

A new technique for investigating dust charging in the PMSE source region

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Key Points:

- First radio modulation of PMSE source region with varying HF pump power
- Direct observation of dust charging process in PMSE region through controlled T_e/T_i
- Power stepping and continuous power sweeping can be used for charge state validation and saturated charge state determination, respectively

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Abstract

A new technique for investigating dust charging in the PMSE (polar mesospheric summer echoes) source region is proposed and discussed in this paper. The first high-frequency (HF) modulation of the PMSE with varying pump power was employed during a recent experimental campaign at EISCAT (European Incoherent Scatter Scientific Association). Two experiment set-ups including HF pump power stepping as well as continuous power sweeping were used. The experiment was designed based on a computational model capable of full simulation of PMSE evolution during HF pump modulation in order to develop a new approach for studying the dust charging process in the PMSE source region. The charge state of dust particles along with background dusty plasma parameters are examined using the experimental and computational results. A detailed future experimental design based on physical parameters is proposed.

1 Introduction

The polar mesospheric summer echoes (PMSE) are very strong radar echoes observed in the frequency range of 8 MHz up to 1 GHz (Ecklund and Balsley, 1981; Rapp and Lubken, 2004). PMSEs are coherent echoes produced by plasma (electron density) fluctuations at half the radar wavelength (known as Bragg scattering condition) (Rapp and Lubken, 2004). While the general picture of PMSE formation is known, the source of fluctuations in the electron density responsible for radar echoes is still in debate within the community (Mahmoudian et al., 2020).

The first modulation of PMSE by high-power radio-waves was examined in 2000 and 2003 (Chilson et al., 2000; Havnes et al., 2003). Subsequently, the computational models were developed to study the associated physics of such experiments and explain the observational data (Scales, 2004; Chen and Scales, 2005; Scales and Mahmoudian, 2016). Considering the model prediction of the different behavior of PMSE at the HF band (e.g. 8 MHz) and VHF (e.g. 224 MHz), a simultaneous experiment using the two radars was conducted at EISCAT in 2013 for the first time (Senior et al., 2014). The diffusion and electron attachment onto the dust particles (dust charging) are the two processes that control the electron density fluctuation amplitude and the corresponding radar echoes (Scales and Mahmoudian, 2016). The dust charging (τ_{chg}) and diffusion (τ_{diff}) time-period can be written as follow (Scales and Mahmoudian, 2016):

$$\tau_{\text{chg}} = \frac{1}{|\langle I_e + I_i \rangle|} \approx \frac{1}{\sqrt{8\pi} r_d^2 v_{te0} \sqrt{r_h} e^{\frac{\phi}{r_h}}} \quad (1)$$

$$\tau_{\text{diff}} \approx \frac{\lambda_{\text{irreg}}}{2\pi} \frac{1}{\frac{KT_i}{m_i \nu_{in}} (1 + r_h) \left(1 + \frac{Z_{d0} n_{d0}}{n_{e0}}\right)} \quad (2)$$

where λ_{irreg} , K , T_i , m_i , ν_{in} , Z_{d0} , n_{d0} , n_{e0} , r_d , v_{te0} , ϕ , r_h denote electron density fluctuation wavelength, Boltzmann constant, ion temperature, ion mass, ion neutral collision frequency, dust charge number, dust number density, electron number density, dust radius, electron thermal velocity, equilibrium normalized dust floating potential, and heating ratio (T_e/T_i), respectively.

As shown in Eqs (1) and (2), both processes depend on electron temperature (T_e), therefore radio modulation of PMSE will modify the two processes (Scales and Mahmoudian, 2016). Background dusty plasma parameters also play a role in the diffusion and charging timescales. The diffusion process is proportional to the radar frequency. While the VHF PMSE in the conventional PMSE heating experiments at fixed power can be used as a manifestation of dust charging process, the study of the dust charging process and estimate dust floating potential, dust charge state and its variation during pump heat-

ing is impossible using the conventional observations. To study the dust charging process and based on the parameters presented in equations 1 and 2, the common region within the PMSE should be probed by radar between different cycles. While most of the past experiments focused on multiple radar frequencies in order to distinguish the diffusion/charging processes during radio modulation (T_e/T_i variation), due to altitude difference of reflected echoes and changes in the background dust and plasma parameters (radius and density) such a comparison had a low accuracy. The recent simultaneous observations of modulated PMSE at 56 MHz and 224 MHz has shown the similar limitation as the probed region by the two radars is not common and encompass different background dust parameters (Havnes et al., 2015). Moreover, the 224 MHz radar needs the least calibration of the reflected data. The previous study has shown the 8 MHz radar signal undergoes a significant absorption during heating which makes discriminating the effect of charging and study charging process almost impossible (Senior et al., 2014). Therefore, the present work is designed based on our extensive work on studying the physics of PMSE modulation with radio waves. We used the most reliable radar frequency, single radar to impose the altitude range of study between experiments and have the constant dust parameters over a short period of experiments and only have T_e/T_i variation between cycles. The continuous and stepped pump power variation are employed in order to not only facilitate the first direct observation of dust charging process but also to determine charge state, background dust parameters and dust charge variation in response to the heating. The continuous pump heating has clearly shown the saturation of dust charging process at some levels of pump power which is essential in determining the dust charging characteristics, initial dust charge state and its time evolution, and background parameter estimation.

