		

Figure 1. The intervention development process.



191 **Methods**

192 Ethical approval for the project was obtained from the UK National Health Service Research  
193 Ethics Committee, and all participants provided written informed consent.

194 *The intervention prototype*

195 An action library was first compiled to enable users to select the actions they wished to train,  
196 based on suggestions from our previous focus group [7], examination of the literature, and  
197 discussions within the research team. The selection of actions was limited to those that could  
198 be practiced safely at home in a seated position, using everyday objects. Patient  
199 representatives were invited to review the library and suggest any additional actions.

200

201 The actions selected to include in the prototype (see Figure 2 for examples) were video-  
202 recorded in a quiet room, using a plain wooden table and a neutral background free from  
203 other objects or distracting features.

204

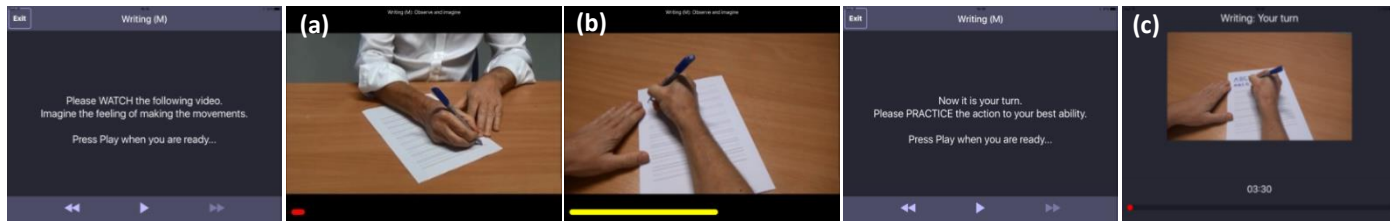
205 Each action was filmed with male and female actors to allow matching to the participant's  
206 gender, and from both third-person and first-person perspectives. The first-person video was  
207 filmed from the viewpoint of the actor and the third-person video was filmed from either the  
208 front or side of the actor, depending on which provided the clearest view of the action. The  
209 third-person perspective provided the overall context of the action and movement kinematics,  
210 [43] while the first-person perspective was expected to promote kinaesthetic imagery [44]  
211 and enhance sensorimotor activations. [45] Previous AO intervention studies in PD have  
212 shown positive effects using either first-person videos [35] or third-person videos,  
213 [27,33,34,46] suggesting that both perspectives may be beneficial.



220 *Figure 2.* Examples of everyday actions used in the intervention (coffee jar, ticket sorting,  
 221 buttoning). Each action is presented from the third-person perspective (a) followed by the  
 222 first-person perspective (b).

223  
 224 The prototype was developed through modification of an app originally designed for upper  
 225 limb rehabilitation in stroke patients, [47] using PD-relevant videos and updated instructions.  
 226 The third-person video of the action was presented first, followed immediately by the first-  
 227 person video (see Figure 3). Videos were played with the accompanying sound, which  
 228 provides additional action-relevant information, and may evoke auditory activation of  
 229 sensorimotor areas and facilitate motor imagery, [48,49] Participants were instructed to  
 230 observe the videos while simultaneously engaging in kinaesthetic motor imagery, which is  
 231 associated with stronger sensorimotor activations than visual imagery. [39] This was  
 232 followed immediately by physical execution of the action using the same objects as depicted  
 233 in the video. During action execution, a still image of the action (extracted from the first-  
 234 person video) was displayed on the screen as a reminder. This remained on screen for the  
 235 same duration as the preceding video, but participants were advised that they were not  
 236 required to complete the action within this time limit.

237



238

239 *Figure 3.* Screenshots of the prototype app used in the pilot RCT: Participants were instructed  
240 to imagine each action (kinaesthetic motor imagery) while watching videos showing the  
241 action from the third-person (a) and first-person (b) perspectives, before physically  
242 performing the action using the relevant objects (e.g. pen and paper). A still image of the  
243 action (c) was displayed during action execution. Finally, participants rated the vividness of  
244 their imagery during observation and the difficulty of performing the action.

245

246 A focus group was conducted with individuals with mild to moderate PD to obtain feedback  
247 on the intervention prototype and explore views and experiences of technology more broadly  
248 (see supplementary material S1).

249

### 250 **Initial testing and pilot RCT**

251 Following positive feedback from the focus group on the potential acceptability and usability  
252 of the prototype intervention, it was then pilot-tested to further explore feasibility.  
253 Exploratory pre- and post-intervention measures were also collected to identify potential  
254 outcomes in terms of dexterity, reaction times, motor imagery and quality of life. Testing was  
255 conducted in two stages: (i) initial testing with a small number of participants; (ii) pilot RCT.  
256 Below we report the methods and results of both stages together, indicating where changes  
257 were made between the initial testing and pilot RCT.

