

1 **Type: Original Article**

2 **Exploring a New Model of End-of-Life Care for Older People that Operates in the**
3 **Space Between the Life World and the Healthcare System: A Qualitative Case Study**

4 **Abstract**

5 **Background:** Innovative service models to facilitate end-of-life care for older people may be
6 required to enable and bolster networks of care. The aim of this study was to understand how
7 and why a new charitably funded service model of end-of-life care impacts upon the lives of
8 older people.

9 **Methods.** A multiple exploratory qualitative case study research strategy. Cases were three
10 sites providing a new end of life service model for older people. The services were provided in
11 community settings, primarily providing support in peoples own homes. Study participants
12 included the older people receiving the end-of-life care service, their informal carers, staff
13 providing care within the service and other stakeholders. Data collection included individual
14 interviews with older people and informal carers at two time points, focus group interviews
15 with staff and local stakeholders, non-participant observation of meetings, and a final cross-
16 case deliberative panel discussion workshop. Framework analysis facilitated analysis within
17 and across cases.

18 **Results:** Twenty-three service users and five informal carers participated in individual
19 interviews across the cases. Two focus groups were held with an additional 12 participants,
20 and 19 people attended the deliberative panel workshop. Important elements contributing to
21 the experience and impacts of the service included organisation, where services felt they were
22 ‘outsiders’; the focus of the services and their flexible approach; and the impacts particularly
23 in enriching relationships and improving mental health.

24 **Conclusion:** These end-of-life care service models operated in a space between the healthcare
25 system and the person’s life world. This meant there could be ambiguity around their services,
26 where they occupied a liminal, but important, space. These services are potentially important
27 to older people, but should not be overly constrained or they may lose the very flexibility that
28 enables them to have impact.

29

30 **Keywords:** Health Services, Health Services for the Aged, Frail Elderly, Palliative Care, Aged,
31 Qualitative Research

32

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31

Key Messages:

1. Implications for policy makers

- Flexible service models that are provided without formal, statutory health and social care funding may offer particular and specific benefits to older people towards the end of their lives.
- Service models provided outside of usual care provision should be enabled to be flexible, responsive and risk taking to facilitate a different sort of impact on older people.
- Articulating the roles a new service model may provide is important, but there should be the facility for this to change in response to actual patient need.
- Supportive end-of-life care service models operating in a community or voluntary provided space are likely to have an impact on enriching relationships and improving mental health.

2. Implications for public

Older people who live at home towards the end of their lives may have care and support needs that are not met by traditional health and social care services such as nurses, doctors or social care services. We found that a new, charitably provided, service model focused on responsive identification of care needs, service referral and befriending operated in an important space between formal care services and the support of friends and family. They appeared to help people to feel safe and secure, re-connect them with their communities, and enable improvements in people’s mental health. Service providers could consider how they may replicate such care models within their own contexts.

Introduction

‘Building a workforce that can meet this population’s needs will require more than training ... it will require a radical redesign of the health system that is centered on the communities where patients live’¹

1 At the *Health Affairs* summit in 2018, the need to redesign healthcare for those with serious
2 illness was clearly set out¹. Many palliative care services are found mostly in hospitals, and
3 hospice care may only be offered to those imminently dying²⁻⁴. There is a need to develop
4 palliative care service models to meet the needs of those, often older people, living in the
5 community who are frail and with co-morbid conditions, and who are likely to be towards the
6 end of their lives⁵. In the context of this study we use the term ‘approaching the end of life’,
7 meaning likely to die within the next 12 months. This includes people with advanced,
8 progressive, incurable conditions, general frailty, and coexisting conditions that mean they are
9 expected to die within 12 months⁶.

10 An important element of care provision towards the end of life may be based within and from
11 the community, provided via social networks and using people’s social capital^{7,8}. Social
12 relationships and networks can buffer distress or crisis situations, prevent family carer burn out,
13 and demonstrate the importance of social contexts^{9,10}. However, existing networks can be small
14 and fragile, community engagement reduced by existing caregiving responsibilities, and with
15 formal care services providing little practical support⁹. Older people may have smaller social
16 networks, and family carers themselves may be older. Compared to other caregivers, end-of-
17 life caregivers provide nearly twice as many hours of care per week and, report more care-
18 related challenges¹¹. Social isolation of itself also has a major influence on health, comparable
19 with well-established risk factors for mortality¹². For older people in particular, innovative
20 solutions and service models may be required that enable and bolster networks of care.