2 Experimental set-up

The HF pump modulation campaign was conducted from July 22 to 26, 2019 at the EISCAT site near Tromsø, in northern Norway. The experiments started around 7:00 UT every day and continued to \sim 13:00 UT based on the mesospheric conditions and presence of a PMSE layer. The VHF data presented in this paper have a vertical resolution of 300 m and a time resolution of 4.8 s which corresponds to the integration time of the autocorrelation functions of the radar echo. The modulation scheme used was a pulse-to-pulse correlation ‘manda’.

The HF facility was used both as a heater of electrons in the mesosphere along with VHF radar observations (Rietveld et al., 2016). Ten transmitters were used with antenna array-1 at 6.2 MHz, vertical beam, X-mode for the three days presented below. The nominal power per transmitter was stepped up (20, 40, 60, 80 kW) for each new heating cycle which correspond to effective radiated powers (total transmitter power times antenna array gain, ERP) of approximately 52, 114, 240, 380, and 485 MW respectively assuming a perfectly conducting ground. A short summary of the experiment conducted on each day is provided below.

24 July: The HF experiment ran with X-mode heating and this certainly gave very good PMSE modulation as will be discussed shortly. Power stepping was stepped up 40, 60 and 80 kW for the first part, and changed subsequently to 10, 20, 40, 60, 80 kW for each new heating cycle in the last hour of the experiment. The heater was on for 48 s followed by 120 s off period.

July 25th: The first hour of experiment showed a very weak VHF PMSE. Around 09:38 UT, the VHF echo started to form and the HF modulation started at 20, 40, 60, 80 kW for each new heating cycle. Around 09:40 UT VHF PMSE got much stronger, at a high altitude of about 88 km. The experiment continued until 11:00 UT. The HF transmitter was configured for X-mode polarization at 6.2 MHz. In order to make sure

110 that the HF off time was long enough to avoid preheating condition in the following heat-
 111 ing cycle, the heater off time was increased to 144 s giving a 192 s total cycle.

112 July 26th: In the last day of experiment, the heating power actually increased dur-
 113 ing the cycle continuously. The VHF radar started at 07:00 UT and ran until the sched-
 114 uled end of 11:00 UT. There were PMSE echoes which were stable in the first two hours,
 115 but not too strong. The 62.4 s heater on cycle with linear power sweep started at 07:19
 116 UT. The HF experiment ran with X-mode heating again. For almost the first 2 hours,
 117 the HF heater ran with a linear power sweep from 0 to full power in 62.4 s during the
 118 cycle followed by 144 s off giving a 206.4 s cycle. In order to provide a scientific-based
 119 experiment design to investigate dust charging process in the earth's middle atmosphere,
 120 a continuous power stepping is implemented rather than the power stepping over on/off
 121 cycles, which is in fact many small steps of every 0.515 s. Specifically, the power of the
 122 10 heating transmitters was increased in 120 steps (giving 62.4s on period) from 0 to 80
 123 kW nominally per transmitter followed by 144s off. At around 09:02 UT the experiment
 124 was changed to the same power stepping program as on July 25th. In the second half
 125 of the run, the VHF PMSE became weaker, more variable and was sometimes absent.

126 3 Observations

127 The experimental observations associated with three days discussed in the previ-
 128 ous section are presented. Figure 1a shows the natural VHF PMSE layer started at 07:21:26
 129 UT on July 24, 2019 with a single structure expanded from ~ 81 km to 84 km. The HF
 130 pump modulation of PMSE started at 07:46:20 UT. The HF pump power was set to 40,
 131 60 and 80 kW. A very weak modulation of VHF PMSE associated with the pump power
 132 of 40 kW is observed (Figure 1a, b). A strong weakening of VHF PMSE during HF heat-
 133 ing at 60 kW and almost complete disappearance of the modulated PMSE at 80 kW are
 134 observed in the VHF radar data. The heating continued until 08:36:44 UT when the nat-
 135 ural PMSE becomes very weak. At 09:10:20 UT, a double layer PMSE starts to form.
 136 The first layer has a thickness of ~ 3 km (81.5-84.5 km) and a narrow PMSE layer of ~ 1
 137 km appears at a center altitude of 88.11 km. The very interesting modulation effects and
 138 similar characteristics of the VHF PMSE described for Figure 1a, are observed at both
 139 PMSE layers with an altitude difference of ~ 5 km. A clear radio-wave modulation at
 140 the top PMSE layer can be seen. This will be elaborated using the modeling results in
 141 following section.

