1	Foraminifers in the Holkerian Stratotype, regional substage in Britain: key taxa
2	for the Viséan subdivision
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4	Pedro Cózar <sup>1</sup> , Ian D. Somerville <sup>2</sup> , Mark W. Hounslow <sup>3</sup>
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6	Author Affiliations.
7	<sup>1</sup> Instituto de Geociencias (CSIC-UCM), c/ Severo Ochoa 7, 28040 Madrid, Spain;
8	p.cozar@igeo.ucm-csic.es
9	<sup>2</sup> UCD School of Earth Sciences, University College Dublin, Ireland;
10	ian.somerville@ucd.ie
11	<sup>3</sup> Lancaster Environment Centre, Lancaster University, Lancaster, UK. LA1 4YW and
12	Earth, Ocean and Ecological Sciences, University of Liverpool, Jane Herdman
13	Building, Liverpool, L69 3GP; mark.w.hounslow@gmail.com
14	
15	Corresponding Author. Pedro Cózar; Instituto de Geociencias (CSIC-UCM), c/
16	Severo Ochoa 7, 28040 Madrid, Spain; p.cozar@igeo.ucm-csic.es
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18	Abstract. Foraminiferal revision of the Holkerian Stratotype of Britain at Barker Scar,
19	Holker Hall, south Cumbria, UK, allows the subdivision of the section into the Cf4 $\delta$ ,
20	Cf5 $\alpha$ and Cf5 $\beta$ subzones (the latter being further subdivided into a lower Cf5 $\beta$ 1 and
21	upper Cf5 $\beta$ 2 intervals). The base of Cf5 $\alpha$ subzone at the base of bed C and base of Cf5 $\beta$
22	subzone from the middle part of bed C, occur at 14 m and 10.5 m, respectively, below
23	the traditional basal boundary of the Holkerian at bed K. The lower boundaries of these
24	foraminiferal subzones occur within the main interval affected by dolomitization in the
25	section, which poses problems in defining precisely the bases for these subzones.

Nevertheless, in spite of the dolomitization, a more or less continuous foraminiferal 26 27 record allows a solid correlation of the base of the Cf5 $\beta$  with the preserved succession in the Livian Substage (defined in Belgium, but also used in France), and it is assumed 28 that the base of this substage should correspond to the base of the Cf5 $\alpha$  subzone. The 29 base of the Cf5α can be correlated with the base of the Russian Tulian Substage, since it 30 contains many taxa in common with the Holkerian. However, further investigation is 31 32 needed to establish other levels of correlation (e.g., base of the Cf5ß subzone) higher up 33 in the Holkerian substage.

All of these problems suggest that the Holkerian, as it is currently recognised, and the Barker Scar stratotype section, in particular, should be reconsidered, and a new parastratotype section, ideally devoid of dolomitization, should be located and investigated, in order to corroborate the occurrence of the Cf5 $\alpha$  and Cf5 $\beta$  foraminiferal subzones compared to those recognised in the Barker Scar Stratotype. These modifications would allow identification of an apparent synchronous faunal event forming the basis of a future subdivision of the Viséan.

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42 Keywords. biostratigraphy; endothyrids; Mississippian; middle Viséan; Cumbria

### 45 **1. Introduction**

The base of the Holkerian Stratotype was defined by George et al. (1976) at the junction 46 of the Dalton Beds and the Park Limestone at Barker Scar (between beds J and K) (Figs. 47 1, 2), Holker Hall, south Cumbria, UK [SD 3330 7827 British National Grid; 48 49 Supplementary Fig. S1]. It was defined to coincide with a change from predominant dark grey/brownish limestones into creamy limestones. Johnson et al. (2001) elevated 50 these limestone units to formation status in their analysis of the Ulverston region of 51 south Cumbria (Fig. 1). These authors proposed to define the base of the Park 52 Limestone at the base of bed J, which although of dark grey colour, shows less-53 54 conspicuous stratification, more typical of the Park Limestone Formation than the underlying Dalton Formation (Fig. 2). Recently, Waters et al. (in press), have suggested 55 to reposition the base of the Park Limestone at the base of bed P, and considering the 56 57 new data presented here, moved the base of the Holkerian to an intermediate position within bed C. The Holkerian has been largely used in Britain as one of the stages in the 58 Dinantian, and the International Commission on Stratigraphy ratified its inclusion as a 59 regional subdivision of the Carboniferous, as a substage of the Viséan (Heckel and 60 Clayton 2006), a fact admitted in the British literature (Holliday and Molineux 2006). 61 62 Since the original definition by George et al. (1976), the validity of the Viséan stratotypes defined in Britain has been questioned by many authors (e.g., Riley 1993; 63 Cossey et al. 2004; Waters 2011), and the Holkerian Barker Scar section is the only 64 65 Viséan section that has not been revised in detail since the published field guide of Ramsbottom (1981) and the work of Strank (1981). In contrast, the Chadian, Arundian, 66 Asbian and Brigantian stratotypes (Fig. 1) have been revised in more recent times (cf. 67

Simpson and Kalvoda, 1987; Riley 1994; Cózar and Somerville 2004; Aretz and Nudds
2005; McLean et al. 2018).

