

1 **Regulation of algal and cyanobacterial auxin production, physiology and**
2 **application in agriculture: an overview**

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37

38 **Abstract**

39 *(220 words)*

40 Algal bioproducts are of growing interest to agriculture because of their biodegradable nature,
41 ability to restore soil fertility, and capacity for plant growth regulation, nitrogen fixation and
42 carbon sequestration. Plants respond to a suite of growth hormones, and auxins present in algal
43 extracts or secreted exogenously by living algae, may be partially responsible for the
44 stimulation of plant growth by algae. Auxins are a major class of phytohormones that influence
45 plant growth and development. The roles of auxins in algae and in plants are well described,
46 but studies on the role of auxins in plant-algae interactions remain scarce. This review
47 summarizes the body of knowledge on the production of auxins and their physiological roles
48 in seaweeds, cyanobacteria and microalgae. Common and differential auxin-associated
49 phenotypes of these algae, including the effect of growth conditions on their auxin production,
50 are also described. Potential mechanisms by which auxins from algae mediate plant
51 development at both phenotypic and molecular levels is also provided. Algal-derived auxins
52 are an environmentally sustainable option for promoting plant growth and yield, but knowledge
53 of their precise mechanisms of action is still rudimentary. Elucidating the pathways by which
54 algal auxins stimulate plant responses, and the means by which key environmental factors
55 influence those pathways, will help to harness the full potential of algal-derived auxins for
56 agricultural development and resource conservation.

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60 **Keywords**

61 Auxin, indole-3-acetic acid, auxin production, seaweed, cyanobacteria, microalgae, plant
62 growth promotion

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67

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72 The authors declare they have no conflict of interest.

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75 Not applicable.

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77 **Consent to participate**

78 Not applicable.

79

80 **Consent for publication**

81 Not applicable.

82

83 **Availability of data and material**

84 Data are available under kind request to the authors.

85

86 **Code availability**

87 Not applicable.

88

89 **Authors' contributions**

90 C-YT, ICD and SR conceived and designed the structure of the review. C-YT conducted the
91 literature search, data analysis and drafted the entire manuscript. All authors revised and edited
92 the manuscript.

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101

102 **Introduction**

103 Algae are a group of photosynthetic unicellular or multicellular organisms that lack major
104 structural features such as roots, stems and leaves, thereby distinguishing them from higher
105 plants (Brennan and Owende 2010). There are two main groups of algae: eukaryotic algae
106 comprise macroscopic (seaweeds) and microscopic (microalgae) species, whereas
107 cyanobacteria (or blue-green algae) are photosynthetic prokaryotes (Jaiswal et al. 2018). Algae
108 can contribute to sustainable agriculture by enhancing seed germination rates and promoting
109 plant growth via improved soil fertility and increasing yields (Priyadarshani and Rath 2012).
110 They are especially valuable in increasing soil microbial activities, increasing soil nitrogen and
111 carbon content and reducing soil alkalinity, salinity and electrical conductivity (Renuka et al.
112 2018a). For example, seaweed products have been used for centuries as soil conditioners (Khan
113 et al. 2009). Live cyanobacterial biofertilizers are widely applied to rice fields to fix
114 atmospheric nitrogen, supplying an additional 15-90 kg N/ha, to enhance grain yield (Mishra
115 et al. 2018). There is increasing commercial interest in developing new resources from algae
116 because of their rapid biomass accumulation and the presence of various metabolites such as
117 carotenoids, polyphenols, tocopherols, vitamins, fatty acids and phytohormones that are
118 valuable to industry, agriculture, human nutrition and medicine. Because bio-products derived
119 from algae, in the form of bio-inoculants and bio-stimulating extracts, can also be easily made
120 available (Renuka et al. 2018a), there has been a recent increase in reported studies on their
121 potential use in agriculture.

122

123 The growth-promoting effects of algae are partly attributed to their secretion of
124 phytohormones, a group of signal molecules essentially involved in physiological growth and
125 stress responses of plants (Stirk and van Staden 2020). Algae, like higher plants, can produce
126 various phytohormones such as auxins, cytokinins, gibberellins, jasmonic acid, abscisic acid
127 and ethylene that are responsible for regulating crop growth and stress-tolerance (Singh et al.
128 2017). Auxins are one of the most studied phytohormones, particularly because of their key
129 roles in diverse growth and developmental processes (Taiz and Zeiger 1998). Auxins are
130 critically important in plant development: several auxin biosynthesis pathways exist in plants
131 and a fully auxin-deficient mutant has not been isolated, indicating that complete loss of auxin-
132 related genes could be detrimental or even lethal (Teale et al. 2006). The roles of auxin in algae
133 are now better understood and algal-derived auxins can significantly enhance plant growth,
134 including regulation of auxin activities, anther induction, seed germination, regeneration of
135 tissue, stimulation of root and shoot growth, regulation of phytochemical composition and

136 grain yield (Gayathri et al. 2015; Hussain and Hasnain 2011; Jäger et al. 2010; Mazhar et al.
 137 2013; Prasanna et al. 2010; Singh 2014; Rayorath et al. 2008; Stirk & van Staden 1996; Stirk
 138 et al. 2013). Information regarding the control of auxin biosynthesis in algae and the
 139 physiological effects of algal auxins on plants is still fragmentary. A better understanding of
 140 growth conditions that enhance auxin production in algae is needed. In this review, the role of
 141 auxins in algae, the internal and external factors affecting auxin production, and the potential
 142 for algal auxin to mediate plant development are discussed.

143

144 **What are auxins?**

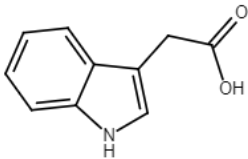
145 Auxins are a class of phytohormones (plant hormones) involved in multiple developmental
 146 processes *in planta*. Apart from higher plants and algae, auxin is also reported in bacteria,
 147 animal tissues, and fungi (Kiseleva et al. 2012). Auxin was the first major phytohormone to be
 148 discovered and is a key regulator of cell division and elongation, tissue differentiation,
 149 tropisms, apical dominance, senescence, abscission and flowering (Taiz and Zeiger 1998).
 150 Because of its versatile influence in a great number of processes, auxin has been long
 151 recognized as “the plant growth hormone”. Auxins, either in natural or synthetic forms, are
 152 widely applied to root cuttings, obtain seedless fruit, hasten fruit ripening and to prevent
 153 premature fruit drop (Serban et al. 2016). Auxin in low concentrations promotes plant growth
 154 but is lethal to plants at high concentrations and can be used as a herbicide to control weeds
 155 (Grossmann 2007).

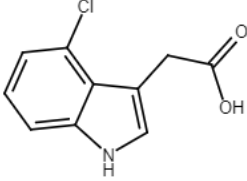
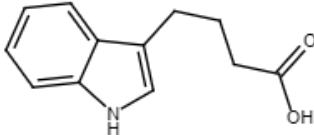
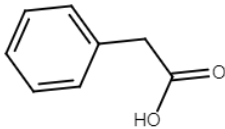
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157 Auxins are small organic compounds constituting an aromatic ring and a side chain with a
 158 carboxylic acid group. Indole-3-acetic acid (IAA) is the most physiologically active member
 159 of the auxin family (Simon and Petrášek 2011). Most knowledge on the influence of auxins on
 160 physiological processes in plant cells concerns IAA with the term IAA often used
 161 synonymously with auxin. Besides IAA, plants also synthesize other types of auxin (Table 1).

162

163 **Table 1** Types of auxin and their roles in plant development (adapted from Simon and Petrášek
 164 2011)

Auxin	Abbreviation	Chemical structure	Roles
Indole-3-acetic acid	IAA		The most potent active auxin. Involved in embryogenesis, organogenesis, vascular tissue development, differential growth, senescence, plant-pathogen interactions,

			response to environment and abiotic stress.
Indole-3-butyric acid	IBA		Storage form and source for IAA. More stable than IAA.
4-chloroindole-3-acetic acid	4-Cl-IAA		Specializes in the development of seeds and fruits. Often more active than IAA in root, epinasty, parthenocarp and pericarp growth.
Phenylacetic acid	PAA		Produced by root symbiotic bacteria for interaction with plant roots.

165

166 **IAA biosynthesis pathways**

167 Multiple pathways for IAA biosynthesis have been well described. Many studies on IAA
168 biosynthesis use the model plant, *Arabidopsis thaliana*, which has the advantage of being small
169 in size, easy to grow with short generation times and has the ability to reproduce prolifically.
170 Its genome is relatively small and has been completely sequenced (The Arabidopsis Genome
171 2000), making it useful for mapping and sequencing analyses in molecular research. In
172 addition, *A. thaliana* mutants of various genes are available for molecular-level studies of
173 specific processes and characterization of genes and/or proteins (Somerville and Meyerowitz
174 2007). In general, IAA can be synthesized from indole through both tryptophan (Trp)-
175 dependent and Trp-independent pathways (Kiseleva et al. 2012; Woodward and Bartel 2005).

176

177 *Tryptophan-dependent pathway*

178 The Trp-dependent pathways of IAA biosynthesis are distinguished through the production of
179 four major compounds i.e. (i) indole-3-pyruvic acid (IPyA), (ii) tryptamine (TAM), (iii) indole-
180 3-acetaloxime (IAOx), and (iv) indoleacetamide (IAM) which are synthesized from the same
181 precursor, Trp (Fig. 1) (Bogaert et al. 2019; De Smet et al. 2011; Le Bail et al. 2010;
182 Mashiguchi et al. 2011). The IPyA pathway is considered the major pathway of IAA production
183 in many plants and bacteria. Another common pathway involves the conversion of TAM to N-
184 hydroxyl-TAM by flavin monooxygenase (YUC) enzyme and finally to IAA. Previous studies

185 have proposed that Trp aminotransferase (TAA) and YUC operate independently in two
186 different pathways. However, both TAA-deficient and YUC-deficient *Arabidopsis* mutants
187 have similar phenotypes and insignificant change in IAA levels, suggesting that both TAA and
188 YUC enzymes could probably function in converting IPyA to IAA via the same pathway
189 (Mashiguchi et al. 2011). The IAOx pathway includes the production of IAOx from Trp under
190 the influence of Trp N-monooxygenase (CYP79B) family and was observed only in relatively
191 few plant species (Mashiguchi et al. 2011). The IAOx pathway is detected exclusively in
192 Brassicaceae plants with the ability to synthesize glucosinolates from IAOx as defense
193 metabolites and it is likely to operate under stresses (Korver et al. 2018; Malka and Cheng
194 2017). The last pathway, in which IAM is synthesized from Trp by the Trp 2-monooxygenase
195 (IAAM) enzyme, is well known in bacteria and has been also suggested to widely exist in plants
196 and algae due to the presence of IAM; however, the enzyme that catalyses IAM synthesis is
197 still unclear (Gao et al. 2020; Kasahara 2016; Lehmann et al. 2010). All the IAA biosynthesis
198 routes are conserved in algae although some enzymes remain to be elucidated (Fig. 1) and these
199 pathways seem to vary between species and tissues (Bogaert et al. 2019; Stirk and van Staden
200 2020).

201

202 *Tryptophan-independent pathway*

203 The Trp-independent pathway of IAA biosynthesis likely utilises indole or indole-3-
204 glycerophosphate as the precursor and/or intermediates in the IAM pathway. IAM can be
205 synthesized via indole-3-glycerophosphate. Besides IAM, IAN or IPyA may be produced in
206 this pathway, but the catalytic enzymes remain unclear (Di et al. 2016; Mano and Nemoto
207 2012). Some experiments have suggested the existence of Trp-independent pathways (but see
208 Nonhebel 2015). For instance, Trp auxotroph mutants of *Arabidopsis* and maize had higher
209 levels of total IAA than the wild type (Müller and Weiler 2000; Normanly et al. 1993; Wright
210 et al. 1991). Isotopic labeling experiments showed that deuterium from ²H-Trp was poorly
211 incorporated into IAA in these mutants, indicating the evidence of a Trp-independent pathway
212 (Normanly et al. 1993; Rapparini et al. 2002; Sztejn et al. 2002). This pathway has been
213 proposed for regulation of basal auxin levels (Di et al. 2016; Ribnicky et al. 2002).

