

1           **VP2 Virus-Like Particles elicit protective immunity against**  
2           **duckling short beak and dwarfism syndrome in duck and chicks**

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16

17           **Abstract**

18           Duckling short beak and dwarfism syndrome virus (SBDSV), an emerging goose  
19           parvovirus, that has caused serious outbreaks of short beaks and dwarfism syndrome  
20           (SBDS) in Chinese duck flocks since 2015. Presently, there is no commercial vaccine  
21           against SBDS. In the present study, a virus-like particle (VLP) based candidate  
22           vaccine was developed against this disease. A baculovirus expression system was used  
23           to efficiently express the SBDSV VP2 protein in Sf9 cells. Immunofluorescence assay,  
24           SDS-PAGE, and Western blot were used to confirm protein expression. Furthermore,  
25           transmission electron microscopy was used to observe the formation of VLPs. Finally,  
26           VLPs were formulated in an oil-adjuvanted vaccine to evaluate humoral responses in  
27           ducks and chicks via latex particle agglutination inhibition assay (LAI) and  
28           microneutralization assay. A single injection with this oil-adjuvanted VLP vaccine  
29           induced high levels of LAI and neutralization antibodies in ducks and chickens, with  
30           LAI peak titer as  $4.9 \pm 1.20$ ,  $4.4 \pm 1.07$ , and neutralization peak titers as  $7.1 \pm 1.20$   
31           and  $6.2 \pm 1.03$  (log2) in ducks and chickens, respectively. The average LAI titer of  
32           yolk antibodies in duck eggs receiving 2 doses was  $5.3 \pm 1.09$  (log2). These results

33 indicate that SBDSV VLPs could be a promising vaccine candidate for SBDS control.

34

## 35 1. Introduction

36 Short beak and dwarfism syndrome virus (SBDSV) is a distinct goose parvovirus  
37 (GPV) that causes atrophic beak development (and the characteristic result of  
38 protruding tongues), growth retardation, diarrhea, fragile bones, and feather dysplasia  
39 in mule and Cherry valley ducklings [1-4]. Other domesticated waterfowl such as  
40 Muscovy ducks, Sheldrake ducks, and geese challenged with SBDSV present with the  
41 characteristic clinical signs including growth retardation, anorexia, and diarrhea, but  
42 not the protruding tongue [5]. Since 2015, SBDS has spread widely in China,  
43 resulting in 10-100 % morbidity and 0-10 % mortality, in addition to significant  
44 economic losses [6-11]. However, SBDS differs from classical goose parvovirus  
45 (GPV) and Muscovy duck parvovirus (MDPV) with respect to the clinical signs and  
46 susceptible animals. Specifically, GPV can cause lethargy, anorexia, prostration and  
47 weight loss mainly in young goslings and sometimes in Muscovy ducklings with high  
48 morbidity and mortality [12]. Conversely, MDPV can induce watery diarrhea,  
49 wheezing, and locomotor dysfunction only in young Muscovy ducklings under 4  
50 weeks old [13].

51 SBDSV belongs to the *Anseriform dependoparvovirus 1* [14] and contains a  
52 single-strand DNA genome of approximately 5.1 kb. There are two large open reading  
53 frames (ORFs) in the middle of the genome which encode for the regulator (Rep) and  
54 viral capsid (VP1-3) proteins. Both ends of the genome contain inverted terminal  
55 repeat sequences (ITRs). The viral structural proteins VP1, VP2, and VP3 are derived  
56 from the same gene by differential splicing, which is associated with viral infectivity,  
57 receptor recognition, and nuclear translocation, capsid assembly, and virion stability,  
58 respectively [15]. Importantly, VP2 is the most abundantly expressed viral protein, and  
59 as a result, induces the strongest host immune response. Expression of VP2 alone can  
60 result in spontaneous assembly, forming virus-like particles (VLPs) [16]. Therefore,  
61 this protein makes an ideal candidate for development as a VLP-based vaccine against  
62 SBDS.

