Effects of Bruising of 'Pink Lady' Apple Under Impact Loading in Drop Test on Firmness, Colour and Gas Exchange of Fruit During Long Term Storage

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17 ABSTRACT

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The bruising phenomenon of apple fruit under impact loading is still a very important problem to be 18 solved in order to design optimal harvest and processing systems and for ensuring the quality of the 19 fruit during long-term periods of storage. This study focused on deformation simulation of apples 20 (cv. 'Pink Lady') under dynamic impact loading during drop tests in order to describe time-dependent 21 bruising occurrence and the bruising effect on the postharvest fruit quality during long-term storage. 22 In the study, analytical, experimental methods and finite element analysis based explicit dynamics 23 simulation techniques were employed. Three drop heights (250 mm, 500 mm and 750 mm) and three 24 impact materials (structural steel, high-density polyethylene and wood) and single fruit orientation 25 (transverse) for the drop tests were considered. Experimental drop test, physical and chromatographic 26 analyses at the time of harvest (first testing day) and during storage periods of 30, 120 and 210 days 27 were realised. Physical and chromatographic analyses revealed that damaged apples lost a greater 28 amount of weight when considering the increase in drop height. Furthermore, bruised surfaces of 29 apples lost their luminosity just after the drop test. Ethylene production and respiration rates rapidly 30 increased just after the fruit bruising and this increase was correlated with the drop height. 31 Additionally, material tests revealed the yield stress point of the apple as 0.385 MPa and the 32 simulation results provided useful visuals and numerical data related to the time-dependent bruising 33 phenomenon. The validation study on the experimental and simulation setup revealed that bruising 34 surface area is a more accurate measurement than bruise volume when evaluating bruising on the fruit 35 flesh through a numerical method-based simulation study (average relative difference: 5.5%). 36

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KEYWORDS: Pink Lady, Apple, Bruising, Quality, Drop Test, Finite Element Analysis

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51 1. INTRODUCTION

Apple (Malus x domestica Borkh.) is one of the most produced and consumed fruit in the world. 52 The global production of apples is 87.24 million tons (MT), where China ranked 1st (42.43 MT), 53 followed by the USA (5 MT), Turkey (3.62 MT) and Poland (3.08 MT) (FAOSTAT, 2019). Apple is 54 55 a rich source of vitamins, phenolics, organic acids, antioxidants and fibres for the human diet (Mditshwa et al., 2018; Musacchi and Serra, 2018). Apples experience laborious stages starting from 56 the tree in an orchard through to final consumption. During these stages, apples undergo many 57 processes including harvesting, packing, sorting, storage, transport and marketing (Lewis et al., 58 2008). Mechanical damage is the main problem during these processes and has the potential to 59 significantly reduce the quality of the product, which results in a lower market value. Therefore, 60 quality loss due to mechanical damage during postharvest operations has become a major problem in 61 the fresh produce industry (Fadiji et al., 2016). During these processes, fruit may be bruised through 62 physical effects such as fingerprints, dropping, squeezing, packing pressure, etc. Bruising that mostly 63 occurs during the harvesting, sorting, packing and marketing stages, is the most common type of 64 mechanical injury for almost all fresh fruit and vegetables (Knee, 2001; Van Zeebroeck et al., 2007). 65 In this context, specific to apple fruit, the literature highlights that most laboratory studies have 66 involved impact energies above 0.1 J producing clear visible bruising (Van Zeebroeck et al., 2007). 67 Therefore, when apples drop from heights, which produce impact energy above 0.1 J, on to different 68 surfaces such as steel, plastic or wood, the damage mainly initiates on the fruit surface and the internal 69 composition of the fruit becomes vulnerable to decay over time. Furthermore, the damage in almost 70 all produce as well as apples may lead to an increase in ethylene production, micro and macro skin 71 cracks, peel crushing and quality loss. 72

In this context, understanding the fruit deformation behaviour under dynamic impact/drop loading has become a very important issue to be solved in order to design optimal agricultural/food product processing and packaging systems found in related industries and the longer-term storage issues of the products. During the initial design phases of the machinery systems, some related 77 features (constituting design parameters), such as engineering properties, deformation behaviour and bruise susceptibility of the products under dynamic deformation cases, should be clearly described; 78 however, this may become very complicated (Celik, 2017). Bruising issues were discussed in the 79 80 work of Opara and Pathare (2014) related to measurement and analysis of the mechanical bruising damage of fresh horticultural produce. The review indicated that there is no agreed common criterion 81 which can assess the amount of bruise susceptibility, however, in addition to absorbed energy 82 calculation, two physical measurements, which are the bruise area and the bruise volume, are the 83 most common for mechanical damage. Bruise susceptibility can be calculated as the amount of 84 damage per unit of absorbed impact/compression energy (Brusewitz and Bartsch, 1989; Celik, 2017; 85 Garcia et al., 1988; Holt and Schoorl, 1977; Opara and Pathare, 2014; Pang et al., 1996). In addition 86 to this, although, Opara and Pathare (2014) highlights that the bruise volume is the most commonly 87 reported measure of the amount of bruise damage, Pang et al. (1996) claimed that the bruise surface 88 area was a better parameter than the bruise volume for assessing the product damage. 89

The literature on this issue reveals that many researchers have utilised various destructive and 90 non-destructive experimental methods to determine the bruise susceptibility of fruit under dynamic 91 92 impact loading. The pendulum test was found to be a prevalent destructive method utilised by researchers (Abedi and Ahmadi, 2013; Eissa et al., 2012; Komarnicki et al., 2016). Non-destructive 93 defect detection of apples through image processing technologies was also commonly studied (Lu 94 and Lu, 2017). However, these experimental methods did not illustrate and describe the internal bruise 95 phenomenon at the dynamic deformation cases such as impact loading during drop of a fruit, since 96 the bruise is a type of subcutaneous tissue failure without rupture of the skin of such products 97 (Mohsenin, 1986). As such, numerical method-based engineering analysis and simulation techniques 98 such as Finite Element Analysis (FEA) may offer a promising avenue for solving such complicated 99 100 loading conditions of the fruit, as this simulation technique has been found to be useful in the research field of the deformation analysis of agricultural products (Cardenas-Weber et al., 1991; Celik et al., 101

102 **2011; Kabas et al., 2008)**.