258

259 **Participants**

260 For the initial testing phase, four participants with mild to moderate PD and with no history  
 261 of other neurological or psychiatric conditions were recruited from volunteer panel and  
 262 through Parkinson’s UK (see Table 1). Participants reported experiencing difficulties with  
 263 everyday manual actions, had normal or corrected-to-normal vision, and were screened for  
 264 cognitive impairment [50] and anxiety and depression [51]. For the pilot RCT, a further 10  
 265 participants with mild to moderate PD were recruited and screened in the same way (Table  
 266 1).  
 267

Table 1. Baseline characteristics of participants in pilot testing.

Participant	Sex	Age (years)	Time since diagnosis (years)	Hoehn & Yahr stage	UPDRS-III motor score
Initial_1	M	73	7	2	54
Initial_2	F	72	10	3	36
Initial_3	M	63	8	1	16
Initial_4	F	50	2	2	32
RCT_I1	M	70	4	2	49
RCT_I2	M	65	7	2	29
RCT_I3	M	71	4	2	40
RCT_I4	M	66	16	2	37
RCT_I5	F	69	2	3	47
RCT_I6	M	60	2	3	66
RCT_C1	M	66	13	2	51
RCT_C2	M	59	5	2	39
RCT_C3	M	63	2	1	28
RCT_C4	M	47	4	2	42

Note: Initial = initial testing cohort; RCT\_I = pilot RCT intervention group; RCT\_C = pilot RCT control group.

268 **Design and protocol**

269 *Initial testing*

270 With the assistance of a researcher, each participant selected 3 “personal” actions they  
271 wished to improve (e.g., buttoning, writing, opening and closing food containers). In  
272 addition, to explore the possibility of a more standardised approach to training and outcome  
273 measurement, all participants were asked to practice two “core” actions selected by the  
274 research team, which were based on common everyday tasks (handling coins, sorting train  
275 tickets). The stimulus videos (first- and third-person perspectives combined) had a mean  
276 duration of 54.9 s. A full list of personal and core actions is provided in the supplementary  
277 material (S2).

278

279 Following a baseline assessment in the laboratory (see “Outcome measures” below), a  
280 researcher visited the participant at home to deliver the tablet computer and accessory objects  
281 corresponding to the items used in the videos, and to demonstrate the use of the app and  
282 explain the training protocol. A full instruction guide, as well as background information on  
283 the project and contact details for the research team, was provided within the app.  
284 Participants were also given a printed copy of the instructions. The researcher answered any  
285 questions and ensured that the participant fully understood how to use the app before  
286 independent training commenced.

287

288 The training was carried out in the individual’s home for 6 weeks using the app on a tablet  
289 computer (iPad). In each training session, participants practiced the 5 actions (3 personal and  
290 2 core), which were presented in a randomised order to avoid fatigue disproportionately  
291 affecting performance or completion of some of the actions. A target training time of 150  
292 minutes per week was set (based on previous action observation intervention studies [25]),  
293 which could be divided up according to the individual’s preference. For example, if a single  
294 training session took 25 minutes, the participant could choose to complete one session per

295 day for 6 days, or two sessions per day for 3 days. To maximise feasibility, the training was  
296 intended to be flexible, and participants were advised that they could fit their practice around  
297 other commitments or difficulties relating to symptoms.

298

299 Participants were asked to record dates and times of practice sessions in a paper-based  
300 training diary. For each session, they were also asked to rate the difficulty of performing each  
301 action on a five-point scale (very easy/quite easy/neither easy nor difficult/quite difficult/very  
302 difficult). During the training period, participants were followed up with a weekly telephone  
303 call, and were also encouraged to contact the research team at any other time if they had  
304 questions or experienced technical issues.