63 Previously, we isolated and identified a strain of SBDSV that was named SBDSV  
64 M15 (GenBank accession no. KU844283) [2]. A preliminary study on a potential  
65 vaccine against the virus produced an inactivated, oil-adjuvanted vaccine, and  
66 characterized the host immunological response to the vaccine [17]. However, there are  
67 no commercially available vaccines against SBDS. In this study, VLPs of the SBDSV  
68 M15 VP2 were produced using a baculovirus expression system. Immunogenicity of  
69 SBDSV VLPs in ducks and chicks were investigated, along with yolk antibody  
70 determination of vaccinated ducks.

71 **2. Materials and Methods**

72 **2.1. Antibodies, reagents, Cell lines, and viruses**

73 A monoclonal antibody targeting the VP2 of GPV E16 and GPV MAb E16 based  
74 latex agglutination reagent for detection of GPV antigens were prepared in our lab.

75 *Spodoptera frugiperda* (Sf9) insect cells (Invitrogen, USA) were cultured in SIM  
76 SF Expression Medium (Sino Biological, Beijing, China) at 27 °C with shaking at 110  
77 rpm. Duck embryo fibroblast (DEF) cells were prepared from 16-day-old specific  
78 pathogen-free (SPF) embryonated duck eggs (Harbin Veterinary Research Institute,  
79 Chinese Academy of Agricultural Sciences) and were cultured in Dulbecco's  
80 modified Eagle's medium (DMEM) containing 5% fetal bovine serum. The M15  
81 strain of SBDSV was cultured in DEF cells, and the 50% tissue culture infective dose  
82 (TCID<sub>50</sub>) was determined.

83 **2.3. Plasmid construction and virus rescue**

84 The M15 strain DNA was extracted using the OMEGA Viral DNA kit (Lot.  
85 D3892-01). The VP2 gene was obtained by PCR amplification from the viral genome.  
86 The specific primers designed and used here are as follows: forward, 5'-  
87 ACGCGTCGACATGGCTCCTGCAAAAAAAATACAG-3' (containing a SalI  
88 restriction site ), reverse, 5'- ATAAGAATGCGGCCGCTTACAGATTCTGAGT -3'  
89 (containing a NotI restriction site ). Following enzymatic digestion with SalI and NotI,  
90 the PCR product was cloned into the pFastBac Dual vector (Invitrogen). The  
91 recombinant pFastBac Dual plasmid was transformed into Trans10 Chemically  
92 Competent *E. coli* cells (Transgen Biotech, Beijing, China) for expansion of the

93 plasmid. The recombinant pFastBac Dual plasmid DNA was extracted and purified  
94 using the EasyPure Plasmid MiniPrep Kit (Transgen Biotech, Beijing, China). The  
95 resulting plasmid was then transformed into DH10Bac *E. coli* to generate the  
96 recombinant bacmid. Recombinant baculoviruses (rBVs) were generated using the  
97 X-tremeGENE HP DNA Transfection Reagent (Roche, Basel, Switzerland) in Sf9  
98 insect cells according to the manufacturer's instructions. The GPV VP2 protein was  
99 expressed by infecting Sf9 cells with SBDSV-rBVs, and the VP2 spontaneously  
100 assembled into SBDSV VLPs [16,18]. The multiplicity of infection (MOI) of rBVs was  
101 determined by viral plaque assay. To generate sufficient quantities of SBDSV VLPs, 2  
102  $\times 10^6$  cells/mL Sf9 insect cells were infected with SBDSV-rBVs at an MOI of 5. At 5  
103 dpi, VLPs were harvested by 3 freeze-thaw cycles, followed by centrifugation at 8000  
104 rpm for 10 min to remove cellular debris.