103 The objectives of this research are to describe the time dependant bruising phenomenon of a 104 'Pink Lady' apple under dynamic impact loading during drop test by means of finite element method 105 (FEM) based explicit dynamics simulation, and to assess the postharvest fruit quality of damaged 106 apples during long-term storage through physical and chromatographic analyses.

107 2. MATERIAL AND METHODS

108 2.1. Application Algorithm

In this research, an application algorithm which can be integrated to bruising analysis studies for agricultural products was developed and a specific study on bruising analysis of a 'Pink Lady' apple was realised. The algorithm was constructed based on physical and chromatographic analyses, experimental material testing and computer aided engineering simulation techniques. The core application sequence of the developed algorithm is illustrated in Figure 1.

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115 (**Fig. 1.** Application algorithm for bruising and quality investigation of 'Pink Lady' apple)

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117 **2.2. Fruit Material**

¹¹⁸ 'Pink Lady' apples were harvested at the physiological maturity stage by using a starch-iodine ¹¹⁹ test in the orchard at Elmalı, Antalya, Turkey (36° 37' 13.7" N 29° 52' 37.3" E). Fruit characteristics ¹²⁰ at harvest were determined as having fruit firmness 84.44 N, soluble solid contents 16.33 % and ¹²¹ titratable acidity 0.77 g malic acid 100^{-1} mL. After harvesting, the apples for analyses were selected ¹²² based on size uniformity and that they had no visual defects.

123 2.3. Experimental Drop Test

In the drop test experiments, undamaged whole apple specimens were allowed to free fall impact onto a flat platform from predefined impact heights under standard earth gravity. A portable drop test apparatus was designed for the experiment. Three drop heights (250 mm, 500 mm and 750 mm), three flat form materials for the fruit impact which are referred to as impact surfaces

(structural steel, high-density polyethylene (HDPE), and wood (spruce) materials) and single impact 128 orientations (transverse) were set-up for the experiments. During the experiments, the specimens were 129 intercepted after the first impact rebound and not allowed to make second contact with the impact 130 131 surface, in order to investigate single drop bruising. Ten specimens for each drop test scenario were utilised. In addition to first day investigation (Day 0) of the samples, they were left on the lab bench 132 at 20°C for 24 h after the drop test, the remainder of the specimens were carefully moved to a cold 133 storage unit where they were kept at 0 °C for additional investigation periods. After each of the storage 134 periods (Day-0, Day-30, Day-120 and Day-210), in addition to physical and chromatographic 135 analyses, measurements of the bruise areas and the bruise volumes of the tested apple specimens were 136 undertaken. In this study, three parameters (i.e. bruise area, bruise volume and absorbed energy at 137 impact) for assessing damage simulation of the apples were considered. In fact, determination of 138 139 bruise volume of an organic material exposed to a mechanical impact is a difficult phenomenon to observe through physical experiments against bruise area measurement. Further research on this topic 140 reported that bruise volume estimation methods in the scientific literature induce errors in prediction 141 of the actual volume and there is no single method established for the estimation of bruise volume 142 (Bollen et al., 1999). The portable drop test apparatus and testing scenario utilised in this study are 143 illustrated in Figure 2. Related to bruising calculations, the empirical expressions for the bruise 144 measurements utilised in this study are given in Equations 1-6 (Mohsenin, 1986; Opara and Pathare, 145 2014; Pang et al., 1996). The abbreviations used in the equations and related dimensions are listed in 146 Table 1. 147

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- (Fig. 2. The portable drop test apparatus, testing scenario (a) and the dimensions used in bruising assessment (b))
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$$E_i = m \cdot g \cdot h \tag{1}$$

$$E_A = m \cdot g \cdot (h_d - h_r) \tag{2}$$

$$A_{b} = \frac{\pi}{4} (W_{b1} \cdot W_{b1})$$
(3)

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$$V_{b} = \frac{\pi \cdot d_{b}}{24} (3W_{b1} \cdot W_{b1} + 4d_{b}^{2})$$
(4)

$$S_{bA} = \frac{A_b}{E_A} \qquad (Areabased) \tag{5}$$

$$S_{bV} = \frac{V_b}{E_A} \qquad (Volume \ based) \tag{6}$$

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(**Table 1.** List of the abbreviations used in the equations and related dimensions)

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156 **2.4. Storage and Sampling Details**

Following the experimental drop test, the fruit specimens were stored at 0 °C and 85-90 % relative humidity (RH) for up to 210 days at Postharvest Physiology Laboratory of Akdeniz University Antalya, Turkey. Fruit samples were collected from the storage at 30, 120, and 210 day intervals in order to conduct visual observations, bruise measurements, analyse and final evaluation.

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2.5. Physical and Chromatographic Analyses

The weight loss of the fruit was measured with digital scales with 0.01 precision (in grammes), 162 calculated on an initial mass basis and then finally it was expressed as a percentage. The fruit flesh 163 firmness was measured on three areas of the surface using a fruit firmness penetrometer (EFFEGI-164 FT 327, FACCHINI srl, Italy) with an 11.1 mm probe and expressed as Newton (N). The total soluble 165 solids content was measured in the apple juice with a digital refractometer (Hanna HI96801, Hanna 166 Instruments, USA) and then expressed as a percentage. Titratable acidity was performed with 2 mL 167 juice + 38 mL distilled water by titrating with 0.1 N NaOH up the 8.1 pH using a pH meter and the 168 results were expressed in g malic acid 100⁻¹ mL juice. Changes in skin colour were measured as 169 Lightness (L*), Chroma (C*) and Hue angle (h°) with the aid of a Minolta Chroma Meter (Model CR 170 400, Minolta, Tokyo, Japan). The measurements were performed on damaged and non-damaged 171