70	Diagnostic macrofauna of the Holkerian include the brachiopods Davidsonina
71	carbonaria (McCoy), Composita ficoidea (Vaughan) and Linoprotonia
72	corrugatohemisphaerica (Vaughan), the corals Axophyllum vaughani (Salée) and
73	Lithostrotion araneum (McCoy) (= Nematophyllum minus (McCoy)) (Fig. 2), together
74	with the foraminifers Archaediscus spp. at concavus stage (cf. Conil et al., 1980) and
75	bilayered palaeotextulariids (George et al. 1976). However, Composita ficoidea was
76	recorded from beds E, F and I, in the Arundian part of the section (Fig. 2). Riley (1993)
77	suggested the occurrence of a non-sequence in the succession, due to the apparent
78	absence of the 'Cyrtina (=Davidsonina) carbonaria beds' of Garwood (1913), as well
79	as for the absence of precursors of the corals intermediate in character between
80	Siphonodendron and Lithostrotion. Conil et al. (1980) assigned the Cf5 foraminiferal
81	zone to the Holkerian and correlated it also with the Livian in Belgium. Ramsbottom
82	(1981) and Strank (1981) considered a similar suite of macrofauna in recognizing the
83	Holkerian, but they added the foraminifers Koskinotextularia, abundant Nibelia
84	(=Pojarkovella) nibelis (Durkina), Holkeria and Dainella? holkeriana Conil and
85	Longerstaey as the main guides for the recognition of this substage. However, these are
86	a general list of fauna, and in most cases, their first occurrences do not coincide exactly
87	with the basal beds of the Holkerian. Rose and Dunham (1977) presented a section with
88	limestone beds labelled with letters from bed a up to bed l, later relabelled by
89	Ramsbottom (1981) in capitals letters and including more beds in the upper part of the
90	section, from A to Z (Fig. 2). Nevertheless, the foraminiferal evidence presented by
91	Ramsbottom (1981) was limited to beds A to L. However, looking at the vertical
92	stratigraphic distance from the base of the Holkerian at bed K, some samples labelled as

bed L are located up to 30 m above the base of bed L, which corresponds to bed X. The 93 94 database in Ramsbottom (1981) originated from the work of Strank (1981), where the foraminiferal data and distribution were discussed in more detail. However, Strank 95 (1981) apparently studied three different sets of thin sections, but only one set appears 96 to have been documented in the biostratigraphic table in Ramsbottom (1981, p. 3.5). 97 Strank (1981) in her description of the stratotype section also illustrated samples from 98 99 'Old Park Wood Quarry' (See Supplementary Fig. S1) covering some 12 m of the Park Limestone Fm- Urwick Limestone Fm boundary, and she illustrated some foraminifers 100 101 in her thesis that are not included in the stratigraphic distribution of the foraminifers in 102 the region. Thus, the foraminiferal record in the upper part of the Barker Scar section is 103 somewhat confusing. In addition, as highlighted by Cózar et al. (2020), apparently, 104 there are typical Holkerian taxa in the Arundian part of the section, including 105 Archaediscus at concavus stage, Lituotubella and Omphalotis minima (Rauzer-Chernousova and Reitlinger). Cózar et al. (2020) considered that the older occurrence of 106 107 those taxa allowed the subdivision of the Cf5 Zone into a lower Cf5a subzone (and defined a new suite of foraminifers) and an upper Cf5<sup>β</sup> subzone (characterised by the 108 classical markers for the Cf5 Koskinotextularia-nibelis Zone recorded in Conil et al. 109 1980). 110 The correlation of the Holkerian with the Livian regional substage in Belgium has 111

been traditionally accepted (Poty and Hance, 2006), although Cózar et al. (2020) only recognized foraminifers of the Cf5 $\beta$  subzone in the preserved limestones of the Lives Formation in the Lives section. Foraminifers of the Cf5 $\alpha$  subzone were not recognized, and it could be assumed that they should be represented in the basal bentonite and overlying dolomite beds, located in the lower 14 m of the Lives Formation. Furthermore, foraminifers described in Western Europe do not allow a clear correlation

with the regional substages in Russia, and the Tulian has been commonly correlated 118 119 with the Livian or Holkerian in the past (e.g., Conil et al. 1977), and more currently 120 (Alekseev 2009; Davydov et al. 2012; Aretz et al. 2020). However, as demonstrated in 121 Cózar et al. (2020), the likely equivalence of the Tulian and Holkerian needs to be further investigated, whereas, owing to the absence of data at the base of the Livian, this 122 correlation can never be proved nor supported biostratigraphically. The importance of 123 124 establishing precise correlations between these regional substages is important for the informal subdivision of the Viséan in Western Europe into lower, middle and upper 125 126 divisions. As numerous authors have claimed (e.g., Poty et al. 2014), the Viséan Stage 127 represents a large time interval (c. 17 Myr sensu Aretz et al. 2020), and there is a 128 developing trend to formally define much shorter and distinctive time units useful for 129 global correlations. The Holkerian, as representative of the middle Viséan in Western 130 Europe, is included at the base of the upper Viséan in Russia, where the Viséan is informally subdivided only into lower and upper Viséan intervals (e.g., Reitlinger et al. 131 132 1996). Hence, it is necessary to establish precise correlations between these regional substages to enable possible formal subdivisions, such as the middle Viséan, or base of 133 134 the upper Viséan to be recognised. Moreover, the stratigraphic interval analysed in this 135 study provides a clear opportunity for potential subdivisions of the Viséan for the future. 136

Owing to these inconsistencies and the potential relevance of this stratigraphic interval, a new sampling campaign from the Barker Scar section was undertaken, in order to clarify the first occurrences of the most important foraminifers, as well as to establish the international correlation of the foraminifers with biozonations in Europe. The main aim of this study is ultimately to assess the validity of Barker Scar as the Holkerian stratotype, and for this, purpose we assesses if the foraminiferal assemblages

are representative enough for global correlations, as well as to clarify if the 143 144 biostratigraphical and lithostratigraphical units can be consistently identified. For this 145 objective, the section has been measured and sampled (at approximately every metre), 146 following a continuous enumeration and metrics from the base of the Dalton Limestone Formation outcrop to Capes Head (Fig. 2), some 800 m south. These samples were 147 primarily collected as part of an ongoing regional palaeomagnetic project, but a sub-148 149 sample of each was studied here for determining the biostratigraphy. In total, there are c. 86 m of limestones in the section (Fig. 2), including 8 m of limestones above bed Z in 150 the log presented by Ramsbottom (1981). Beds D to K are readily apparent from fig 3.6 151 152 in Ramsbottom (1981), and C/D, V/W, W/X and Y/Z bed boundaries are still marked on 153 the section. Other beds are estimated from the thickness/description and our log. The 154 bases of beds L to V were determined in our log on the basis of data in Ramsbottom's 155 log on thicknesses and prominent bedding surfaces (Ramsbottom, 1981, p. 3.3). Bed positions A, B and C are revised, based on thicknesses in Rose and Dunham (1977) and 156 157 major lithological changes we observed. These are documented on photos of the section in supplementary material Fig. S2 and the complete suite of foraminifers recorded are 158 159 included in supplementary Table S1.