214

215

216 **Main heading: Algae and auxin**

217 **Subheading 1: Production of auxin in algae**

218 The presence of auxin in marine algae traces back to the 1930s when auxin-like substances
219 were detected in extracts of seaweeds such as *Valonia macrophysa* (Van der Wey 1933), *Fucus*
220 *vesiculosus* (du Buy and Olson 1937), *Bryopsis* and *Macrocystis pyrifera* (Overbeek 1940).
221 Later, it was postulated that auxin was also present in marine diatoms when the algal extract
222 showed bioactivity similar to that of authentic IAA in the *Avena* coleoptile straight-growth
223 bioassay (Bentley 1960). IAA was initially isolated from seawater and marine sediments where
224 sufficient biomass was available to extract the hormone in detectable amounts; thus, the earliest
225 studies on IAA focused on marine species (Bentley 1960; Maruyama et al. 1989; Mazur et al.
226 2001). The IAA content in the marine environment was the highest in spring, varied regionally
227 and up to 20-fold seasonally, and corresponded to the amount of organic matter present in the
228 sediments (Mazur 1998). It was presumed, therefore, that microbial decomposition of organic
229 matter caused phytoplankton blooms and the subsequent build-up of IAA.

230

231 The study of algal auxins was stimulated by reports of other auxins such as IBA, PAA and
232 indole-propionic acid in algae (Bradley 1991; Craigie 2011). Auxins were also discovered in
233 cyanobacteria (Sergeeva et al. 2002; Singh 2014) and microalgae (Lu and Xu 2015;
234 Tarakhovskaya et al. 2007), and additional studies described the roles and transport of auxins
235 within the algal body (De Smet et al. 2011; Khasin et al. 2018; Zhang and van Duijn 2014).
236 Many elements of the canonical auxin response pathway in higher plants exist in the
237 Chlorophyta and Streptophyta, which include the closest algal relatives to land plants, but the
238 role of auxins in these algae is not fully understood (De Smet et al. 2011). Unicellular green
239 algae in the Chlorophyta have simpler auxin-signaling elements and pathways that differ
240 fundamentally from the canonical auxin machinery of higher plants, indicating that complex
241 auxin signaling evolved somewhere between the diversification of the Chlorophyta and
242 Streptophyta and the evolution of early land plants (De Smet et al. 2011). The Charophyceae,
243 in clade Streptophyta, are considered the closest relatives of plants but still lack TRANSPORT
244 INHIBITOR RESPONSE 1/AUXIN SIGNALING F-BOX (TIR1/AFB), Auxin/INDOLE-3-
245 ACETIC ACID (Aux/IAA) and AUXIN RESPONSE FACTOR (ARF) proteins that mediate
246 the canonical TIR1-Aux/IAA-ARF auxin signaling pathway in land plants (Mutte et al. 2018;
247 Ohtaka et al. 2017). The existence of an auxin carrier system in *Chara*, a multicellular alga in
248 the Charophyceae, is considered a function of the evolution of multicellularity rather than the
249 morphological evolution of a plant body (Dibb-Fuller and Morris 1992). The auxin signaling

250 mechanisms in algae seem to expand from unicellular, subsequently to multicellular or
251 filamentous and to more complex thalloid forms (De Smet et al. 2011). The presence of auxins
252 in distant algal relatives of plants, the brown algae, including basal lineages of green algae,
253 suggests that auxins played important functional roles in algae long before the appearance of
254 land plants (Bogaert et al. 2019).

255

256 Many studies report the presence of auxin in algae (Table 2). IAA biosynthesis in algae, as in
257 plants and bacteria, is regulated by several internal and external factors (Fig. 2). Understanding
258 the factors that influence algal IAA production can be beneficial should algae-derived IAA
259 become an alternative source to meet industrial or agricultural needs.

260

261

262 **Table 2** Comparison of endogenous and exogenous auxin concentrations in various unicellular and multicellular microalgae, cyanobacteria and
 263 seaweeds

Algae	Auxin concentration			unit	Remarks	References
	Endo	Exo*				
Seaweeds						
Chlorophyta						
<i>Caulerpa paspaloides</i>	5.5 – 7.5	-	µg/g	DW	Harvested from natural beds	(Jacobs et al. 1985)
<i>Cladophora glomerata</i>	253	-	µg/g	DW	Harvested from natural beds; concentration in dried extract	(Górka and Wieczorek 2017)
<i>Monostroma oxyspermum</i>	22.5	-	nmol/g	FW	Grown in laboratory; thalli sample	(Gupta et al. 2011)
<i>Ulva fasciata</i>	100 – 2600	-	pmol/g	DW	Harvested from natural beds; thalli sample; pool of six bimonthly collections	(Stirk et al. 2009)
	16.7	-	nmol/g	FW	Harvested from natural beds; thalli sample	(Gupta et al. 2011)
<i>Ulva lactuca</i>	49.3	-	ng/g	DW	Concentration in dried extract	(Benítez García et al. 2020)
	32.5	-	nmol/g	FW	Harvested from natural beds; thalli sample	(Gupta et al. 2011)
<i>Ulva linza</i>	31.7	-	nmol/g	FW		
<i>Ulva reticulata</i>	46.4	-	nmol/g	FW		
<i>Ulva taeniata</i>	27.1	-	nmol/g	FW		
Phaeophyta						
<i>Ascophyllum nodosum</i>	6 – 615	-	ng/g	DW	Commercial dried extract	(Wally et al. 2013)
	9.7 – 32.4	-	nmol/L		Commercial liquid extract	(Ertani et al. 2018)
<i>Dictyota humifusa</i>	200 – 900	-	pmol/g	DW	Harvested from natural beds; thalli sample; pool of six bimonthly collections	(Stirk et al. 2009)
<i>Ecklonia maxima</i>	11.7	-	nmol/L		Harvested from natural beds; concentration in liquid extract	(Stirk et al. 2004)
<i>Ecklonia</i> sp.	9.7 – 22.2	-	ng/g	DW	Commercial dried extract	(Wally et al. 2013)
<i>Laminaria japonica</i>	90 – 95	-	µg/kg	FW	Harvested from natural beds	(Li et al. 2007)
<i>Laminaria</i> sp.	14.6	-	nmol/L		Commercial liquid extract	(Ertani et al. 2018)
<i>Macrocystis pyrifera</i>	9.83	-	nmol/L		Harvested from natural beds; concentration in liquid extract	(Stirk et al. 2004)
<i>Macrocystis</i> sp.	14.6	-	ng/g	DW	Commercial dried extract	(Wally et al. 2013)

<i>Padina durvillaei</i>	39.0	-	ng/g	DW	Harvested from natural beds; concentration in dried extract	(Benítez García et al. 2020)
<i>Sargassum</i> sp.	1091	-	ng/g	DW	Commercial dried extract	(Wally et al. 2013)
Rhodophyta						
<i>Chondracanthus teedei</i>	9081	-	pmol/g	DW	Harvested from natural beds except <i>Hypnea</i> (grown in laboratory)	(Yokoya et al. 2010)
<i>Gelidium floridanum</i>	575	-	pmol/g	DW		
<i>Gracilaria birdiae</i>	924	-	pmol/g	DW		
<i>Gracilaria cervicornis</i>	122	-	pmol/g	DW		
<i>Gracilariopsis tenuifrons</i>	9.1	-	pmol/g	DW		
<i>Hypnea musciformis</i>	46 – 1984	-	pmol/g	DW		
<i>Hypnea nigrescens</i>	946	-	pmol/g	DW		
<i>Phorphyra acanthophora</i>	225 – 289	-	pmol/g	DW		
<i>Phorphyra perforata</i>	100	-	ng/kg	FW	-	(Zhang et al. 1993)
<hr/> Microalgae						
Charophyceae						
<i>Klebsormidium flaccidum</i>	18	-	µg/g	DW	Harvested on early stationary phase	(Jäger et al. 2010)
	~1	-	nmol/g	DW	Harvested on day 4 (exponential phase)	(Stirk et al. 2013)
Chlorophyceae						
<i>Acutodesmus acuminatus</i>	~2	-	nmol/g	DW	Harvested on day 4 (exponential phase)	(Stirk et al. 2013)
<i>Acutodesmus incrassatulus</i>	~9	-	nmol/g	DW		
<i>Acutodesmus obliquus</i>	~6 (200-230)	-	µmol/L (amol/cell)		Harvested on day 7. Concentration increased during growth and maximized with trans-zeatin	(Piotrowska-Niczyporuk et al. 2018)
<i>Chlorococcum ellipsoideum</i>	~10	-	nmol/g	DW	Harvested on day 4 (exponential phase)	(Stirk et al. 2013)
<i>Chlamydomonas reinhardtii</i>	~38	-	nmol/g	DW		
<i>Coccomyxa</i> sp.	~4	-	nmol/g	DW		
<i>Coelastrum microporum</i>	~106	-	nmol/g	DW		
<i>Desmodesmus armatus</i>	~12	-	nmol/g	DW		
<i>Gyoefferfyana humicola</i>	~5	-	nmol/g	DW		

<i>Haematococcus pluvialis</i>	~1.8	-	nmol/g	DW	-	(Jirásková et al. 2009)
<i>Monoraphidium contortum</i>	~12	-	nmol/g	DW	Harvested on day 4 (exponential phase)	(Stirk et al. 2013)
<i>Nautococcus mamillatus</i>	~3	-	nmol/g	DW		
<i>Poloidion didymos</i>	~73	-	nmol/g	DW		
<i>Protococcus viridis</i>	~1	-	nmol/g	DW		
<i>Protosiphon botryoides</i>	~2	-	nmol/g	DW		
<i>Raphidocelis subcapitata</i>	~12	-	nmol/g	DW		
<i>Scenedesmus armatus</i>	-	6.7 – 57.2	nmol/L		Harvested on day 7 (growth phase); concentration increased when supplied with 2% CO ₂	(Mazur et al. 2001)
<i>Scenedesmus obliquus</i>	-	1.12 – 4.0	µmol/L		Harvested during exponential growth; varied illuminance, aeration and agitation rates	(Prieto et al. 2011)
<i>Scotiellopsis terrestris</i>	~3	-	nmol/g	DW	Harvested on day 4 (exponential phase)	(Stirk et al. 2013)
<i>Spongiochloris excentrica</i>	~5	-	nmol/g	DW		
<i>Stigeoclonium nanum</i>	~3	-	nmol/g	DW		
Prymnesiophyceae						
<i>Emiliana huxleyi</i>	-	~200	µmol/L		Harvested on day 8 (stationary phase) from cocolith bearing strain; supplied with tryptophan	(Labeeuw et al. 2016)
Trebouxiophyceae						
<i>Chlorella minutissima</i>	~1	-	nmol/g	DW	Harvested on day 4 (exponential phase)	(Stirk et al. 2013)
	0.85 – 26.8	-	µg/g	DW	Harvested on synchronous culture; concentration increased gradually in active-growing conditions	(Stirk et al. 2014)
<i>Chlorella pyrenoidosa</i>	0.5 – 1.46	-	µg/g	DW	Synchronous culture of 2 light:dark cycles; concentration increased from autospore to adolescent stage	(Grotbeck and Vance 1972)
	-	4.6	nmol/L		Harvested on day 7 (growth phase)	(Mazur et al. 2001)
	~3	-	nmol/g	DW	Harvested on day 4 (exponential phase)	(Stirk et al. 2013)
<i>Chlorella sorokiniana</i>	~0.25	1.0	nmol/L		Harvested on day 2	(Khasin et al. 2018)
<i>Chlorella vulgaris</i>	37	-	ng/g	FW	-	(Lu et al. 2010)
	~29	-	nmol/g	DW	Harvested on day 4 (exponential phase)	(Stirk et al. 2013)
<i>Chlorella</i> spp.	0.19 – 14.3	-	nmol/g	DW	Harvested on early stationary phase	(Jirásková et al. 2009)
<i>Myrmecia bisecta</i>	~2	-	nmol/g	DW	Harvested on day 4 (exponential phase)	(Stirk et al. 2013)
<i>Stichococcus bacillaris</i>	~1	-	nmol/g	DW		