surfaces of the fruit and the mean value was computed for each group. The respiration rate of the fruit 172 was determined with gas chromatography (GC) (Thermo Finnigan Trace GC Ultra, Thermo Electron 173 S.p.A. Strada Rivoltana 20900 Radano, Milan, Italy). For respiration rate measurements, eight apples 174 175 (approx. 1.5 kg) from each replication were kept in 5 L gas-tight jars for 1 h at 20 °C. For that purpose, a 1 mL gas sample was taken from the headspace of jars and injected into GC equipped with a thermal 176 conductivity detector. The results were calculated as mL CO₂ kg⁻¹ h⁻¹. Chromatographic conditions 177 of respiration rate measurement were as follows: 80/100 porapak n column, 65 °C oven temperature, 178 100 °C detector temperature, 100 °C injection temperature, 10 mL min⁻¹ helium flow, 20 mL min⁻¹ 179 hydrogen flow, 30 mL min⁻¹ nitrogen flow and 4 minutes analysis time. The ethylene production of 180 the fruit was determined with GC. For ethylene production measurements, eight apples 181 (approx. 1.5 kg) from each replication were kept in 5 L gas-tight jars for 1 h at 20 °C. Then a 1 mL 182 gas sample was taken from the headspace of the jars and injected into GC equipped with a flame 183 ionization detector. The ethylene production was calculated as $\mu L C_2 H_4 \text{ kg}^{-1} \text{ h}^{-1}$. Chromatographic 184 conditions of ethylene production measurement were as follows: 80/100 alumina f⁻¹ column, 90 °C 185 oven temperature, 170 °C detector temperature, 150 °C injection temperature, 25 mL min⁻¹ helium 186 flow, 35 mL min⁻¹ hydrogen flow, 350 mL min⁻¹ nitrogen flow and 2 min analysis time. For ethylene 187 and respiration, measurements were carried out on the fruit from cold storage on days 30, 120 and 188 210. The same measurements were also carried out just after the damage occurrence on the fruit at 189 20 °C. 190

2.6. Statistical Analyses Related to Physical and Chromatographic Analyses Results 191

The statistical analyses were conducted according to completely randomised design with three 192 replications and each replication containing 10 apples. The parameters of treatment, storage duration 193 194 and treatment x storage duration interaction were examined through a general linear model. All statistical analyses were performed through XLSTAT (version 2016.02.28451, Addinsoft, France). 195 Least Significant Difference (LSD) test was utilised for the comparison of means ($P \le 0.05$). 196

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198 2.7. Finite Element Analysis (FEA)

199 2.7.1. Computer Aided Design (CAD) Modelling of the Apple Specimen

200	An apple specimen was digitised for the drop test simulation study. The Specimen was selected
201	randomly from non-damaged whole apple specimens. A reverse engineering approach was utilised to
202	create the apples 3-Dimensional (3D) computer aided design (CAD) model in order to simulate an
203	accurate drop test with a realistic apple geometry. A NextEngine-2020i 3D desktop laser scanner was
204	employed in the digitisation of the apple and then the point cloud data obtained from the scanner was
205	processed using Scan-StudioHD and SolidWorks (Dassault System, USA) 3D parametric design
206	software. Some dimensional properties of the digitised apple specimen were measured on the CAD
207	model. Dimensional features of the apple specimen are given in Table 2, respectively.

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(**Table 2.** Dimensional features of the apple)

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211 **2.7.2. Determination of Material Properties and Physical Measurements**

Material properties of the apple specimens such as elastic modulus, Poisson's ratio, bio-yield 212 213 stress point, density and moisture content were experimentally determined through mechanical tests and physical measurements. The whole apple specimens used in this material testing procedure were 214 previously kept in a cold storage unit at 0 °C and then all specimens were moved to the test laboratory. 215 The physical investigations were realised on randomly selected apple specimens at an ambient room 216 temperature of 20 °C. Related measurements and mechanical tests of the experimental procedure were 217 conducted at the Biological Material Test Laboratory of the Department of Agricultural Machinery 218 and Technology Engineering, Akdeniz University (Antalya, Turkey). Parallel plate compression tests 219 were utilised for the cylindrical specimens (specimen dimensions: Ø20 x 25 mm). The cylindrical 220 specimens were extracted from the whole apple (apple flesh). A universal compression test device 221 was utilised for the compression tests. Loading capacity of the test device was 2000 N and the test 222

data were collected by a computer aided data acquisition system connected to the test device. The 223 compression test procedure for food/agricultural materials was described in detail in accordance with 224 the standard ASAE S368.4 DEC2000 (R2017) (ASABE Standard, 2017). The ASABE Standard 225 226 highlights that for specimens such as apples, the bio-yield point is best observed at speeds below 10 mm min⁻¹. Therefore, a compression plate travelling speed of 5 mm min⁻¹ was set up in all tests. 227 Each of the compression tests were carried out for 10 specimens. The data sampling rate was 10 Hz 228 during the tests. Data of the compressive force against specimen deformation were simultaneously 229 read during the test and then these data were processed. Finally, the test data were graphically 230 represented. The cylindrical specimens were tested for the same moisture content (MC) (average MC: 231 82.79 ± 2.15 %, wet base, 10 specimens). A Nuve-FN 032/055/120 dry air steriliser (Nuve, Turkey) 232 was utilised for the drying operation. The average moisture content of the specimens was calculated 233 after the drying operation (24 hours at 105 °C) (Sitkei, 1987). Average density of the apple flesh was 234 calculated by measuring the volume and mass of the cylindrical test specimens extracted from the 235 whole apple. Finally, material properties to be assigned in the FEA scenarios were experimentally 236 described using a bi-linear isotropic homogeneous elastoplastic material model (Supplementary 237 file-1). 238

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240 2.7.3. Drop Test Simulation

A nonlinear FEM-based explicit dynamics simulation approach was utilised for the drop test 241 242 simulation of the apple bruising. Nine simulation scenarios were set up in total. These drop test scenarios were simulated for various drop height and impact surface combinations. An explicit 243 dynamics module of the ANSYS Workbench commercial FEM code (Ansys Inc., USA) was utilised 244 to simulate the dropping scenarios. The apple was modelled as a homogeneous flesh structure. The 245 246 frictional contact definitions between the apple and the surfaces, standard earth gravity of 9.8066 m s⁻², and an idealised material model (bi-linear isotropic homogeneous elastoplastic material 247 model) for the apple model was defined. An identical curvature based and local sizing meshing 248