142 Figure 1c shows the experimental observations collected on July 25, 2019. The VHF
 143 PMSE data from 09:37:36 UT to 10:59:50 UT are presented. The HF pump transmit-
 144 ter was operated at four power levels of 20, 40, 60 and 80 kW. The clear modulation and
 145 agreement of VHF PMSE associated with the power level, which is expected to suppress
 146 proportionally to heating ratio (T_e/T_i) and increase of pump power, is observed (Scales
 147 and Mahmoudian, 2016). The physics of VHF PMSE variation during radio-wave heat-
 148 ing will be explained using the numerical simulations in the following section. The more
 149 detailed analysis including superposed-epoch analysis of the averaged signal over alti-
 150 tude (~ 80.91 - 84.51 km) is shown in Figure 2c for the heating cycles 09:53:36 UT to 10:19:16
 151 UT. Three power levels of 40, 60 and 80 kW are presented. The agreement of the am-
 152 plitude reduction of the normalized radar echoes during heating and turn-off overshoot
 153 with the HF pump power will be elaborated in the section III. Another case from July
 154 24, 2019 between 10:00:48 UT to 10:14:48 UT (80.91-84.51 km) is also included in Fig-
 155 ure 2d in order to address the lower pump power modulation that is dependent on the
 156 background dusty plasma parameters.

157 The superposed-epoch analysis of the VHF radar echoes associated with several
 158 heating time intervals on July 24, 2019 (Figure 1) are presented in Figure 2a and b. The
 159 subinterval analysis corresponds to averaged power over the altitude of the PMSE layer
 160 and normalized for the time period of heater on and off at each HF transmitter power.

161 Figure 2a, b represent the above mentioned analysis for the PMSE layer formed in the
 162 lower altitude range of ~ 82 -84 km in two subsequent heating cycles. The normalized radar
 163 echoes are very much consistent for both cycles with same suppression amplitude, turn-
 164 off overshoot, and relaxation time at HF heating powers of 40, 60 and 80 kW. Consid-
 165 ering the short time period as well as overall behavior of the PMSE layer in the VHF
 166 data that is related to the background dusty plasma parameters, this behavior empha-
 167 sizes the similar HF modulation and heating effects on the layer. The results of the superposed-
 168 epoch analysis denote the time variation of the PMSE layer and different effect of HF
 169 pump heating on the layer.

170 The experimental observations in Figure 3a show the 62.4 s heating cycle with con-
 171 tinuous power stepping (denoted by on) on July 26, 2019. It is clear that as the power
 172 starts at a very low amplitude, the persistence of PMSE strength from the off cycle ex-
 173 tends to the new heating cycle. As the power increases and the T_e/T_i grows to larger
 174 values, the scattered radar signal drops significantly towards to the end of heating cy-
 175 cle. The suppression of the weak PMSE layer for heating cycles after 08:41:04 UT even
 176 appears right after heater turn-on. The subintervals at each power step are analyzed by
 177 performing a superposed-epoch analysis of the Radar Cross Section (RCS) from the radar
 178 over the heating cycles. The associated superposed-epoch analysis of Figure 3a is shown
 179 in Figure 3b. The clear modulation behavior described for Figure 3a is seen. The aver-
 180 aged VHF PMSE over all heating cycles is shown in Figure 3c. According to this Fig-
 181 ure, a slow decrease of echo strength by about 80% is observed. Unlike the instant mod-
 182 ulation of PMSE layer with radio-waves explained for experiments on July 24 and 25,
 183 the slow increase of HF pump power from zero to 80 kW in 62.4 s represents a differ-
 184 ent behavior of associated VHF PMSE. A slow decay denotes the slow charge state vari-
 185 ation of dust particles in response to T_e/T_i increase over small steps. This behavior is
 186 noted as plateau within ~ 10 s (Figure 3c). A saturated charging process within 40 s of
 187 heating cycle is seen. The corresponding physics will be elaborated in the subsequent
 188 section and by implementing the numerical simulations.

189 4 Diagnosis of dust charging process

190 The computational model originally created in 2004 is used to interpret the obser-
 191 vations in terms of mesospheric dust particle parameters (Scales, 2004). In the model-
 192 ing, the electron to ion temperature ratio during heating, T_e/T_i is varied in accordance
 193 with the heated center volume probed by the VHF radar. Two sets of simulations are
 194 designed to explain the experimental observations and the proposed approach for study-
 195 ing fundamental physics of dust charging process in space. The model initialization is
 196 conducted using the observational data from recent in-situ rocket measurements of dust
 197 particles within the cloud (Robertson et al., 2009). Several initial simulation runs are
 198 performed with the purpose of limiting the possible dust radius range in order to get the
 199 best agreement with the observations. The possibility of small dust particles of the or-
 200 der of 1 nm is excluded in this paper as it requires a large density that is well beyond
 201 the typical densities in the associated region. Large dust particles (> 5 nm) are also ne-
 202 glected due to the nature of the observation including stable background electron den-
 203 sity, short duration of cloud appearance, and constant level of natural VHF PMSE through-
 204 out the observations. Therefore, dust radii of 3 and 4 nm are used in this study and cor-
 205 responding dust density and heating ratio to achieve the best consistency with the ob-
 206 servations. The associated time evolution of dust charge state during HF pump heat-
 207 ing is investigated.