305

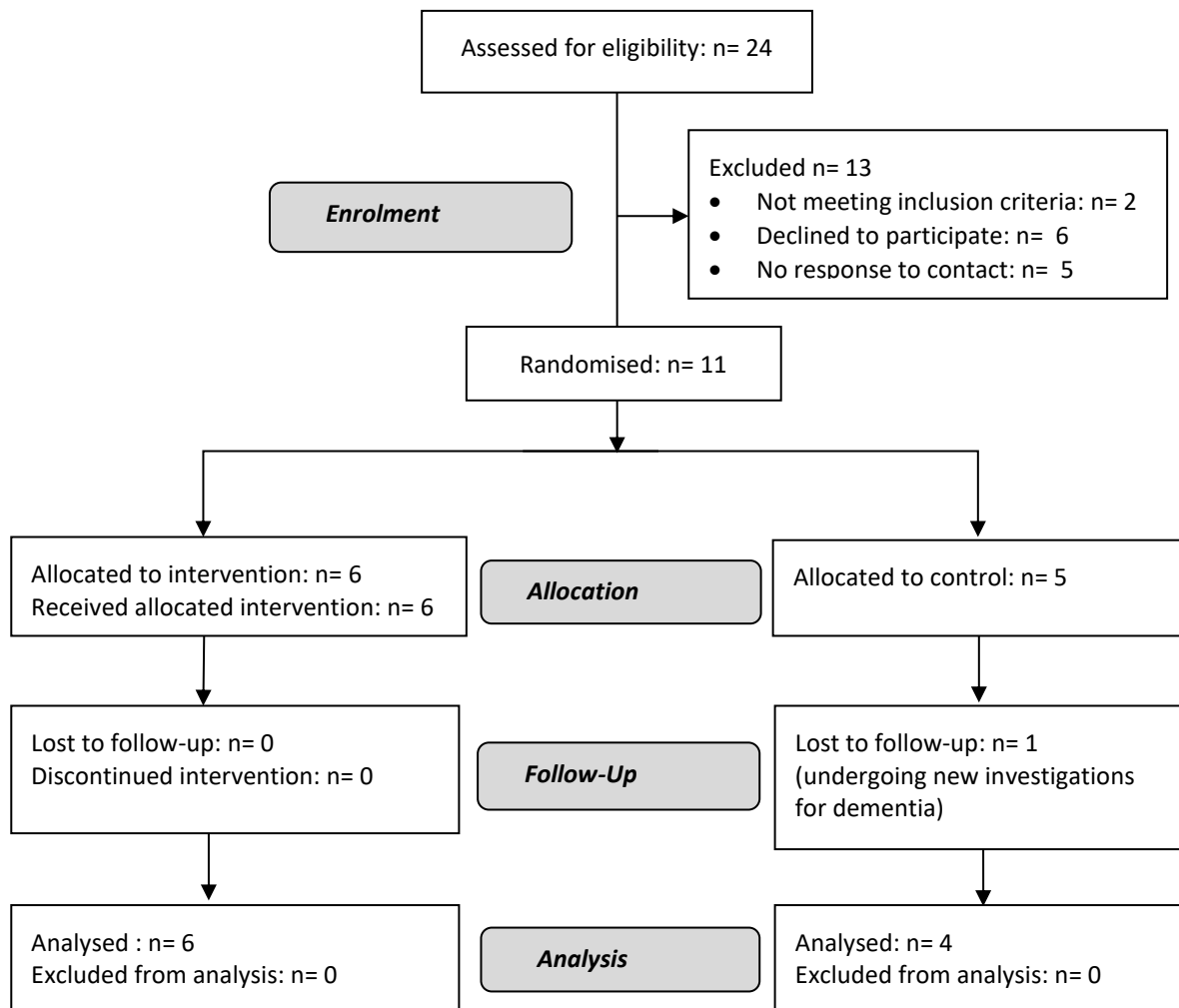
306 On completion of the 6-week training period, participants returned to the laboratory for a  
307 follow-up assessment (approximately 10 weeks after baseline). Where possible, baseline and  
308 follow-up assessments were conducted at the same time of day to minimise variability in  
309 relation to medication effects and motor fluctuations. Semi-structured interviews were then  
310 conducted to obtain qualitative feedback on the app and explore individuals' experiences of  
311 the training.

312

### 313 *Pilot RCT*

314 The pilot RCT was registered with ISRCTN (trial number 11184024). The flow of  
315 participants through the trial is illustrated in a CONSORT diagram [52] in Figure 4. Prior to  
316 the pilot RCT, the app was transferred to a new software platform that enabled secure in-app  
317 collection and storage of usage and self-report data, in place of the paper-based training  
318 diaries used in the initial testing phase. A larger library of videos was also produced, based  
319 on feedback from the initial testing and further discussion within the research team.

320 Additionally, two new “core” actions (opening and pouring from a water bottle, transferring  
 321 sugar from a jar to a cup) were identified in discussion with Parkinson’s representatives.  
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324

325 *Figure 4.* CONSORT diagram showing flow of participants in the pilot RCT.

326

327 Each participant selected six actions from the updated action library in order of preference:  
 328 the first three actions were included in the individual’s training programme (“personal-  
 329 trained”) while the other three (“personal-untrained”) were used to test for transfer of training

330 effects. The two core actions were included in training for all participants (see supplementary  
331 material, S2).

332 Following baseline assessment, participants were randomly allocated to the intervention  
333 group or control group by a researcher who was not involved in recruitment or data  
334 collection, using an online randomisation tool.

335

336 The intervention protocol was the same as described above except for the following:

337 (i) Based on data from the initial testing suggesting that training sessions took less time  
338 than anticipated to complete, and that participants were not all achieving the weekly  
339 target, the training time was reduced to 120 minutes per week. Again, this could be  
340 divided up according to the participant's preference (e.g., two 20-minute sessions per  
341 day for 3 days per week).

342 (ii) Immediately after completing each action, participants completed in-app ratings of  
343 vividness for their imagery when watching the video, using a five-point scale. The  
344 difficulty of the action was then also rated on a five-point scale.

345

346 The control group continued with their usual treatment for PD and did not receive the  
347 intervention, but were followed up with a weekly telephone call to maintain contact.

#### 348 *Outcome measures*

349 Usability and acceptability were assessed through the adherence data and ratings collected  
350 via home training diaries or through the app, as described above. Feasibility was further  
351 explored through the semi-structured post-training interviews, in which participants were  
352 asked about their experiences of the app and the training content and schedule, as well as any  
353 perceived changes in their performance of the actions and transfer of skills to other tasks.



