

1 **Impact of genetically modified crops on rhizosphere microorganisms and processes: A**  
2 **review focusing on Bt cotton**

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21 **HIGHLIGHTS**

- 22 • GM crops may impact nutrient cycling in the rhizosphere soil.
- 23 • GM crops do not adversely influence soil microbiological processes.
- 24 • Clay-humus complexes can protect *Cry* toxin in soils.
- 25 • Risk of gene transfer from GM crops to non-target organisms is minimal.
- 26 • Insufficient long-term experimental data restricts understanding of GM crop impacts.

27

28 **Abstract**

29 In recent years, the cultivation of genetically modified (GM) crops has become a topic of great  
30 interest, due in part to the considerable public controversy, which exists concerning their  
31 potential benefits or adverse effects. Since the development of the first GM crop about 25 years  
32 ago, a diverse range of new cultivars have been released into the environment which were  
33 developed by employing advanced molecular techniques to introduce new beneficial genes from  
34 a wide variety of sources. While GM crops have great potential for enhancing agricultural  
35 production, their potential impacts on soil biota are only partially understood and information on  
36 their long-term impact on soil biota is scant. Several recent studies have indicated that GM crops  
37 may cause changes in both the invertebrate and microorganism soil biota associated with these  
38 crops, with some laboratory-based experiments even revealing transfer of genes from GM plants  
39 to native soil bacteria. However, processes such as gene transfer and stable inheritance to  
40 subsequent generations remain unproven in natural soil systems. In addition, although significant  
41 research efforts have recently been directed towards understanding the effects of GM crops on  
42 soil biota, the wide variation in the scientific observations has often hindered an accurate  
43 understanding of the issues. Thus, this review collated and synthesized all available information

44 on the microbiological and biochemical effects of GM crops on soil biota with a special focus on  
45 GM Bt-cotton. The review also addressed the key issues associated with the use of GM crops  
46 including herbicide resistance, transgene flow and explored the plausibility of horizontal gene  
47 transfer in soil.

48

49 **Keywords:** Agricultural output; Bt cotton; Genetically modified plants; Soil ecosystems; Soil  
50 microorganisms

51

## 52 **1. Introduction**

53 Today genetically modified (GM) crops are commonly developed worldwide by  
54 deliberately introducing beneficial genes of one organism into another. When genes are  
55 transferred into agriculturally important plant crops, this genetic manipulation can provide  
56 consistent and substantial agronomic and economic benefits. For example, a gene that codes for  
57 insecticide toxin production in the subspecies of *Bacillus thuringiensis* (Bt), when genetically  
58 engineered into cotton, can allow the GM cotton plant to express the Bt toxin gene and produce  
59 insecticidal toxins to kill common lepidopteran pests such as the cotton bollworm (Palma et al.,  
60 2014; Tabashnik et al., 2002).

61 GM crops are generally classified, based on desirable traits, into four major groups (1)  
62 herbicide tolerant (HT), (2) insect resistant, (3) combined herbicide and insect resistant, and (4)  
63 viral disease resistant (Hails, 2000). These four groups account for 63, 15, 22 and < 0.1% of total  
64 GM crops, respectively (James, 2008). In 2008, worldwide 25 countries had approved the  
65 cultivation of GM crops (Liu, 2010), recently rising to 28 countries (Giri and Tyagi, 2016);  
66 which is likely to only increase in the future due to the need to increase agricultural production

67 globally. Likewise, the total worldwide cultivated area under GM crops increased from 1.7  
68 million ha (Mha) in 1996 to 191.7 Mha in 2018 which translates to a 100-fold increase in acreage  
69 over the past 23 years (ISAAA, 2018).

70 Despite the substantial agronomic and economic benefits associated with the cultivation  
71 of GM crops, their use is still controversial because of considerable public concern and  
72 apprehension over potential environmental threats (Klümper and Qaim, 2014). The three main  
73 environmental and ecological risks associated with the use of GM crops are: (1) gene transfer  
74 from GM crops to wild relatives and related species, (2) development of herbicide, insect or  
75 virus tolerant or resistant crops, and (3) inadvertent detrimental impact on other non-target  
76 species and soil ecosystems (Liu, 2010; Tsatsakis et al., 2017). Even in the scientific community,  
77 controversy still exists regarding the cultivation of GM crops, with some researchers supporting  
78 the cultivation based on positive laboratory and field scale studies, while others are in strong  
79 opposition to the use of GM crops due to risks to mammals (Abbas, 2018). Supporters of GM  
80 crops often believe that it will aid in food security and minimize environmental degradation and  
81 also sustain agricultural production.

82 This review focusses on the collection and collation of information associated with the  
83 impact of GM crops on soil ecology and biodiversity. While ecological impacts of GM crops  
84 were initially confined to above ground effects, since early 2000 numerous studies have  
85 highlighted the potential influence of GM plants on below ground soil ecology and the associated  
86 microbial communities (Dunfield and Germida, 2001; 2004). Some research also suggests that  
87 GM plants may pose adverse effects to soil invertebrates (Bruinsma et al., 2003; Guan et al.,  
88 2016; Singh and Dubey, 2017).

89           One of the issues, in many of the studies conducted to date, was that the effects of GM  
90 crops on soil biological properties and available nutrient status were often transient, and thus  
91 their long-term impact on the soil ecosystem were difficult to quantify. This uncertainty in their  
92 impact on soil ecosystem and human health has since their inception, fueled public and scientific  
93 debate over their long-term risk. The aim of this current critical review is to help resolve this  
94 debate by providing a source of comprehensive information on the impact of GM crops on soil  
95 organisms and their influence on rhizosphere processes including nutrient availability and  
96 dynamics.

97

## 98 **2.     Status of GM crops**

99           Following their commercial introduction in the USA in 1996, the cultivation of GM crops  
100 has spread rapidly. In 2002, GM crops covered 58 Mha, which had increased by a factor of 2.3  
101 by 2009. Worldwide, the total area under GM crop cultivation increased by a factor of 80  
102 between 1996 and 2009 (James, 2009), and by a factor of 110 between 1996 and 2017 (James,  
103 2017). While initially GM crop cultivation occurred in a few large countries, namely the USA,  
104 Brazil, Argentina, India, Canada and China (in descending order of GM cultivated area), these  
105 crops are now being more widely grown in many developing countries worldwide (Table 1) with  
106 about 53% of the global GM crop areas cultivated in 19 developing countries.

107           Brazil is a typical example of a country which has embraced the use of GM crops, where  
108 the area under GM crop cultivation increased by almost 35% in 2009 compared to 2008 (James,  
109 2009), with GM soybean having the highest cultivated area. Similarly, a rapid and continued  
110 expansion of GM crop cultivation also occurred in India with 8.4 Mha under GM cotton  
111 cultivation in 2009 (James, 2009). Presently, 11.4 Mha are under GM Bt-cotton cultivation with

112 an adoption rate of 93%, which accounts for about 36% of the area growing cotton globally  
113 (James, 2017). In the USA in 2009/2010, key GM crops as a proportion of total crop cultivated  
114 area included corn (86%), soybean and cotton (93% each), and sugar beet (95%) (James, 2009).  
115 Worldwide the major commercially grown GM crops are cotton (*Gossypium hirsutum* L.), canola  
116 or oilseed rape (*Brassica napus* L.) and corn or maize (*Zea mays* L.) and soybean (*Glycine max*  
117 L.) (James, 2017). While the global net economic benefits to farmers from growing GM crops  
118 were US\$ 18.8 billion in 2012, the accumulated benefits during the period 1996 to 2008 were  
119 US\$116.6 billion (Brookes and Barfoot, 2014).

120

### 121 **3. GM crops and biodiversity**

122 Agricultural biodiversity is demonstrated by the presence of a wide variety of genetic  
123 resources including crops, insects, livestock, soil biota, and wild relatives. Agricultural  
124 biodiversity thus consists not only of the diversity within species, but also the diversity between  
125 species and within agro-ecosystems (Thrupp, 1997). Many researchers believe that GM crops  
126 may pose numerous adverse effects to insects, plants, and the wider environment (Carpenter,  
127 2011; Tsatsakis et al., 2017). Some of these threats may occur inadvertently due to the  
128 continuous application of chemicals to GM crops, which allows non-target weeds and insects to  
129 gradually develop chemical resistances. Similarly, threats such as gene flow or genetic  
130 contamination may occur through cross-pollination between GM and non-GM crops (Quist and  
131 Chapela, 2001). For cotton, Shi et al. (2006) specifically reported that the mortality of neonate  
132 larvae of cotton bollworm decreased after they had been fed with body and faeces extracts from  
133 the beet armyworm larvae which had previously been exposed to Bt transgenic cotton (*cry*  
134 toxin). All of these potential problems might lead to significant adverse impacts on agricultural

135 production systems where GM crops are widely cultivated in large amounts. A few examples of  
136 the potential risks posed by the widespread adoption of GM crops are briefly discussed in the  
137 following sub-sections.