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# 161 2. Foraminiferal assemblages and distribution in Barker Scar

162 The assemblages in the basal bed and in the overlying bed A are very rich and contain

163 common primitive archaediscids (Ammarchaediscus, Lapparentidiscus, Glomodiscus,

164 Uralodiscus and Conilidiscus), Archaediscus at involutus stage (e.g., A. eurus Conil and

- 165 Longerstaey, A. vischerensis Grozdilova and Lebedeva), including also transitional
- 166 forms between the involutus and concavus stages (e.g. A. pusillus Rauzer-
- 167 Chernousova), and primitive foraminifers ranging from the Tournaisian and lower

Viséan (Brenckleites, Eosinopsis, Eoparastaffella, Dainella, Lysella, Paralysella and 168 169 Bessiella). In addition, the assemblages contain numerous specimens of Omphalotis, some of the species ranging up from the Tournaisian, but where it is highlighted, the 170 171 primitive forms are assigned to Omphalotis aff. minima (this taxon includes small specimens, but with diameters included as the minimum in the original description), 172 recorded from bed A, and in the same levels, Lituotubella glomospiroides? Rauzer-173 174 Chernousova first occurs (Figs. 2, 3), which is confirmed higher up in sample BS31 (bed C). Both species occur at equivalent levels where Strank (1981) also recorded 175 them. These horizons are highlighted by common endothyranopsids and 176 177 Nodosarchaediscus. The former family is represented by some species of 178 Plectogyranopsis (including P. moraviae Conil and Longerstaey), Latiendothyranopsis 179 (including L. menneri solida Conil and Lys), Cribranopsis fossa Conil and Longerstaey, 180 as well as the ancestral forms of Endothyranopsis aff. compressa (Rauzer-Chernousova and Reitlinger) (which includes smaller specimens and with thinner septa than the 181 182 nominal species). The genus Nodosarchaediscus is represented by up to 5 species, as well as many oblique sections, including species with many whorls presenting nodes 183 184 and occlusion. 185 These assemblages contain most of the taxa considered as markers for the Cf4 $\delta$  or

186 MFZ11 $\gamma$  subzone sensu Cózar et al. (2020), where the only missing taxon is the

187 primitive palaeotextulariid *Consobrinellopsis*, that is recorded from the upper part of

bed C (Fig. 2). Significantly, the mention of bilayered palaeotextulariids by George et

al. (1976) has to be considered as erroneous, surely they should have indicated

190 monolayered palaeotextulariids, because, as it is well established in Western Europe, the

bilayered genera of this family are widespread in the Asbian and younger strata (Conil

192 et al. 1980). *Archaediscus* at concavus stage is recorded from bed C, and transitional

forms from bed A (Fig. 3), and thus, the questionable records of some species typically
at concavus stage by Strank (1981), e.g. *A. varsanofievae* Grozdilova and Lebedeva,
could be attributed to these transitions and not to the true species recorded higher in the
section in bed C.

This Cf4δ or MFZ11γ subzone was assigned to the early Viséan (Cózar et al. 2020), 197 and has been commonly recorded in Arundian rocks of Britain (Conil et al. 1980). 198 199 Limestone bed B is a thick unit (c. 12.5 m thick), which is greatly affected by dolomitization (Fig. 2). Some of the samples show destructive dolomitization which 200 does not preserve any original texture or fauna. However, many horizons sampled 201 202 although partly affected by dolomitization, yielded rather impoverished for a miniferal 203 assemblages. In most samples from bed B, the preserved foraminifers do not differ 204 significantly from the underlying beds, apart from their abundance. The foraminifers 205 would suggest that the Cf4 $\delta$ /MFZ11 $\gamma$  subzone could be extended to this bed B. It is noteworthy, that many of the most primitive forms have disappeared at the top of this 206 207 bed (Paralysella, Glomodiscus, Conilidiscus, Eoparastaffella and Pseudolituotubella), whereas other ancestral forms disappeared in bed A (Lysella and Uralodiscus). 208 Bed C, in contrast, is a much thinner limestone (c. 3.5 m thick) with the upper part 209 210 also affected by destructive dolomitization. The basal sample (BS31) is a well-preserved limestone, and contains a rich assemblage, yielding common Archaediscus at involutus 211 stage, transitional forms to the concavus stage and Nodosarchaediscus, typical 212 213 foraminifers from the underlying beds. However, this horizon also contains the first occurrences of Archaediscus at concavus stage (including A. moelleri Rauzer-214 215 Chernousova, A. krestovnikovi Rauzer-Chernousova), Nodosarchaediscus (N. pirleti (Bozorgnia), N. tchalussensis (Bozorgnia)) and an ancestral form of Koskinotextularia 216 aff. cribriformis Eickhoff (Figs. 2-3). The latter taxon is a much more primitive species, 217

218 having a few paired chambers and a rather rudimentary cribrate aperture, only

composed of two apertures in thin-section. The assemblage is assigned to the Cf5α or
MFZ12α subzone of Cózar et al. (2020).