354 To explore potential outcomes of training, the following measures were administered before  
355 and after the intervention period:

356 (i) Dexterity for everyday tasks was assessed using the Dexterity Questionnaire (DextQ-24  
357 [53]); a self-report questionnaire designed for people with PD.

358 (ii) Quality of life was assessed using the Parkinson's Disease Questionnaire (PDQ-39  
359 [54]).

360 (iii) Motor imagery was tested using the Kinaesthetic and Visual Imagery Questionnaire  
361 (KVIQ [55]), which has been validated in people with PD [56]. The KVIQ requires  
362 participants to physically perform, and then imagine performing, simple actions  
363 involving different body parts (upper limbs, lower limbs, trunk, shoulders and head).  
364 Visual and kinaesthetic subscales are used to rate the vividness of images and intensity  
365 of sensations respectively.

366 (iv) Simple and choice reaction time tests required participants to react to the appearance of  
367 an LED by pressing a button on a response box as quickly as possible [57]. The simple  
368 task consisted of two blocks, with responses made using the left hand in the first block  
369 and the right hand in the second block. In the choice RT task participants responded  
370 using the hand corresponding to the location of the light signal, which appeared in a  
371 random order on either the left or right side of the display.

372

373 In the pilot RCT, performance of personalised (personal-trained and personal-untrained) and  
374 core actions was also assessed in the laboratory. Participants viewed videos showing each  
375 action from the third-person and then first-person perspective, while simultaneously engaging  
376 in kinaesthetic imagery, before physically performing the action. Each action was presented 3  
377 times, resulting in a total of 24 trials. Videos were viewed on a projector screen (300 x 580  
378 mm display size), approximately 1100 mm from the participant, who was seated at a table

379 with the objects needed to complete the action placed in front of them. The objects were  
380 occluded by an opaque screen until the end of the video, when a go-signal indicated the start  
381 of the physical practice as the objects were revealed (the word “Go” in text appeared on the  
382 screen, accompanied by a beep). Following each trial, participants were asked to rate the  
383 difficulty of performing the action on a five-point scale. Action performance was filmed  
384 using a video camera positioned adjacent to the projector screen, and the time taken to  
385 complete each action was coded from the video by a researcher who was blinded to group  
386 allocation.

387

## 388 **Results**

### 389 *Feasibility*

#### 390 *Training adherence*

391 All participants in the initial testing and those in the intervention arm of the pilot RCT  
392 completed the 6 weeks of training, with an average of 7.8 (range: 5.7 - 11.7) sessions per  
393 week in the initial phase and 8.9 (6 – 14) sessions per week in the pilot RCT. Based on an  
394 estimated average session duration of 20 minutes, this corresponds to a mean adherence of  
395 104 % in the initial cohort (76 – 156 %) and 148.3 % in the pilot RCT (99.5 – 233 %).

396

#### 397 *Post-training interviews*

398 The semi-structured interviews were analysed thematically using the same approach as  
399 described above for the focus group. Given the overlap in content of the interviews, data from  
400 the initial testing phase and the pilot RCT were combined for analysis. Themes are  
401 summarised in Table 2 and a more detailed analysis with illustrative quotes is provided in the  
402 supplementary materials (S3). Following the interview, each participant was asked to rate  
403 aspects of the app and training on five-point scales. All participants rated the app usability

404 and the actions as either “very easy” or “quite easy”, and said that they would “definitely” or  
405 “probably” use a similar app in the future. Eight of the ten participants reported that they  
406 enjoyed the training “very much” or “somewhat”, five felt that they had “definitely” or  
407 “probably” improved on the trained actions, and six reported that they had “probably”  
408 improved on other untrained actions.  
409

Table 2. Themes generated from semi-structured post-training interviews.

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*Theme 1: Suitability and choice of actions*

The interviews revealed mixed experiences of the actions practiced within the training. Several participants reported that the actions were unchallenging, or that they found only one or two of the actions difficult. Other participants found the actions well-suited to their needs, or appreciated the combination of easier and more difficult actions. Some participants noted that it was useful to practice everyday actions that would be commonly encountered. On the other hand, the disparity between practicing the actions at home and in real-life scenarios was discussed.

All participants felt that the intervention would benefit from a greater variety and choice of actions. It was suggested that individuals could be supported to select actions appropriate to them. Some participants would like the option to replace actions once a level of competence had been achieved, or to be able to progress to more difficult actions. One participant felt that they would prefer to focus on one action at a time, according to their current needs.

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*Theme 2: Action observation and motor imagery*

It was noted that watching the videos provided useful cues for improving performance, and one participant reported that this was particularly helpful for the more difficult actions. It was also suggested that watching the videos could increase awareness of variability in the observer’s own actions. However, one participant noted that they became distracted while watching the videos, so may not have always fully attended to the presented action.

Participants generally reported a preference for viewing actions from the first-person perspective, which for some individuals could change over time. Comments indicated that the first-person video promoted motor imagery, although some participants appreciated seeing the third-person view first to obtain an overall understanding of the action. Some participants felt that it was helpful to see both perspectives, which might facilitate motor imagery and learning.