Ulvophyceae						
<i>Ulothrix</i> sp.	~2	-	nmol/g	DW	Harvested on day 4 (exponential phase)	(Stirk et al. 2013)
Cyanobacteria						
Cyanophyceae						
<i>Anabaena</i> sp.	-	0 – 11.4	µg/mL		Harvested on day 21 (late log phase); concentration increased over time; highest under continuous light	(Prasanna et al. 2010)
	43.4	35.1	pmol/mg Chl-a		Harvested on week 3	(Hussain et al. 2010)
	12	-	µg/g	DW	Harvested on early stationary phase	(Jäger et al. 2010)
<i>Anabaena</i> spp.	-	0.11 – 11.2	µg/mL		Harvested on week 4 & 8 (late log & stationary phase)	(Prasanna et al. 2008)
	-	0.15 – 1.0	µg/mL		Mixed strains isolated from rice rhizospheres; grown in light and dark	(Prasanna et al. 2009)
<i>Anabaena vaginicola</i>	2.43	-	µg/g	DW	Harvested on week 4	(Shariatmadari et al. 2015)
<i>Anabaena variabilis</i>	-	0.5 – 13.5	µg/mL		Harvested from day 0 to day 4; concentration increased with increasing tryptophan	(Jaiswal et al. 2018)
<i>Aphanothece</i> sp.	-	~8	µg/mL		Harvested on day 15	(Gayathri et al. 2015)
<i>Calothrix</i> sp., <i>C. membranacea</i> , <i>C. ghosei</i>	-	0.54 – 9.33	µg/mL		Harvested on day 14; concentration decreased in dark but reversed when supplied with tryptophan	(Karthikeyan et al. 2009)
<i>Calothrix elenkinii</i>	-	3.71	µg/mL		Harvested on week 2	(Priya et al. 2015)
<i>Chroococidiopsis</i> sp.	0.8 – 1.2	2.9 – 4.0	µg/mL		Harvested on day 7; concentration increased in symbiotic condition	(Mazhar et al. 2013)
	15.3	17.5	pmol/mg Chl-a		Harvested on week 3	(Hussain et al. 2010)
	27	45	ng/mg Chl-a		Harvested on week 5; concentration maximized in the presence of tryptophan, light, nitrate and pH 5	(Ahmed et al. 2014)
<i>Cylindrospermum michailovskoense</i>	16.8	-	µg/g	DW	Harvested on week 4	(Shariatmadari et al. 2015)
<i>Cylindrospermum stagnale</i>	-	95.6	µg/mL		Supplied with tryptophan	(Ahmad and Fatma 2017)
<i>Fischerella muscicola</i>	-	287	µg/mL		Harvested on day 19; concentration increased over time and with tryptophan	(Mishra et al. 2019)
<i>Geitlerinema</i> sp.	-	67.9	µg/mL		Harvested on day 14; supplied with tryptophan	(Babu et al. 2013)
<i>Gloeotheca</i> sp.	3.3 – 3.5	1.2	pmol/mg Chl-a		Harvested on week 2; concentration increased when supplied with tryptophan	(Sergeeva et al. 2002)
<i>Hapalosiphon</i> sp., <i>H. intricatus</i>	-	0.50 – 4.56	µg/mL		Harvested on day 14; concentration decreased in dark but reversed when supplied with tryptophan	(Karthikeyan et al. 2009)

<i>Leptolyngbya</i> sp.	-	51.1	µg/mL		Harvested on day 14; supplied with tryptophan	(Babu et al. 2013)
	40	-	µg/g	DW	Harvested on early stationary phase	(Jäger et al. 2010)
	2.5 – 3.0	1.1 – 1.2	µg/mL		Harvested on day 7; concentration increased in symbiotic condition	(Mazhar et al. 2013)
<i>Neochloris</i> sp.	9	-	µg/g	DW	Harvested on early stationary phase	(Jäger et al. 2010)
<i>Nostoc calcicola</i>	2.72	-	µg/g	DW	Harvested on week 4	(Shariatmadari et al. 2015)
<i>Nostoc muscorum</i>	-	1 – 15	µg/mL		Harvested from day 0 to day 4; concentration increased with increasing tryptophan	(Jaiswal et al. 2018)
<i>Nostoc</i> sp.	4 – 21	5 – 34	pmol/mg Chl-a		Concentration increased during 3 weeks and maximized with tryptophan; indolepyruvate decarboxylase mutants available	(Hussain et al. 2015)
<i>Nostoc</i> sp., <i>N. muscorum</i>	-	0.62 – 2.19	µg/mL		Harvested on day 14; concentration decreased in dark but reversed when supplied with tryptophan	(Karthikeyan et al. 2009)
<i>Nostoc</i> spp.	-	0.15 – 1.0	µg/mL		Mixed strains isolated from rice rhizospheres; grown in light and dark	(Prasanna et al. 2009)
	6.0 – 42.2	5.2 – 34.0	pmol/mg Chl-a		Harvested on week 2; concentration increased when supplied with tryptophan; generally symbiotic strains had higher auxin	(Sergeeva et al. 2002)
<i>Oscillatoria annae</i>	5.2	-	µg/g	DW	Grown for 7 – 15 days; supplied with tryptophan	(Varalakshmi and Malliga 2012)
<i>Oscillatoria</i> sp.	17.3	12.8	pmol/mg Chl-a		Harvested on week 3	(Hussain et al. 2010)
<i>Phormidium</i> sp.	3.5 – 5.0	1.8 – 2.2	µg/mL		Harvested on day 7; concentration increased in symbiotic condition	(Mazhar et al. 2013)
	5.9	5.2	pmol/mg Chl-a		Harvested on week 3	(Hussain et al. 2010)
	-	0.75 – 20	ng/mg Chl-a		Harvested on day 2; concentration increase with increasing tryptophan and decreasing %NaCl	(Boopathi et al. 2013)
<i>Plectonema</i> sp.	12.8 – 14.9	10.5 – 12.6	pmol/mg Chl-a		Harvested on week 2; concentration increased when supplied with tryptophan	(Sergeeva et al. 2002)
<i>Spirulina platensis</i>	18.2 – 211	20.1 – 194	µg/mL		Harvested on week 5; concentration increased with increasing tryptophan and decreasing pH	(Ahmed et al. 2010)
<i>Synechocystis</i> sp.	1.5 – 2.5	4.2 – 6.1	µg/mL		Harvested on day 7; concentration increased in symbiotic condition	(Mazhar et al. 2013)
	12.5	22.3	pmol/mg Chl-a		Harvested on week 3	(Hussain et al. 2010)
	31	60	ng/mg Chl-a		Harvested on week 5; concentration maximized in the presence of tryptophan, light, nitrate and pH 5	(Ahmed et al. 2014)
<i>Westiellopsis prolifica</i>	-	0.17 – 1.97	µg/mL		Harvested on day 14; concentration decreased in dark but reversed when supplied with tryptophan	(Karthikeyan et al. 2009)

264
265

Abbreviation: Endo endogenous; Exo exogenous; DW dry weight; FW fresh weight; Chl-a chlorophyll a
* Exogenous auxin concentrations were measured from culture supernatant

266 **Subheading 2: Factors regulating auxin biosynthesis**

267 **2.1 Internal factors**

268 *a. Tryptophan*

269 Tryptophan is a precursor of IAA biosynthesis and many microorganisms produce IAA via one
270 or more Trp-dependent pathways. Many algae synthesize auxin in the presence of L-
271 tryptophan, with auxin production proportional to the supply of L-tryptophan (Mazhar et al.
272 2013). Genomic analyses of the presence of several Trp-dependent gene orthologues within
273 various types of algae suggest that algal cells can synthesize IAA from various tryptophan-
274 derived precursors, as do many plants (Cock et al. 2010; De Smet et al. 2011; Labeeuw et al.
275 2016). Some cyanobacteria contain other homologues of auxin, IAM and Trp-dependent IAA
276 biosynthesis enzymes such as indole-3-pyruvate decarboxylase and nitrilase (Sergeeva et al.
277 2002; Wenz et al. 2019). Adding 50 – 6000 µg/mL (0.24 – 29.3 mM) of L-tryptophan to the
278 growth media of cyanobacteria such as *Spirulina*, *Nostoc*, *Anabaena*, *Phormidium* and
279 *Fisherella* may enhance IAA production >10-fold (Ahmed et al. 2010; Mazhar et al. 2013;
280 Mishra et al. 2019; Sergeeva et al. 2002). IAA production is more pronounced during the
281 stationary phase of cultivation than in the early stages, possibly because tryptophan is initially
282 used as a source of nitrogen (Sergeeva et al. 2002). Cyanobacterial auxin production also
283 increases when co-cultivated with plants, compared to free-living conditions, presumably
284 because tryptophan excreted by plant roots stimulates microbial release of IAA (Idris et al.
285 2007; Mazhar et al. 2013). The presence of high concentrations of tryptophan (>0.1 mM) in the
286 rhizosphere of plants supports this explanation (Jaeger et al. 1999; Kravchenko et al. 2004). In
287 the absence of tryptophan, IAA production may be negligible but not impossible for *Anabaena*
288 and *Nostoc*, suggesting the existence of a tryptophan-independent pathway in these
289 cyanobacteria, but this hypothesis is yet to be tested (Hashtroudi et al. 2013; Prasanna et al.
290 2010).

291

292 *b. Organic substances*

293 Microbial activity in soils is often limited by the availability of carbon-based substrates, which
294 would consequently influence auxin production by cyanobacteria and other algae. Microbial
295 production of IAA in marine sediments is enhanced when cultures are enriched with glucose
296 and tryptophan, and incubated with algal substrates (Maruyama et al. 1989). Many algae are
297 able to use organic and/or inorganic carbon as alternative energy sources to light. Different
298 concentrations (0-4%) of CO₂ could only enhance the growth rate of *S. obliquus* but not its
299 IAA production (Prieto et al. 2011). In contrast, 5 g/L glucose supplementation of dark-

300 cultivated *Chlorella minutissima* produced 6-fold more total auxins than cultures that were
301 light-cultivated or dark cultivated without supplementation (Stirk et al. 2014). Tryptophan
302 addition could further IAA production in dark cultures (Karthikeyan et al. 2009). Based on
303 similar observations in *Arabidopsis*, it has been suggested that sufficient organic energy
304 reserves, rather than light, was a key requirement for auxin biosynthesis in algae (Sairanen et
305 al. 2012).

306

307 Glucose alters the expression of genes in auxin biosynthesis (YUCCA), perception (TIR1),
308 signaling (Aux/IAA) and transport (PIN) (Mishra et al. 2009). Growing *Chlorella sorokiniana*
309 phototrophically with 2 g/L glucose increased IAA content, although higher glucose
310 concentrations decreased IAA content (Do et al. 2020). Thus, glucose has a biphasic effect on
311 IAA production in algae, similar to that observed in *Arabidopsis* studies where low glucose
312 concentrations induce IAA biosynthesis through multiple pathways involving YUCCA and
313 CYP79B genes (Mishra et al. 2009; Sairanen et al. 2012), but high glucose concentrations
314 reduce auxin levels by repressing PIN, TIR1 and many other auxin-inducible genes (Mishra et
315 al. 2009; Yuan et al. 2014). Glucose-mediated attenuation of IAA levels may regulate various
316 common physiological responses in algae *in vitro* (Mishra et al. 2009; Sairanen et al. 2012).

317

318 Some algae thrive better when Provasoli's or Pringsheim's media is supplemented with soil
319 extracts (Pringsheim 1946; Provasoli et al. 1957), suggesting the presence of growth substances
320 (10^{-10} – 10^{-6} M) in soil (Maruyama et al. 1989). Algae can consume sugars and other substrates
321 from root exudates or decaying organic matter for survival in dark subsoils and marine
322 sediments, under favorable pH and moisture conditions (Maruyama et al. 1989; Shields and
323 Durrell 1964). Treating highly weathered and nutrient-poor soils with small amounts of
324 glucose-C (10–50 µg C/g soil) stimulates surges in microbial activity (Hoyle et al. 2008). Field
325 trials to test the effects of short-term organic supplementation on IAA-producing algae will
326 establish the efficacy of such treatments, which may be economical if used at crucial stages of
327 crop establishment.