221 In higher samples of bed C, the same Archaediscus spp. at concavus stage are recorded, as well as A. convexus Grozdilova and Lebedeva (another typical species at 222 concavus stage). However, sample BS33 contains common Pojarkovella species (Fig. 223 224 2), including smaller and simpler species such as P. occidentalis Vachard and Cózar, P. ketmenica Simonova and Zub and P. pura Simonova, as well as larger P. honesta 225 Simonova and P. nibelis (Fig. 4). The occurrence, together, of all these species suggests 226 227 that the smaller and simpler forms should first occur in older levels (as proposed by 228 Cózar et al. 2020), and thus, they should first occur in the Cf5α subzone. According to 229 most authors (e.g., Conil et al. 1977, 1980, 1991; Strank 1981; Kalvoda 2002; Poty et 230 al. 2006), the occurrence of *P. nibelis* allows us to assign bed C to the typical Cf5 or MFZ12 zones, but identified as Cf5ß or MFZ12ß in Cózar et al. (2020). There is a 231 232 discrepancy, though, because most of those authors also considered the occurrence of the genus Koskinotextularia as a marker of this subzone. However, the species of the 233 234 genus mentioned and illustrated in the literature are usually more evolved forms, such 235 as K. cribriformis and K. bradyi (Moeller). However, these well-developed Koskinotextularia are only recorded in the younger beds in Barker Scar (top of bed J). 236 The interval between beds D to the top of bed J is another problem in Barker Scar, 237 238 because samples from these beds are dolomitized or do not contain important foraminiferal assemblages. At the top of bed J, most of the taxa recorded previously 239 240 also occur, but additionally, it is noteworthy for the first occurrence of typical Endothyranopsis compressa (large specimens with thick wall and septa), 241 Koskinotextularia bradvi, and K. cribriformis (Fig. 2). Those foraminifers are also 242

typical markers of the middle Viséan elsewhere, and act as guides for the classical Cf5
zone of Conil et al. (1980) (now Cf5β). It must be highlighted that all the taxa described
previously are in beds that were considered as Arundian, because the first macrofauna
and microfauna assigned previously to the Holkerian were from bed K.

247 The upper part of bed K is also dolomitized, and taxa previously mentioned in bed J

are present, but rare, and even the larger species of *Koskinotextularia* have not been

recorded by us. However, Strank (1981) recorded K. cribriformis and P. nibelis from

250 bed K. Nevertheless, this bed K is noteworthy for the first occurrence of *Holkeria* 

251 (another typical marker genus defined in Strank 1981), where *H. avonensis* (Conil and

252 Longerstaey), H. daggeri Strank and H. topleyensis Strank occur, as well as the first

253 occurrence of *Koskinotextularia obliqua* (Conil and Lys) (Figs. 2, 4).

254 As mentioned previously, there is a problem with the location of the samples in 255 Ramsbottom (1981) and Strank (1981) from bed L upwards. Fortunately, these authors did not record any biostratigraphically significant foraminifers, except for Archaediscus 256 257 karreri Brady in the uppermost samples, which is a notable latest Asbian to Brigantian species in Britain (Cózar and Somerville 2004). However, it was not illustrated and it 258 259 could be a misidentification. Strank (1981) illustrated specimens of Cribrospira mira 260 Rauzer-Chernousova and Pseudoendothyra from the upper part of the Park Limestone in the region, although it is not possible to determine the exact horizon within the 261 262 stratotype section.

From beds L to S, there is no major change in the fauna, but first occurrences of
biostratigraphically significant taxa include *Endostaffella (E. fucoides* Rozovskaya from
bed L), *Vissarionovella (V. holkeriana* from bed N), *Cribrospira*? (from bed N) and *Klubonibelia* (from bed O) (Fig. 2), all of them also markers of the middle Viséan
(Conil et al. 1980, 1991; Cózar and Vachard 2001). As discussed in Cózar and Vachard

268 (2001), Dainella? holkeriana was transferred to Vissarionovella due to the

269 differentiated tectum. In bed O, typical large and evolved forms of *Omphalotis minima*270 are recorded.

271 The main change recognised in the Park Limestone Formation is observed from bed T (Fig. 2), where the first occurrence of "Millerella" ("M." excavata Conil and Lys), 272 several species of Pseudoendothyra (P. struvei (Moeller), P. sp. 1 and P. sp. 5) are 273 274 recorded, as well as *Globoendothyra globula* (Eichwald). In bed U, other evolved species of Pojarkovella (P. evolutica Simonova and Zub), Endostaffella (E. parva 275 (Moeller)) and Lituotubella magna Rauzer-Chernousova are recorded (Figs. 2, 5). 276 277 Within this cluster of successive new occurrences, it is difficult to confirm if any of 278 them has biostratigraphic implications for the British zonation because, in general, those 279 species have been used only to recognise the middle Viséan, not any particular interval 280 within the middle Viséan (i.e. lower, middle or upper). The most striking feature is the occurrence of the genus *Pseudoendothyra*, which in many Western Europe 281 biozonations, is used as a guide for the upper part of the early Asbian or Cf6<sup>β</sup> zone 282 (Conil et al. 1977, 1980, 1991; Poty et al. 2006). However, locally, Strank (1981) and 283 Fewtrell et al. (1981) documented Pseudoendothyra from the middle Viséan in Britain 284 285 and Cózar and Somerville (2020) documented the genus from the preserved top of the Holkerian in the Gower Peninsula (South Wales). The occurrence of *Pseudoendothyra*, 286 with numerous species, is interpreted as an important characteristic at Barker Scar, 287 288 which surely should be present in other sections of Britain, and which would allow a subdivision of Cf5<sup>β</sup>, into a lower Cf5<sup>β</sup>1 interval, characterised by the classical markers 289 290 of the Cf5 zone, and an upper Cf5β2 interval, characterised by the first occurrence of Pseudoendothyra (Fig. 2). This possible subdivision needs to be further examined in 291 other sections in Britain. Other first occurrences recorded in similar levels to those of 292