208 Figures 4a and b denote the numerical results for $r_d = 4$ nm, $n_d/n_{e0} = 115\%$ (per-
 209 cent) corresponding to the observational data presented in Figure 2a and b. The dust
 210 fluctuation amplitude is assumed to be 50% of the background dust density. The cases
 211 shown in Figure 2a illustrate a 60% suppression of the VHF signal during HF heating
 212 at the HF power of 40 kW. The suppression level reaches $\sim 80\%$ for 60 kW and 80 kW

213 pump power levels. The turn-off overshoot discriminates the behavior of VHF PMSE
 214 during 60 kW and 80 kW heating. The best agreement between observations and sim-
 215 ulations is obtained for T_e/T_i of 2.3, 2.8 and 2.9. The simulation results predict a turn-
 216 off overshoot of 5.5, 4.5, and 2.5 for HF pump of 80, 60, and 40 kW, respectively. The
 217 average charge state on dust particles ($Z_{d,ave}$) shows an increase of average electron charge
 218 attached to dust particles by a factor of ~ 2 associated with T_e/T_i of 2.8 and 2.9. A close
 219 comparison of radar echoes simulated by the model and time evolution of average dust
 220 charge reveals that electron charging process dominated the diffusion process during radio-
 221 wave modulation and determines the final suppression level of backscattered signal.

222 Figures 4c and 4d represent the numerical simulations for the experimental case
 223 of Figure 2c. According to the heating cycles shown in Figure 2c, the VHF PMSE drops
 224 70, 77.5 and 85% at 40 kW, 60 kW, and 80 kW HF power, respectively. A small turn-
 225 off overshoot is observed in all cycles. The VHF PMSE during heater off period shows
 226 a temporal variation in the natural PMSE layer. This VHF PMSE evolution can be clearly
 227 seen in Figure 1c. This is mainly due to the change in the dust cloud parameters and
 228 can be attributed to the formation of new small dust particles. Such small particles are
 229 affected by the HF pump heating and electron charging process to a much lower degree.
 230 The red curve of 60 kW pump power in Figure 2c shows more of pump-induced relax-
 231 ation response of VHF PMSE after heating. The turn-off overshoot of 15% within 10 s
 232 of heater turn-off is observed. The numerical simulations match well the suppression be-
 233 havior of as well as the recovery to the initial value (red line in Figure 2c). The main
 234 feature observed in power stepping experiments is that there is a close agreement between
 235 the level VHF PMSE suppression and enhanced charge state. The more suppression in
 236 the power level corresponds to higher dust charge state.

237 The heating cycle started at lowest HF pump of 10 kW on July 24, 2019 (Figure
 238 2d) is analyzed in Figures 4e and f. The VHF PMSE during radio-wave heating shows
 239 a strong correlation with the heating powers of 10, 20, 40, 60 and 80 kW. A 20, \sim 50,
 240 70, 76, and 90% reduction of VHF PMSE during heating from the lowest to the high-
 241 est powers are observed. The overall behavior of VHF PMSE after heater turn-off in-
 242 cluding a sudden increase to twice of the initial amplitude and remaining at that level
 243 is a clear manifestation of HF pump modulation. In other words, radio-wave modula-
 244 tion can control the response of the PMSE independent of the background parameters.
 245 The behavior of VHF PMSE in the subsequent cycle at 80 kW validates the suppres-
 246 sion level observed at 60 kW. As shown in Figure 2d, the rise time-period of VHF PMSE
 247 after heater turn-off involves a sharp increase followed by a suppression of radar echo in
 248 some cases (e.g. for 80 kW in light green line) and continued with a slow recovery to ini-
 249 tial value. Two sets of parameters using 3 nm and 4 nm dust radius are performed. The
 250 main point is to characterize the time evolution of radar echoes in order to get the best
 251 estimation of dust parameters. This could shed light on electron attachment onto dust
 252 particles and associated physics as well as dust particle characteristics. According to Fig-
 253 ure 4f, the average charge state on the dust particles varies with approximately the same
 254 proportion at 3 nm and 4 nm associated with each pump power. The timing of local max-
 255 imum (turn-off overshoot) for both dust radii used in this study is in agreement with the
 256 experimental data. A close comparison of radar echo suppression level during radio heat-
 257 ing, turn-off overshoot amplitude and recovery of backscattered signal to normal level
 258 show that numerical results of 4 nm dust size (solid line in Figure 4e) produces the best
 259 agreement with the experimental observations. This approach will confine the study of
 260 dust charging process with purpose of better understanding of charging rate and the pos-
 261 sible shape of dust particles. This goal will be achieved through the measured dust float-
 262 ing potential using the proposed technique.

263 One of the main characteristics of the observed VHF PMSE during continuous radio-
 264 wave modulation (Figure 3) is the plateau that is formed within the first few seconds of
 265 heating at low powers. This effect can be attributed to the slow dust charging process.