Individual differences in experiences of the motor imagery component of the training were highlighted. Some participants found it effortful to engage in motor imagery, which either improved over time or remained problematic, while other comments indicated that the importance of the imagery component might be unclear. Hearing the sounds associated with the actions was suggested to help in facilitating imagery.

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*Theme 3: Accommodating the training within everyday life with Parkinson's*

Participants generally found the training schedule manageable, and were able to fit the session into their day, valuing the flexibility to work around other commitments and activities. However, one individual commented on the additional time needed to set up the objects in preparation for their session, which increased the daily time demands. Another found that their sessions took quite some time to complete, and that they had sacrificed other activities in order to fit in the training. The duration of the current intervention period was generally found to be acceptable and appropriate.

Some participants noticed that their ability to perform the actions was impacted by medication effects or fatigue, which could result in inconsistent performance at different times of the day. The variable nature of Parkinson's, including fluctuation of symptoms and the way the condition could affect different actions, was also commented on by several participants.

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*Theme 4: Perceived effects including cognitive and psychological changes*

Most participants noticed at least some degree of improvement in the actions trained within the intervention, although others did not perceive any change in their performance, which in some cases was suggested to relate to the suitability of the selected actions. The training had helped some participants in performing other everyday tasks. Comments suggested that this could relate to a change in attitude or mindset when approaching actions.

Some participants more explicitly referred to changes in awareness or use of action representation processes (observation and imagery) in everyday life, although some did not notice any such changes. Examples of applying imagery to specific tasks were provided, including tool use, dressing, getting out of bed and moving through doorways.

Other changes such as increased confidence, sense of control and self-efficacy were reported by some participants.

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*Theme 5: The importance of motivation and feedback*

Motivation was unanimously considered an important issue in home-based training, although individuals' views on what would motivate them differed.

For some participants the potential to improve movements through the training, or just the achievement associated with completing the daily sessions, was intrinsically motivating. Practicing more challenging actions, or a progression in the difficulty of actions, might also provide a source of motivation.

External sources of motivation were also highlighted. Some participants said that they would find performance-related feedback helpful. It was also suggested that more feedback and encouragement could be built into the app.

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410 *Action difficulty and motor imagery ratings*

411 Ratings of action difficulty and motor imagery vividness during training are summarised in  
412 the supplementary materials (S4). Across the initial testing and pilot RCT, an overall  
413 reduction in difficulty ratings between the first and sixth weeks was found for both core  
414 actions (median change = 35.1 %) and personal actions (median change = 43.4 %). Core  
415 actions were rated as easier than personal actions from the start of training and perceived  
416 improvements appeared to reach a plateau by week 2 in both cohorts. In the pilot RCT,  
417 ratings of motor imagery did not show any evidence of improvement across the 6 weeks; in  
418 fact there was a slight reduction in reported vividness (median change = 16.2 %).

419

420 *Exploratory outcomes*

421 Statistical analyses of the exploratory outcome measures were not performed because of the  
422 small sample sizes, but descriptive statistics are provided in the supplementary material.  
423 There was no clear indication of improvement on the PDQ-39 or KVIQ; however, numerical  
424 trends suggested the potential for improvement in self-reported dexterity as well as simple  
425 and choice reaction times (see Figure 5).

426

427 *Motor performance*

428 Analysis of video-recorded action performance at baseline and post-intervention in the pilot  
429 RCT indicated reduced completion times for personal-trained and personal-untrained actions,  
430 and reduced difficulty ratings for all action types, in the intervention group (see Figure 6). In  
431 contrast, controls showed no indication of improvement in completion times or difficulty  
432 ratings.