*Pseudoendothyra*, are *Archaediscus* spp. transitional forms between the concavus and
angulatus stage, *Lituotubella magna*, *Magnitella porosa* Malakhova, *Spinothyra pauciseptata* (Rauzer-Chernousova) and *Globoendothyra globula*, which would need to
be further investigated as potential auxiliary markers for defining a possible Cf5β2

In spite of the plausible unconformities described by previous authors based on 298 299 mapping relationships, lithological changes and the apparent absence of some key macrofossils, the foraminiferal succession from the base to top of the Barker Scar 300 301 section is more or less complete, ranging from the Cf4 $\delta$  to the Cf5 $\beta$  (Cf5 $\beta$ 2?) subzones, 302 and if any unconformities might be present (i.e., at the base of Bed F), they do not seem to be of biostratigraphic significance. The main shortcoming of the Barker Scar section 303 304 is the large intervals with dolomitized or partly dolomitized carbonates, lacking fossils 305 or yielding impoverished foraminiferal assemblages (e.g. beds B, D-J).

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subzone.

## 307 3. Arundian-Holkerian boundary reassessment

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309 Utilising the foraminiferal data from this study, the base of the Holkerian, as it is

310 currently understood, should be moved down to a lower horizon, at least to the upper

part of bed C (Fig. 2), where prolific *Pojarkovella* species occur, including *P. nibelis*.

312 The lower part of bed C should be equated with the  $Cf5\alpha$  subzone and the upper part of

bed C to Cf5 $\beta$  subzone of Cózar et al. (2020). However, the poor preservation of

314 for a for a minifers in the extensively dolomitized bed B does not allow to confirm if the Cf5 $\alpha$ 

subzone could extend further down. Comparatively, the thickness of limestone

316 represented by the Cf5 $\alpha$  subzone is very much thinner than the interval represented by

317 the Cf5 $\beta$  subzone (c. 4 m versus c. 120 m thick in the region).

Riley (1993) proposed the search for a new stratotype section due to the absence of 318 319 the early Holkerian fauna, up to the occurrence of *Lithostrotion araneum* (= 320 Nematophyllum minus subzone sensu Garwood 1913). This 'hiatus' was located at the 321 base of bed I. However, some authors have suggested that this faunal gap in the succession might be a result of dolomitization rather than an actual missing interval 322 (e.g. Cossey et al. 2004). Lithostrotion araneum first occurs in bed P, and hence, beds C 323 324 to O have to be considered as early Holkerian. The dolomitization in beds D-J does not give us detailed foraminiferal records, but the foraminifers recorded do not support the 325 326 presence of an important hiatus in the succession. In fact, there does not appear to exist 327 any significant biostratigraphic hiatus in the entire section, taking into consideration the more or less continuous foraminiferal record. 328

Thus, dolomitization has had a marked influence in: (i) defining the base of the Cf5α subzone, due to the poor data in bed B; and (ii) the low number of taxa and individuals from beds C to J. These problems readily suggest that the Holkerian stratotype, apart from some modifications derived from the foraminiferal evidence presented herein, would need a new para-stratotype section to be defined in the region, with better preserved limestones of the Dalton Formation, and more comprehensive foraminiferal records.

Several scenarios can be proposed: (i) To relocate the base of the Holkerian to the base of bed C in Barker Scar (column 2 in Fig. 2), to coincide with the base of Cf5 $\alpha$ subzone (sample BS31) to achieve a wider international correlation (although the poorly constrained base of this subzone is a potential problem); (ii) To relocate the base of the Holkerian to the upper part of bed C (sample BS33), to coincide with the Cf5 $\beta$  subzone (column 1 in Fig. 2) (although the dolomitized bed just above also creates a potential drawback); (iii) to search for a para-stratotype section to confirm the evidence resulting

from the lower part of the Barker Scar section; or, (iv) to search for a new stratotype
section dominated by limestone, to minimize the effect of dolomitization and to show
more continuous micro- and macrofaunal successions. Currently we favour scenarios i
and iii.

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# 348 4. International correlation of the Holkerian with European substages

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350 A correlation of the Holkerian with the Livian in Belgium was recently suggested by Cózar et al. (2020). There, the guides for the recognition of this substage were proposed 351 352 earlier by Conil et al. (1977) as Koskinotextularia and Pojarkovella nibelis, the same as the Cf5 zone. The occurrence of *P. nibelis* was recorded 14.3 m above the base of the 353 354 Lives Formation, and Koskinotextularia much later (Poty and Hance 2006). 355 Unfortunately, this lower 14.3 m of the formation is barren in foraminifers, due to the bentonites of the Banc d'Or de Bachant and completely dolomitized beds, whereas the 356 357 underlying Neffe Formation contains foraminifers of the Cf48 subzone. Thus, although the boundary cannot be precisely recognised due to the absence of data, the Cf5 $\alpha$ 358 359 subzone can be approximately correlated with the base of the Lives Formation, and the 360 Cf5 $\beta$  subzone with the non-dolomitized part of the formation, i.e. from 14.3 m upwards (Fig. 6). Nevertheless, the base of the Banc d'Or de Bachant is not a synchronous event, 361 and depending on the section, it also implies parts of the Moliniacian (Poty et al. 2014), 362 363 a fact corroborated by the absolute ages using zircons by Pointon et al. (2021). The latter authors proposed to search for a new stratotype for the Livian, and thus, owing to 364 the hiatus represented by this bentonite, a precise correlation level with the  $Cf5\alpha$ 365 subzone will be never achieved. 366