Such a unique behavior not only can be implemented to determine the background dust parameters, but also could shed light on charging characteristics and possibly other properties of the particles. The numerical simulations based on two possible range of parameters are evaluated (Figures 4g and h). The main parameter used as the base of simulations is the dust radius. The continuous HF power variation included 120 steps from 0 to 80 kW during 62.4s pump-on period. The computational model was set to increase the electron temperature (T_e) similar to the experiment set up. The dust radius of 3 nm and 4 nm are used as the base of the simulations. The simulation results produce the plateau (10% reduction of VHF PMSE) within 16 s of heater turn-on associated with all parameters including the heating rate ratio. A close comparison reveals a plateau behavior of up to 10% within 10 s in the observations (Figure 3c). The suppression of radar echos is estimated about 87% in comparison with the experimental data that show $\sim 80\%$ reduction. The clear saturated charging state is not seen in the simulation results. This will be investigated in future work with more sophisticated charging model. The turn-off overshoot amplitude also shows a good agreement. It should be noted that an average of 13 heating cycles over one hour of observations are compared with numerical simulations. Therefore, a small difference between the results is expected. The recovery of the radar echo to its initial value before turn-on emphasizes distinct variation in time that can be used to determine background parameters with high accuracy. According to Figure 4g, even small change in dust radius can introduce a notable footprint in the model curve. The slow increase of average charge state from the initial state as a response to slow increase of HF pump power matches well with the behavior of observed and simulated radar echo. This behavior also validates the objective of the present paper as the first direct observation of dust charging process in space.

5 Conclusion

The first radio-wave modulation of polar mesospheric summer echoes (PMSE) with varying HF pump power was conducted at EISCAT facility in Tromso, Norway in July 2019. The associated modulated PMSE was probed with a VHF radar at 224 MHz. The observations during pump power stepping as well as continuous HF power variation revealed the first direct signature of dust charging process in space. It has been shown that the features of VHF PMSE during pump power stepping in every new cycle can be implemented to determine the very accurate dust density and corresponding T_e/T_i using measurements at only one radar frequency. Another main feature observed in power stepping experiments is that there is a close agreement between the level VHF PMSE suppression and enhanced charge state. The more suppression in the power level corresponds to higher dust charge state. Numerical simulation is used in order to characterize the combination of continuous and discrete power variation, including heating cycle design with long enough continuous heating at each power level in order to determine the background parameters as well as charging parameters. It has been shown that continuous HF pumping of PMSE source region can be used to determine the saturated charge state on the dust particles. While the unique experiment design is shown to be able to study the dust charging process in the mesosphere, more complicated experiments including switching back and forth between two HF pump powers as well as hysteresis increasing from a low to high power and vice versa could lead to determination of the charging rate. Such information in addition to dusty plasma diagnosis can provide a much better understanding of charging characteristics in the PMSE source region.

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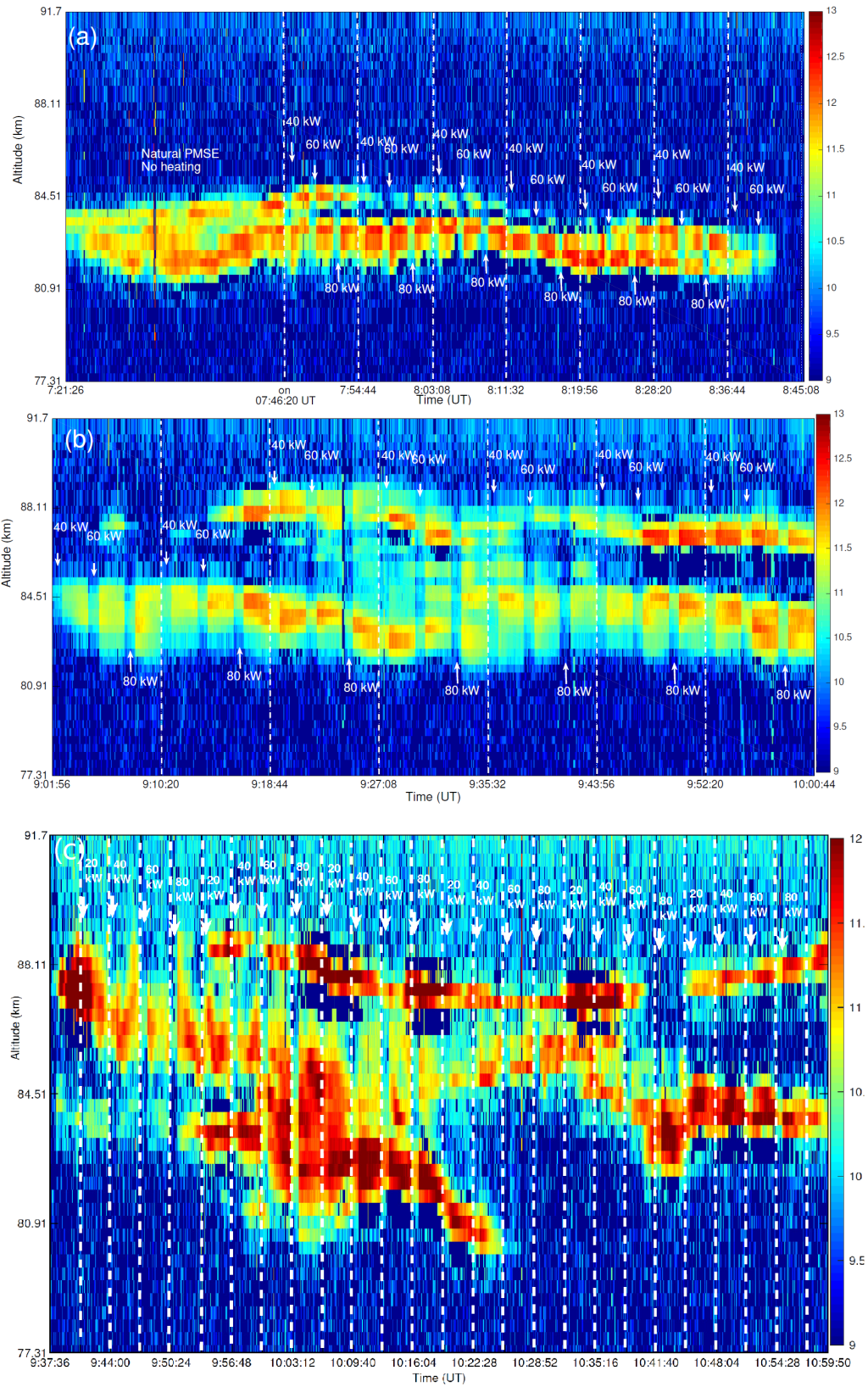