328

329 Other organic substances such as phlorotannins and alginates also influence endogenous auxin
330 levels. Phlorotannins in seaweeds serve as protective compounds against viral and microbial
331 infections, UV radiation and metal toxicity; thus, they possess beneficial pharmacological and
332 agricultural potential (Shi et al. 2018; Stirk et al. 2020). Both phloroglucinol and eckol from

333 phlorotannins promote growth in *Eucomis autumnalis* bulbs with increased auxin levels and
334 inhibited IAA oxidase activity (Aremu et al. 2015). Alginates constitute the cell wall of
335 seaweeds and are widely used as gelling, thickening, stabilizing, and emulsifying agents and
336 recently as plant biostimulants (Stirk et al. 2020). Alginate oligosaccharides stimulate root
337 growth in rice (*Oryza sativa*) by increasing expression of YUCCA, IAA and PIN genes while
338 reducing IAA oxidation (Zhang et al. 2014). The effects of phlorotannins and alginates on algae
339 remain to be further elucidated.

340

341 *c. Other hormones*

342 Many phytohormones regulate physiological responses, with crosstalk between hormones
343 either synergistic or antagonistic (Stirk et al. 2020), whereby one hormone affects the
344 biosynthesis or downstream signaling, of another (Bajguz and Piotrowska-Niczyporuk 2013).
345 For example, other phytohormones such as cytokinins, gibberellins, brassinosteroids and
346 abscisic acid can all affect auxin biosynthesis, as discussed below.

347

348 Cytokinins play versatile roles in algae including cell division, cell differentiation and
349 morphogenesis, rhizoid and thallus growth, chloroplast development, regulation of
350 photosynthetic activity, production of metabolites and stress tolerance (Han et al. 2018; Stirk
351 et al. 2020). Total cytokinins were apparently high during early exponential growth (cell
352 division) of the microalga *Scenedesmus obliquus*, decreasing gradually as population growth
353 approached a stationary phase, although auxin levels continued to increase (Žižková et al.
354 2017). Exogenously supplied cytokinins (0.01 μM trans-zeatin or 0.1 μM kinetin or 1 μM N,N'-
355 diphenylurea) increased IAA level in another microalga, *Acutodesmus obliquus*, accompanied
356 by cell elongation and enhanced growth rate (Piotrowska-Niczyporuk et al. 2018). Adding
357 same amount of these cytokinins to *A. obliquus* cultures also alleviated the inhibitory effect of
358 lead (Pb) toxicity by improving growth and viability associated with auxin biosynthesis
359 (Piotrowska-Niczyporuk et al. 2020). While cytokinin promoted formation of new lateral
360 branches of the seaweed, *Grateloupia dichotoma*, auxin induced elongation of these branches
361 (Yokoya and Handro 1996). These observations demonstrate that cytokinins may serve as
362 synergistic signaling molecules of auxin biosynthesis for cell proliferation under both
363 favourable and unfavourable conditions. Loss or mutation of cytokinin biosynthetic gene(s)
364 could reduce the rate of auxin biosynthesis (Jones et al. 2010). High levels of auxin, however,
365 may inhibit cytokinin biosynthesis via negative feedback, especially in mature algal cells or
366 tissues, similar to higher plants (Jones et al. 2010; Woodward and Bartel 2005; Žižková et al.

367 2017). The timing and correct ratio of exogenously applied auxins and cytokinins is crucial,
368 therefore, for promoting desired growth responses in algae (Stirk et al. 2013; Yokoya and
369 Handro 1996).

370

371 Gibberellins are involved in cold responses and the stimulation of light-regulated processes in
372 plants such as seed germination, shoot elongation, leaf expansion, flowering and fruiting (Stirk
373 and van Staden 2020; Yamaguchi 2008). In algae, gibberellins are associated with cell
374 expansion, activation of cell division, increased metabolism and the accumulation of pigments
375 and lipids (Han et al. 2018; Romanenko et al. 2016; Stirk et al. 2014; Stirk et al. 2019).
376 Although gibberellin (1 – 10 nM GA₃) enhanced cell elongation of *Euglena gracilis*, IAA had
377 a greater effect (Noble et al. 2014). In *Chlorella minutissima*, gibberellin levels decreased with
378 increasing auxin levels suggesting that, in algae, gibberellins are utilized for auxin biosynthesis;
379 this hypothesis needs to be verified (Stirk et al. 2014). Increase in gibberellin levels or over-
380 expression in transgenic plants is associated with an increase in DR5:GUS activity
381 (endogenous IAA levels), IAA biosynthesis and polar transport in roots, and significant
382 synergistic effects are evident when gibberellins are combined with exogenous IAA (Li et al.
383 2020). Co-applications of gibberellins and auxins in microalgae increased biomass and
384 chlorophyll content in *C. sorokiniana*, and growth and lipid accumulation in *A. obliquus* (Hunt
385 et al. 2010; Renuka et al. 2018b). In plants, gibberellins and auxins share many common
386 transcripts encoded for cell-growth (Björklund et al. 2007) and many studies have
387 demonstrated that exogenous IAA enhances the expression of gibberellin biosynthetic and
388 signaling genes (Li et al. 2020). However, the specific mechanisms underlying gibberellin-
389 auxin crosstalk in algae is still unclear.

390

391 Brassinosteroids are essential in stress responses and for influencing multiple developmental
392 processes in plants, ranging from cell division, elongation and differentiation to root and shoot
393 growth, reproductive regulation, seed germination and development of flowers and fruits
394 (Bajguz and Piotrowska-Niczyporuk 2013; Stirk et al. 2020). Applying exogenous
395 brassinosteroids increased cell growth, metabolite content, photosynthetic activity and stress
396 tolerance to heavy metals, salt and cold (Bajguz 2011; Bajguz and Piotrowska-Niczyporuk
397 2014; Kozlova et al., 2017; Stirk et al. 2018). Brassinosteroids act synergistically with auxins
398 to regulate common target genes (Nemhauser et al 2004). Although exogenous brassinosteroids
399 enhanced IAA levels in *C. vulgaris* (Bajguz and Piotrowska-Niczyporuk 2013), they seem to
400 do this through the enhanced expression of PIN genes (auxin transport) rather than through

401 enhanced auxin biosynthesis; brassinosteroids may trigger similar physiological responses in
402 auxin-saturated environment or deactivate IAA conjugation (Arteca and Arteca 2008;
403 Nemhauser et al 2004).

404

405 Abscisic acid (ABA) is often referred to as a stress hormone or negative regulator whose
406 concentrations increase under various biotic and abiotic stresses (Nambara and Marion-Poll
407 2005). It controls embryogenesis, seed dormancy and senescence, and stimulates physiological
408 responses for stress adaptation such as stomatal closure (Gavassi et al. 2020; Kim 2007).
409 Accumulation of endogenous ABA in algae occurs in response to unfavourable environments
410 such as salinity, pH, oxidative, temperature and nitrogen-deficient stresses (Han et al. 2018;
411 Kobayashi et al. 2016; Stirk and van Staden 2020). Exogenous ABA antagonizes auxin
412 biosynthesis by down-regulating auxin signaling (Munguía-Rodríguez et al. 2020); and
413 repressing growth by stimulating the transition from a vegetative phase to a cyst or reproductive
414 phase, as seen in *Haematococcus pluvialis* and *Laminaria japonica* (Kobayashi et al. 1997;
415 Nimura and Mizuta 2002). Conversely, IAA induces ABA biosynthesis in plants and auxin
416 signaling regulates ABA signaling in carotenoid synthesis, and some common transcripts in
417 algae such as *Chlorella* (Alsenani et al. 2019; Khasin et al. 2018). ABA has been successfully
418 used to increase the production of high-valued byproducts such as beta carotene in *Dunaliella*
419 *salina*, astaxanthin from *H. pluvialis* and lipids from *Chlorella* (Contreras-Pool et al. 2016;
420 Kobayashi et al. 1997; Mousavi et al. 2016; Wu et al. 2018).

421

422 **2.2 External factors**

423 *a. pH*

424 Cellular auxin concentrations are regulated by a molecular process called polar auxin transport
425 (PAT), which is pH- and energy-dependent, and facilitated by a group of plasma membrane
426 proteins known as influx carriers (AUX1/LAX) and efflux carriers (PIN and ABCB/PGP
427 families). These auxin transporters have been described for algae (Zhang and van Duijn 2014).
428 Briefly, when the algal cell exterior is slightly acidic (pH 5.5), IAA readily diffuses across the
429 lipophilic membrane in its undissociated form (IAAH) into the algal cell where most of it
430 dissociates to IAA⁻. The near-neutral interior traps the dissociated IAA⁻ whose exit is
431 coordinated by active efflux carriers, whose spatial distribution determines the direction of
432 auxin transport. This hypothesis of asymmetric, spatial auxin distribution is widely accepted
433 and expected to function in a similar way in plants and algae because of their close evolutionary
434 relationship. PAT is involved in the long-distance nutrient transport as a function of

435 intercellular communication, although its existence in other green algae remains to be
436 investigated (Raven 2013). In *Chara* (Charophyta), the closest algae relative to land plants,
437 PAT is evidenced by pH- dependent transmembrane fluxes in the presence of IAA, and the
438 presence of auxin efflux carriers (Dibb-Fuller and Morris 1992). The unicellular green alga
439 *Chlorella vulgaris* (Chlorophyta) shows no evidence of IAA carriers and auxin transport across
440 its plasma membrane, and seems to depend solely on pH-sensitive diffusion or cytoplasmic
441 streaming. IAA uptake increases as external pH is decreased from 7.0 to 4.5. External pH lower
442 than 4.5 prevented IAA uptake in *C. vulgaris*, presumably because excess intracellular IAA⁻
443 exceeds cytoplasmic buffering capacity and could not be transported out of cells without efflux
444 carriers (Dibb-Fuller and Morris 1992).

445

446 The effect of pH on auxin biosynthesis in algae has important implications for their agricultural
447 applications. Decreasing pH of culture media from 9 to 6 increases IAA production in
448 *Arthrospira platensis*, a cyanobacterium occurring in rice fields (Ahmed et al. 2010) and
449 *Chroococciopsis*, which is associated with the rhizosphere of rice plants (Ahmed et al. 2014).
450 *Synechocystis* (another cyanobacterium of the rice rhizosphere) IAA production peaks at pH 5
451 (Ahmed et al. 2014). These observations correspond with pH ~5.5, which is the characteristic
452 of most plant rhizospheres, with key auxin synthesis genes highly expressed at low pH (Yuan
453 et al. 2008). Microbial activity also peaks around pH 5.5, as in a typical rhizosphere
454 environment where various microbes including algae proliferate (Sati et al. 2020; Yuan et al.
455 2008). Generally, low IAA concentrations stimulate plant growth but exert the opposite effects
456 at high concentrations (Kolachevskaya et al. 2019). High levels of IAAH inhibit the growth of
457 *Chlorella fusca* cultures, while decreasing pH further inhibits growth by increasing the uptake
458 of IAAH (Lien et al. 1971). In summary, cells take up IAA passively and produce more IAA
459 under acidic pH, but high levels of undissociated IAA suppress growth. Higher pHs inhibit
460 IAA production but allow active IAA accumulation, with cellular growth suppressed.
461 Maintaining a rhizosphere environment around pH 5.5 enhances algal excretion of IAA,
462 thereby stimulating plant growth.

463

464 *b. Light*

465 Light is the predominant energy source for almost all photosynthetic algae. As auxin is
466 involved in many developmental processes, light regulates auxin homeostasis during
467 photomorphogenesis by controlling the biosynthesis, transport, distribution and degradation of
468 auxin (Sassi et al. 2013). At cellular level, light-activated phytochrome B enhances the

469 expression of *SUR2* (IAA suppressor) and represses *TAA1* (IAA biosynthesis), thereby
470 decreasing IAA levels, with non-active phytochrome B having the opposite effect (Halliday et
471 al. 2009). Light also localizes the PGP and PIN efflux carriers at the shaded, basal end of the
472 cell, generating an apical-basal auxin gradient that results in polarization of the cell (Belanger
473 and Quatrano 2000). Polar localization of PIN leads to PAT and determines the direction of
474 auxin flow (Wiśniewska et al. 2006). The cell undergoes asymmetric growth and further
475 divides into apical and basal daughter cells, which can be seen in the polar embryonic
476 development of algae that eventually forms thallus and rhizoid tissues, respectively (Belanger
477 and Quatrano 2000; Bogaert et al. 2019; Le Bail et al. 2010).