105 The pFastBac-Gus plasmid (Invitrogen) was used to generate Gus-rBVs as a  
106 control.

#### 107 2.4. Immunofluorescence assay (IFA)

108 A total of  $8 \times 10^5$  Sf9 cells were seeded in each well of 6 well culture plates  
109 (Corning Costar), and cultured at 27°C in SIM SF Expression Medium. The next day,  
110 cells were inoculated with SBDSV-rBVs and Gus-rBVs at an MOI of 5. At 3 dpi, the  
111 culture supernatant was carefully removed, and the cell monolayers were fixed with  
112 cold acetone at -20°C for 30 min. The cells were then washed with PBST, and then  
113 incubated with GPV MAb E16 (diluted 1:100 in PBS) at 37°C for 2 h. After washing  
114 5 times, the plates were stained with FITC Conjugated AffiniPure Goat Anti-mouse  
115 IgG (H+L) (BOSTER Wuhan, China) diluted 1:100 dilution in PBST, and incubated  
116 at 37°C for 30 min. Following three more washes, the plates were examined using a  
117 fluorescent microscope.

#### 118 2.5. Western blotting

119 Characterization of SBDSV VLPs was conducted by SDS-PAGE and Western  
120 blotting, using standard protocols, to determine molecular weight and purity of the  
121 protein preparation. The primary and secondary antibodies for the Western blot  
122 analysis were GPV MAb E16 and HRP-AffiniPure Goat Anti-Mouse IgG (H+L)

123 (BOSTER Wuhan, China), respectively.

124 2.6. Transmission electron microscopy (TEM)

125 Assembly of SBDSV VLPs in Sf9 cells was characterized by TEM. The  
126 SBDSV-rBV infected Sf9 cells were harvested at 3 dpi by centrifugation at 1000 rpm  
127 for 10 min, fixed in 2.5% glutaraldehyde, post-fixed in 1% osmiumtetroxide (OsO<sub>4</sub>),  
128 and embedded in EMbed 812 (Electron Microscopy Sciences, Hatfield, PA, USA).  
129 Ultrathin sections were cut to an approximate thickness of 70 nm, and stained with  
130 2% uranyl acetate saturated alcohol solution for ultrastructural analysis under a  
131 Hitachi HT-7800 transmission electron microscope (Hitachi High-Technologies  
132 America, Inc., Pleasanton, CA, USA).

133 For characterization of the shape and size of SBDSV VLPs, the SBDSV-rBV  
134 infected Sf9 cells were harvested at 5 dpi by centrifugation at 8000 rpm for 10 min  
135 after 3 freeze-thaw cycles. The supernatant was then centrifuged at 50,000 rpm for  
136 120 min to pellet the SBDSV VLPs. The pellet was resuspended in PBS, and VLPs  
137 were visualized by negative stain electron microscopy.

138 2.7. Immunization studies in ducks and chicks

139 One volume SBDSV VLPs (LPA titer is 5 log2) and 1.5 volume of oil adjuvant  
140 were mixed together. The Gus-rBVs and oil adjuvant were prepared in the same  
141 manner as a negative control vaccine. Thirty 130-day-old Cherry Valley ducks  
142 (negative for waterfowl-parvovirus antibodies as determined by LAI) were divided  
143 into 3 groups. For the immunization and control groups, each duck was injected with  
144 1 mL vaccine or empty vector vaccine, respectively, intramuscularly in the leg, while  
145 PBS was administered to the mock group. Thirty 10-day-old Hyline brown chicks, all  
146 negative for WPV antibodies, were treated in the same way, but the immunizing dose  
147 was 0.5 mL per chick. The condition of the birds in each group was monitored and  
148 recorded daily. Sera samples were collected at 2, 3, 4, 5, 6, and 7 wpi for the detection  
149 of GPV specific antibodies.

150 Twenty 130-day-old breeding female Cherry Valley ducks, which were free from  
151 WPV antibodies, were divided into 2 groups for laying eggs. Each duck in the  
152 immunization group was injected with 1 mL SBDSV VLP oil-adjuvanted vaccine.

153 Animals received a booster vaccination of the same dose 3 weeks later. For the mock  
154 group, ducks were injected with sterile PBS. At 4 weeks post boost, 50 eggs from  
155 each group were harvested for yolk antibody determination.