367	In the Moscow Basin, the Tulian is composed of an alternation of shales and
368	limestones, and the latter become more abundant in the upper part. Furthermore, there is
369	an unconformity at the base, and thick basal parts of the succession can be missing
370	(Alekseev 2009). Although numerous studies have been published since the late 1940's
371	with fragmentary records of the Tulian (e.g., Rauzer-Chernousova 1948), the basis for
372	the established foraminiferal guides were summarised by Lipina and Reitlinger (1970),
373	which included this substage within the Endothyranopsis compressa Zone. This zone is
374	characterised by the first occurrence of Endothyranopsis s.s., Globoendothyra s.s.,
375	Lituotubella, Mstinia (as Haplophragmella), Eostaffella, Pseudoendothyra (as
376	Parastaffella), Vissariotaxis exilis (Vissarionova), Archaediscus krestovnikovi,
377	Archaediscus moelleri (both species representative of the concavus stage), primitive
378	Cribrospira and Omphalotis minima. Vissariotaxis exilis in Western Europe first occurs
379	in the Asbian (Conil et al. 1980), and it is difficult to know which is exactly the first
380	Globoendothyra s.s., when probably they did not consider the subgenus
381	Globoendothyra (Eogloboendothyra).
382	Makhlina et al. (1993) also considered as markers for the Tulian: Palaeotextularia
383	s.s., Praeostaffella (as species of Endostaffella), primitive species of Eostaffellina,
384	Magnitella porosa, Koskinotextularia, Cribrostomum eximium Moeller and Eostaffella
385	mosquensis Vissarionova, as well as other species of Archaediscus (which are also at
386	concavus stage). Although some of these species and genera first occur much later in
387	Western Europe (Palaeotextularia and Cribrostomum), the majority of the described
388	taxa are also guides for the Holkerian in Britain. Surprisingly, Pojarkovella ex gr.
389	nibelis is only recorded from the upper Viséan Mikhailovian Substage (Makhlina et al.
390	1993).

Reitlinger et al. (1996) summarised the data mostly from the Moscow Basin and 391 392 Urals. They considered that in addition to typical markers, the first occurrence of 393 Lituotubella from the Bobrikian Substage (Fig. 6), which would coincide with the 394 earlier occurrence in the Dalton Formation in Barker Scar (Fig. 2), and L. magna from the Tulian, as well as considering Archaediscus krestovnikovi, first occurring rarely 395 from the Bobrikian Substage (as previously considered by Vdovenko et al. 1990), but it 396 397 is typical of the Tulian Substage. These authors also considered *Globoendothyra* 398 globula as a marker.

399 More recent studies in the Urals (e.g. Kulagina and Klimenko 2014), contain some

400 of the above-listed taxa for the recognition of the Tulian, but also noteworthy is the

401 occurrence of *Pojarkovella nibelis* from younger beds. These authors also considered

402 Archaediscus koktjubensis Rauzer-Chernousova as a marker of the Tulian (Klimenko et

403 al. 2018; Kulagina et al. 2019). In the Urals, there are more continuous carbonate

successions, but unfortunately, the foraminiferal assemblages in the Tulian Substage arenot as rich as in the Moscow Basin.

406 The basal boundary of other local substages in Europe do not coincide

407 approximately with the above described substages (e.g., in Ukraine; Poletaev et al.

408 1990), and with the available data, it is not possible to propose a correlation.

409 Owing to the fragmentary record of the Tulian in the Moscow Basin and the scarcer

data from the Urals, it is not feasible to make a detailed correlation of the Cf5 $\alpha$  and

411 Cf5 $\beta$  subzones with the stratigraphic record in Russia. However, the base of the Tulian

- 412 can be confidently correlated with the base of the Cf5 $\alpha$  (Fig. 6) due to the first
- 413 occurrence of *Koskinotextularia*, *Endothyranopsis* s.s., *Consobrinellopsis* and some
- 414 species of Archaediscus (at concavus stage). The occurrence of species of Lituotubella,
- 415 and *Omphalotis* might be additional potential markers of levels immediately below the

base of the Holkerian and Tulian. In contrast, there is no obvious horizon that could be 416 correlated with the Cf5<sup>β</sup> subzone. Further investigation should be necessary to establish 417 418 if there is any other potential level of correlation based on the successive first 419 occurrences of the guides for this interval in Russia, Belgium/France and Britain. In summary, if the Holkerian Substage should have international counterparts, this 420 substage in Britain should be reconsidered, the Cf5 $\alpha$  subzone should be the level to 421 422 redefine the Holkerian Substage, instead of the use of the Cf5β subzone to mark the base. These substantial differences in the definition of the British substages compared to 423 424 the international chronostratigraphical units are not new, and it has been already 425 demonstrated at other levels (Cózar and Somerville, 2014). As a secondary 426 consideration, due to the poor preservation in bed B at Barker Scar, this section should 427 be supplemented with data from a para-stratotype, to confirm any possible extension of 428 the Cf5 $\alpha$  subzone as the base of the Holkerian.

429

## 430 **5.** Conclusions

431

432 Foraminiferal revision of the Holkerian Stratotype of Britain at Barker Scar, Holker 433 Hall, south Cumbria, shows much richer assemblages than previously known. These allow the subdivision of the section into the Cf4 $\delta$ , the Cf5 $\alpha$  and the Cf5 $\beta$  subzones (the 434 latter being subdivided into a lower Cf5\beta1 and upper Cf5\beta2 intervals). The occurrence 435 in bed C of the Cf5α subzone is c. 14 m below the traditional basal boundary of the 436 Holkerian at bed K, whereas the Cf5 $\beta$  subzone from the upper part of bed C is more 437 than 10 m below the current boundary. The boundaries of the foraminiferal subzones 438 coincide with the main interval affected by dolomitization in the section, which poses 439 problems in defining the precise bases for these subzones. 440