138

### 139 *3.1 Genetically modified Bt-crops*

140 GM Bt-crops have remarkable potential to increase the yield of important agricultural  
141 crops because these crops often provide for a significantly higher level of protection against  
142 cotton bollworm (*Helicoverpa armigera*) with a consequential reduction in the number of  
143 insecticidal applications. In many regions of the world, the uptake of improved Bt cultivars has  
144 increased the productivity of cotton from 23 to 60%, and revolutionized cotton production (Koch  
145 et al., 2015; Sharma et al., 2006; Venugopalan et al., 2009). Upon expression of the gene, GM Bt  
146 crops produce a protein-like crystalline substance known as Bt-toxin ( $\delta$ -endotoxin) commonly  
147 found in Bt bacterium, which has insecticidal properties. When produced within GM crops, the  
148 Bt toxin thus reduces crop damage due to insect attacks, because although the Bt protein is non-  
149 toxic in its free crystal form, it dissolves rapidly in the gut of insects (e.g., bollworm in cotton) at  
150 the prevailing high pH (pH  $\approx$  10.5). Following insect ingestion, the protein converts to a  
151 polypeptide toxin and causes toxemia and death of insects.

152 One key fact with GM Bt-crops is that the target insect pests, particularly the corn borer  
153 or cotton bollworm, may develop resistance to the Bt toxin over time, akin to how insects  
154 developing resistance to pesticides. Pesticide resistance is a major agricultural concern, which  
155 could lead to farmers increasingly spray more frequent and at higher pesticide levels to kill off  
156 troublesome insects. In the worst-case scenario, resistance could build to a level that sees the  
157 pesticide become totally ineffective against the target organisms. This is a very real concern;

158 with >500 insects showing resistance to various pesticides commonly used in agricultural  
159 practice (Andow, 2008). Thus, the cultivation of GM Bt crops is advantageous because it can  
160 reduce the use of broad-spectrum insecticides and also protect non-target insect diversity (Arshad  
161 et al., 2018).

162 One recommended way to mitigate the occurrence of Bt resistance in insects is for  
163 farmers to plant refuges of non-GM crops in the adjoining strips of the GM field. In the USA, Bt  
164 cotton growers plant either 20% of the area with a traditional cotton cultivar wherein they follow  
165 conventional pest control, or plant about 4% with a conventional cultivar without any pest  
166 control (Marra et al., 2002). These refuge crops are intended to maintain the diversity of  
167 vulnerable non-resistant insects and to increase their chances of breeding with Bt resistant insects  
168 with the purpose of decreasing the abundance of resistant insects (Andow, 2008; Watkinson-  
169 Powell and Alphey, 2017). Despite the growing of refuge crops being adopted in many other  
170 countries, including China and India, to slow down resistance buildup, target insects have still  
171 evolved to break the toxic effect of the *cry* protein in numerous instances. Such incidence of  
172 resistance was mainly due to the fact that the refuge growing practice did not work effectively in  
173 case of all the varieties and hybrids of GM cotton crops planted under different climatic  
174 conditions and cultivation practices (Tabashnik and Yves Carrière, 2019). Additionally, the  
175 above refuge practice may potentially transfer GM genes to the non-GM refuge crop over time.  
176 Thus, the effectiveness of insect refuges for enhancing agricultural production for both GM and  
177 non-GM crops over extended time periods still needs further investigation. It is also not known  
178 whether this approach can increase agricultural production by farmers in developing countries  
179 where the land available for crop cultivation is already small and the preparation of a separate  
180 fraction as an insect refuge may be a significant financial burden.



181

182 *3.2 Herbicide resistance*

183 One side effect of overuse of herbicides with target herbicide tolerant crops can lead to  
184 the inadvertent development of herbicide resistant in the associated weeds. Thus, it is  
185 increasingly evident that common weeds are becoming resistant to herbicides, especially with  
186 repeated applications of glyphosate in areas where glyphosate-resistant GM soybean was  
187 extensively grown (Warwick and Meziani, 2002). For example, in 2002, farm advisors in the  
188 USA reported that a horse-weed species had become so resistant to herbicide that it required  
189 between a 6 to 13-fold greater amount of herbicide to obtain a similar level of control as a non-  
190 resistant horse weed species (Warwick and Meziani, 2002).

191 To date, >400 herbicide resistant weed species have been documented (Pretty, 2001)  
192 from various GM crop growing parts of the world. For example, in Canada, oil seed rape  
193 varieties quickly became resistant to three commonly used herbicides following the cultivation of  
194 GM varieties for just four years (Orson, 2002), where it was assumed that gene transfer between  
195 herbicide tolerant crops and associated weeds was responsible for the resistance (Orson, 2002;  
196 Vencill et al., 2012).

197 GM crops may also potentially impact non-plant biodiversity and non-target organisms,  
198 since the diversity of beneficial insects and arthropods are often impacted when feed GM crops  
199 (Carpenter, 2011; Gatehouse et al., 2011). This is a serious concern for the pest management of  
200 small farm holdings that depend on a greater diversity of complex predators and parasites to  
201 minimize insect damage to the cultivated crops. The advantage of Bt proteins introduced into  
202 GM crops is that they do not seem to hamper the establishment of natural enemies which prey on  
203 insects and as such their use supports the conservation of natural enemies and reduced

204 insecticidal use (Romeis et al., 2019). Indeed, in areas of long-term Bt-cotton cultivation there  
205 was no occurrence of insecticidal resistance and no effect on non-target organisms (Rocha-  
206 Munive et al., 2018).

207

### 208 *3.3 Transgene flow*

209 Gene flow may occur when engineered plant genes are unintentionally transferred from a  
210 GM crop to wild relatives, non-GM plants or other organisms. The possibility and impact of  
211 gene flow relies on the local environmental conditions and the heterogeneity of crop types. The  
212 phenomenon of gene flow has been widely observed in the GM canola crop; where canola pollen  
213 could pollinate plants up to 800 m away (Coghlan, 2001). Thus, gene flow could be avoided by  
214 planting GM crops at a minimum isolation distance away from non-GM cultivars.

215 One of the key issues associated with the use of GM crops is the potential development of  
216 super weeds as a result of gene transfer from GM crops to wild relatives. For example, wild  
217 sunflower super weed that received insect-resistant genes from a GM sunflower became robust  
218 and produced about 50% higher seeds than the GM cultivar (Cummings et al., 2002). Sorghum is  
219 another crop which may cause gene flow as sorghum easily hybridizes with weedy relatives such  
220 as John grass, sugar beet, carrot, rye grass and white clover (Pretty, 2001). However, super weed  
221 development is not a direct threat to crop production and there are potentially bigger risks of  
222 gene flow across different farm scales, e.g., from large commercial farms to small nearby farms.  
223 Furthermore, the problem of gene flow may directly endanger the biodiversity in countries that  
224 are centers of the genetic origin for specific crops because the unwantedly transferred genes may  
225 contaminate the purity of original crop species.

226

227 **4. Potential consequences of GM crops on soil organisms**

228 Soil is a highly heterogeneous system in which interactions between the biotic and abiotic  
229 components continually occur. Therefore, the impact of GM crops on soil ecology must be  
230 understood from the perspective of the natural variability which already exists in soils. Since  
231 nutrient management practices, particularly carbon and nitrogen dynamics, and climate are the  
232 key factors that impact soil microbial diversity and ecological parameters, due consideration  
233 should be given to those factors while assessing the effect of GM crops on the biodiversity and  
234 functions of soils (Balsler et al., 2010; Louis et al., 2016). It is likely that modifications in  
235 agricultural practices will have a much more profound impact on soil ecology than the modified  
236 genetic trait itself, e.g., decreasing tillage operations in herbicide tolerant crops will cause less  
237 soil disturbances than conventional management of multiple herbicide applications for  
238 suppressing weeds. The potential impacts of GM crops on soils include: (a) unwanted effects  
239 resulting from novel products produced by GM crops, e.g., Bt toxin, (b) increased soil pollution  
240 due to the increased use of new agrochemicals/molecules to manage GM crops, (c) greater risk to  
241 the established agro-ecosystem due to the introduction of novel practices associated with GM  
242 crops, (d) reduction in soil biological diversity and nutrient cycling, (e) persistence of GM crop  
243 residues in soil, and (f) occurrence of gene flow from GM crops to soil microorganisms.