156 **2.8. Serologic tests by LAI and microneutralization assay**

157 The LAI protocol for detection GPV specific antibodies was described previously  
158 [2,19]. Briefly, 20  $\mu$ L 2-fold serial dilutions of inactivated serum samples were mixed  
159 with 20  $\mu$ L (4 agglutination units) and incubated at room temperature for 60 min.  
160 Then, 10  $\mu$ L of incubation mix was added to an equal volume of GPV MAb-based  
161 latex agglutination reagent in plates and incubated at room temperature for 20 min,  
162 and LAI titers were observed. For the microneutralization assay, 2-fold serial dilutions  
163 of inactivated serum samples were mixed with 100 TCID<sub>50</sub> SBDSV M15 virus and  
164 incubated at 37°C for 60 min. The serum/virus mixture was overlaid on DEF  
165 monolayers, and the plates were incubated at 37°C in a 5% CO<sub>2</sub> cell culture incubator.  
166 The inverse of the highest serum dilution in which no cytopathic effect was observed  
167 was recorded as the neutralizing antibody titer.

168 **2.9 Determination of yolk antibody titer**

169 The yolk antibody against GPV was determined by LAI as described in 2.8.

170 **2.9. Statistical analysis**

171 Data were presented as the mean  $\pm$  SD. Correlation analysis was carried out using  
172 Pearson's statistics. Pearson correlation coefficient was represented by the letter r.  
173 When  $r > 0$ , a positive relationship was observed, and an inverse relationship[ was  
174 observed when  $r < 0$ . As the value of r approaches zero, the correlation becomes  
175 weaker. Significant was determined using a two-tailed test.

176 **2.10. Ethics statement**

177 The animal protocol used in this study was approved by the Animal Care and Use  
178 Committee of the Institute of Animal Husbandry and Veterinary Medicine, Fujian  
179 Academy of Agriculture Sciences. The procedures were conducted in full accordance  
180 with the approved guidelines.

181 **3. Results**

182 **3.1. Expression of SBDSV VP2 in insect cells**

183 After infection with SBDSV-rBV, the diameters of both the Sf9 cells and the  
184 nuclei increased. In addition, the GPV VP2 antigen was successfully detected in  
185 SBDSV-rBV infected Sf9 cells, but not in Gus-rBV infected or control Sf9 cells by  
186 IFA assay at 3 dpi (Fig. 1). Next, SBDSV-rBV infected Sf9 cells were assayed by  
187 Western blot at 5 dpi for the presence of the VP2 protein. As was expected, the VP2  
188 protein, with molecular weight of 65 kDa, was apparently detected by GPV MAb E16  
189 (Fig. 2). These results showed that SBDSV VP2 protein was correctly expressed in  
190 the insect cells.

191 3.2. The formation of parvovirus-like particles

192 To confirm whether parvovirus-like particles could be assembled correctly, the  
193 SBDSV-rBV infected Sf9 cells were either processed for TEM observation, or  
194 collected by ultracentrifugation. The nuclei size of SBDSV-rBV infected Sf9 cells  
195 increased, and both baculoviruses and SBDSV VLPs were success assembled within  
196 the cell nuclei (Fig. 3 A, B). The baculovirus vectors used here were derived from  
197 *Autographa californica* multiple nuclear polyhedrosis virus (AcMNPV), which  
198 consists of helical virions, approximately 50×300 nm in size. The SBDSV particles  
199 were icosahedral in the shape, with diameters of about 20-22 nm. The micrographs  
200 showed that both baculoviruses and SBDSV VLPs of the correct size and shapes were  
201 contained within viroplasts (Fig. 3 C and D).