478

479 Algal cultures experiencing light-dark transitions have higher IAA concentrations than those
480 in continuous darkness, indicating that light is involved in algal IAA biosynthesis (Ahmed et
481 al. 2010; Prasanna et al. 2009; Stirk et al. 2014). Light period and intensity were also positively
482 correlated to IAA production in *Anabaena* sp. RP9 and *Scenedesmus obliquus* respectively
483 (Prasanna et al. 2010; Prieto et al. 2011). However, some cyanobacterial strains isolated from
484 rice rhizospheres, predominated by *Nostoc* and *Anabaena*, excreted more IAA in the dark
485 similar to yeasts and fungi (Prasanna et al. 2009). Presumably non-phototrophic or
486 heterotrophic conditions are favored by certain cyanobacteria which might proliferate better in
487 light-limited subsoils and rhizospheres. The effect of light on algal IAA production is therefore
488 species-dependent.

489

490 On the other hand, long periods of white light as low as $46 \mu\text{E}/\text{m}^2.\text{s}$ can degrade IAA, with
491 complete degradation in 4-7 days (Fig. 3); but this can be prevented under yellow light and
492 dark conditions (Dunlap and Robacker 1988; Leasure et al. 2013). The synergistic effect
493 between light with nutrient salts (Fig. 3a), vitamin B6 (Fig. 3b), pH and oxygen of culture
494 medium hastens IAA degradation before effective uptake (Dunlap and Robacker 1988; Leasure
495 et al. 2013). For example, IAA concentration in the culture medium (Bold's basal medium, 80
496 $- 100 \mu\text{mol}/\text{m}^2.\text{s}$) decreased from 5 to 0.7 nmol/L in the absence of alga (Mazur et al. 2001).
497 Higher energy UV-B radiation can degrade IAA *in vitro* and *in vivo* even faster (Ros and Tevini
498 1995). Although IAA is susceptible to degradation, cells maintained steady auxin levels by
499 storing auxin in the form of IBA, which is more stable against degradative enzymes and
500 undergoes slow IAA-IBA interconversion (Hashtroudi et al. 2013; Singh 2014). As in plants,
501 increases in hydrogen peroxide and ABA induce IAA-to-IBA conversion, with the reverse
502 conversion balancing cellular IAA levels during development and responses to stresses

503 (Damodaran & Strader 2019; Ludwig-Müller 2000; Simon and Petrášek 2011; Woodward &
504 Bartel 2005). Non-enzymatic photodegradation of IAA is thus a major concern during the
505 biological production of IAA under long-term light exposure. Outdoor algal cultivation
506 requires high inoculation rates of cultures, to maximize light energy capture for sustained IAA
507 production while minimizing photoinhibition and degradation of IAA (Wenz et al. 2019).

508

509 *c. Salinity*

510 In highly saline environments, algae require special adaptations for osmoregulation and ionic
511 balance. Salinity is an abiotic stress that suppresses algal growth and development by damaging
512 proteins, DNA, antioxidant and photosynthetic systems (Ji et al. 2018). Salt inhibits PIN and
513 AUX1 protein expression and alters PIN localization (Kazan 2013). Reactive oxygen species
514 (ROS) accumulated under salt stress could trigger degradation of auxins, thereby attenuating
515 gene transcription and downstream cellular processes (Astier et al. 2017; Huang et al. 2020).
516 In *Chlorella sorokiniana*, a freshwater microalga with enhanced lipid production under salinity
517 stress, auxin levels decreased to 75% when grown in 5-20 g/L (86-340 mM) NaCl (Do et al.
518 2020). IAA production in a mangrove root-associated cyanobacterium (*Phormidium* sp.)
519 decreased from 0 to 4% NaCl but this reduction could be offset by supplementation with
520 tryptophan (Boopathi et al. 2013). The ability of some algae to produce IAA at different salinity
521 levels indicates their potential use as biofertilizers for halophytes.

522

523 **Subheading 3: Role of IAA in algae**

524 The important roles of auxins in various aspects of growth and development in algae were
525 recorded as early as the 1940s (Overbeek 1940). Algae produce IAA and with IAA signaling
526 pathways similar to those of higher plants (Cock et al. 2010; De Smet et al. 2011; Labeeuw et
527 al. 2016; Sergeeva et al. 2002). Cell-cell communication is achieved through the classical PAT
528 mechanism via PIN and AUX1/LAX proteins, which trace back to basal lineages of land plants
529 such as bryophytes (Paponov et al. 2009). However, only a partial sequence of these proteins
530 could be retrieved from Charophyta, implying that complete auxin machinery is not essential
531 for multicellular filamentous or mat-forming algae (De Smet et al. 2011). This is also supported
532 by only the subdomains of auxin-response proteins present in red algae and chlorophytes.
533 However, Charophyta contains multi-domains of such proteins, reflecting evolutionary
534 pressure to combine these subdomains (Mutte et al. 2018). PIN or auxin response proteins have
535 not been identified in many basal algae (Labeeuw et al. 2016; Mutte et al. 2018; Viaene et al.
536 2013) but appear in highly differentiated algae, suggesting these proteins play important roles

537 in multicellular activities (De Smet et al. 2011; Khasin et al. 2018). However, PIN-like (PILS)
538 homologues, which have a similar topology to PIN, are found in all algae and have been
539 conserved throughout land plant evolution (Bogaert et al. 2019; De Smet et al. 2011; Viaene et
540 al. 2013).

541

542 IAA signaling may have evolved early in algal evolution. IAA plays a signaling role between
543 different *Emiliana huxleyi* cell types, a unicellular marine microalga where specific cell types
544 produce auxin and other cell types respond to auxin. This implies an early role for intercellular
545 auxin-mediated signaling within populations of unicellular organisms that predated
546 multicellularity (Labeeuw et al. 2016). Most of the algae secreting exogenous auxin are
547 multicellular cyanobacteria and colony-forming microalgae, but not seaweeds (Table 2). It has
548 been suggested that IAA might be released extracellularly as a quorum sensing molecule that
549 regulates intraspecies population density and biofilm development, or interspecies
550 communication within biofilm communities (Khasin et al. 2018; Lu and Xu 2015).

551

552 The existence of simple auxin-signaling machineries in algae implies that algal responses to
553 auxins are similar to those of land plants, at least at a primitive, cellular level. Complex
554 multicellular algae such as Charophyta, Rhodophyta and Phaeophyta share characteristics with
555 basal plants such as bryophytes (mosses, liverworts, hornworts), including rhizoids, a thallus-
556 like body and the absence of vascular elements (Cooke et al. 2002; Zhang and van Duijn 2014).
557 Cytoskeletal and rhizoid responses to auxin in the moss, *Physcomitrella patens*, are similar to
558 those of *Chara* (Jin et al. 2008; Klämbt et al. 1992; Sakakibara et al. 2003). Treating *P. patens*
559 and the charophyte *Klebsormidium nitens* with 100 μ M exogenous auxin inhibited cell division
560 and cell elongation (Ohtaka et al. 2017). Auxin-induced callus growth and regeneration in
561 seaweeds such as *Grateloupia* and *Kappaphycus* resembles that of many bryophytes and
562 angiosperms (Fadel et al. 2013; Muñoz et al. 2006; Yokoya and Handro 1996). Both auxin and
563 auxin transport inhibitors such as naphthylphthalamic acid (NPA), 2-[4-(diethylamino)-2-
564 hydroxybenzoyl] benzoic acid (BUM) and 2,3,5-triiodobenzoic acid (TIBA) alter embryonic
565 polarity and rhizoid development in algae such as *Chara*, *Fucus*, and *Dictyota* in much the
566 same way as in the moss *Funaria* and the liverwort *Marchantia polymorpha* (Basu et al. 2002;
567 Bogaert et al. 2019; Klämbt et al. 1992; Ohtaka et al. 2017). These observations suggest that
568 primitive auxin responses of algae were conserved in bryophytes and have continued to evolve
569 into the more complex, canonical auxin system in higher plants (Ohtaka et al. 2017).

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571 The physiological responses of algae to exogenous auxins depend on the types and
572 concentration of auxin (Table 3). Both algae and the shoots of higher plants have optimal
573 growth responses at a concentration of 10^{-5} M of exogenous auxin, but growth is inhibited at
574 10^{-4} M (Fig. 4a). In summary, at a cellular level, auxins induce cell enlargement, cell division,
575 photosynthetic activities and synthesis of biochemical compounds contributing to biomass
576 increment in all types of algae (Fig. 4b), although the response to specific auxins may vary
577 among species of algae. Auxin further stimulates cellular differentiation such as polarity,
578 rhizoid and thallus development, embryogenesis and tropism as well as the production of
579 several bioactive compounds. Lower than threshold auxin levels, which are typically higher in
580 vegetative tissues, can stimulate transition to reproductive phases (Kai et al. 2006). Recently,
581 auxins have been used to enhance the agricultural capacity of algae, such as nitrogen fixing
582 efficiency, or to produce algal organic compounds of industrial value, such as
583 phycobiliproteins, antioxidants, lipids and fatty acids (Anahas and Muralitharan 2019; Dao et
584 al. 2018; Mansouri and Talebizadeh 2017; Piotrowska-Niczyporuk et al. 2018; Tiwari et al.
585 2020; Udayan and Arumugam 2017; Zhang et al. 2020). Differential growth responses to
586 auxins in different algae are therefore attributable to variation in auxin receptor content and
587 combination, cell permeability to auxin, and rates of metabolic turnover (Kolachevskaya et al.
588 2019).

589 **Table 3** Physiological and growth responses of a variety of algal species to supplementation with different concentrations of auxin

Algae	Type of auxin	Supplemented auxin ($\mu\text{mol L}^{-1}$)	Responses	References
Seaweeds				
Charophyta				
<i>Chara contraria</i>	IAA, NAA	10	High cytoskeletal response	(Jin et al. 2008)
<i>Chara globulis</i>	IAA	0 – 1.0 10	Stimulated rhizoid growth Decreased rhizoid growth	(Klämbt et al. 1992)
Chlorophyta				
<i>Caulerpa prolifera</i>	IAA IAA, IBA, IPA	0.1 – 10 100 – 1000	Increased blade proliferation Inhibition of rhizomes	(Dawes 1971)
<i>Ulva fasciata</i>	IAA	0.57 – 5.7 (0.1 – 1.0 mg/L)	Increased biomass and amino acid but reduced sugar and fat contents.	(Mohsen et al. 1974)
Phaeophyta				
<i>Alaria esculenta</i>	NAA, 2,4-D	1000	Inhibited photosynthetic activity; altered chlorophyll structure.	(Buggeln and Bal 1977)
<i>Ascophyllum nodosum</i>	IAA	0.033 – 0.33	Increased biomass.	(Fries 1988)
<i>Dictyota dichotoma</i>	NAA IAA	100 100	Stimulated zygote polarization and rhizoid outgrowth Inhibited zygote growth	(Bogaert et al. 2019)
<i>Fucus distichus</i>	IAA	5 – 100	Induced formation of multiple rhizoids	(Basu et al. 2002)
<i>Fucus spiralis</i>	PAA, IAA, <i>p</i> -hydroxyPAA	0.1 – 10	Restored morphology and induced growth	(Fries 1977; Fries 1984)
<i>Fucus vesiculosus</i>	IAA	5.7	Stimulated embryo growth and apical hair formation; inhibited photosynthetic activities	(Tarakhovskaya et al. 2013)
<i>Laminaria japonica</i>	IAA	0.01 – 10 100	Induced elongation of sporophytes; delayed sorus formation Inhibited elongation of sporophytes and sorus formation	(Kai et al. 2006)