249	strategy was applied in the creation of the finite element models used in the simulations. A total of
250	171097 elements and 41102 nodes were obtained in the finite element model. The mesh quality of
251	the finite element model was verified through a skewness metric. Skewness is one of the primary
252	quality measures for a mesh structure, which determines how close to ideal a face or cell is. According
253	to the definition of skewness, a value of 0 indicates an equilateral cell (best) and a value of 1 indicates
254	a completely degenerate cell (worst) (ANSYS Product Doc., 2019a). The average skewness metric
255	value obtained was 0.207 which indicated an excellent cell quality for the finite element model. The
256	simulation solve times were assigned under consideration of the first impact moment, bruising period,
257	rebound in contact, and total free-to-contact sequences after drop/impact energy absorption periods
258	and the drop test event was solved for 0.005 s. A mobile workstation, Dell Precision M4800 Series
259	(Intel Core i7-4910MQ CPU @ 2.90 GHz, NVIDIA Quadro K2100M-2 GB, and Physical Memory
260	Total: 32 GB) was employed as the computing platform. The details of the finite element model and
261	material properties used in the FEA setup are given in Figure 3, Table 3 and Table 4 respectively
261 262	(ANSYS Product Doc., 2019b; Dumond and Baddour, 2014; Gezer et al., 2012; Matweb LLC, 2020;
261262263	(ANSYS Product Doc., 2019b; Dumond and Baddour, 2014; Gezer et al., 2012; Matweb LLC, 2020; Puchalski and Brusewitz, 2001).
261262263264	(ANSYS Product Doc., 2019b; Dumond and Baddour, 2014; Gezer et al., 2012; Matweb LLC, 2020; Puchalski and Brusewitz, 2001).
 261 262 263 264 265 	(ANSYS Product Doc., 2019b; Dumond and Baddour, 2014; Gezer et al., 2012; Matweb LLC, 2020; Puchalski and Brusewitz, 2001). (Fig. 3. Finite element model (a: Reverse engineered apple model, b: Outer mesh structure, c: Inner
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273 **3. RESULTS**

274 **3.1. Weight Loss in Percentage**

The weight losses of apples increased during the storage and reached the highest value at the end of the storage period. During cold storage, the maximum (2.87 %) and the minimum (2.35 %) weight losses were recorded in the structural steel-750 mm and control groups, respectively (Supplementary file-2: Table a). Weight loss increased as the storage period was prolonged, regardless of the impact platform and drop height. Additionally, there were no statistical differences between impact surfaces (2.62 %, 2.66 % and 2.68 % HDPE, wood and s. steel, respectively) however, the lowest weight loss was obtained from the control fruit (2.35 %) (Figure 4a).

282 **3.2.** Skin Colour: Lightness (L*), Chroma (C*), Hue angle (h^o)

The skin colour of apples is one of the most important quality criteria for consumer's purchase. 283 However, when the fruit falls from a height, the colour of the fruit skin turns brown at the point of 284 impact due to bruising. In this study, the lowest lightness (L^*) value was found at the steel-750 (49.03) 285 treatment. There were no statistical differences between steel-750 and HDPE-750 cases. The highest 286 L* value (58.37) was at harvest (day 0) and the lowest (48.17) was on day 30 (Supplementary 287 file-2: Table b). There were no statistical differences between control (55.69), HDPE (54.16) and 288 wood (53.39) surfaces. However, fruit damaged from steel (52.23) impact surface lost luminosity 289 290 more than any other surface (Figure 4b).

291 Chroma value (C*) values first decreased then increased and reached a peak value (44.74) on 292 day 210 of storage. The highest C* value (43.07) was recorded in the control group and the lowest 293 (38.93) was in the spruce-750 case (Supplementary file-2: Table c). The comparison of the impact 294 surfaces showed that the highest C* value (43.09) was in the control group and the lowest (39.97) 295 was in spruce surface (Figure 4c). It was determined that the drop heights and impact surfaces had no 296 significant effect on the hue value (h°) of apples during storage.

298 **3.3. Ethylene Production and Respiration Rate**

Similar to other climacteric fruit, ethylene production is the main reason for ripening and 299 senescence in apples. Additionally, ethylene production is stimulated when plant tissues are bruised 300 and injured (Knee, 2001). In this study, the minimum ethylene production was recorded in the control 301 group (8.37 μ L C₂H₄ kg⁻¹ h⁻¹) and the maximum production was in the steel-750 (14.41 μ L C₂H₄ kg⁻¹ 302 ¹ h⁻¹) case. Ethylene production first increased and reached a peak value at 120 days of storage (18.27 303 μ L C₂H₄ kg⁻¹ h⁻¹) and then decreased during the rest of measurement (Supplementary file-2: Table d). 304 The evaluation made during 10 days of measurement for ethylene production of fruit at 20 °C (in 305 order to determine the climacteric features of the damaged fruit) showed that ethylene production 306 first increased and reached a peak value at day 4 (14.67 μ L C₂H₄ kg⁻¹ h⁻¹), then decreased during the 307 rest of measurement. The control fruit reached a peak value at day 6 (11.64 µL C₂H₄ kg⁻¹ h⁻¹) and 308 production then decreased. The maximum ethylene recorded in 309 was the HDPE-750 (13.90 µL C₂H₄ kg⁻¹ h⁻¹) case and the minimum production was in the control group 310 $(8.98 \ \mu L \ C_2H_4 \ kg^{-1} \ h^{-1})$ (Supplementary file-2: Table e). 311

The ethylene production of damaged fruit (12.57, 13.17 and 13.41 μ L C₂H₄ kg⁻¹ h⁻¹ wood, HDPE and s. steel, respectively) during storage was determined to be higher than the control fruit (8.37 μ L C₂H₄ kg⁻¹ h⁻¹). However, no statistical differences were found between steel and HDPE (Figure 4d). At 20 °C, the ethylene production of the damaged fruit (11.77, 11.91 and 12.35 μ L C₂H₄ kg⁻¹ h⁻¹ wood, s. steel and HDPE, respectively) was higher than the control fruit (8.98 μ L C₂H₄ kg⁻¹ h⁻¹) and no difference was found between those from different impact surfaces (Figure 4e).