244 One of the problems in attributing any observed effects specifically to GM crop use is  
245 that should issues arise at only a very low to modest level, it would be very difficult to detect  
246 them against the backdrop of the normal fluctuations of soil performance, for example,  
247 fluctuations due to tillage practices. Although soil is a dynamic system subject to constant  
248 change, it is able to maintain functions due to the diversity of microorganisms responsible for the  
249 underlying processes (Patra et al., 2005). In fact, most of the novel genes introduced into GM

250 crops were first developed from soil bacteria. Thus, since the interaction in soil ecosystems are  
251 so complex it is important to consider the threat of GM crops to soil microorganisms on case by  
252 case basis and to closely monitor areas of possible concerns.

253

#### 254 *4.1 Impact of GM crops on soil bacteria*

255 Genetically modified plants can potentially alter soil microbial communities and hence  
256 vital ecosystem functions, including carbon cycling, nutrient solubilization, and the occurrence  
257 of soil-borne plant disease (Beura and Rakshit, 2013; Sarkar et al., 2009). However, it is not  
258 clear whether these impacts are directly due to the newly introduced gene or indirectly due to the  
259 modification of the rhizosphere chemistry of the GM plants (McGregor and Turner 2000). Many  
260 constituents of soils, especially colloidal particles including clay minerals and humic substances,  
261 have high affinity to adsorb biological molecules such as DNA and proteins originating from soil  
262 microorganisms (Cai et al., 2007; Cai et al., 2008; Kunito et al., 2016). Growing scientific  
263 evidence demonstrates that soil can safeguard such biomolecules from biological erosion (Cai et  
264 al., 2007; Morrissey et al., 2015) and consequently, the soil colloid-mediated protection  
265 mechanism might enable soils to retain concerned specific molecule's genetic and toxic  
266 properties for a long time (Cai et al., 2007). One good example of recent concern is the retention  
267 of antibiotic resistant genetic information in soil particles (Fahrenfeld et al., 2014; Bech et al.,  
268 2014; Burch et al., 2014). Similarly, the biomolecules responsible for carrying the toxicity and/or  
269 genetic information of GM crops can be retained by soil particles for long time (Cai et al., 2008;  
270 Crecchio and Stotzky, 1998).

271 The magnitude of the impact of GM crops on non-target soil biota entirely depends on  
272 the nature of recombinant proteins (i.e., its wide range of activity) and the degree of GM

273 exposure. Like all plants, GM plants also exude root exudates into the soil, where, the  
274 decomposition of GM plant residues also releases recombinant biomolecules into the soil. The  
275 potential impacts of horizontal gene transfer from GM crops on soil microbial diversity and  
276 microbial processes are illustrated in Fig. 1. Since the soil stability and persistence of the  
277 recombinant proteins (e.g., Bt toxin) is an important factor that dictates the degree of impact on  
278 non-target soil biota, Cai and co-workers (2008) extensively studied the persistence of Bt  
279 transgenes in soil. They found that the occurrence of montmorillonite clay coated by hydroxyl  
280 aluminum complexes in the soil provided protection for DNA against degradation by DNase I.  
281 This greater stability of DNA was mainly attributed to the conformational change of bound DNA  
282 and the soils higher adsorption capacity for DNase I. However, very little information is  
283 available on the fate and transformation of other Bt proteins, which may be released into the soil  
284 environment via a different GM crop species. It was assumed that the introduction of bacterial  
285 genetic material into plants might increase the probability of gene transfer from GM plants to  
286 soil bacteria (Stotzky, 2008), but there is currently insufficient evidence to support this. For  
287 instance, while a significant shift in the microbial communities residing in the rhizosphere of  
288 GM potato was found at crop harvest in one season, the effect was not present the season after  
289 (Dröge et al., 1998). Similarly, Lottmann and colleagues (Lottmann et al., 1999; Lottmann et al.,  
290 2000; Lottmann and Berg, 2001) extensively studied the effects of GM potato plants on the  
291 microbial composition of the potato rhizosphere and geocaulosphere under field trials. Although,  
292 the GM potatoes had been modified to produce T4-lysozyme (i.e., a bacteriolytic enzyme to gain  
293 resistance against *Erwinia carotovora* subsp *atroseptica*), they found that the microbial  
294 community shift occurring naturally in the soil simply outperformed any microbial effects  
295 resulting from T4-lysozyme exposure (Lottmann et al., 1999). Likewise, although cultivation of

296 opine producing *Lotus corniculatus* cv. Rodeo plants did not significantly change the total  
297 cultivable bacteria in the soil, the opine utilizing bacterial population did increase in the  
298 rhizosphere more than in the bulk soil (Heuer et al., 2002). Furthermore, Guyon et al., (1993)  
299 reported that opine producing GM crops specifically promoted the growth of opine degrading  
300 *Agrobacteria* in the soil.

301 Other studies have also confirmed a shift in soil biota constituents in response to GM  
302 crop cultivation. For example, Siciliano and Germida (1998) observed a significant variation in  
303 the microbial groups present in the rhizosphere of glyphosate-resistant and unmodified isogenic  
304 canola (rape) varieties. In another field experiment, Dunfield and Germida (2001) examined the  
305 diversity of bacterial communities in eight commercial canola varieties over two years at four  
306 different field locations and surprisingly found that neither canola variety nor soil type affected  
307 the total soil bacterial population. However, significant differences in fatty acid methyl ester  
308 (FAME) and the community level physiological profile (CLPP) analyses of soil microorganisms  
309 were found, where soil type had greater influence than canola variety. In such studies, soil  
310 heterogeneity and/or variations in the nutritional status of GM crops make understanding the  
311 apparent impact of GM crops on soil microorganisms difficult unless appropriate controls are  
312 included to clearly delineate GM crop-induced effects from soil heterogeneity-induced effects  
313 (Donegan et al., 1999; Escher et al., 2000; Hopkins et al., 2001).

314 Most of the studies conducted to date have involved culture dependent methods to  
315 investigate the effect of GM crops on soil biota and microorganisms. However, this approach has  
316 serious limitations because almost 99% of soil microorganisms are not culturable in the  
317 laboratory. Gyamfi et al., (2002) examined the dominant *Pseudomonas* communities in the  
318 rhizosphere of oil seed rape using the 16S rRNA molecular technique and found that there was

319 little variation in *Pseudomonas* populations of both oil seed rape and its wild relatives, and any  
320 effects due to the GM trait were minimal compared to changes caused by plant growth stage.  
321 Similarly, no significant difference in the diversity of bacterial communities under Bt and non-Bt  
322 maize crops was observed using molecular techniques such as single-strand conformation  
323 polymorphisms (SSCPs), phospholipid fatty acid (PLFA) profiling and CLPP (Baumgarte and  
324 Tebbe, 2005; Griffiths et al., 2006). Likewise, comparison of differential C substrate utilization  
325 patterns and DNA fingerprinting approaches (e.g., amplified ribosomal DNA restriction analysis  
326 (ARDRA), ribosomal intergenic sequence analysis (RISA), and enterobacterial repetitive  
327 intergenic consensus polymerase chain reaction (ERIC-PCR)), for microbial communities of  
328 pink pigmented facultative methylotrophs available in the rhizoplane of Bt cotton did not differ  
329 relative to non-Bt cotton (Balachandar et al., 2008; Zhang et al., 2015).

330 In contrast to the above studies which have shown little or no influence of GM crops on  
331 microbial communities, other studies have reported that GM crops have considerable effects on  
332 soil microbial communities. For example, under greenhouse conditions Bt corn had a  
333 significantly lower level of mycorrhizal colonization than non-Bt corn, as detected by  
334 Denaturing Gradient Gel Electrophoresis (DGGE) analyses of 16S rRNA genes (Castaldini et al.,  
335 2005). Similarly, adverse impacts on fungal diversity and communities of methanogenic archaea  
336 and methanotrophic bacteria were also observed in soils during the initial phase of root decay for  
337 Bt rice when measured using terminal restriction enzyme fragment length polymorphism (T-  
338 RFLP), DGGE and RT-PCR (Han et al., 2013; Lu et al., 2010). In direct contrast, a Bt maize  
339 field trial showed greater total microbial activity, higher rhizosphere microbial diversity and  
340 enriched community structure compared to the non-Bt cultivar (Velasco et al., 2013) when using  
341 bacteria- and phylum-specific PCR-DGGE and PCR cloning techniques (Velasco et al., 2013).