In spite of the dolomitization, a more or less continuous foraminiferal record allows 441 442 a correlation of the base of the Cf5 $\beta$  with the preserved succession in the Livian 443 Substage (defined in Belgium, but also used in France), and it is assumed that the base of this substage should correspond in part to the base of the Cf5 $\alpha$  subzone, although it 444 also includes in some sections, part of the Cf4 $\delta$  subzone. Compared to the Russian 445 Tulian Substage, the base of the Cf5 $\alpha$  subzone can be correlated with the base of the 446 Tulian, and although it contains many taxa in common with the Holkerian, further 447 investigation is needed to establish other levels of correlation higher up in the substage. 448 All these problems suggest that the Holkerian, as it is currently recognised, and the 449 450 Barker Scar section should be reconsidered, and at least, a para-stratotype section devoid of dolomitization should be investigated, and to re-locate the base of the 451 452 Holkerian established on the base of the Cf5α foraminiferal subzone (column 2 in Fig. 453 2). These modifications would allow an apparent synchronous faunal event which would form the basis of a future subdivision of the Viséan. 454

455

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463

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6	1	3
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614 Captions

615

**Fig. 1.** Tournaisian-Viséan sketch-map of Northern England with the location of the

617 Chadian (Chatburn), Holkerian (Barker Scar), Asbian (Little Asby) and Brigantian

618 (Janny Wood) stratotypes. Adapted from IGS (1979).

619



and macrofauna (in blue). Letters for the beds according to Ramsbottom (1981).

622 Column 1 (bottom right) is the amended position of the base of the Holkerian as it is

623 currently understood (dashed line below red arrow tip), based on the foraminifers

 $figure{1}{1}$  proposed by Ramsbottom (1981), representative of the Cf5β subzone. Column 2 is the

position proposed herein for the base of the Holkerian, based on the Cf5 $\alpha$  subzone

626 (solid line below blue arrow tip). Column 3 is the correlation with the lower-middle

627 (Moliniacian/Livian) Viséan boundary in Western Europe. Abbreviations: C mud =

628 calcareous mudstone, M = mudstone, W = wackestone, P = packstone, G = grainstone,

629 SST = sandstone, R & D beds = Rose and Dunham (1977) beds.

630

631 Fig. 3. Selected for a minifers representative of the top Cf4 $\delta$  and Cf5 $\alpha$  subzones. Scale

bar = 400 microns except for fig. 1 = 800 microns. 1) *Lituotubella glomospiroides*?

633 Rauzer-Chernoussova (oblique section), BS11. 2, 3) Endothyranopsis aff. compressa

634 (Rauzer-Chernousova and Reitlinger), BS16, BS15. 4) Omphalotis aff. minima (Rauzer-

635 Chernousova and Reitlinger), BS11. 5, 6) Koskinotextularia aff. cribriformis Eickhoff,

636 BS31, BS40. 7, 8) Archaediscus moelleri Rauzer-Chernoussova (concavus stage),

637 BS31, BS57. 9) *Archaediscus operosus* Shlykova (involutus transitional to concavus

638 stage), BS40. 10, 11) Archaediscus krestovnikovi Rauzer-Chernoussova (concavus

639 stage), BS40, BS31. 12, 13) *Archaediscus* sp. at concavus stage, BS32. 14)

640 Archaediscus aff. krestovnikovi (involutus transitional to concavus stage), BS24. 15)

- 641 Archaediscus pusillus Rauzer-Chernoussova (involutus transitional to concavus stage),
- 642 BS14.

643

- **Fig. 4.** Selected foraminifers from the lower part of the Cf5 $\beta$  subzone. Scale bar = 400
- 645 microns except for fig. 8 = 800 microns. 1, 2) *Pojarkovella pura* Simonova, BS33,
- 646 BS42. **3**, **4**) *Pojarkovella ketmenica* Simonova and Zub, BS33. **5**, **6**) *Pojarkovella*
- 647 nibelis (Durkina), BS33. 7) Pojarkovella honesta Simonova, BS33. 8) Holkeria daggeri

648 Strank, BS42. 9) *Endothyranopsis compressa* (Rauzer-Chernousova and Reitlinger),

649 BS40. 10) Koskinotextularia obliqua (Conil and Lys), BS42. 11) Koskinotextularia

650 *bradyi* (Moeller), BS40. 12) *Koskinotextularia cribriformis* Eickhoff, BS40. 13)

651 Archaediscus aff. chernoussovensis Mamet (concavus stage), BS41. 14) Holkeria

*avonensis* Conil and Longerstaey, BS42. **15**) *Holkeria topleyensis* Strank, BS42.

653

- **Fig. 5.** Selected foraminifers from the upper part of the Cf5 $\beta$  subzone. Scale bar = 400
- microns except for figs 11, 14, 16 = 800 microns. 1) *Cribrospira*? sp., BS49. 2)
- 656 *Vissarionovella holkeriana* Conil and Longerstaey, BS49. **3**) *Kublonibelia immanis*
- 657 Conil, BS51. 4) Pseudoendothyra struvei (Moeller), BS68. 5) Pseudoendothyra sp. 2,
- 658 BS69. 6) Pseudoendothyra cf. illustria (Vissarionova), BS76x. 7) Rhodesinella pansa
- (Conil and Lys), BS78. 8) "*Millerella*" excavata Conil and Lys, BS62. 9)
- 660 Pseudoendothyra sp. 1 (P. aff. struvei (Moeller)), BS62. 10) Omphalotis minima
- 661 (Rauzer-Chernousova and Reitlinger), BS76. 11) Globoendothyra globula (Eichwald),

- 662 BS75. 12) Magnitella porosa Malakhova, BS77. 13) Endothyranopsis compressa
- Rauzer-Chernousova and Reitlinger transitional to *E. crassa* (Brady,), BS83. 14)
- 664 Lituotubella magna Rauzer-Chernousova, BS69. 15) Pojarkovella evolutica Simonova
- and Zub, BS62. 16) Koskinotextularia eximiformis (Lipina), BS78. 17)
- 666 Nodosarchaediscus pirleti (Bozorgnia), BS73. 18, 19) Archaediscus krestovnikovi
- 667 Rauzer-Chernoussova morphotype 2 (transitional to the angulatus stage), BS84. 20)
- 668 *Eostaffellina? accepta* (Ganelina), BS89.