Figure 1. The VHF PMSE during radio-wave modulation including HF power variation over the heating cycles on July 24, 2019. The powers shown are the nominal power radiated by each of the 10 transmitters. c) The VHF PMSE during radio-wave modulation including HF power variation with increased off period and total heating cycle of 192 s on July 25, 2019. The backscattered signal is shown in $\log_{10}(N_e)$ unit-8—

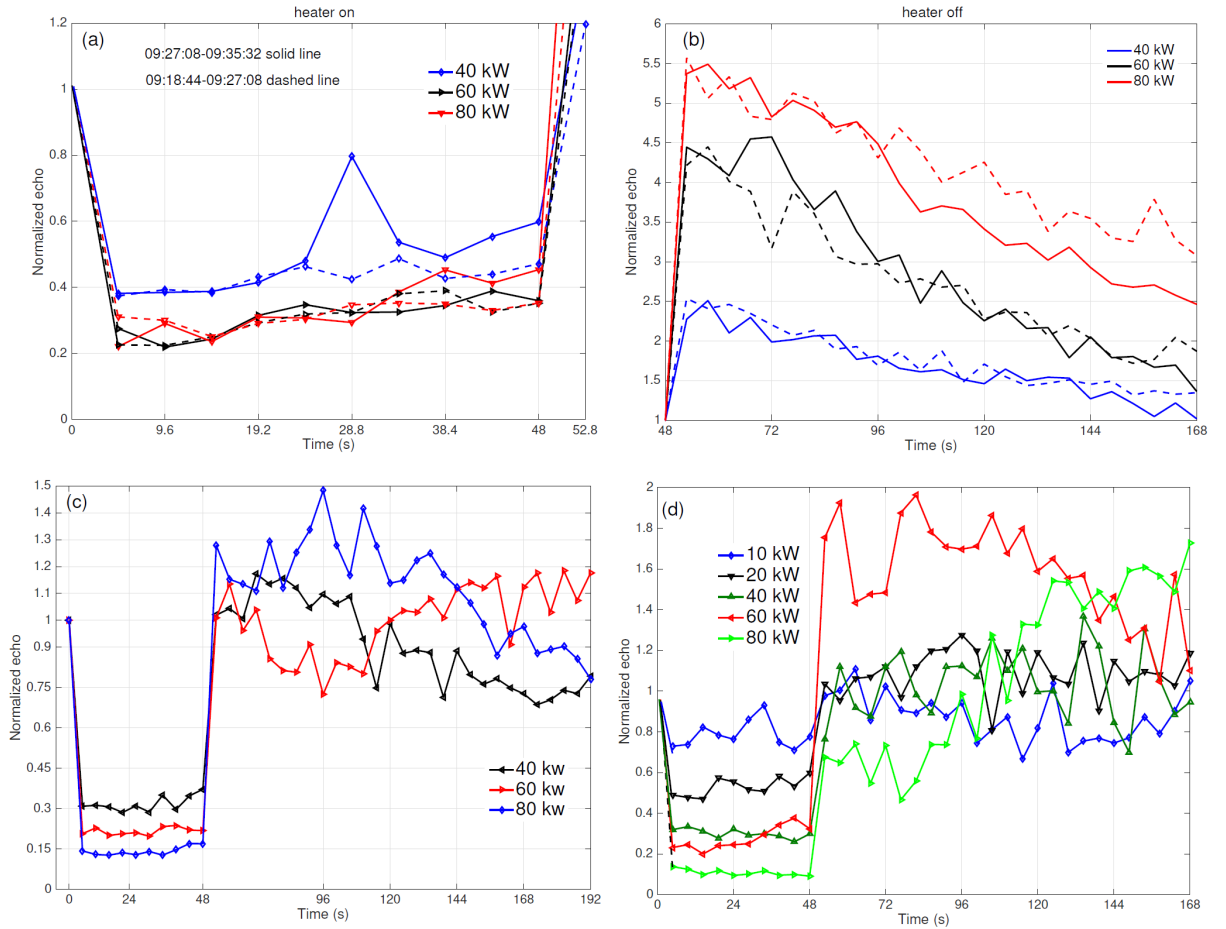


Figure 2. Subintervals at each power step analyzed by performing a superposed-epoch analysis of the RCS (radar cross section) over the heating cycles. a) Turn-on and b) turn-off normalized radar echoes associated with the bottom layer in the altitude range of (82.7-84.5 km) and (82.35-83.49 