<i>Sargassum muticum</i>	PAA	100	Inhibited growth of primary lateral segments	(Gorham 1979)
Rhodophyta				
<i>Chondracanthus chamissoi</i>	IAA, 2,4-D	0.5 – 50	Stimulated thallus and callus growth	(Yokoya et al. 2014)
<i>Gracilaria vermiculophylla</i>	IAA	0.57 – 5.7 (0.1 – 1.0 mg/L) 57 (10 mg/L)	Stimulated thallus and callus growth Inhibited growth	(Yokoya et al. 1999)
<i>Grateloupia dichotoma</i>	IAA, 2,4-D	2.86 – 28.6 (0.5 – 5.0 mg/L)	Stimulated cell division, elongated apical and intercalary segments; induced elongation but inhibited formation of lateral branches	(Yokoya and Handro 1996)
<i>Kappaphycus alvarezii</i>	IAA, NAA	2.3 – 5.7 (0.4 – 1.0 mg/L)	Induced callus regeneration	(Fadel et al. 2013; Muñoz et al. 2006)
<hr/> Microalgae				
Bacillariophyceae				
<i>Chaetoceros muelleri</i>	IAA	25 – 75 100	Increased cell number, chlorophyll and soluble polysaccharide content Inhibited growth	(Li et al. 2007)
<i>Phaeodactylum tricornutum</i>	2,4-D	2.3 – 13.6 (0.5 – 3.0 mg/L)	Increased biomass and lipid production	(Zhang et al. 2020)
<i>Skeletonema costatum</i>	IAA	0.057 – 0.57 (0.01 – 0.1 mg/L)	Increased cell number	(Bentley-Mowat 1967)
Charophyceae				
<i>Klebsormidium flaccidum</i>	IAA	0.1 – 100	Inhibited cell growth and cell elongation	(Ohtaka et al. 2017)
Chlorophyceae				
<i>Acutodesmus obliquus</i>	IAA, IBA, PAA	0.01 – 0.1	Increased cell size and number, photosynthetic pigments, and soluble proteins; stimulated enzymatic and non-enzymatic antioxidant	(Piotrowska- Niczyporuk et al. 2018)

<i>Chlamydomonas reinhardtii</i>	IAA	0.57 – 57 (0.1 – 10 mg/L)	Increased biomass, cell size, cell division, chlorophyll contents and fatty acid methyl esters yield	(Park et al. 2013)
<i>Desmodesmus opoliensis</i> , <i>Desmodesmus komarekii</i>	IAA	100 – 200 300 – 500	Increased number of unicells, cell size and lipid production Reduced growth and chlorophyll a/b ratio (photosynthetic activity)	(Chung et al. 2018)
<i>Desmodesmus komarekii</i>	IAA, 2,4-D, NAA	>100	Reduced growth	(Lin et al. 2020)
<i>Dunaliella salina</i>	IAA	25 – 100	Increased cell number and chlorophyll content	(Li et al. 2007)
<i>Scenedesmus armatus</i>	IAA	1 – 10	Stimulated cell division	(Mazur et al. 2001)
<i>Scenedesmus obliquus</i>	IAA	28 – 114	Stimulated growth and formation of four-celled colonies	(Prasad 1982)
	IAA	0.01 – 10	Increased biomass and PUFA content	(Salama et al. 2014)
<i>Scenedesmus quadricauda</i>	IAA, IPA, IBA, NAA	28.6 – 343 (5 – 60 mg/L)	Increased biomass and lipid production	(Liu et al. 2016)
	IAA	0.001 – 1.0	Increased biomass, chlorophyll-a, carotenoid and lipid production	(Kozlova et al. 2017)
<i>Scenedesmus</i> sp.	IAA, 2,4-D 2,4-D	0.057 – 5.7 (0.01 – 1.0 mg/L) 5.7 – 57 (1.0 – 10 mg/L)	Increased cell size and number, photosynthetic rate and efficiency, chlorophyll, FAME and MUFA content Reduced growth	(Dao et al. 2018)
	IAA	28.6 – 114 (5 – 20 mg/L) 286 (50 mg/L)	Increased biomass, cell size, N- and P-uptakes, lipid and carbohydrate productivities, pigments and dehydrogenase activity Inhibited growth	(Yu et al. 2017)
Eustigmatophyceae <i>Nannochloropsis oceanica</i>	IAA	57 – 286 (10 – 50 mg/L)	Decreased biomass but increased lipid and EPA production	(Udayan and Arumugam 2017)
<i>Nannochloropsis oculata</i>	IAA	0.57 – 5.7	Increased cell numbers and chlorophyll a content	(Trinh et al. 2017)

Porphyridiophyceae <i>Porphyridium cruentum</i>	IAA	25 – 100	Increased cell number and soluble polysaccharide content but decreased soluble protein content	(Li et al. 2007)
Trebouxiophyceae <i>Chlorella pyrenoidosa</i>	IAA	0.57 – 57 (0.1 – 10 mg/L)	Stimulated cell division	(Vance 1987)
	IAA	50 – 100	Stimulated excretion of protein and polysaccharides	(Czerpak and Bajguz 1993)
	IAA, IBA, ILA, NAA, 2,4-D, tryptamine	10 – 100	Increased biomass, total carotenoids, carotenes and xanthophylls	(Czerpak and Bajguz 1997)
	IAA, IPA, IBA, NAA	28.6 – 343 (5 – 60 mg/L)	Increased growth and lipid production	(Liu et al. 2016)
<i>Chlorella sorokiniana</i>	IAA	28.6 – 114 (5 – 20 mg/L)	Increased biomass, cell size, N- and P-uptakes, lipid and carbohydrate productivities, pigments and dehydrogenase activity	(Yu et al. 2017)
		286 (50 mg/L)	Inhibited growth	
<i>Chlorella vulgaris</i>	IAA, IBA, PAA, NAA	0.1 – 10	Increased cell number, photosynthetic pigments, monosaccharides and soluble proteins; stimulated enzymatic and non-enzymatic antioxidant; suppressed lipid peroxidation and H ₂ O ₂ accumulation	(Piotrowska-Niczyporuk and Bajguz 2014)
		100	Inhibited growth	
<i>Chlorella</i> sp.	IAA	25 – 100 75 – 100	Increased cell number Decreased chlorophyll and soluble protein content	(Li et al. 2007)
Ulvophyceae <i>Ulothrix subtile</i>	IAA	0.017	Increased biomass	(Conrad et al. 1959)
Cyanobacteria Cyanophyceae <i>Anabaena cylindrical</i> , <i>Anacystis nidulans</i> ,	IAA	< 10	Increased biomass	(Ahmad and Winter 1968)

<i>Chlorogloea fritschii</i> , <i>Nostoc muscorum</i> , <i>Phormidium foveolarum</i> , <i>Tolypothrix tenuis</i>		>100	Inhibited growth	
<i>Anabaena doliolum</i>	IAA	11.4 – 285 (2 – 50 mg/L)	Increased biomass, nitrogen fixation, chlorophyll content and heterocyst frequency	(Kapoor and Sharma 1981)
<i>Anabaena sphaerica</i>	indole-3-carboxaldehyde	68.9	Increased biomass and lipid production	(Anahas and Muralitharan 2019)
<i>Anabaena</i> sp., <i>Nostoc muscorum</i>	IAA	0.29	Increased growth, photosynthetic pigments, nitrogen metabolism, exopolysaccharides, carbohydrate and protein contents under Cr stress	(Tiwari et al. 2020)
<i>Aulosira laxa</i> , <i>Nostoc muscorum</i> , <i>Tolypothrix lanata</i>	2,4-D	22.6 – 226 (5 – 50 mg/L) >226	Increased biomass and chlorophyll content but decreased nitrogen fixation activities Inhibited growth	(Kobbia and El-Sharouny 1985)
<i>Nostoc linckia</i>	2,4-D	452 (100 mg/L)	Increased specific growth rate and heterocyst frequency	(Mishra and Tiwari 1986; Tiwari et al. 1981)
	IBA	0.01 – 1.0	Increased heterocyst frequency, chlorophyll, carotenoid, and amino acid but reduced sugar contents	(Mansouri and Talebizadeh 2017)
		10 – 100	Increased biomass, heterocyst frequency, phycocyanin, allophycocyanin, and phycoerythrin contents	
<i>Nostoc</i> sp.	NAA	13.4 – 53.7 (2.5 – 10 mg/L)	Increased biomass productivity	(Hunt et al. 2011)
<i>Spirulina platensis</i>	2,4-D	0.09 – 0.9 9 – 90	Increased biomass, chlorophyll and protein contents Inhibited growth	(Saygideger and Okay 2008)
<i>Synechococcus aeruginosus</i>	2,4-D	905 (200 mg/L) >905	Increased chlorophyll, protein, carbohydrate and allophycocyanin contents Inhibited growth	(Jyothi 2016)

590 Abbreviations: IAA indole-3-acetic acid; IBA indole-3-butyric acid; ILA indole-3-lactic acid; IPA indole-3-propionic acid; NAA 1-naphthaleneacetic acid; PAA phenylacetic
591 acid; 2,4-D 2,4-dichlorophenoxyacetic acid

592 Application of algae-derived IAA

593 In modern sustainable agriculture, the use of natural algae products such as biostimulants and
594 biofertilizers as alternatives to conventional inorganic fertilizers has slowly gained market
595 share owing to their biodegradability, capacity to improve the physical and chemical properties
596 of soil and greatly reduced environmental impacts compared to chemical fertilizers (Craigie
597 2011; Renuka et al. 2018a). In nature, some living algae colonize the rhizosphere (10^2 - 10^7
598 cells/g soil at a depth of 0-40 cm) and stimulate plant growth by releasing growth substances
599 (including auxins) and other extracellular products that enhance the surrounding microbial
600 activities (Abinandan et al. 2019; Ahmed et al. 2014; Florenzano et al. 1978; Prasanna et al.
601 2009; Sati et al. 2020). Agricultural products from algal extracts contain various macro-
602 nutrients, trace elements, metabolites, amino acids and phytohormones that could improve the
603 nutrient status, vegetative growth and yield of crops (Renuka et al. 2018a).

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605 The growth stimulatory effects of algae products are sometimes related to their auxin-like
606 bioactivity and the production of substances that foster endogenous synthesis of IAA in plants
607 (Mógor et al. 2018). The presence of auxin and auxin-like compounds in various algae are also
608 well documented in seaweeds, cyanobacteria and other microalgae (Table 2). Undoubtedly,
609 algae do produce auxin endogenously and secrete it exogenously at concentrations well within
610 the optimal physiological response range for plant roots (10^{-9} M) and shoots (10^{-5} M; Fig. 4a)
611 (Kolachevskaya et al. 2019). These critical effects of auxin are highly dependent on the dosage
612 and types of auxin as well as the plant genera and tissues (Dodd et al. 2010; Kolachevskaya et
613 al. 2019). Auxin-producing algae can stimulate seed germination, tissue regeneration, shoot
614 and root growth, endogenous auxin level, yield, and changes in biochemical composition such
615 as increased protein, carbohydrate, and lipid content (Table 4). The most prominent growth
616 responses of plants treated with algae bioproducts is a change in root physiology, whereas
617 plant-growth-promoting bacteria (PGPB) are often used to stimulate shoot growth (Dodd et al.
618 2010). Auxin-mediated root growth is usually associated with primary root elongation and
619 lateral root development (Raheem et al. 2018; Singh 2014). Overall, plant growth responses
620 including those within the rhizosphere, are highly correlated with the IAA concentrations of
621 the algae they are treated with (Ahmed et al. 2014; Hussain and Hasnain 2011; Jäger et al.
622 2010); and algal strain-dependent when considering factors such as extracellular products and
623 stress tolerance (Shariatmadari et al. 2015; Singh et al. 2011). Studies of growth responses and
624 plant-algae interactions (discussed below) further confirm the plant growth-promoting
625 capabilities of algal-derived auxins.