342 Mandal et al. (2019) also reported significantly higher counts of beneficial soil microbes and  
343 enzymatic activities, *viz.* dehydrogenase, alkaline phosphatase and fluorescein di-acetate  
344 hydrolysis, in a Bt-cotton-soybean cropping system than other systems of Bt- and non Bt-cotton  
345 crops. While Mandal et al. (2019) enumerated only the culturable soil microorganisms,  
346 nevertheless, the higher microbial activities, especially the enzymatic activities, at all Bt-cotton  
347 growth stages indicated that labile carbon fractions in the rhizospheres of Bt-cotton was the main  
348 factor governing microbial activities of Vertisol (Mandal et al., 2019).

349

#### 350 *4.2 Impact on other soil dwelling organisms*

351 Very few studies have evaluated the potential impact of GM crops on soil organisms  
352 essential for the decomposition of organic residues and nutrient cycling. Griffiths et al. (2000)  
353 reported that the reduction in soil protozoan population was transient when the soil was grown  
354 with GM potatoes expressing lectins. Similarly, Donegan et al. (1997) found that during leaf  
355 litter decomposition nematode population structure and density varied in GM tobacco plants,  
356 which was attributed to changes in carbon content between GM and non-GM plant leaves  
357 (Donegan et al., 1997). In another study, while growth of Bt rice had no significant impact on the  
358 nematode abundance and community composition, it did strongly influence trophic connection  
359 within nematode communities (Liu et al., 2018). Another study involving cyst nematode resistant  
360 GM potato showed that GM lines effected the fungal PLFA profile (Cowgill et al., 2002), where  
361 the ratio of fungal to bacterial PLFA provided a measure of the differences in the relative  
362 abundance of bacteria and fungi in response to the GM potato crop (Cowgill et al., 2002).

363

#### 364 **5. Effect of *Bacillus thuringiensis* on soil**



365 *Bacillus thuringiensis* (Bt) is a common soil bacterium found all over the world (Martin  
366 and Travers, 1989). The bacterium is widely used commercially as a bio-control agent for the  
367 control of insect pests in arable crops and consequently many of the GM crops cultivated today  
368 contain pesticidal genes from Bt. Particularly, Bt cotton has been grown commercially in various  
369 parts of the world to control lepidopteron insects. While the vegetative cells of Bt are well  
370 adapted to thrive in the gut of susceptible insects (Raymond, 2017; Yara et al., 1997), the Bt  
371 endospores can also survive in a wide range of soils and environmental conditions except at  
372 below pH 4.8 (Dulmage and Aizawa, 1982; Saleh et al., 1970). Otherwise the existence of Bt in  
373 soils is largely dependent on existing soil microbial communities which actively competes with  
374 the introduced Bt species and tends to competitively diminish overall Bt populations (Akiba et  
375 al., 1977). For example, 12 - 16 months after inoculation of Bt, a 100-fold reduction in the Bt  
376 population compared to soil bacilli was observed (Pruett et al., 1980). After 135 days of  
377 inoculation of the soil with *Bacillus thuringiensis* var. *galleriae*, the viable spores of Bt reduced  
378 considerably to 24% of the initial spores and also a negligible insecticidal activity was observed  
379 (Pruett et al., 1980).

380 For the Bt toxin to be more broadly effective in a soil a critical factor is the distribution of  
381 the Bt organism. Studies using an antibiotic resistant marked Bt strain showed very limited  
382 movement of Bt through the soil, with no downward movement beyond 6 cm and lateral  
383 movement beyond 10 m outside the experimental site, indicating both limited mobility and low  
384 potential for genetic exchange (DeLucca et al., 1981; Martin and Reichelderfer, 1980; Meadows,  
385 1993).

386

387 5.1 *Effect of Bacillus thuringiensis and Bt-toxin on soil microflora*

388 Studies concerning the influence of Bt on soil microorganisms are scant, and inconsistent  
389 (Addison, 1993). For example, while enhancement of soil microbial populations were reported  
390 after 2-4 weeks when using a Bt formulation consisting of *Bacillus thuringiensis* subsp. *galleriae*  
391 and *Bacillus thuringiensis* subsp. *kurstaki* (Petras and Casida, 1985; Pruett et al., 1980),  
392 Atlavinyte et al. (1982) reported a decline in bacterial and actinomycete populations as well as an  
393 increase in fungal population following the addition of *Bacillus thuringiensis* subsp. *galleriae*  
394 (Krieg et al., 1983; Visser et al., 1994). Thus, there are clear contradictions regarding the  
395 efficacy of Bt on non-target microflora. A three-year continuous field trial with Bt cotton  
396 observed no significant changes in fungal community diversity and population in the rhizosphere  
397 of Bt-cotton compared to conventional cotton (Xie et al., 2016). Similarly, Qi et al. (2018) found  
398 no significant changes in bacterial communities in Bt cotton when compared to the non-Bt  
399 cultivar. Zhaolei et al. (2018) observed a rapid decline in the concentration of the Bt protein  
400 without any significant changes in the microbial community structure and diversity. Li et al.  
401 (2018) also reported that the cultivation of Bt cotton vis-à-vis conventional varieties did not  
402 significantly affect soil bacterial population dynamics, and indicated that soil factors such as pH  
403 greatly influenced the microbial community. Indeed, no trace of the Bt protein (*Cry1Ac*) was  
404 detected in fields one year after Bt cotton cultivation and crop residue incorporation (Zhang et  
405 al., 2019).

406 Saxena and Stozky (2000) conducted studies on the secretion of Bt toxin from the roots  
407 of Bt corn into the soil and detected the Bt toxin in root exudates at 7, 15 and 25 days after seed  
408 germination. However, the toxin was only detected under sterile conditions and under non-sterile  
409 conditions it was rapidly hydrolyzed by microbial proteases. No evidence of the Bt toxin was

410 found in the soils grown with non-Bt corn (Table 2). The detection of the Bt-toxin after a certain  
411 period indicated some protection of the toxin in clay–humus structures under both sterile and  
412 non-sterile soil conditions.

413

#### 414 5.2 *Fate of Bt toxin in soil*

415 It is expected that the Bt toxin would be rapidly adsorbed and tightly bound to soil clays,  
416 which would protect the Bt toxin from degradation, while keeping insecticidal activity intact  
417 (Crecchio and Stotzky, 1998). In fact, compared to the free protein, the presence of various  
418 humic acid functional groups strongly influenced the binding of the Bt toxin to soil constituents  
419 including clays, where the humic acid-bound Bt toxin was highly recalcitrant to microbial  
420 degradation (Crecchio and Stotzky, 1998). Indeed, Koskella and Stotzky (1997) reported that the  
421 free toxin from *Bacillus thuringiensis* subsp. *kurstaki* or *Bacillus thuringiensis* subsp. *tenebrionis*  
422 could be utilized by *Proteus vulgaris*, *Enterobacter aerogenes* and a diverse microbial culture  
423 isolated from soils, but not when the Bt toxin was bound to montmorillonite clay mineral (Table  
424 3). In addition to soil clay contents, soil organic matter may also influence the accumulation of  
425 the Bt toxin in soils. Thus, while there is considerable evidence that the accumulation of the Bt  
426 toxin in soils may potentially pose a risk to non-target soil organisms, in short term studies the Bt  
427 toxin, either free or bound, had no adverse influence on the growth and development of soil biota  
428 (Rui et al., 2005; Saxena and Stotzky, 2001).

429

#### 430 5.3 *Impact of Bt cotton on soil microbial and biochemical indicators*

431 Since Bt cotton is the most cultivated and commercially released GM crop worldwide,  
432 the possibility for Bt efflux into the soil environment is relatively high with possible entry routes

433 into the soil either through root release and/or residue decomposition during crop growth (Sarkar  
434 et al., 2009). The Bt toxin is present in every major part of Bt cotton plants including leaves,  
435 stems and roots, with the highest Bt toxin production in the roots during the latter growth stages  
436 of the plants (Sarkar et al., 2009).