The highest respiration rate was recorded in spruce-750 (0.84 mL CO₂ kg⁻¹ h⁻¹) and the lowest was in the control group (0.57 mL CO₂ kg⁻¹ h⁻¹). During the storage, the respiration rate of apples fluctuated unlike ethylene production. The highest respiration rate was recorded on day 0 (0.96 mL CO₂ kg⁻¹ h⁻¹) and the lowest was on day 30 (0.64 mL CO₂ kg⁻¹ h⁻¹) (Supplementary file-2: Table f). At 20 °C, the highest respiration rate was recorded in wood (spruce)-750 (1.26 mL CO₂ kg⁻¹ h⁻¹) and the lowest was in the control group (0.79 mL CO₂ kg⁻¹ h⁻¹). However, at 20 °C, the respiration rate first increased and reached a peak value at day 4 (1.18 mL CO₂ kg⁻¹ h⁻¹) then decreased during the rest of measurement (Supplementary file-2: Table g).

The respiration rate of the cold-stored damaged fruit (0.72, 0.76 and 0.81 mL CO₂ kg⁻¹ h⁻¹ 326 HDPE, s. steel and wood, respectively) was determined to be higher than the control fruit (0.57 mL 327 $CO_2 \text{ kg}^{-1} \text{ h}^{-1}$) (Figure 4f). The respiration rate of the damaged fruit (0.90, 1.12 and 1.18 mL $CO_2 \text{ kg}^{-1}$ 328 h^{-1} s. steel, HDPE and wood, respectively) was higher than the control fruit (0.79 mL CO₂ kg⁻¹ h^{-1}) 329 (Figure 4g). The highest respiration rate was determined to be on those impacting a wood (spruce) 330 surface in both cold storage and 20 °C. During cold storage, wood was followed by steel and HDPE, 331 respectively, while at 20 °C, it was recorded as HDPE and steel, respectively (Figure 4f and 332 Figure 4g). 333

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(Fig. 4. Experimental results related to physical and chromatographical measurements (means with
standard deviations) (a: weight loss, b: lightness value, c: chroma value, d: ethylene production
(at 0 °C), e: ethylene production (at 20 °C), f: respiration rate (at 0 °C), g: respiration rate
(at 20 °C)) (Impact surfaces of structural steel (S. steel), high-density polyethylene (HDPE) and
wood (Spruce), respectively))

340

341 **3.4. Experimental Results Related to Drop Test**

Physical measurements related to the bruising regions of the apple specimens taken at day 0 and during cold storage periods of day 30, day 120 and day 210 were conducted, respectively. The empirical equations were utilised in order to calculate the bruise area and the bruise volume magnitudes of the damaged specimens used in the experimental drop tests. The graphical representations of the physical calculations are given in Figure 5. (Fig. 5. Physical measurements related to bruise area and bruise volume of the tested specimens at
 day 0 and during periods of storage (means with standard deviations) (a, b and c: Impact surfaces of
 structural steel, high-density polyethylene (HDPE) and wood (Spruce), respectively))

350

351 **3.5. FEA Outputs Related to Drop Test**

After completion of the FEA processing, the simulation results provided useful visuals and 352 numerical data related to the fruit damage phenomenon. Time dependent stress progression and its 353 distribution on the apple model was clearly exhibited through the simulation visual outputs related to 354 predefined drop events of the fruit (Supplementary file-3). Permanent bruise tracks where the stress 355 regions are beyond the bio-yield stress point of 0.385 MPa on the apple flesh were successfully 356 illustrated. These stress distribution results also allowed measurement of the bruise area and bruise 357 volumes in the digital environment. Additionally, numerical values extracted from the simulation 358 results related to max. equivalent stress, max. contact force, max. internal (absorbed energy) and the 359 energy activity summary, which are very complex to represent through physical experiments, were 360 obtained. A sample simulation visual and graphical representation of the numerical outputs are given 361 in Figure 6 and Figure 7. 362

363

(Fig. 6, FEA visual outputs and progression plots: Eq. stress, reaction force and internal energy
 change against time (a: Sample FEA print out, b, c and d: Impact surfaces of structural steel, high density polyethylene (HDPE) and wood, respectively))

(Fig. 7. FEA outputs: max. equivalent stress (a), max. internal (absorbed energy) (b), max. reaction
 force (c) and the typical energy activity summary (d) against impact surface and drop height)

369

371 **3.6. Verification and Validation of the FEA Scenarios**

372 3.6.1. Verification of the Finite Element Model

Finite element model verification which can test the accuracy of the meshed model geometry 373 to represent the digitalised model geometry was made by utilising the skewness metric check in the 374 375 simulation software. The average skewness metric value of 0.207 was provided on the mesh model. Thus, it provided an accrued verification with an excellent cell quality for the finite element model. 376 Another indicator to be observed in order to test the accuracy of the simulation results is the energy 377 activity summary of the explicit dynamics simulations. In this activity, kinetic energy, internal 378 (absorbed) energy, contact energy and hourglassing/hourglass energy activities should be carefully 379 checked (Figure 7d). Hourglassing is a deformation that produces on volume or strain change in 380 hex/quad meshes in a finite element model. It is essentially a spurious deformation mode of a finite 381 element model, resulting from the excitation of zero-energy degrees of freedom. Therefore, this 382 energy activity is called hourglass energy or zero mode energy which is suggested not to exceed 5-383 10% of internal energy in a healthy created FEA (Celik, 2017). In this regard, simulation energy 384 summaries of the FEA scenarios in this study were checked and it was seen that the hourglass energy 385 did not exceed 5-10 % of internal energy values in any of the FEA scenarios. Thus, it was interpreted 386 that the finite element model's element size is appropriate and the accuracy of the FEA is satisfactory 387 under the pre-defined boundary conditions considered in this study. 388

389 **3.6.2. Validation of the FEA: Comparison of the experimental and FEA data**

Experimental and simulation results provided useful information about drop energy activity and bruising geometry of the apple specimens. Firstly, a comparison was made for the total energy calculation, with consideration of the apple mass and drop height, the potential (total) energy affecting the apple specimens at the impact moment were calculated and compared with the simulation results. The comparison extracted a high level of correlation between simulation and analytical calculations: maximum relative differences were 0.414 % at drop heights of 250 mm and 500 mm against minimum relative difference of 0.437 % at drop heights of 750 mm.