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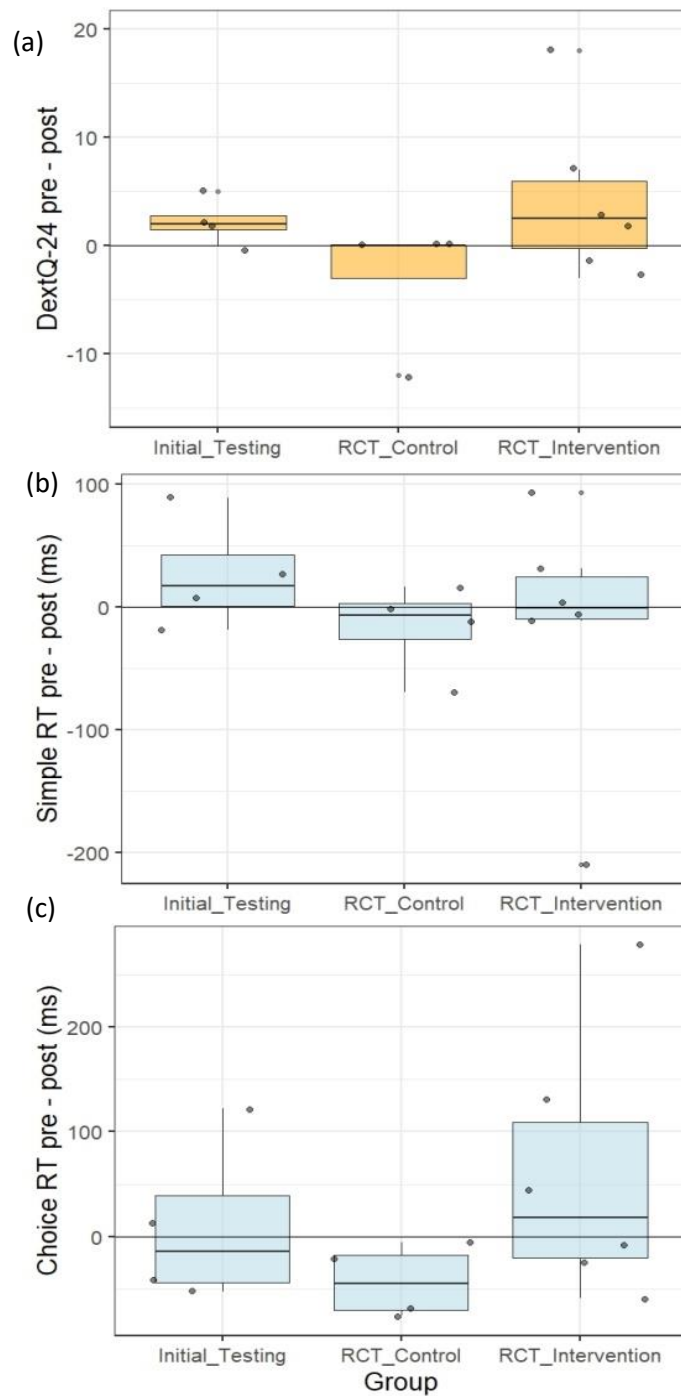


Figure 5. Changes in exploratory outcome measures in the initial testing and pilot RCT: (a) DextQ-24; (b) simple reaction time; (c) choice reaction time. Boxes show medians and quartiles with dots representing individual participants. Positive change indicates improvement.

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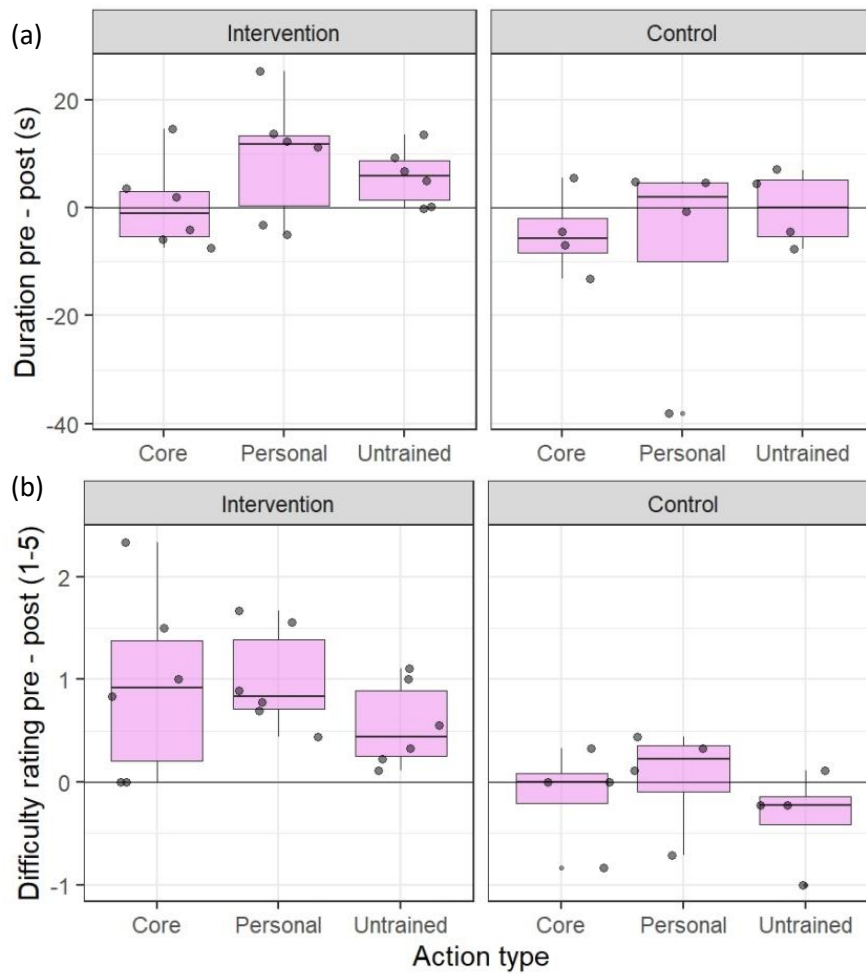


Figure 6. Changes in (a) timed action performance and (b) difficulty ratings in the pilot RCT for the core actions (common across participants) and personally selected trained and untrained actions. Boxes show medians and quartiles with dots representing individual participants. Positive values indicate a post-intervention reduction in (a) duration or (b) difficulty.