437         Soil microorganisms may thus come into close contact with the *Cry* toxin produced  
438 from GM Bt plants at various developmental stages. Although Bt is naturally present in the soil,  
439 growing GM Bt corn, for example, may increase the concentration of the Bt toxin in agricultural  
440 systems; up to 0.25 g ha<sup>-1</sup> for soils and up to 650 g t<sup>-1</sup> in the Bt corn plants excluding grains  
441 (Blackwood and Buyer, 2004; Sarkar et al., 2009). However, the slight effects due to a particular  
442 GM crop trait on plant-associated microorganisms might often be practically over-shadowed by  
443 the developmental stages of the crop themselves. For example, using a high-throughput  
444 sequencing technique, Pan et al. (2018) showed that developmental stages had a significant  
445 influence on shaping the phyllosphere micro-biota of Bt cotton which was indistinguishable  
446 from the effect of *CryIAC* gene itself.

447         Limited information is currently available on the effects of Bt cotton on soil  
448 microbiological and biochemical indicators (Mandal et al., 2019; Mina et al., 2011; Sarkar et al.,  
449 2008; Zhou et al., 2016). The known effects of GM cotton on the rhizospheric microorganisms  
450 and processes measured using various tools have been summarized in Table 5. A pot culture  
451 study comparing Bt cotton and a corresponding non-Bt isogenic line (Fig. 2) revealed a  
452 significantly higher ( $P < 0.05$ ) microbial biomass carbon (MBC), microbial biomass nitrogen  
453 (MBN), microbial biomass phosphorus (MBP) and microbial quotient (MQ) in the Bt  
454 rhizospheric soil (Sarkar et al., 2009). This study also found that soil enzymatic activities;  
455 comprising nitrate reductase and phosphatases; were greater in the rhizospheric soil of Bt cotton

456 than in the unmodified isogenic line (Sarkar et al., 2009). Similarly, nitrification and potential N  
457 mineralization in the soil under Bt cotton crop were greater than the non-Bt isogenic line (Sarkar  
458 et al., 2009). However, the soil total organic carbon (TOC) contents showed no significant  
459 difference between the Bt and non-Bt cotton crops (Sarkar et al., 2009). In another study  
460 conducted under similar agro-climatic conditions, Mina et al. (2011) found that enzymatic  
461 activities; such as alkaline phosphatase, nitrate reductase and urease; did not significantly change  
462 ( $P < 0.05$ ) under Bt compared to non-Bt cotton cropping in field trials. However, the authors did  
463 report a significantly greater dehydrogenase activity in the soil under Bt-cotton than the  
464 unmodified isogenic line (Mina et al., 2011). The authors also observed higher numbers of soil  
465 fauna in the Bt cotton rhizosphere than the non-Bt cotton rhizosphere. Both these studies (Mina  
466 et al., 2011; Sarkar et al., 2009) concluded that the cultivation of Bt cotton did not pose any  
467 threat to the ecosystem functions of the soils, which was subsequently confirmed by several  
468 other studies (Kumari et al., 2015; Mina and Chaudhary, 2012; Singh et al., 2013;  
469 Velmourougane and Sahu, 2013; Velmourougane and Blaise, 2014). A subsequent field-based  
470 study also confirmed some positive impacts of Bt cotton based cropping systems on soil  
471 microbiological properties over non-Bt cotton based cropping systems (Mandal et al., 2019).

472 Kumari et al. (2015) reported that the presence of non-Bt cotton residues in the soil  
473 resulted in a significantly higher population of micro-flora and MBC than Bt cotton residues.  
474 However, when the interactive effect of crop varieties and soil types was investigated at various  
475 crop growth stages, the effect of Bt cotton residues on the soil micro-flora population was not  
476 significant (Kumari et al., 2015). The cropping pattern of Bt cotton could also influence its effect  
477 on soil microorganisms. For example, the population of soil bacteria, fungi, and actinomycetes

478 were enhanced by 60, 14 and 10%, respectively; in comparison to Bt cotton in isolation when  
479 peanut was grown as a cover crop between the Bt cotton rows (Singh et al., 2013).

480 In addition, the pattern of nutrient application strongly influenced soil dehydrogenase  
481 activity (total oxidative metabolic activity) under Bt cotton cultivation (Mina et al., 2011). For  
482 example, the application of urea along with farmyard manure (FYM) resulted in a greater level  
483 of dehydrogenase activity and N availability in soils under Bt cotton when compared to the  
484 application of urea alone (Singh et al., 2013; Singh and Ahlawat, 2014a). In practice, the  
485 introduction of a legume and organic manure combination to a Bt cotton–wheat system was  
486 shown to be a sustainable management approach for coping with the instability of GM hybrid  
487 adoption scenarios in south Asian countries (Singh and Ahlawat, 2014b).

488 Sarkar et al. (2008) studied the nutrient (N and P) availability and dynamics in a sandy  
489 loam when a Bt cotton (cv. MRC-6301Bt) crop and its non-isogenic line were grown to maturity  
490 under pot culture. They found that the total inorganic-N (ammonium-N + nitrate-N) in the soil  
491 was reduced by 14%, whereas the available P was enhanced by 8% due to Bt cotton cultivation  
492 (Table 4) as well as a remarkable interactive influence of sampling time and Bt/non-Bt  
493 treatments (Sarkar et al., 2008). In contrast, in a field experiment, Mina et al. (2011) found 17  
494 and 3.5% reductions in dehydrogenase activity and heterotrophic respiration, respectively, in the  
495 soil of Bt cotton compared to non-Bt cotton isoline. Kumari et al. (2015) also observed a 7.5%  
496 reduction in dehydrogenase activity due to Bt cotton residue incorporation into the soil. It was  
497 reported that Bt cotton might limit the supply of inorganic N, but enhance P-solubilization in  
498 soils (Sarkar et al., 2008). However, as discussed previously above, for many GM traits, the  
499 effects of Bt cotton on soil microbial and biochemical indicators were not as pronounced as other  
500 variable soil factors, crop uptake phenomena or prevailing ecological conditions.

501

502 5.4 *Consequence of Bt cotton on nutrient dynamics and C cycling in soil*

503 Cultivation of GM crops predominantly influences soil biogeochemical processes,  
504 particularly nutrient cycling in the soil ecosystem, by either modifying rhizosphere chemistry or  
505 through the products of the plant's introduced gene, i.e. the *Cry* toxin in case of Bt cotton (Fig.1).

506 Rhizosphere dwelling microorganisms, their biomass and activity also influence nutrient  
507 mineralization in the root zone of GM crops. The genetically aided promotion of root  
508 characteristics; including root density and length; can lead to higher production of root exudates  
509 and the amount of easily bioavailable C and N in the soil under GM crops compared to  
510 conventional cultivars (Beura and Rakshit, 2013). For example, a 12-13% decrease in available  
511 soil N due to Bt cotton (preferably because of higher N uptake) compared to non-Bt isolate was  
512 reported (Beura and Rakshit, 2013). Thus, GM crops have a strong influence on soil nutrient  
513 cycling (Motavalli et al., 2004). However, no clear information is available as to whether root  
514 exudates directly cause the differences in soil nutrient cycling under GM crops or other non-  
515 targeted physiological changes such as content of starch, soluble N, proteins, carbohydrates,  
516 lignin in the plant parts are actually responsible (Icoz and Stotzky, 2008).

517 Available soil P is mainly regulated by interactions between plants and soil biota (Kennedy,  
518 1998) and in the rhizosphere, both plant roots and associated microorganisms are influenced by  
519 the prevailing soil physico-chemical properties. Thus, amendments of organic acids to soils and  
520 organic acid release through root exudates play a significant role in P availability (Koyama et al.,  
521 2000; Lopez-Bucio et al., 2000). Likewise, changes in the composition and amount of root  
522 exudates in plants resulting from the expression of novel genetic traits may have a direct  
523 influence on soil P transformation, and/or indirect effects on P availability through shifts in the

524 community and activity of rhizosphere dwelling microorganisms. For example, P availability in  
525 the soil improved due to alterations of rhizospheric environments under Bt cotton (Mina and  
526 Chaudhary, 2012; Shen et al., 2006).