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Seaweed extracts

Seaweed extract was first commercially produced 70 years ago, after which the number of research articles on seaweed use in agriculture increased exponentially (Craigie 2011). Various seaweed extracts are manufactured from brown seaweeds, especially *Ascophyllum nodosum* and *Ecklonia maxima*, and their applications are associated with improved crop growth and yields and increased resistance to biotic and abiotic stresses (Khan et al. 2009; Stirk and van Staden 1996). Seaweed extracts are often applied only in small amounts, thus, their growth promoting effects are thought to be caused by growth-stimulating substances such as auxins, which are typically present in low concentrations, rather than their nutrient content (Stirk and van Staden 1996). All six tested commercial seaweed extracts possessed auxin-like activity in mung bean rooting bioassays, but reduced rooting at higher concentrations (Stirk and van Staden 1996), similar to a previous study which used *E. maxima* extract (Crouch and van Staden 1991). *A. nodosum* extracts altered auxin-responsive promoter DR5-driven GUS activity and expression of auxin biosynthesis genes in *Arabidopsis* plants (Rayorath et al. 2008; Wally et al. 2013). Contrary findings were observed in root DR5:GUS activity (Table 4), possibly due to their use of different concentrations and types of extracts, which might alter plant cytokinin and ABA levels, potentially antagonising auxin activity. Seaweed extracts can inhibit primary root elongation and lateral root formation at concentrations higher than 1%, but green seaweed *Ulva intestinalis* extract (at similar concentration) applied to *A. thaliana* signaling mutants revealed that ABA, and elements such as Al^{3+} , Cu^{2+} and Na^{+} may inhibit root growth instead of auxin (Ghaderiardakani et al. 2019; Khan et al. 2009). Applying seaweed extracts to soil increased plant resistance to nematode infestation, presumably by altering plant auxin and cytokinin content (Khan et al. 2009). In summary, the growth-stimulating potential of seaweed extracts is unlikely to be attributed to a single hormonal (auxin) alone, but to a complex interplay between the diverse constituents, and should be applied with care at low concentrations due to auxin's biphasic effects.

654 **Table 4** Auxin-like bioactivities in different algae products and their effect on plant growth

Type of plant	Effect	Product from algae			References
		Type	Concentration	Auxin content	
Mung bean rooting bioassay	10-100 μM IBA equivalent.	Commercial seaweed extracts	20%	-	(Stirk and van Staden 1996)
	1-2.5 fold of 100 μM IBA equivalent.	<i>Ecklonia maxima</i> extract (Kelpak)	10%	-	(Crouch and van Staden 1991)
	0.1-0.5 mg/L of IBA equivalent.	Inoculants of <i>Calothrix</i> , <i>Chlorella</i> , <i>Coenochloris</i> , <i>Tetracystis</i> , <i>Chlamydomonas</i>	2 g/L	-	(Stirk et al. 2002)
	10 μM or less IBA equivalent.	<i>Calothrix</i> and <i>Scenedesmus</i> extracts (from 1 g dried biomass)	50-100%	-	(Stirk et al. 2002)
Excised cucumber cotyledon rooting bioassay	0.3-0.6 mg/L IBA equivalent.	Dried biomass of <i>Anabaena</i> , <i>Klebsormidium</i> <i>flaccidum</i> , <i>Leptolyngbya</i> , <i>Neochloris</i>	2 g/L	9 – 40 $\mu\text{g/g}$ DW (endo)	(Jäger et al. 2010)
<i>Zea mays</i> (maize)	43-100% and 40-96% increase in frequency of anther induction and embryo-like structures respectively compared to 2 mg L ⁻¹ of auxin 2,4-dichlorophenoxy-acetic acid				
<i>Arabidopsis</i> bioassays	High DR5:GUS activity in root.	<i>Ascophyllum nodosum</i> extract	1 g/L	-	(Rayorath et al. 2008)
	Reduction in root DR5:GUS activity, IAA content (33-42%), expression of key auxin biosynthetic genes (0.4-0.7 fold)	<i>Ascophyllum nodosum</i> extract	0.1 g/L	95 – 110 ng/g DW (endo)	(Wally et al. 2013)
<i>Arachis hypogaea</i> (peanut)	2-fold increase in shoot and root length compared to medium supplemented with 1-2 mg/L IBA.	Extracellular product from <i>Aphanothece</i>	0.5 – 1.0 mL per 10 mL	8 $\mu\text{g/mL}$ (exo)	(Gayathri et al. 2015)
<i>Moringa oleifera</i> (drumstick tree)	Increase in average shoot and root length compared to medium supplemented with 1-2 mg/L IBA.				
<i>Triticum aestivum</i> (wheat)	Up to, 40% increase in fresh weight, 52% increase in shoot length, 26% decline in root length, 52% increase in lateral root number, 43% increase in grain yield, 4-fold and 2-fold increase of IAA in root and shoot respectively.	Inoculant of <i>Anabaena</i> , <i>Oscillatoria</i> , <i>Phormidium</i> , <i>Chroococciopsis</i> , <i>Synechocystis</i>	0.1 $\mu\text{g/mL}$ chlorophyll-a	3.8 – 28.3 pmol/mg chlorophyll-a (exo)	(Hussain and Hasnain 2011)

	Up to, 53% increase in shoot length, 43% reduction in root length, 50% increase in root number, 75% and 98% increase in shoot and root auxin contents, respectively.	Inoculants of <i>Chroococcidiopsis</i> , <i>Synechocystis</i> , <i>Leptolyngbya</i> , <i>Phormidium</i>	1.0 µg/mL chlorophyll-a	1 – 6 µg/mL (exo)	(Mazhar et al. 2013)
	Induced seed germination - up to 40% and 100% increase in radicle and coleoptile length, respectively.	Inoculants of <i>Nostoc</i> and <i>Anabaena</i> isolates	Evenly spread on petriplates	0.15 – 1.0 µg/mL (exo)	(Prasanna et al. 2009)
	Induced seed germination - up to 81% and 105% increase in radicle and coleoptile length, respectively.	Supernatant containing IAA from <i>Anabaena</i> isolates	Seed soaking for 36h	1.4 – 11.4 µg/mL (exo)	(Prasanna et al. 2010)
<i>Pisum sativum</i> (pea)	Up to, 166% increase in lateral root number, 50% decrease in root length.	Supernatant containing IAA from <i>Spirulina platensis</i>	1 – 5 mL per 10 mL per petri dish	0.5 – 22 µg/mL (exo)	(Ahmed et al. 2010)
	Up to, 192% increase in lateral root number, 81% increase in root length (1 mL supernatant), 40% decrease in root length (5 mL supernatant).	Supernatant containing IAA from <i>Chroococcidiopsis</i> and <i>Synechocystis</i>	1 – 5 mL per 10 mL per petri dish	1.0 – 20 µg/mL (exo)	(Ahmed et al. 2014)
<i>Nicotiana tabacum</i> (tobacco)	90% seed germination, 100% and 60% increase in root and shoot length, respectively.	Supernatant containing IAA from <i>Phormidium</i>	5 mL of 100 µg/mL per petri dish	0.75 – 20 µg/mg chlorophyll-a (exo)	(Boopathi et al. 2013)
<i>Oryza sativa</i> (rice)	Induced seed germination - up to 838% and 122% increase in radicle and coleoptile length, respectively.	Supernatant containing IAA from <i>Fisherella muscicola</i>	Seed soaking for 36h	287 µg/mL	(Mishra et al. 2019)
	Root length are corresponded with the level of phytohormones in the rhizospheric soil.	Inoculants of <i>Anabaena oryzae</i> , <i>A. doliolum</i> , <i>Phormidium fragile</i> , <i>Calothrix geitonos</i> , <i>Hapalosiphon intricatus</i> , <i>Aulosira fertilissima</i> , <i>Tolypothrix tenuis</i> , <i>Oscillatoria acuta</i> and <i>Plectonema boryanum</i> .	Seed soaking (with culture at 0.67 OD ₆₆₃) for 4h	0 – 15 µg/g soil	(Singh et al. 2011)
	Increase in IAA content (32% in root, 7.8-fold in leaves), nitrogenase activity (3.8-fold), peroxidase activity (3.5-fold in root, 4.5-fold in shoot), polyphenol peroxidase activity (1.5-fold in root, 2.9-fold in shoot), population density (10-fold) of culturable bacteria from root and shoot.	Inoculant of <i>Calothrix elenkinii</i>	5.0 µg/mL chlorophyll-a	0.08 – 3.71 µg/mL (exo)	(Priya et al. 2015)
<i>Helianthus annuus</i> L.	Increase by, 100% leaf weight, 20% root weight, up to 46% total protein, carbohydrate and lipid, 20% yield, compared to chemical	<i>Oscillatoria annae</i> extract	0.01% foliar spray	5.2 µg/g DW (endo)	(Varalakshmi and Malliga 2012)

	fertilizer control. 0.01% IAA equivalent in rooting bioassay.				
<i>Mentha piperita</i> L.	Increase up to, 103% shoot length, 75% root length, 175% root biomass, 170% shoot biomass, 60% essential oil content. Growth impacts correlated with IAA contents in algae.	<i>Anabaena vaginicola</i> , <i>Nostoc calcicole</i> , <i>Trichormus ellipsosporus</i> , <i>Cylindrospermum michailovskoense</i> extracts	1% foliar spray	2.43 – 16.8 µg/g DW (endo)	(Shariatmadari et al. 2015)
<i>Triticum aestivum</i> (wheat) & <i>Oryza sativa</i> (rice)	5-fold increase in algal <i>ipdC</i> gene expression during root colonization. Decrease root colonization, plant weight, root and shoot length for <i>ipdC</i> knockout mutant. Restored and enhanced growth for IAA supplementation or <i>ipdC</i> -complemented mutant.	Inoculant of <i>Nostoc</i> sp. and its <i>ipdC</i> mutants.	1 µg mL ⁻¹ chlorophyll-a	5 – 34 (exo), 4 – 21 (endo), pmol/mg chlorophyll-a	(Hussain et al. 2015)

655 Abbreviation: Endo endogenous; Exo exogenous; DW dry weight; IAA indole-3-acetic acid; IBA indole-3-butyric acid; *ipdC* indole-3-pyruvic acid decarboxylase

656 *Cyanobacteria*

657 Besides playing a key role in N₂ fixation, cyanobacteria excrete numerous organic and
658 inorganic plant growth-promoting compounds (Jaiswal et al. 2018). Formerly diazotrophic
659 cyanobacteria were explored mainly for N-fertilization in rice fields due to their ability to
660 colonize the rhizosphere. Since then, a number of algae with other biofertilizing potentials such
661 as carbon accumulation, phytohormone production, nutrient mobilization, wasteland
662 reclamation and biocidal control have been successfully demonstrated on other crops besides
663 rice including wheat, maize, chickpea, and tomato (Renuka et al. 2018a). The presence of
664 different phytohormones including auxin and auxin-like bioactivities have been detected in
665 many cyanobacteria (endogenously and exogenously) using various bioassays (Table 4). Auxin
666 might be released freely to the surroundings by free-living cyanobacteria or to the plant-
667 cyanobacteria symbiotic sites that might promote physiological development of both the
668 symbionts; as observed between *Nostoc* spp. and hosts such as lichenized fungus *Peltigera*, the
669 angiosperm *Gunnera* and the water-fern *Azolla* (Sergeeva et al. 2002). *Anabaena* induces
670 auxin-like effects and enhances anther induction and regeneration frequency in maize, possibly
671 by changing endogenous auxin levels of microspores that affect androgenic fate and
672 embryogenic development (Jäger et al. 2010). The extracellular products of *Aphanothece*
673 containing IAA induced root and shoot growth in peanuts (*Arachis hypogaea*) and reduced the
674 accumulation of phenolic compounds that cause browning and blackening of plants (Gayathri
675 et al. 2015), which were crucial issues in commercial *in vitro* culture. Exogenous IAA secretion
676 of various cyanobacteria (*Anabaena*, *Oscillatoria*, *Phormidium*, *Chroococcidiopsis*, and
677 *Synechocystis*) were positively correlated with the endogenous IAA of roots and shoots of
678 wheat (*Triticum aestivum*) inoculated with these cyanobacteria, and with shoot and spike length
679 and with seed biomass (Hussain and Hasnain 2011). Wheat grown hydroponically with
680 *Chroococcidiopsis*, *Synechocystis*, *Leptolyngbya* and *Phormidium* showed similar responses
681 (Mazhar et al. 2013). These cyanobacteria enhanced lateral root production, but inhibited root
682 elongation, according to excreted IAA levels. Enhanced expression of the *ipdC* IAA
683 biosynthesis in *Nostoc* demonstrated the involvement of IAA in regulating growth of rice and
684 wheat (Hussain et al. 2015). The *ipdC* knockout *Nostoc* mutant had lower IAA production,
685 lower root colonization and reduced plant growth-promoting efficiency (i.e., length and mass
686 of roots and shoots) than the wild-type strain. The phenotypes were later restored and enhanced
687 when the mutant was complemented with the *ipdC* gene. Co-cultivation of plants with
688 cyanobacteria seems a viable growth-promoting strategy, with simultaneously enhanced IAA
689 production in both the host and symbiont.