As suggested in the literature, bruise area and bruise volume measurements and calculations 397 were conducted respectively, subsequently experimental and simulation results were compared. This 398 validation study revealed that there is a good level of correlation between the experimental and FEA 399 results on the bruise area. Maximum relative difference was 8.475 % at the drop height of 500 mm 400 (impact surface: wood) against minimum relative difference of 1.919 % at the drop height of 750 mm 401 (impact surface: wood). The average value of the relative differences for all bruise area comparisons 402 was 5.501 %. However, opposite to this good level of correlation between bruise area values 403 (experimental and FEA), experimental bruise volume measurements and FEA results did not provide 404 a good level of correlation. The simulation study revealed higher bruise volume values, which is the 405 maximum relative difference: 288.4 % at drop height of 250 mm (impact surface: HDPE); minimum 406 relative difference was119.94 % at the drop height of 500 mm (impact surface: Wood). The average 407 value of the relative differences for all bruise volume comparisons was 183.12 %. Graphical 408 representation of the comparisons made for the validation study are given in Figure 8. 409

410

(Fig. 8. Graphical representation of the comparisons made for the validation study (a: Total Energy
Comparison, b, c and d: Impact surfaces of structural steel, high-density polyethylene (HDPE) and
wood, respectively))

414

415 **3.6.3. Bruise Susceptibility**

The validation study revealed a good interaction between experimental and FEA results for bruise area comparisons after drop damage occurred on the fruit (Day-0). This result indicated that utilising the bruise area instead of bruise volume is a better measure in calculation / expression of the bruise susceptibility. The calculations related to the bruise susceptibility (based on FEA bruise area measurements) at certain thresholds (at material yield stress point: the permeant deformation threshold) and at the rebounding point, were graphically represented in Figure 9.

(Fig. 9. Bruise susceptibility calculations (FEA) (a: Bruise area based bruise susceptibility
 thresholds (at material yield stress point), b: Bruise area based bruise susceptibility calculations
 (after rebound point), c: Ethylene production after drop case))

426

427 4. DISCUSSION

The analysis results revealed that the weight loss increased during the storage period regardless 428 of the impact surface and drop height. Furthermore, damaged fruit lost more weight compared to the 429 control group. Mechanical injury often damages the barriers to water loss and can thus increase the 430 rate of water loss from the fruit. The increase in respiration rate due to injury may lead to higher levels 431 of weight loss in damaged fruit (Bryant, 2004). Furthermore, the bruised area in the fruit positively 432 correlated with the higher weight loss (Xia et al., 2020). The increase in weight loss in apples may be 433 due to an increase in ethylene production and respiration rate. Additionally, ethylene production and 434 respiration rate measurements in this study verified the argument. The outcomes discussed here 435 agreed with (Wei et al., 2019) and (Santos et al., 2004). They reported that an increase in weight loss 436 with prolonged storage time and damaged fruit suffered with higher weight loss in kiwi and mango 437 fruit. In another study, (Hussein et al., 2019) reported that higher weight loss occurred when the drop 438 height increased in pomegranate fruit, similar to this study. 439

Additionally, the study revealed that the damaged surfaces of the fruit darkened (decreased L*) and increased the colour intensity (increased C*) on the fruit skin. It was also observed that the skin turned brown in the damaged parts of the fruit. This can be because of phenolic compounds in apple cell vacuoles, brought in contact with catechol oxidase in the plastids resulting from the mechanical damage, leading to the formation of quinones polymerizing to a dark colour (Van Zeebroeck et al., 2007). In 'Granny Smith' apples at 96 hours, bruise damage caused the decreased L* value and increased C* value (Samim and Banks, 1993), which are outcomes that are in agreement with the
study reported here.

Apple is a climacteric fruit that is responsive to ethylene and undergoes a significant increase 448 in respiration and ethylene production during ripening (Yang et al., 2013). When apples are damaged, 449 ethylene production and respiration rate increase (Lu et al., 2019). This increase is greatly affected 450 by the extent of mechanical damage on the fruit (Mencarelli et al., 1996). In this study, ethylene 451 production increased with the mechanical damage. Similar to the outcomes reported here, a study in 452 'Gala' apples showed that mechanical injury caused the ethylene production. Conversely, there was 453 no relation between mechanical injury and respiration rate (Steffens et al., 2008). Furthermore, the 454 oxidation of phenolic compounds by catechol oxidase causes a transient increase in oxygen uptake 455 by the damaged tissue, but there are more lasting effects on the cellular respiration of adjacent, 456 undamaged tissue (Knee, 2001). (Hussein et al., 2019) reported that respiration rate increased with 457 higher bruise damage and storage temperature. Also, after damage, if the fruit is kept at a warmer 458 temperature, the bruise penetrates deeper and colder temperatures decrease bruising damage (Cui et 459 al., 2018). In this study, respiration rate of the fruit at 20 °C was higher than cold stored fruit. 460 Generally, it is thought that the rapidly increasing respiration rate with damage decreases with the 461 functioning of cell repair mechanisms or due to the decrease in temperature after the fruit are placed 462 in storage (Li and Thomas, 2014). 463

Experimental results related to physical bruising revealed the progression of the bruise area and bruise volume during storage time related to impact surface and drop height (Figure 7). In these charts, it was seen that there is a clear increase in both bruise area and bruise volume against storage period: the highest bruising progression was seen at day 210 regardless of the impact surface and the drop height. This indicated that the bruising increase has a direct relationship with storage period.

Simulation results (Figure 8 and Figure 9) indicated that the maximum equivalent stress value was 0.606 MPa on the HDPE impact surface with an impact height of 750 mm. The minimum equivalent stress value of 0.511 MPa was calculated at the impact surface of wood (spruce) and 472 impact height of 250 mm. These sequences were very similar for internal energy absorption 473 magnitudes. The results also clearly exhibited that the highest values of maximum stress, reaction 474 force and internal energy absorption magnitudes were observed at the highest drop height of 750 mm. 475 In addition to this, although there are clear differences for these values against drop heights, the effect 476 of the impact surface on the stresses, reaction force and internal energy magnitudes was not 477 magnificent.