527 Similarly, increases in both macro- and micro-nutrient availability were observed in the  
528 rhizosphere soil of GM alfalfa due to a greater root exudation of low molecular organic acids by  
529 GM alfalfa compared to the non-GM crop (Tesfaye et al., 2003). In another study, a strong non-  
530 linear relationship between available P and root parameters suggested that the higher availability  
531 of P in GM crops might not be solely due to variation in root exudates, but might have also been  
532 due to variations in rhizospheric microorganisms (Cabugao et al., 2017). For Bt cotton, relative  
533 to its non-isogenic cultivar, available N and K contents were lower due to the higher nutrient  
534 demand of the Bt plants relative to its non-Bt counterparts (Sarkar et al., 2008), where Bt cotton  
535 seemed to limit N and K soil availability while increasing P availability (Sarkar et al., 2008).  
536 Efflux of root exudates from GM crops also influenced soil C pools by enhancing the C  
537 fractions; including MBC in the rhizosphere of Bt cotton (Velmourgane and Sahu, 2013). In  
538 addition, high soil enzymatic activities and enhanced beneficial microbial populations in the  
539 rhizosphere of Bt cotton might positively affect the soil available nutrient contents (Mandal et  
540 al., 2019). However, it was also observed that irrespective of the nutrient status, there were  
541 significant interaction effects between soil types and Bt crop at different growth stages (Beura  
542 and Rakshit, 2013).

543

## 544 **6. Impact of genetically modified microorganisms on soil biota**

545 Since field scale addition of GM microorganisms to soils has been very limited, the  
546 impact of GM microorganisms on soil biota has also been less well studied. The ecological



547 consequences of GM microorganism addition to the soil have primarily considered the initial  
548 capacity of the introduced GM microorganisms to survive competition with native soil  
549 microorganisms (Doyle et al., 1995). It is only once the newly introduced microorganisms have  
550 exhibited successful competition and growth, that they might cause a shift in the native structural  
551 and functional microbial community (Doyle et al., 1995). Such a microbial community shift  
552 could then be achieved via a gene transfer mechanism to the native bacteria and subsequent  
553 biomass turnover. One limitation of current research in this area is that most of the changes in  
554 native bacterial community and biomass turnover were only observed in *in-vitro* research which  
555 might not be reliable extrapolated to field conditions. Moreover, only a temporary variation in  
556 the native microbial community may occur after inoculation of GM microorganisms into the soil  
557 (DeLeij et al., 1995).

558         A brief account of possible benefits and limitations of GM crops has been presented in  
559 Table 6. It is clearly evident that an inadequate number of studies have been conducted  
560 concerning the impact of functionally modified bacteria on native soil microorganisms. For  
561 example, *Rhizobium leguminosarum*, which was modified with a Bt gene in order to achieve  
562 protection against Sitona (*Sitona discoides*) weevil, surprisingly had a higher ability to compete  
563 for nodule sites on pea (*Pisum sativa cv Meteor*) roots than the wild *Rhizobium* strain (Giddings  
564 et al., 2000). While the development rates of the GM strain and the wild type were similar in the  
565 *in vitro* culture, when applied into the soil of growing pea plants the GM strain had a better  
566 ecological benefit than the wild type strain (Giddings et al., 2000). However, the authors did  
567 suggest that this effect might not be because of the transgene function directly but as a result of  
568 the variation in the random sites of insertion of the new gene (Giddings et al., 2000).

569

## 570 7. **Horizontal gene transfer**

571 By and large the mechanisms of gene transfer from crop plants to microorganisms and  
572 the resulting shaping of the root microbial community are unknown (Fitzpatrick et al., 2018). A  
573 few mechanisms were however suggested for the pollen hybridization process amongst suitable  
574 plant species (Nielsen et al., 1998). Whether such mechanisms could explain the gene transfer  
575 from GM plants to soil microorganisms requires more extensive research. In contrast, various  
576 mechanisms for horizontal gene transfer (HGT) amongst bacterial species have been previously  
577 described including transformation, transduction and conjugation (Crisp et al., 2015). Of these,  
578 only transformation and conjugation are considered here as being plausible for gene transfer  
579 between GM and unmodified bacterial species. In case of transduction, the gene transfer involves  
580 the participation of a virus or viral vector, which is considered the least plausible scenario for  
581 gene transfer from GM plants to soil microorganisms because of the extremely heterogeneous  
582 soil environment.

583

### 584 *7.1 Gene transfer through natural transformation*

585 Transformation is widely recognized as the most plausible pathway for genes to be  
586 transferred from one bacterial species to another in the soil ecosystem. In this mechanism, the  
587 competent bacterium may take up naked DNA from the adjoining environment (Dröge et al.,  
588 1998), where the conditions for competency vary between bacterial species and the naked DNA,  
589 can be derived from either the chromosomal or plasmid DNA released from living or dead  
590 microorganisms (David et al., 2016). However, there are many barriers to transformations in the  
591 soil and the rates of transformation could be extremely challenging to measure (Nielsen et al.,  
592 1998). While many reports have indicated that DNA could persist in the soil under certain

593 conditions for months to years, this also depends on the prevailing environmental conditions  
594 (Gebhard and Smalla, 1999; Nagler et al., 2018). For example, a greater level of DNA  
595 persistence can be expected in a soil with higher clay content and lower temperature than that  
596 with lower clay content and higher temperature. Today many more species of bacteria are  
597 capable of transformation than was previously thought (Havarstein, 1998). For example,  
598 Demanechee et al. (2001) showed that in soil microcosms under natural conditions HGT through  
599 transformation was possible between *Pseudomonas fluorescens* and *Agrobacterium tumefaciens*,  
600 but the same was not observed under *in vitro* conditions.

601 Experimental attempts to transfer genes from GM crops to soil microorganisms were  
602 largely unsuccessful. For example, by screening a massive 4000 bacterial colonies, Gebhard and  
603 Smalla (1999) found that there was no gene (kanamycin) transfer from GM sugar beet to the  
604 native soil bacteria. Although, the authors did qualify this result by reporting that the possibility  
605 of identifying transformation was hampered by the higher natural incidence of kanamycin  
606 resistant bacteria in the native soil environment (Gebhard and Smalla, 1999).

607 To date most experimental attempts to demonstrate HGT from GM crops to soil  
608 microorganisms have mainly focused on the use of model systems, where the identified  
609 microorganisms, as the recipient of the genetically modified DNA, were naturally competent  
610 (Dröge et al., 1998). It was observed that while transformation of the soil bacterium  
611 *Acinitobacter sp.* by GM sugar beet DNA occurred under sterile soil conditions, it was not  
612 observed under a non-sterile soil conditions (Nielsen et al., 2000). The magnitude of  
613 transformation in the non-sterile soil was estimated to be only  $10^{-10}$  to  $10^{-11}$  units, which was well  
614 below the level of detection. Other studies also confirmed a low probability for incorporation of  
615 transgenes in the bacterial genome if a DNA homology was not already existing in the system

616 (Nielsen et al., 1997). Therefore, while the possibility of transformation of competent bacteria by  
617 GM plant DNA; both in the bulk soil and in the rhizosphere; exists, in practice this would be at  
618 extremely low frequencies, if at all.

619

## 620 *7.2 Gene transfer through conjugation*

621 Although DNA transfer via conjugation generally takes place only amongst closely  
622 related bacterial species, it can also occur amongst various bacterial genera and between Gram-  
623 positive and Gram-negative bacterium. In this process, the shift of DNA from one bacterial cell  
624 to another occurs through direct contact between the cells. DNA is transferred via specific  
625 conjugation structures that are encoded by different self-transmissible plasmids and conjugative  
626 transposons. While the rates of plasmid DNA transfer could be very high *in vitro* studies, this  
627 would drop considerable under heterogeneous soil conditions, where the rates of conjugation  
628 between bacteria in the soil may differ widely. However, there may also be hotspots in the soil,  
629 such as the rhizosphere, where higher rates of conjugation might occur than the bulk soil because  
630 the former would have a greater abundance of bacteria than the latter. In practice, the movement  
631 of plasmids from GM microorganisms to native soil bacteria has been observed by Smit et al.  
632 (1991). Similarly, the uptake of plasmids by GM microorganisms from indigenous bacteria was  
633 also reported by Lilley and Bailey (1997), demonstrating the potential for HGT in soil.

634 Soil macro-biota, such as earthworms, could play a significant role in HGT. Gene transfer  
635 through conjugation was reported amongst bacteria which were spatially separated in a soil  
636 microcosm containing earthworms (Daane et al., 1997). This occurred because contact between  
637 microorganisms was enhanced when large bacterial populations were confined within the small  
638 space of the alimentary tract of the earthworm. In addition, in some insects, the gut environment

639 also provided conditions suitable for the growth and conjugation of bacteria. In fact, conjugation  
640 between bacteria was observed in the gut of Rhabditis nematodes (Adamo and Gealt, 1996) and  
641 in Collembola (Hoffmann et al., 1999). However, since conjugation involves direct contact and  
642 exchange of DNA between two bacteria, it is unlikely to occur in the soil solely due to the  
643 cultivation of GM crops.