202 3.3. Ducks and chicks vaccinated with SBDSV VLPs produced strong neutralizing  
203 antibody responses against SBDSV

204 No obvious adverse reactions were observed in vaccinated birds during the  
205 experiment. Evaluation of antibody responses in vaccinated birds was assessed by  
206 LAI and microneutralization assays. Both ducks and chicks receiving the SBDSV  
207 VLP oil-adjuvanted vaccine produced antibodies against SBDSV (Fig. 4). No SBDSV  
208 antibodies were detected in birds in the Gus-rBV or mock groups. The LAI antibody  
209 levels were similar to neutralizing antibody levels observed in both ducks and chicks.  
210 Antibody titers increased gradually from 2 to 4 wpv, and decreased mildly from 5 to 7  
211 wpv. The highest LAI titers observed in ducks and chickens were  $4.9 \pm 1.20$  (log2) at  
212 4 wpv, and  $4.4 \pm 1.07$  (log2) at 5 wpv, respectively (Fig. 4 A and B). The peak

213 neutralization titers in ducks and chickens were  $7.1 \pm 1.20$  (log2) at 4 wpv and  $6.2 \pm$   
214 1.03 (log2) at 5 wpv, respectively. The LAI titers were significantly and positively  
215 correlated with neutralization titers according to Pearson's statistics. The r value for  
216 ducks and chickens were 0.513 and 0.482, respectively, with  $P < 0.01$ . The antibody  
217 levels of ducks were positively correlated with chickens as well ( $r = 0.598$ ,  $P < 0.01$   
218 for LAI titers;  $r=0.424$ ,  $P < 0.01$  for neutralization titers).

219 The yolk antibodies of 50 eggs from 130-day-old Cherry Valley ducks, which  
220 were vaccinated twice, were determined by LAI during the 4th weeks after the second  
221 immunization. The LAI titers of the immunization group ranged from 4 to 8 log2  
222 (average  $5.3 \pm 1.09$  (log2)), while no anti-GPV antibody was detected in the control  
223 group (Table 1).

#### 224 4. Discussion

225 When considering synthetic or recombinant antigens, VLPs have the most similar  
226 structure to the natural virus, but are formed without viral nucleic acids present. They  
227 can be produced using *E.coli* [20], baculovirus [21,22], yeast [23,24], pseudorabies virus  
228 [25], plant [26], and cell-free expression systems [27]. There are many advantages for  
229 VLP based vaccines over inactivated virus or other subunit vaccines, such as better  
230 immunogenicity, easier to modify, and increased safety [14]. If a mutant virus were to  
231 rapidly emerge and cause an outbreak, sequencing the mutant capsid genes to produce  
232 VLPs might be a faster way to develop a vaccine. Among *Anseriform*  
233 *dependoparvovirus 1* species, GPV [16,18,28] and MDPV [29,30] VLPs have been  
234 successfully made. But the formation and immunological characteristics of the mutant  
235 virus SBDSV VLPs has yet to be studied.

236 In this study, SBDSV VLPs were produced by cloning VP2 into a baculovirus  
237 expression system. The 65 Kd VP2 protein was successfully expressed in the Sf9 cells,  
238 as determined by IFA, SDS-PAGE, and Western blotting. Furthermore, the GPV mAb  
239 E16, which can distinguish GPV associated antigen from MDPV, was used to identify  
240 the SBDSV VP2 protein [2,31]. Transmission electron microscopy observation clearly  
241 visualized baculovirus vector virions and SBDSV VLPs, both exhibiting the correct  
242 size and morphology accumulating within the nuclei of the cells.

243       Ducks (130-day-old) and chicks (10-day-old) immunized with an oil adjuvanted  
244       SBDSV VLP vaccine induced strong neutralizing antibodies against SBDSV. After a  
245       single dose immunization, peak neutralizing antibody titers were observed at 4 wpi for  
246       ducks, and 5 wpi for chicks (Fig. 4). The peak antibody titer in ducks came earlier  
247       than in chicks, with LAI and neutralization titers was  $4.9 \pm 1.20$ ,  $4.4 \pm 1.07$ ,  $7.1 \pm$   
248        $1.20$  and  $6.2 \pm 1.03$  (log2) respectively. A previous study showed that 4-day-old geese  
249       immunized with an oil-adjuvanted GPV VLP vaccine developed peak neutralizing  
250       antibody titers at 6 wpi [16,18]. The difference in time for ducks and chicks to reach  
251       peak antibody titers could be the result of an immature immune system of 10-day-old  
252       chicks, or species specific differences. Considering the susceptible age of ducks to  
253       SBDSV was under 21 days, the rate at which ducklings immunized with our SBDSV  
254       VLP vaccine was not mounted quickly enough to defend against SBDSV. Therefore, it  
255       is likely to be more appropriately utilized in breeding ducks.