Bruise susceptibility threshold for the apple variety value was appointed according to the 478 material yield stress point (permeant deformation threshold). The susceptibility calculation at this 479 point indicated very logical results which is an increase in the susceptibility against the drop heights. 480 At this point, an approximate linear increase was seen on the bruise area measurements against drop 481 heights, however because of the rapid deformation phenomena of the impact during the drop test, 482 internal energy values were seen to decrease (Figure 9a). The highest susceptibility was calculated 483 on impact surfaces of steel, wood and HDPE at drop heights of 250 mm, 500 mm and 750 mm 484 respectively. This occurrence was coherent with ethylene production just after the drop damage 485 phenomenon of the apple specimens (Figure 9c). This can also be considered as proof of the accurate 486 damage phenomenon simulated in this study. However, the bruise susceptibility values at the end of 487 the first rebound after the drop impact considered in this study were calculated by considering 488 maximum values of FEA numerical outputs such as maximum values of the bruise area and the 489 490 internal energy. For these calculations, decreases were observed on the bruise susceptibility values against drop heights of 250 mm, 500 mm and 750 mm respectively. The reason for this progression 491 can be explained with bi-linear elastoplastic material model assigned in the FEA scenarios. After the 492 first yield stress point (0.385 MPa), the material experienced permanent deformation and continued 493 to second sequence of elastic behaviour at the plastic deformation region. This was a more realistic 494 495 deformation description against previous FEA studies considering linear material models. Therefore, bruises area values were not a linear increase against maximum internal energy values at 250 mm, 496 500 mm and 750 mm respectively (Figure 9b). 497

Additionally, it is a well-known issue; FEA is a numerical analysis technique that can provide 498 approximate solutions (that require physical or experimental verification), therefore errors in a FEA 499 can occur. These are mostly methodical and numerical errors and they may result during the 500 501 establishment of the mathematical model (e1), the mathematical discontinuity (e2) and the numerical solution processes (e3) (Narasaiah, 2008; Pancoast, 2009; Salmi, 2008). In this regard, in order to 502 evaluate the accuracy level of the simulation results, verification and validation studies should be 503 carried out. In this study, the FEA model and the results were verified by means of a skewness metric 504 check and hourglass energy evaluation respectively. High level verification was provided. The 505 validation study showed that there is a good union when comparing the bruise area measurements 506 between experimental and FEA measurements however, comparison on bruise volume measurements 507 did not provide such a correlation. The reason for these high-level differences can be explained from 508 509 the modelling strategy and empirical approach in experimental measurements. The model used in these FEA simulations did not consider the core, seed and skin components of the apple. It can be 510 interpreted that most especially, the skin has an important role for the internal stress progression and 511 might be preventing the stress progression in the direction to the centre of the apple geometry. 512 Another contribution to this high-level difference might be made by the empirical calculations as the 513 errors are unavoidable during physical measurements and because of the mathematical assumptions 514 in these empirical expressions. Additionally, this study indicated an agreement with (Pang et al., 515 **1996**)'s report: bruise surface was a better parameter for assessing damage than the bruise volume, 516 most especially from simulation studies which are focusing on flesh bruising. 517

Although, some studies in the literature indicate that relative difference may vary up to 30 % depending on the complexity of the physical environment to be simulated against the physical environment, there is a belief that a relative difference rate of 10 % (approx.) should be provided between FEA and experimental validation studies (Krutz et al., 1984; Sakakibara, 2008). Besides this, it is a well-known issue that the scale of the absolute numerical results should also be kept under consideration and differences between experimental and simulation-based results can vary dependent on set-up conditions and assumptions made in the FEA and the unpredictable physical environment conditions during the experiments. As shown in this study, absolute values of the stress magnitude are relatively small and these types of relatively small absolute numerical values may lead to high percentage differences between experimental and FEA results. Therefore, the factors mentioned above should be taken into consideration in the comparative evaluation of the experimental and FEA results. Finally, in can be concluded that the FEA of a bruising phenomenon under impact loading of the drop test of the 'Pink Lady' apple was successfully exemplified.

531

532 **5. CONCLUSION**

During long-term cold storage periods of the damaged apples, the loss of volumetric weight, 533 surfaces lightness and chroma values was significantly clear. Ethylene production and respiration 534 rates rapidly increased just after the fruit damage and continued to increase during the storage periods. 535 Additionally, deformation/stress/damage progression in time during impact loading was clearly 536 exhibited through a realistic simulation set up strategy. Ethylene production was coherent with 537 calculated bruise susceptibility regarding the data extracted from the simulation outputs. Verification 538 and validation procedures for the simulation were also achieved with high accuracy results in the 539 study. In focus, the specific bruising area based bruise susceptibility thresholds for a specific product 540 541 (the 'Pink Lady' apple) were presented against different impact materials and drop heights. This is very important data for the postharvest processing and packaging industry. It is advisable that utilising 542 543 elastoplastic material models in the FEA studies related to the deformation analysis of agricultural products should be focused on for more realistic simulation results. The drop test revealed permanent 544 damage progression regarding the impact surfaces of steel, HDPE and wood materials, however, the 545 study results may advise others to consider the impact platform materials which have lower elastic 546 547 modulus properties such as paper cardboard, rubber etc. in future studies in order to observe fruitsofter material impact phenomenon. Based on the experimental validation study, another critical 548 extraction from this study is, most especially for FEA-based simulation studies focused on product 549

flesh deformation (ignoring components such as skin, core etc.), that the bruising area measurement is more accurate than bruise volume measurement when evaluating bruising of similar solid-like agricultural products.

553

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682 **TABLE CAPTIONS**

- 683 **Table 1.** Dimensional features of the apple
- ⁶⁸⁴ **Table 2.** List of the abbreviations used in the equations and related dimensions
- 685 **Table 3.** Details of the finite element model
- 686 **Table 4.** Material properties
- 687

688 **FIGURE CAPTIONS**

Fig. 1. Application algorithm for bruising and quality investigation of apple 'Pink Lady'

Fig. 2. The portable drop test apparatus, testing scenario (a) and the dimensions used in bruisingassessment (b).