644

## 645 **8. Conclusions**

646 In developing countries, GM crops have huge potential to fulfill the food demand of an ever-  
647 growing population and make countries self-sufficient in agricultural production. However,  
648 despite their rapid uptake worldwide, thorough studies examining the ecological risks induced by  
649 GM crops are relatively few. In most of the studies conducted to date, the impact was extremely  
650 low and often was insignificant compared to influences from normal background fluctuations in  
651 other soil parameters. While some studies showed that GM plants caused considerable changes  
652 in the structure and functions of indigenous soil microbial community, the soil heterogeneity,  
653 varying nutritional requirements of GM plants, lack of suitable controls and other ecological set-  
654 up imposed major difficulties in interpreting the real impact of GM plants on soil  
655 microorganisms. Likewise, the practical impact of GM crops on soil biota and rhizospheric  
656 processes was limited by the level of robust studies which hinders a complete risk assessment of  
657 specific GM crops. Since the current understanding of GM crops on soil biota and their functions  
658 remain unclear, future research initiatives should focus on the risk assessment of GM crops at all  
659 trophic levels, considering every components of the ecosystem, and this should include emerging  
660 potential GM crops, such as brinjal and rice.

661

662 **9. Future directions**

663 As discussed briefly below, this review gives some new insights into researchable issues  
664 and strategies necessary for the large-scale adoption of GM crops in order to achieve food and  
665 nutritional security *vis-à-vis* ecological safety.

666 (1) Current research indicates that there have been limited long-term studies which are now  
667 considered essential to practically study the impact of GM crops on soil flora and fauna.

668 (2) To date most of the laboratory studies which have shown soil accumulation of the Bt toxin  
669 have not been duplicated under field conditions due to the significant influence of edaphic  
670 factors and the biochemical activities of the native soil microorganisms under natural conditions.  
671 Hence, accurate estimation of the factors responsible for the transformation of Bt toxin under  
672 field conditions urgently needs to be evaluated. Likewise, the possibility of the movement of the  
673 *cry* gene from GM crops to non-target crops including wild relatives and weed flora needs is  
674 uncertain and needs to be thoroughly investigated.

675 (3) Very limited information is currently available on the effects of GM crops on soil  
676 invertebrates including ants, centipedes, collembola, earthworms, millipedes, mole crickets and  
677 nematodes. This is important because soil invertebrates are mainly responsible for the  
678 disintegration and decomposition of organic matter in the soil and thus greatly influence the  
679 nutrient recycling process. Therefore, a holistic effort is urgently required to compare both floral  
680 and faunal diversity under GM *vis-à-vis* non-GM crops.

681 (4) The current understanding of the effects of GM crops on soil biota and their functions are  
682 unclear; therefore, detail studies are required which assess the risks of GM crops with a special  
683 emphasis on edible GM crops.

684 (5) While many previous studies concerning the impact of GM crops on soil processes involved  
685 traditional microbial enumeration methods, <1% of the natural soil microorganisms can be  
686 cultured in the laboratory. Future studies evaluating the effects of GM crops on soil  
687 microorganisms therefore should include state of the art molecular techniques such as soil  
688 metagenomics and metabolomics to understand the community structure and function level  
689 processes.

690

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696

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1123 *Figure caption*

1124 Fig 1. Schematic diagram representing impact of genetically modified crops on soil microbial  
1125 communities and microbe-mediated processes. (PGP: Plant Growth Promoters; HGT: Horizontal  
1126 Gene Transfer).

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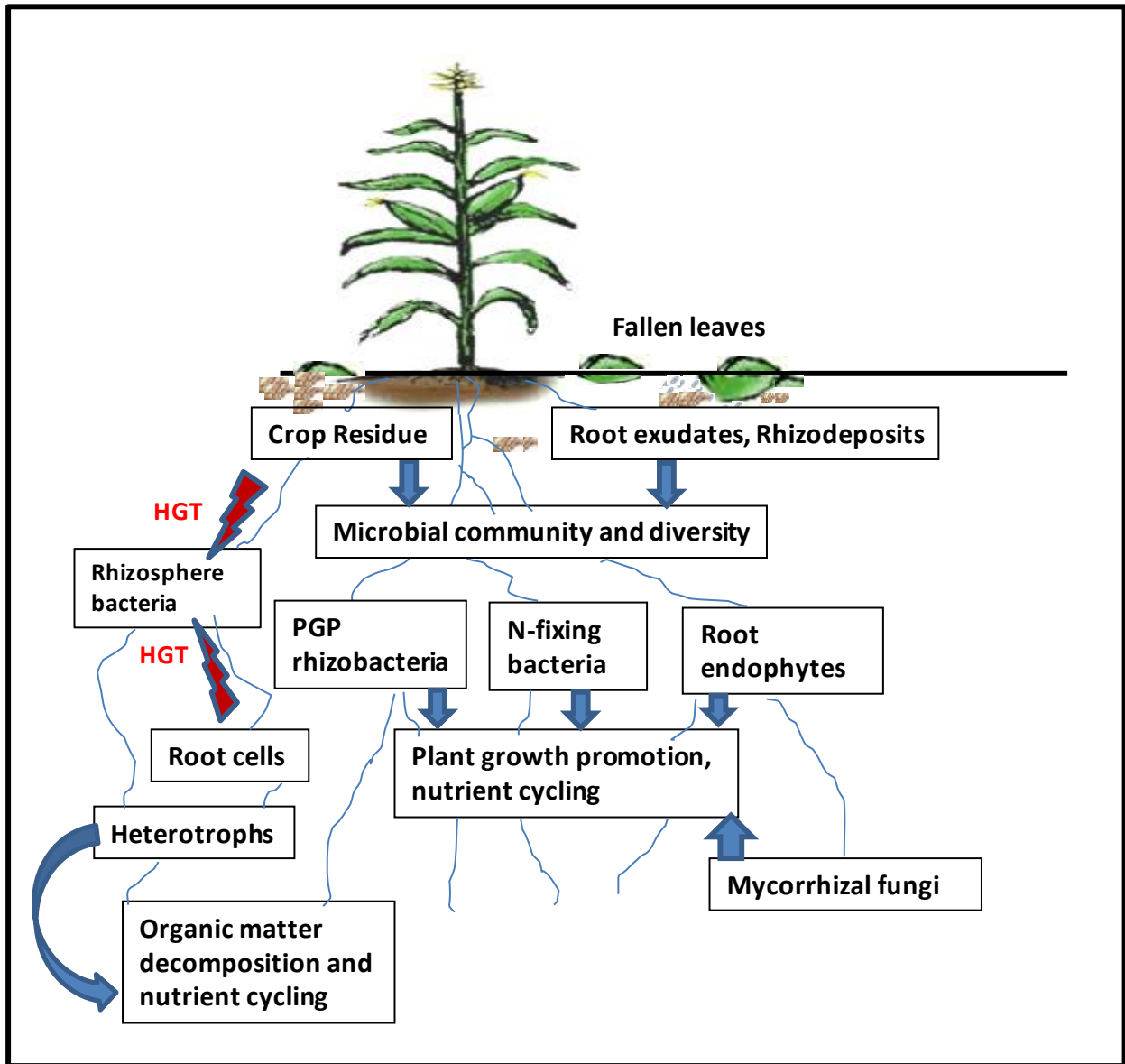
1128 Fig. 2: Effects of Bt cotton on selected soil biochemical and biological indicators (adapted from  
1129 Sarkar et al., 2009); MBC: Microbial biomass C, MBN: Microbial biomass N, MBP: Microbial  
1130 biomass P, MiQ: Microbial quotient; PNM: Potential N mineralization, NF: Nitrification; NR:  
1131 Nitrate reductase, Alk-P: Alkaline phosphatase, Acid-P: Acid phosphatase.