## 509 **Discussion**

510 ACTION-PD is a user-informed, home-based intervention to improve everyday functional  
511 actions in people with PD through combined action observation and motor imagery. The  
512 intervention, and a prototype app for its delivery, was designed by an interdisciplinary team  
513 with input from people living with PD. Given the heterogeneity and variability of PD,  
514 personalisation and flexibility were incorporated into the intervention[7]. To obtain initial  
515 data on acceptability and usability, and to explore potential outcome measures to include in a  
516 larger trial, a focus group and initial field testing were conducted, followed by a pilot  
517 randomised controlled trial. Despite some modifications to the intervention, including the  
518 implementation of a new software platform, the qualitative and quantitative findings  
519 described below were similar across both the initial testing and pilot RCT.

520

### 521 *Acceptability and usability*

522 The focus group indicated in-principle acceptability of the app and the proposed training  
523 protocol. In both phases of pilot testing, participants were able to use the app to train  
524 independently following initial set-up and guidance from the research team, as demonstrated  
525 for other home-based training programmes in PD (e.g., [58]). Initial testing indicated the need  
526 to adjust the target training dose, which was subsequently achieved by all participants in the  
527 pilot RCT.

528

529 In addition to the usage data, the post-training interviews provided initial evidence that the  
530 ACTION-PD intervention is acceptable and usable for people with mild to moderate PD.  
531 Participants found the app and training protocol easy to use, consistent with previous reports  
532 on the feasibility of home interventions for PD using digital technologies such as exergames



533 (e.g., [59,60]). The flexibility of the intervention allows individuals to fit the training into  
534 their daily routine and accommodate fluctuations in levels of fatigue or other symptoms,  
535 which participants appreciated. All participants expressed an interest in using a similar app in  
536 the future, and felt that the six-week duration of the current intervention was appropriate.  
537 While some participants found the actions well-suited to their needs, not all of the actions  
538 were considered to be sufficiently challenging. Indeed, it was suggested that the possibility of  
539 selecting new actions, or progressing to more challenging actions, could make training more  
540 motivating and sustainable. The focus group and post-training interviews also highlighted the  
541 value of feedback and encouragement to maintain motivation, consistent with previous  
542 findings in relation to other interventions for PD [7,57,61].

543

544 Subjective ability to perform the motor imagery component of the intervention varied  
545 between participants. Some individuals found it difficult to engage initially but easier as  
546 training progressed, while others felt that their imagery did not improve over time. In this  
547 context, it should be noted that motor imagery ability varies widely among the general  
548 population[62], and although vividness of imagery is generally found to be preserved in PD,  
549 it may be affected in some cases[30].

550

551 Participants generally reported a preference for observing actions from the first-person  
552 perspective, although the overall contextual information provided by the third-person  
553 viewpoint was also appreciated (see Ewan et al.[43] for similar findings in stroke). Evidence  
554 from spontaneous gestures when describing actions suggests that people with PD may rely  
555 more on the third-person perspective to internally represent movement [63,64]; nonetheless,  
556 the preference for the first-person video suggests that observation from this perspective may  
557 facilitate the generation of first-person kinaesthetic imagery by providing a visual prompt, as

558 highlighted in the following quote: “I’d feel more what that felt like to me, because the film  
559 was about...as if it was me that was doing the action”. This is consistent with the  
560 hypothesised role of AO in AO+MI as providing an external visual guide for MI, as indicated  
561 by MI-specific effects on corticospinal excitability in healthy participants[65].

562

### 563 ***Potential outcomes of AO+MI training in PD***

564 Post-training interviews identified perceived improvements in performance of the trained  
565 actions, as well as other daily activities, indicating the potential to achieve broader benefits  
566 beyond task-specific training effects. However, some participants reported that improvements  
567 occurred early into the training period, with limited further progress, again highlighting the  
568 importance of progressive training.

569

570 Several participants reported using MI in everyday tasks following the training, such as when  
571 dressing or getting out of bed. Additionally, the interviews indicated other ways in which  
572 AO+MI training may have influenced how participants approached actions. These included:  
573 (i) focusing attention so that tasks could be carried out in a more careful and controlled  
574 manner, as recommended in physiotherapy guidelines [66] and which speculatively could be  
575 linked to increased use of MI; (ii) reducing the stress associated with performing difficult  
576 actions; or (iii) highlighting subtleties of the movements. Potential psychological benefits  
577 including increased confidence and self-efficacy were also noted, consistent with other  
578 literature reporting these functions of motor imagery in older adults [67].

579

580 Analysis of action performance in the pilot RCT showed that completion times for both  
581 trained and untrained personally-selected actions were shorter following training in the  
582 intervention group, which corresponded to decreased difficulty ratings in the lab. This was

583 broadly consistent with the pattern of difficulty ratings collected during training, which  
584 indicated that participants generally found the practiced actions easier by the end of the six-  
585 week period. However, most found the “core” actions selected by the research team less  
586 challenging than the “personal” actions that they had selected themselves, reinforcing the  
587 importance of personalisation.