km) corresponding to (09:18:44-09:27:08 UT) and (09:27:08-09:35:32 UT) time intervals, respectively, on July 24, 2019. c) corresponds to (09:53:36 UT to 10:19:16 UT) and average altitude range of (80.91-84.51 km) on July 25, 2019. d) corresponds to (10:00:48 UT to 10:14:48 UT) and average altitude range of (80.91-84.51 km) on July 24, 2019.

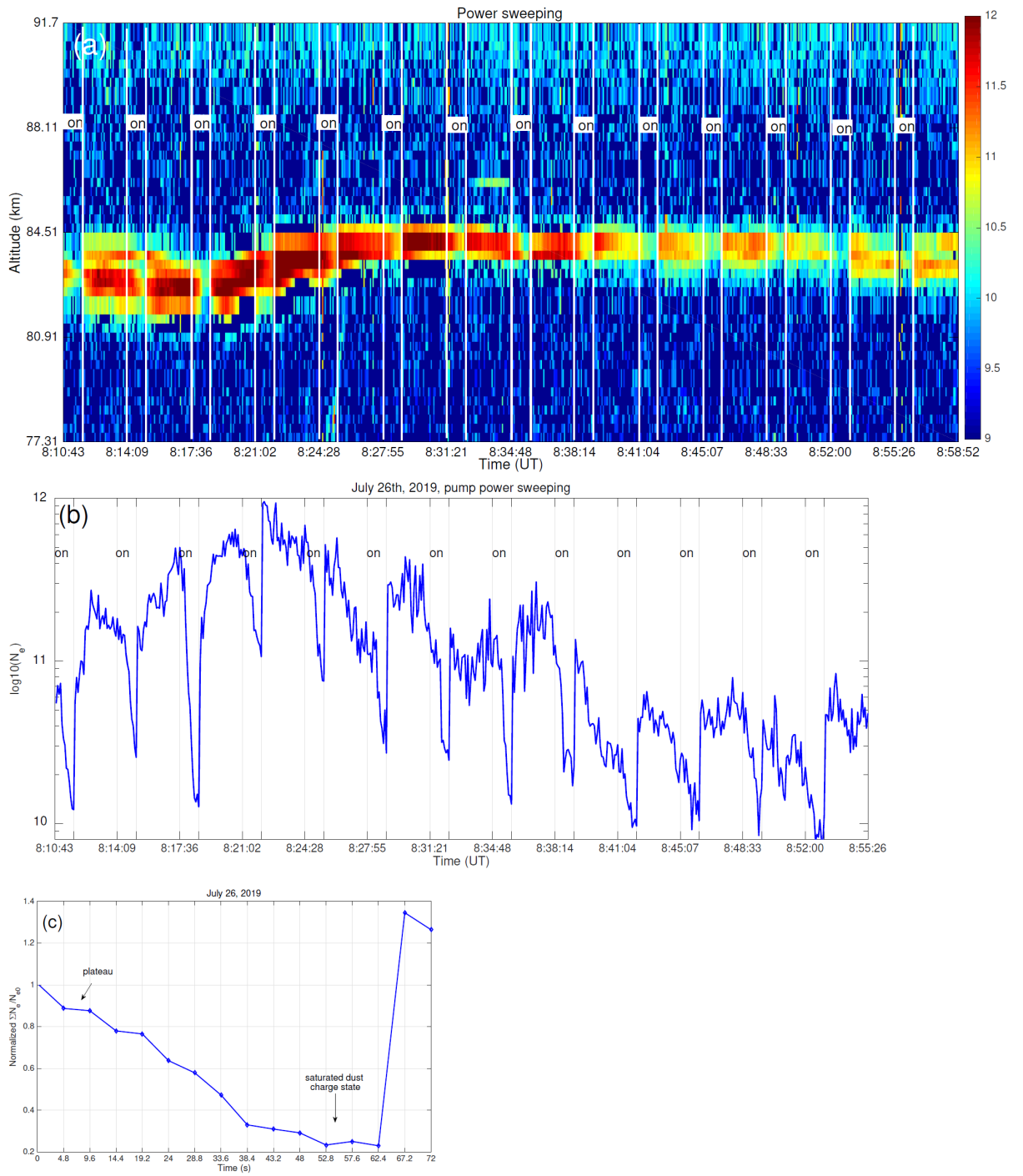


Figure 3. The HF pump power sweeping from 0 to 80 kW on July 26, 2019. a) the backscattered signal is shown in $\log_{10}(N_e)$ unit. b) Subintervals at each power step analyzed by performing a superposed-epoch analysis of the RCS associated with heating cycles shown in Figure 3a. c) averaged normalized radar echoes associated with all heating cycles.