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1134 **Figures**

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1137 Fig. 1

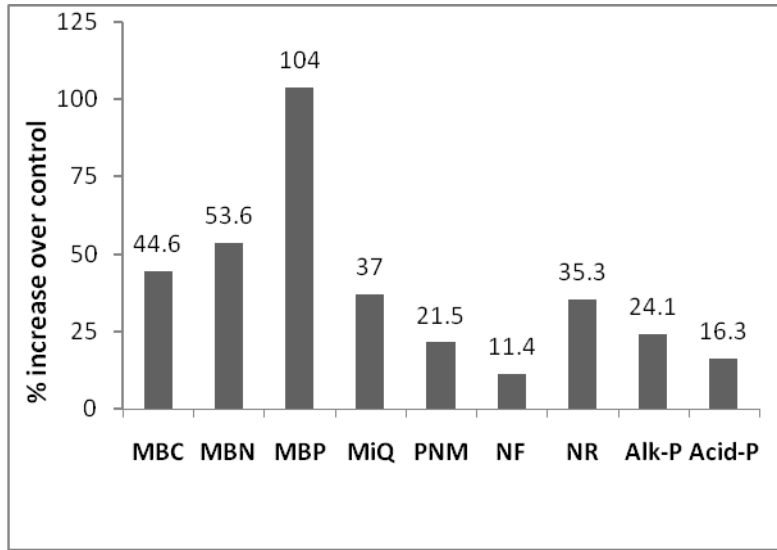
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1144 Fig. 2

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1146 **Table 1.** Area and type of GM crops grown in different countries in 2017 (adapted from James,  
 1147 2017).

<b>Country</b>	<b>Area (M ha)</b>	<b>Type of GM crop</b>
USA	75.0	Soybean, maize, cotton, rapeseed, sugarbeet, squash, papaya
Brazil	50.2	Soybean, maize, cotton
Argentina	23.6	Soybean, maize, cotton
Canada	13.1	Rapeseed, maize, soybean, sugarbeet
India	11.4	Cotton
Paraguay	3.0	Soybean
Pakistan	3.0	Cotton
China	2.8	Cotton, poplar, papaya, tomato, sweet pepper, petunia
South Africa	2.7	Maize, soybean, cotton
Bolivia	1.3	Soybean
Uruguay	1.1	Soybean, maize
Australia	0.9	Cotton, rapeseed, carnation
Philippines	0.6	Maize
Other countries	≈1.4	Maize, cotton, soybean, canola, eggplant

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1150 **Table 2.** Presence of toxin in corn root exudates with and without the *cry I AB* gene (adapted  
 1151 from Saxena and Stotzky, 2000).

Growth condition	Days after germination of seed					
	7		15		25	
	(Bt <sup>-</sup> )	(Bt <sup>+</sup> )	(Bt <sup>-</sup> )	(Bt <sup>+</sup> )	(Bt <sup>-</sup> )	(Bt <sup>+</sup> )
Hoagland's solution (SHPC)	-	+	-	+	-	-
Soil	-	+	-	+	-	+

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1153 (Bt<sup>-</sup>): Non-Bt corn; (Bt<sup>+</sup>): Transgenic Bt-corn; SHPC: Sterile hydro phonic culture

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1156 **Table 3.** Growth of microorganisms upon utilization of Bt toxin (adapted from Koskella and  
 1157 Stotzky, 1997).

Organism	Toxin	Binding clay fraction	Growth on toxin	
			Free	Bound
<i>P. vulgaris</i>	<i>B.t.</i> subsp. <i>kurstaki</i>	Ca-montmorillonite	+	-
<i>E. aerogenes</i>	<i>B.t.</i> subsp. <i>kurstaki</i>	Ca-montmorillonite	+	-
Mixed microbial culture	<i>B. t.</i> subsp. <i>tenebrionis</i>	Na-montmorillonite	+	-

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1161 **Table 4.** Effects of Bt-cotton on available nutrient contents in soil (adapted from Sarkar et al.,  
1162 2008).

Parameters	Available nutrient contents (mg kg <sup>-1</sup> )			
	No crop	non Bt-cotton	Bt-cotton	LSD (P < 0.05)
Ammonium-N	19.7	19.3	18.0	Not significant
Nitrate-N	17.2	17.6	13.6	3.0
Total mineral N	36.8	36.9	31.6	3.6
Olsen-P	9.6	7.7	8.3	0.4

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1166 **Table 5.** Effect of Bt-cotton expressing *cry* toxin on soil microorganisms and microbial  
 1167 communities

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Methods used for risk assessment	Impacts on microorganism/biota	References
Microbial counts (CFUs)	Significant negative differences in the numbers of the three functional bacteria	Rui et al., 2005
Catabolic diversity (CLPP)	No effects on the functional diversity of microbial communities	Shen et al., 2006
ARDRA; RISA; BOX-PCR; ERIC-PCR	No effects on diversity richness of PPFMs	Balachandar et al., 2008
Microbial counts (CFUs)	No significant effects on the numbers of different functional bacteria groups	Hu et al., 2009
Microbial counts (CFUs), T-RFLP.	No adverse effects on the diversity of the microbial communities	Kapur et al., 2010
Biochemical properties, faunal counts (nematode, collembolan, ants)	No differences in the soil biochemical properties, however faunal counts was found higher under Bt-cotton rhizosphere soil	Mina et al., 2011
Microbial counts (CFUs, MPN)	No significant effects on the number of bacteria, fungi, azotobacter, and the diversity indices of microorganisms	Li et al., 2011
Microbial counts (CFUs), biochemical properties	Decline in actinobacteria, bacterial counts and biochemical properties	Tarafdar et al., 2012

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Microbial counts (CFUs)	No effects on microbial population and microbial diversity indices	Velmourougane and Sahu, 2013
Microbial counts (CFUs)	Bt-transgenic cotton tissues have no apparent impact on soil bacteria, actinomycetes and fungi	Hu et al., 2013
Microbial counts (CFUs), 16S rRNA and 18S rRNA gene sequencing	rhizosphere soil sample of non-Bt cotton has shown increased number of bacterial and fungal populations indicating adverse effects on soil micro flora.	Pindi and Sultana, 2013
Microbial counts (CFUs)	No apparent impact on microorganism populations	Zhang et al., 2014
DGGE techniques, Microbial properties	No significant influence of cultivar or GM status on the total biomass and rhizosphere bacterial or fungal communities	Knox et al., 2014
DGGE	No effects on microbial communities	Zhang et al., 2015
CFUs, Enzymatic activity	apparently no negative effect on metabolic, microbiological activities	Yasin et al., 2016
qPCR) and denaturing gradient gel electrophoresis (DGGE)	no indication of any significant changes of fungal community diversity and population in rhizosphere of Bt-cotton	Xie et al., 2016
Molecular analyses such as immune Dot blot, SDS-	No lethal effects of transgenic Bt protein on the survival of earthworm	Shahid et al., 2016

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PAGE, ELISA and PCR

qPCR and denaturing gradient gel electrophoresis (DGGE)	No significant differences were found in actinobacterial communities in the rhizosphere of transgenic cotton.	Qiao et al., 2017
qPCR, 16S rRNA gene sequencing	No significant differences were detected between the same root zones from Bt and the conventional cotton varieties.	Li et al., 2018
Microbial community analysis via rDNA gene sequencing	Transgenic cotton may not significantly affect soil microorganisms compared with conventional cotton	Qi et al., 2018
Microbial counts (CFUs), Biochemical properties	No adverse effect on soil beneficial microorganism and soil enzyme activities	Mandal et al., 2019
Quantitative and metagenomic analyses (marker gene 16S rRNA)	Cultivation of transgenic cotton does not seem to affect the quantity and diversity of natural soil bacteria	Fernandes et al., 2019
Microbial counts (CFUs), Biochemical characterization	No significant differences were observed in relation to parameters like bacterial population, colony morphologies, biochemical activities.	Yaqoob et al., 2019
Microbial counts (CFUs)	No adverse effects on community structures and total number of culturable bacteria and fungi in the rhizosphere.	Shahmoradi et al., 2019
Catabolic diversity (Biolog)	The original functional diversity of soil	Zhang et al.,

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microbial communities was affected by 2019  
planting transgenic Bt cotton in one year and  
immediately returning residues.

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1172 **Table 6.** Pros and cons of genetically modified crops (Adapted from Van Acker et al., 2017)

Prospects	Limitations
Resistance to insects and pests	Allergic reactions to people
Potentially withstand adverse climatic conditions	Not fully proven for eco-friendliness
Increased promise on the productivity of GM plants	May be toxic to non-target organisms
Environmental benefits with less emission of greenhouse gases, soil erosion and soil pollution	Possibility of decreased sensitivity towards existing agrochemicals/drugs
Extended protection of the crops	Not totally safe at different trophic levels
More nutritional quality and biofortified foods	Cross pollination and genome contamination
Less depend on pesticide use	Risk of gene transfer to wild relatives and resurgence of minor pests
Less exposure of pesticide chemicals and residues to food crops	Uncertainty of sustainable productivity and erosion of biodiversity due to rapid increase in cultivated area of GM crops
Pesticide reduction has positive influence on the diversity of beneficial insects	Buildup of resistance in target pests will necessitate the novel strategy to combat with the pests

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