588

589 Numerical trends in the exploratory outcome measures also suggested the potential of  
590 AO+MI training to improve dexterity and reaction times, which requires further investigation  
591 in a larger trial. A self-report dexterity measure was used in the present study because of its  
592 direct relevance to the everyday actions targeted, but in future, this should be complemented  
593 by objective tools[68]. A large trial of home-based training with task-specific hand exercises  
594 compared to resistance training in people with PD found improved performance on a peg test  
595 alongside self-reported dexterity [58], and the only previous study to investigate effects of  
596 AO training on dexterity in people with PD also found improved performance on a peg test  
597 [35].

598

599 Consistent with the findings from the interviews discussed above, in-app ratings of motor  
600 imagery in the pilot RCT did not show any subjective improvement across the six weeks of  
601 training, and there was no clear indication of improvement in motor imagery ability on the  
602 KVIQ. However, such self-report measures rely on the individual’s understanding of the  
603 concepts in question, and obtaining reliable longitudinal data requires consistent  
604 interpretation of the instructions over time. Indeed, some participants in the present study  
605 showed an altered understanding of imagery as a result of the training. Additional instruction  
606 and training in MI prior to the intervention might therefore improve understanding [67] and  
607 engagement, as well as consistency of both the measurement of imagery and its use within

608 the intervention. Future work should also consider how best to evaluate changes in the  
609 everyday use of MI in people with PD - as indicated by the reports of some participants in the  
610 present study - which may not be adequately captured by commonly used tools assessing  
611 imagery vividness.

612

### 613 *Proposed mechanisms and future work*

614 These preliminary findings demonstrate the potential for combined AO+MI training to  
615 facilitate everyday functional manual actions in people with PD. There are several  
616 mechanisms by which this may be achieved. First, specific motor representations for the  
617 trained actions may be developed or enhanced through AO and MI alongside physical  
618 practice[69,70]. Second, the training may result in improved ability to generate MI for the  
619 practiced actions, such that participants are able to apply imagery more easily when  
620 performing the same actions outside of the training context. A third possibility is that  
621 participants develop stronger general skills in - or a greater awareness of - MI, which they are  
622 able to apply to functional actions beyond those practiced, as suggested by the perceived  
623 improvement in performance of untrained actions in the pilot RCT. Finally, as suggested by  
624 the qualitative findings, AO+MI training may lead to other changes in how actions are  
625 approached, such as focusing attention [71] or increasing confidence and self-efficacy[67].  
626 Indeed, the training may produce a combination of these outcomes. Cognitive-motor and  
627 psychological mechanisms such as those above would indicate effects beyond physical  
628 practice alone, and should be further explored in future research.

629

630 Individual differences (for example, in motor imagery) may also influence the efficacy of  
631 home-based AO+MI training, such that some participants may obtain greater motor,  
632 cognitive or psychological benefits than others. In future, it may be appropriate to screen

633 individuals to ensure a minimum level of MI ability prior to training, as in some previous  
634 studies of interventions for stroke.[72] Additionally, the qualitative data suggested that  
635 motivational factors vary between individuals, with some finding intrinsic motivation from  
636 the daily routine or the potential to improve their movements, while others may rely more on  
637 extrinsic motivators.

638

639 Themes relating to personalisation, variety and choice, and motivation were revealed by the  
640 post-training interviews, which also echoed the findings of the focus group (Supplement S1).

641 In summary, key issues highlighted for further development of the intervention include: (i)  
642 selecting appropriate actions at a suitable level of difficulty for the individual; (ii) offering  
643 variety, choice and progression in training; (iii) providing additional guidance or instruction  
644 to facilitate engagement in motor imagery; and (iv) increasing or maintaining motivation,  
645 through the above, as well as via positive reinforcement and feedback.

646

647 The present findings indicate that home-based AO+MI training delivered using mobile  
648 technology is feasible in people with mild to moderate PD, although future work should  
649 explore the feasibility of the intervention in those with more severe symptoms or in different  
650 subtypes. Home-based approaches could provide widely accessible, low-cost and scalable  
651 alternatives or supplements to existing rehabilitation programmes, and their importance is  
652 more apparent than ever in light of the COVID-19 pandemic [73,74].

653

654 Based on the findings of this pilot work, the intervention should be evaluated in a larger-scale  
655 randomised controlled trial, following further development with input from people with PD  
656 and healthcare professionals. Additionally, the involvement of healthcare professionals in  
657 prescribing appropriate training content and setting up the intervention should be considered.

658 The findings also have broader relevance for the development of behavioural interventions in  
659 PD, as well as applications of AO+MI in other groups, such as stroke survivors or healthy  
660 older adults.

661

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668

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## 672 **Conflicts of interest**

673 The authors report no conflicts of interest.

674

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683 **Supplementary material**

684 The following supplementary files are available:

685 S1: Focus group

686 S2: Everyday actions included in pilot testing

687 S3: Themes from post-training interviews

688 S4: Difficulty ratings and motor imagery ratings during home training

689 S5: Exploratory outcome measures

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