- **Fig. 6.** Correlation of the main substages equivalent to the Holkerian in Europe.
- Absolute ages are based on Aretz et al. (2020). Diagonal striped lines correspond to the
- 672 hiatus at the base of the Livian and in the early Asbian in the south Cumbria
- 673 stratigraphical column (Formations). Arrows mark the necessary movement down in the
- 674 Holkerian stratotype section to coincide with the base of the Livian and Tulian. Dashed
- 675 line of correlation at the base of the upper Viséan has never been studied in detail. The
- base of the Park Limestone Formation is considered at the base of bed J of Fig. 2.
- 677 Abbreviation Ur = Urswick Limestone Formation.

678

## 679 Supplementary material:

- 680 **Table S1.** Foraminiferal distribution in Barker Scar.
- 681 **Table S2**
- **Figures S1 to S4.** Photos of the Barker Scar section with bed boundaries shown.







**Fig. 3.** Selected foraminifers representative of the top Cf4δ and Cf5α subzones. Scale bar = 400 microns except for fig. 1 = 800 microns. **1**) *Lituotubella glomospiroides*? Rauzer-Chernoussova (oblique section), BS11. **2**, **3**) *Endothyranopsis* aff. *compressa* (Rauzer-Chernousova and Reitlinger), BS16, BS15. **4**) *Omphalotis* aff. *minima* (Rauzer-Chernousova and Reitlinger), BS11. **5**, **6**) *Koskinotextularia* aff. *cribriformis* Eickhoff, BS31, BS40. **7**, **8**) *Archaediscus moelleri* Rauzer-Chernoussova (concavus stage), BS31, BS57. **9**) *Archaediscus operosus* Shlykova (involutus transitional to concavus stage), BS40. **10**, **11**) *Archaediscus krestovnikovi* Rauzer-Chernoussova (concavus stage), BS32. **14**) *Archaediscus* aff. *krestovnikovi* (involutus transitional to concavus stage), BS24. **15**) *Archaediscus pusillus* Rauzer-Chernoussova (involutus transitional to concavus stage), BS14.



**Fig. 4.** Selected foraminifers from the lower part of the Cf5β subzone. Scale bar = 400 microns except for fig. 8 = 800 microns. **1**, **2**) *Pojarkovella pura* Simonova, BS33, BS42. **3**, **4**) *Pojarkovella ketmenica* Simonova and Zub, BS33. **5**, **6**) *Pojarkovella nibelis* (Durkina), BS33. **7**) *Pojarkovella honesta* Simonova, BS33. **8**) *Holkeria daggeri* Strank, BS42. **9**) *Endothyranopsis compressa* (Rauzer-Chernousova and Reitlinger), BS40. **10**) *Koskinotextularia obliqua* (Conil and Lys), BS42. **11**) *Koskinotextularia bradyi* (Moeller), BS40. **12**) *Koskinotextularia cribriformis* Eickhoff, BS40. **13**) *Archaediscus* aff. *chernoussovensis* Mamet (concavus stage), BS41. **14**) *Holkeria avonensis* Conil and Longerstaey, BS42. **15**) *Holkeria topleyensis* Strank, BS42.



Fig. 5. Selected foraminifers from the upper part of the Cf5β subzone. Scale bar = 400 microns except for 11, 14, 16 = 800 microns. 1) *Cribrospira*? sp., BS49. 2) *Vissarionovella holkeriana* Conil and Longerstaey, BS49. 3) *Kublonibelia immanis* Conil, BS51. 4) *Pseudoendothyra struvei* (Moeller), BS68. 5) *Pseudoendothyra* sp. 2, BS69. 6) *Pseudoendothyra* cf. *illustria* (Vissarionova), BS76x. 7) *Rhodesinella pansa* (Conil and Lys), BS78. 8) *"Millerella" excavata* Conil and Lys, BS62.
9) *Pseudoendothyra* sp. 1 (*P.* aff. *struvei* (Moeller)), BS62. 10) *Omphalotis minima* (Rauzer-Chernousova and Reitlinger), BS76. 11) *Globoendothyra* globula (Eichwald), BS75. 12) *Magnitella porosa* Malakhova, BS77. 13) *Endothyranopsis compressa* Rauzer-Chernousova and Reitlinger transitional to *E. crassa* (Brady), BS83. 14) *Lituotubella magna* Rauzer-Chernousova, BS69. 15) *Pojarkovella evolutica* Simonova and Zub, BS62. 16) *Koskinotextularia eximiformis* (Lipina), BS78. 17) *Nodosarchaediscus pirleti* (Bozorgnia), BS73. 18, 19) *Archaediscus krestovnikovi* Rauzer-Chernousova morphotype 2 (transitional to the angulatus stage), BS84. 20) *Eostaffellina*? accepta (Ganelina), BS89.





Fig. S1. Simplified geological map of the area around the Barker Scar section (none coloured areas are drift covered). On base topographic map from bing.co.uk. Grid squares are 1 km. Modified from Johnson et al. (2001).



Fig. S2a. Beds A and B of Dalton Formation at Barker Scar.



Most of Bed B in this outcrop





Fig. S2c. Beds B through to bed I of the Dalton Formation, and lowest part of the Park Limestone Formation at Barker Scar.



Fig. S2d. Beds L through to Bed W of the Park Limestone Formation at Barker Scar.



Fig. S2e. Beds from the upper part of the Park Limestone Formation exposure at Barker Scar. Above Bed Z the limestone is rather better bedded- a feature typical of the mid parts of the Park Limestone.



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