690

691 A potentially important observation is that cytokinin (zeatin and zeatin riboside) levels in wheat
692 seedlings did not correlate with IAA released by cyanobacteria, possibly because auxin and
693 cytokinin have antagonistic effects (Hussain and Hasnain 2011). For example, auxins might
694 suppress cytokinin content by repressing the *ipt* gene responsible for *de novo* cytokinin
695 synthesis, and inducing cytokinin oxidase to breakdown or convert cytokinins to inactive
696 conjugates (Jones and Schreiber 1997; Nordström et al. 2004). In a field study, the hydrolysate
697 of *Arthospira platensis* demonstrated auxin-like activity by increasing root number and
698 biomass in lettuce (*Lactuca sativa*), which was subsequently attributed to its increased
699 polyamine content, a well-known family of growth promoting compounds (Mógor et al. 2018).
700 Thus, apart from their nitrogen-fixing capability, cyanobacteria are potentially good candidates
701 for exploring phytostimulation by auxins and other phytohormones.

702

703 *Microalgae*

704 Microalgae are generally referred to as a group of eukaryotic microorganisms with
705 photosynthetic chloroplasts. Their high growth rates and ability to survive extreme conditions,
706 especially in non-arable soils, have attracted commercial interest in producing agricultural bio-
707 products from microalgae. The ability of *Chlorella* extracts to induce chlorophyll production,
708 and stimulate growth and rooting of fruit-trees, vegetables and rice plants is attributed to their
709 IAA content (Mazur et al. 2001; Yamaguchi 1996). Among seven Chlorophyta strains tested,
710 extracts from *Chlamydomonas*, *Coenochloris* and *Tetracystis* had the highest auxin-like
711 activity (Stirk et al. 2002). The auxin-like activity of microalgal strains *Klebsormidium*
712 *flaccidum* and *Neochloris* was tested on anther cultures of maize using excised cucumber
713 cotyledon bioassay. Activity was positively correlated with high endogenous IAA content of
714 the algae and improved androgenic response (Jäger et al. 2010). Other microalgae such as
715 *Chlorella pyrenoidosa* and *Scenedesmus* spp. also secrete IAA exogenously (Mazur et al. 2001;
716 Prieto et al. 2011), indicating that these microalgae may act as alternative sources of auxin to
717 stimulate plant growth.

718

719 **Challenges and future development in application of algal auxin**

720 *Integrating modern molecular biotechnologies for mechanistic studies*

721 Despite a long history of research, current understanding of the precise mechanism of auxin
722 action in algae is still incomplete. Demonstrating that a specific response does not occur in the
723 absence of IAA and that the response can be restored with the addition of the compound is

724 necessary (Bradley 1991; Mazur et al. 2001). Advances in new technologies for genetic and
725 molecular studies have confirmed the importance of algal auxins in plant-algae interactions by
726 studying algal mutants with altered IAA production and auxin-resistant plant mutants
727 (Ghaderiardakani et al. 2019; Hussain et al. 2015). Phytohormone-mediated quorum sensing,
728 another new opportunity in microalgae biotechnology (Khasin et al. 2018; Lu and Xu 2015), is
729 worth exploring to understand the underlying mechanisms of the extracellular production of
730 IAA. When coupled with next-generation sequencing techniques, more novel genes could be
731 discovered to confirm putative pathways and further improve IAA production in a gene-
732 targeted manner.

733

734 Algae-derived extracts have gained increasing interest in crop production because of their
735 physiological stimulatory effects that are similar to those evoked by plant growth-promoting
736 hormones. However, the mechanism of action of these extracts, whether it is via phytohormone
737 action, other beneficial substances or the synergistic effect of both, is still unclear
738 (Ghaderiardakani et al. 2019; Khan et al. 2009). In view of the beneficial action by algal auxins,
739 more field-scale studies of their activity on crops merit investigation to evaluate their
740 contribution in agriculture. Multi- or meta-omics analyses may help evaluate molecular
741 mechanisms of plant-algae interactions. A combination of isotope labelling and IAA
742 degradation investigations might further reveal the auxin effects of these extracts, either
743 directly or indirectly, on the growth of different plant tissues mediated by long-distance auxin
744 signaling (Dodd et al. 2010).

745

746 *Potential of algal auxins in other applications*

747 To improve and generate new crop varieties, anther cultures in rice, maize and wheat often
748 suffer from low response frequencies and regeneration difficulties. Thus, much work has been
749 done to optimize cultivar selection, pretreatment, culture media and growth conditions
750 (Tripathy et al. 2019). Auxins such as IAA, NAA and 2,4-D have successfully promoted
751 androgenesis, androgenic embryo induction, callus induction, cell proliferation and root
752 formation (Mukherjee et al. 2015; Sohn 2001; Xa and Lang 2011). Algal extracts containing
753 high auxins and other hormones could be used as universal induction media to enhance the
754 cultivability of anthers for producing more homozygous lines (Jäger et al. 2010). Although
755 salts can induce salinity stress in algae, indigenous cyanobacterial strains isolated from
756 mangroves can produce auxins under different conditions of salinity and may help future

757 reforestation and rehabilitation of valuable mangrove ecosystems, which are being rapidly
758 depleted (Bashan et al. 1998).

759

760 *Wastewater as a nutrient source for growing auxin-producing algae*

761 The agricultural potential of microalgae will depend largely on the costs of production, which
762 may require large amounts of synthetic chemicals and freshwater. Low-cost sources of
763 nutrients and water, for example, wastewater may help counter these economic constraints.
764 Algae have a proven track record of nutrient recovery from an assortment of industrial effluents
765 from the textile (Lim et al. 2010), palm oil (Tan et al. 2016) and rubber industries (Phang et al.
766 2001), landfills (Mustafa et al. 2012) and pig farms (Vadiveloo et al. 2019). Nonetheless, the
767 sources used for producing algal biomass for agricultural soil applications need to be limited
768 to agro-industrial and agricultural wastes that are free from heavy metals and hazardous
769 compounds (Phang et al. 2015). Several valuable products derived from algae have been
770 produced using wastewater as a nutrient source to generate valuable algal biomass that can be
771 used as feedstock for biofuel, lipids, proteins, pigments, biopesticides and other
772 phytochemicals, including biofertilizers (Chu 2017; Mahapatra et al. 2016; Marella et al. 2020;
773 Phang et al. 2015; Ranglová et al. 2021; Shah 2019; Van Den Hende et al. 2016). However,
774 auxin accumulation from algae in wastewaters remains to be explored. The emerging use of
775 waste-grown algae could be a sustainable alternative to improve agricultural productivity,
776 while simultaneously remediating waste streams.

777

778 **Merits of auxin-producing algal biofertilizers and their relationship with other soil** 779 **microbes**

780 The use of algae as biofertilizers on crops has been extensively reported with most studies in
781 rice (35%), followed by wheat (26%), tomato (11%), maize (9%), cotton (6%) and others
782 (Renuka et al. 2018a). Microalgae and cyanobacteria are primary colonizers, comprising 27%
783 of total biomass in agricultural lands, and thus play a potentially crucial role in soil fertility
784 (Abinandan et al. 2019). In eroded and degraded lands including deteriorated agricultural soils,
785 these algae aggregate loose soil particles by forming sheaths and filaments to help retain
786 moisture and nutrients. They also establish a favorable growth environment for other microbes
787 such as PGPB, fungi, lichens, and actinomycetes. These microorganisms constitute the
788 biological soil crust (BSC), which is a key indicator of soil health, fertility and agricultural
789 productivity (Belnap 2006). In addition to the development of BSC, cyanobacteria possess
790 nitrogen-fixing and nutrient mineralization capabilities, including the extracellular production

791 of useful metabolites and antimicrobial compounds. Chlorophyta have the advantages of high
792 growth rates and thus the potential to improve soil biomass and carbon accumulation (Stirk et
793 al. 2002). Algae-microbe interactions are initiated by algal fixation of inorganic carbon and
794 nitrogen, releasing phytohormones, enzymes and allelochemicals that are utilised by PGPB,
795 which then solubilize phosphate and produce plant growth-promoting substances, whereas
796 fungi assist in increasing soil nutrient availability by decomposing the dead organic matter
797 (Abinandan et al. 2019). Biofertilizers of consortia inoculants between cyanobacteria, PGPB,
798 and fungi such as *Anabaena–Serratia*, *Anabaena–Pseudomonas*, *Anabaena–Rhizobium* and
799 *Anabaena–Trichoderma* can increase crop yields and micronutrient contents, soil nitrogenase
800 and phosphatase activities, and soil organic carbon (Bidyarani et al. 2016; Prasanna et al. 2015;
801 Rana et al. 2015; Swarnalakshmi et al. 2013). Potential savings of nitrogen fertilizers,
802 sequestration of greenhouse gases and restoration of soil fertility justify the potential of
803 microalgae and cyanobacteria in modern sustainable agriculture (Abinandan et al. 2019).

804

805 **Conclusion**

806 Among the auxins, the most well-known is IAA for which several biosynthetic pathways have
807 been described in plants and to a lesser extent in algae. Auxin biosynthesis seems to have
808 evolved early in algal evolution and several internal and external factors influence its
809 production in algae. Algae are the closest ancestors of vascular plants and appear to have more
810 elementary auxin biosynthesis and signaling pathways that require further elucidation.
811 Manipulating these factors influencing auxin biosynthesis would help to maximize and sustain
812 auxin accumulation in algae exposed to environmental fluctuations, especially during outdoor
813 cultivation. Using wastewaters may alleviate the costs of mass production of algal auxin. Apart
814 from the contribution of auxins, auxin-producing algae have promising agricultural potential
815 via carbon sequestration, nitrogen fixation and nutrient mineralization for improving soil
816 fertility.

817

818 Numerous studies have established the potential of algal bioproducts in agriculture with regard
819 to the growth-promoting effect of algal auxins for their vital roles in all stages of plant
820 development. As algal biofertilizers and biostimulants are increasingly applied globally, the
821 precise mechanisms of auxin action merit future investigation to enhance agricultural
822 sustainability. Understanding auxin physiology, the factors affecting auxin production, and the
823 synergistic nature of plant-algae interactions will be crucial steps towards validating and
824 enhancing the commercial value of these algal bioproducts.

825 **Figure caption**

826

827 **Fig. 1** Tryptophan-dependent and -independent pathways for indole-3-acetic acid biosynthesis.
828 Blue letters stand for gene abbreviation of enzymes involved. Green arrows represent the
829 putative orthologs found in algae. Question marks indicate where genes are not well-defined.
830 Graphics adapted from Le Bail et al. (2010), De Smet et al. (2011), Mashiguchi et al. (2011)
831 and Bogaert et al. (2019)

832

833 **Fig. 2** Major internal and external factors influencing auxin production in algae

834

835 **Fig. 3** Schematic diagram of indole-acetic acid (IAA) degradation in the Murashige-Skoog
836 liquid medium (a) with all salts present (S+) or absent (S-) under light (L) and dark (D)
837 conditions (modified from Dunlap and Robacker 1988); and (b) with vitamin B6 present under
838 white light (modified from Leasure et al 2013). Spectral shift after 48 hours indicates enhanced
839 IAA degradation by B6

840

841 **Fig. 4 (a)** Typical dose-dependent growth effects of exogenous auxin (lines) applied to algae
842 with comparison to plant roots and shoots (adapted from Kolachevskaya et al. 2019). Bars
843 represent the auxin content of different algae that lies within the range of growth-response
844 concentrations for roots and shoots. (*) indicates the values are expressed in weight-to-weight
845 ratio with no exogenous auxin is reported. (b) Summary of common and differential growth
846 effects observed in algae (color code: mixture of red, green and brown - seaweeds species;
847 cyan/blue green – cyanobacteria species; green – microalgae species; blue – algal cultures)
848 after applying exogenous auxin. Growth responses and auxin content, including other
849 information of algae in the figure were compiled from the literature reviewed in this paper

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