256       The kinetics of antibody titer development followed similar patterns between  
257       ducks and chickens (Fig. 4). As such, it is possible to use chicks for vaccine and  
258       immunologic studies in the context of GPV and extrapolate the data, as it can be  
259       difficult to source ducklings seronegative for waterfowl parvoviruses. Moreover,  
260       antibody titers measured by LAI were positively correlated with that of the  
261       microneutralization assay. This correlation has been repeatedly corroborated with  
262       abundant unpublished data from the author's laboratory.

263       The yolk antibody titers in Cherry Valley ducks receiving 2 vaccine doses was  
264       examined by LAI, which ranged from 4 -8 log2 with an average  $5.3 \pm 1.09$  (log2). For  
265       SBDSV, the observed yolk antibody titers are indirectly reflective of the maternal  
266       antibody titers. The maternal antibody can protect ducklings from SBDSV at  
267       8-day-old with the initial titer above 2 (log2) [17]. It can be inferred that breeding  
268       Cherry Valley ducks vaccinated with oil-adjuvanted SBDSV VLPs can protect its  
269       offspring from SBDSV infection. In conclusion, SBDSV VLPs show great promise as  
270       a vaccine platform for the prevention of SBDS.

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398 **Figure legends**

399 **Figure 1. IFA detection of VP2 protein in Sf9 cells infected.** Sf9 cells were infected  
400 with SBDSV-rBV and Gus-rBV at an MOI of 5 for 3 days. (A-C) mock infected cells;  
401 (D-F) Gus-rBV control; (G-I) SBDSV-rBV infected. Sf9 cells infected with rBVs

402 showed an increased cytoplasmic and nuclear size (E, H) compared to normal cells  
403 (B). GPV antigen was detected in SBDSV-rBV infected cells (G, I) while the control  
404 (D, F) and mock infected groups (A, C) did not exhibit any fluorescent labeling.  
405 Magnification, 200 $\times$ .

406 **Figure 2. SDS-PAGE and Western blot analysis of VP2 protein expression in Sf9**  
407 **cells.** (A) The whole-cell protein of SBDSV-rBV infected Sf9 cells resolved on an  
408 SDS-PAGE. Lane M, protein marker; lane 1, Sf9 whole-cell protein; lane 2,  
409 whole-cell protein from SBDSV-rBV infected Sf9 cells. (B) Western blot detection of  
410 VP2. Lane 1, Sf9 whole-cell protein; lane 2, the whole-cell protein of SBDSV-rBV  
411 infected Sf9 cells; lane 3, ultracentrifugation pellets of SBDSV-rBV infected Sf9 cells;  
412 lane 4, ultracentrifugation pelleted SBDSV M15 strain.

413 **Figure 3. Transmission electron microscopy of SBDSV VLPs.** Ultrathin sections of  
414 SBDSV-rBV infected Sf9 cell pellets (A, B, C) and ultracentrifuged SBDSV VLPs  
415 (D). SBDSV VLPs and baculoviruses are indicated by black and red arrows,  
416 respectively. SBDSV VLPs are 20-22 nm diameter icosahedrons. Baculoviruses are  
417 about 50 $\times$ 300 nm and cylindrical. (B) Higher magnification view of the red box in  
418 (A). The magnification is 2,500 $\times$  for A, 7,000 $\times$  for B and C, 20,000 $\times$  for D.

419 **Figure 4. Anti-SBDSV VLP antibody titers in ducks and chickens.** 130-day-old  
420 Cherry Valley ducks and 10-day-old Hyline brown chicks were immunized with  
421 oil-adjuvanted SBDSV VLPs, blood samples were collected for latex agglutination  
422 inhibition assay and microneutralization assay.

423 **Table 1. Yolk antibody titers of eggs from ducks receiving 2 doses of SBDSV VLP**  
424 **vaccine**