Fig. 3. Finite element model (a: Reverse engineered apple model, b: Outer mesh structure, c: Inner
mesh structure)

- **Fig. 4.** Experimental results related to physical and chromatographical measurements (means with standard deviations) (a: weight loss, b: lightness value, c: chroma value, d: ethylene production (at 0 °C), e: ethylene production (at 20 °C), f: respiration rate (at 0 °C), g: respiration rate (at 20 °C)) (Impact surfaces of structural steel (S. steel), high-density polyethylene (HDPE) and wood (Spruce), respectively)
- 699 **Fig. 5**. Physical measurements related to bruise area and bruise volume of the tested specimens at
- day 0 and during periods of storage (means with standard deviations) (a, b and c: Impact surfaces of
 structural steel, high-density polyethylene (HDPE) and wood (Spruce), respectively)
- Fig. 6. FEA visual outputs and progression plots: Eq. stress, reaction force and internal energy change
 against time (a: Sample FEA print out, b, c and d: Impact surfaces of structural steel, high-density
 polyethylene (HDPE) and wood, respectively)
- Fig. 7. FEA outputs: max. equivalent stress (a), max. internal (absorbed energy) (b), max. reaction
 force (c) and the typical energy activity summary (d) against impact surface and drop height
- **Fig. 8.** Graphical representation of the comparisons made for the validation study (a: Total Energy Comparison, b, c and d: Impact surfaces of structural steel, high-density polyethylene (HDPE) and wood, respectively)
- 710 **Fig. 9.** Bruise susceptibility calculations (FEA) (a: Bruise area based bruise susceptibility thresholds
- 711 (at material yield stress point), b: Bruise area based bruise susceptibility calculations (after rebound
- 712 point), c: Ethylene production after drop case)

Table 1. List of the abbreviations used in the equations and related dimensions

Parameter	Unit	Parameter	Unit	Parameter	Unit	
<i>m</i> : Apple mass	(kg)	h_a : Apple height	(mm)	A_b : Bruise area	(mm ²)	
h_d : Drop height	(m)	d_a : Apple diameter (average)	(mm)	V_b : Bruise volume	(mm ³)	
h_r : Rebound height	(m)	d_b : Bruise depth	(mm)	S_{bV} : Bruise susceptibility (Volume)	$(mm^{3} \cdot J^{-1})$	
g : Earth gravity	(9.81 m·s ⁻²)	W_{b1} : Bruise width (horizontal)	(mm)	S_{bA} : Bruise susceptibility (Area)	$(mm^2 \cdot J^{-1})$	
		W_{b2} : Bruise width (vertical)	(mm)	E_i : Total energy at impact	(Joule)	
				E_A : Absorbed impact (internal) energy	(Joule)	

Table 2. Dimensional features of the apple

Dimensions and calculation	S	Unit	CAD model measurement
	Length (z)		64.330
Volumetric dimensions	Height (y)*	(mm)	68.320
	Width (x)*		68.320
Mass**		(kg)	0.142
Volume***		(mm ³)	165642.620
Surface area***		(mm ²)	15162.140
Sphericity	$[(x.y.z)^{1/3}) / z]$	(-)	0.980

* Average diameter

** Whole apple mass was calculated automatically through cylindrical specimen density (855.550 kg m⁻³). *** Whole apple volume and surface area values were calculated automatically in solid modelling software.

Table 3. Details of the finite element model

Meshing		Element details		
	: Curvature based and local sizing	Element size (mm)	(Max.)	: 10
Meshing strategy		Element size (mm)	(Min.)	: 0.05
		Total elements		: 171097
Element type(s)	: Tet4 & Hex8	Total nodes		: 41102
Cell quality	: 0.207*			

* Average skewness value: Excellent (ANSYS product doc., 2019)

Table 4. Material properties 741

Materials		Orientation	Modulus of elasticity (tanα)	Tangent modulus (<i>tanβ</i>) (Curve-01)	Poisson's ratio	Bioyield/Yield stress point	Ultimate stress point	Force at Bioyield/Yield stress point	Density (Average)	Static coefficient of friction (Apple to impact surface)	Dynamic coefficient of friction (Apple to impact surface)
			(IVIPa)	(MFa)	(-)	(IVIFa)	(MPa)	(14)	(kg m ⁺)	(-)	(-)
Fruit (Apple-Pink Ladv)	Flesh (mesocarp) (Test specimen: Ø 20 mm x 25 mm)	Transverse	4.175 ⁽¹⁾ (R ² : 1)	1.738 ⁽²⁾ (<i>R</i> ² : 0.983)	$0.27 \pm 0.02^{(C)}$	$0.385 \pm 0.04^{(C)}$	0.555 ± 0.07 ^(C)	68.133 ± 7.50 ^(C)	855.550 ± 43.83 ⁽³⁾	-	-
Impact surface material	Structural steel	Isotropic	200000 (5)	-	0.30 (4)	250 ^(4, T)	460 ^(4, T)	-	7850	0.324 (6)	0.281 (6)
	Plastic-HDPE (Polyethylene - high density)	Isotropic	1100 (4)	-	0.42 (4)	25 ^(4, T)	33 ^(4, T)	-	952	0.28 (7)	0.25 (7)
	Wood (spruce)	Isotropic	12571 (5)	-	0.394 (5)	25 ^(5, C)	84 ^(5, T)	-	404	0.329 (6)	0.298 (6)

1. Modulus of elasticity (tan a): Slope of the average true stress-true strain curve in elastic region (experimental) 5. Dumond and Baddour, 2014 & WebMat, 2019 (www.matweb.com) T: Tensile properties 2. Tangent modulus (tan β): Slope of the average true stress-true strain curve-01 in plastic region (experimental) 6. Gezer et al, 2012 C: Compression properties 7. Puchalski and Brusewitz, 2001

3. Density value is experimental data

4. ANSYS product material library (ANSYS Product Doc., 2019)

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Fig. 6. FEA visual outputs and progression plots: Eq. stress, reaction force and internal energy change against time (a: Sample FEA print out, b, c and
 d: Impact surfaces of structural steel, high-density polyethylene (HDPE) and wood, respectively)





Fig. 8. Graphical representation of the comparisons made for the validation study (a: Total Energy
 Comparison, b, c and d: Impact surfaces of structural steel, high-density polyethylene (HDPE) and
 wood, respectively)



(at material yield stress point), b: Bruise area based bruise susceptibility calculations (after rebound point), c: Ethylene production after drop case)