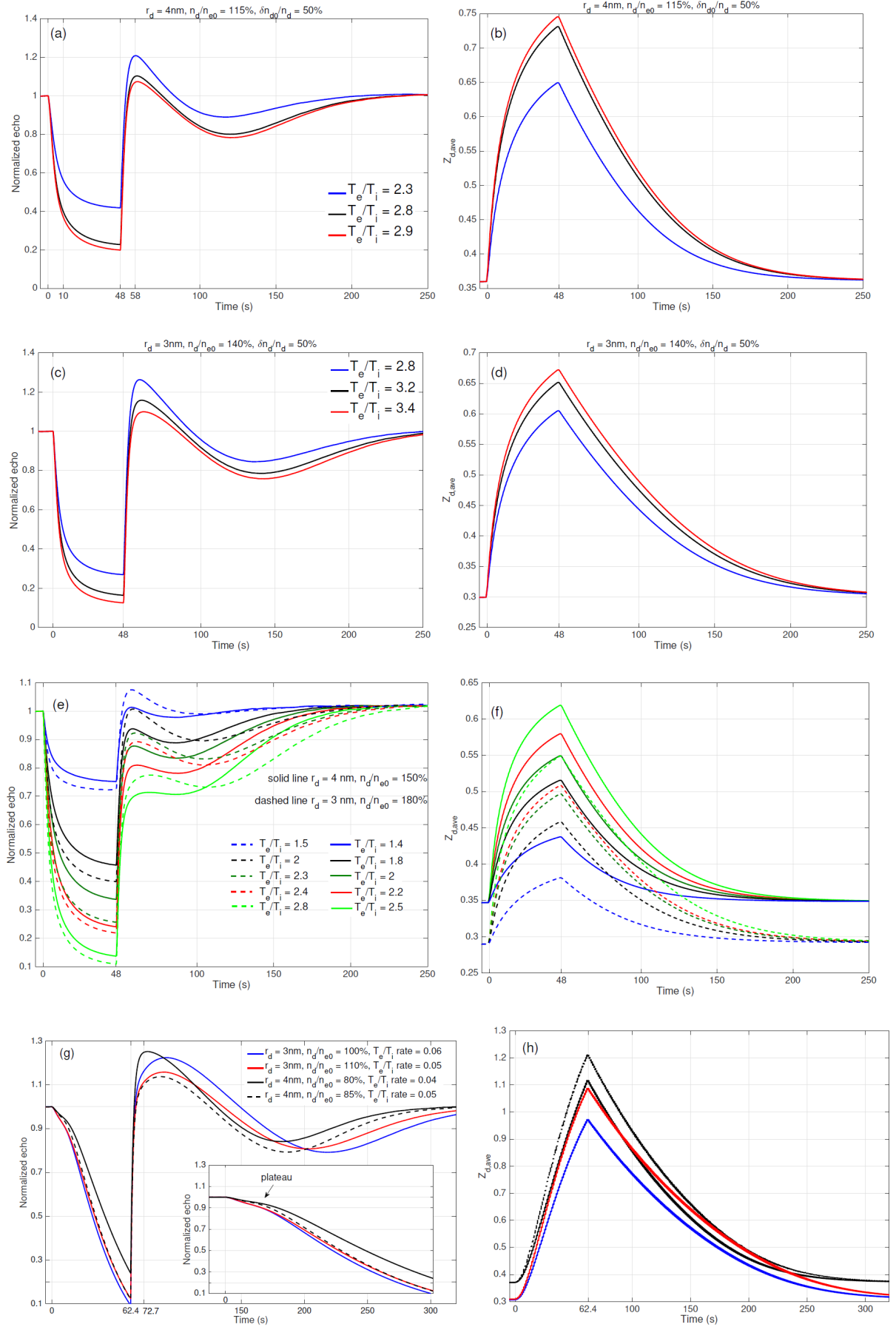


Figure 4. a-f) Numerical results corresponding to experimental observations presented in Figure 1-2. $Z_{d,ave}$ denotes the average electron charge on dust particles. The discrete HF pump variation and associated charge state simulated by the model are presented to characterize the similarity between VHF PMSE behavior and elevated dust charge state. g, h) Numerical results corresponding to experimental observations presented in Figure 3. The plateau feature formed in the numerical results corresponding to similar behavior in the observations is seen.

316 ments. The data presented in this paper can be downloaded from the EISCAT online
317 database at <https://www.eiscat.se/scientist/data/>

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