21 Service models to facilitate access to healthcare for frail older people include care coordination,
22 case management, care navigation, and integrated care, with variable effects on outcomes such
23 as satisfaction, health status, healthcare utilisation or place of death¹³⁻¹⁹. What these
24 interventions share is their mediation by health or social care professionals working within
25 formal care networks, often with a relatively narrow focus. It may be that service models that
26 sit outside these formal care networks could offer a flexible, innovative, community focused
27 solutions to improving access to care for those who may not usually access palliative or hospice
28 care services, meet needs and enable improved quality of life.

29 In this paper research is presented exploring the impact of a new service model of care towards
30 the last years of life for older people. A UK charity focused on older people (Age UK) set up
31 three pilot services facilitating care to older people thought to be in their last 12-18 months of
32 life. Sitting outside the formal health care system, the service model involved a number of
33 aspects. First, encouraging referral of those thought to be in their last 12-18 months of life

1 primarily through working alongside general(family) practitioners and publicity to local health
 2 and social care providers. Second, training staff (not necessarily with a health or social care
 3 background) to enable conversations with older people and facilitate integrated support to
 4 achieve personal goals. Third, mobilising volunteers to provide support where required. Such
 5 services were provided alongside any existing care. The aim of this research was to understand
 6 how and why this new charitably provided community model of end-of-life care influences the
 7 experiences of older people.

8

9 **Methods**

10 *Research design:* We conducted a multiple exploratory longitudinal qualitative case study
 11 research strategy^{20,21}. As the services were new, a longitudinal design enabled tracking both
 12 service development over time (6 months), and any changing impacts from those using the
 13 service. The case was defined as a location providing the new model of care and those involved
 14 with commissioning, referring to, delivering and receiving the service. North-West Liverpool
 15 East Research Ethics Committee granted approval on 2nd February 2018: 17/NW/0705. Data
 16 were collected in 2018.

17 ***Case selection and setting***

18 Three locations piloted the new service model, and these formed the three cases for the
 19 research. Each served a different geographical area. Brief information on the geographical area
 20 each served is given in Table 1 to contextualise the cases for the reader.

21

22 Table 1 Contextual information on the three locations piloting the new service model

Site	Description of location	Ethnicity of location ^a	Older person population ^a	Mortality rate by age group. ^b	Place of death 2016 all ages ^b	Referral sources for new service model
1	Market town Pop 20-30,000.	White 97.9%	60- 64: 7.4% 65 -74: 11% 75- 84: 6.6% 85- 89:1.7%	0-64 years: 11.5% 65-75 years: 18.7%	Hospital: 50.4% Care home: 23% Home: 20.9%	1 Family Practice (General Practitioner surgery)

			≥90: 0.9%	75-84 Years: 28.2% 85 years+: 41.6%		
2	Suburban, part of large urban (pop 320, 000) area	White 97%	60-64: 6.8% 65-74:9.8% 75- 84:6.7% 85- 89:1.7% ≥90: 0.9%	0-64 years: 15.2% 65-75 years: 16.1% 75-84 Years: 29.3% 85 years+: 39.3%	Hospital:50% Care home:20.8% Home: 23.8%	2 Family Practices (General Practitioner surgeries)
3	Mid-size town. Pop.200- 300,000	White 84.5%	60-64: 5.5% 65-74: 7.0% 75-84: 4.4% 85-89: 1.3% ≥90: 0.7%	0-64 years: 17.6% 65-75 years: 17.6% 75-84 Years: 25% 85 years+: 39.8%	Hospital:51.5% Care home:18.8% Home:21.7%	Varied number of referral routes

1 ^aCensus Data. ^bData from Public Health England: End of Life Care Profiles

2

3 ***Participants***

4 Participants included older people receiving care from the new service model, their family
5 carers, service providers and other stakeholders including general practitioners, community
6 nurses, and Age UK charity staff. A broad definition of ‘family carer’ was used, including
7 those related through committed heterosexual or same sex partnerships, birth or adoption and
8 others who have strong emotional and social bonds with the service user. They are lay, unpaid,
9 people in a close supportive role who share in the illness experience of the service user.

1 Inclusion and exclusion criteria for older people and family carers are specified in Table 2. Staff
 2 and stakeholders were included if they were involved in providing the service in the selected
 3 locality including as a volunteer or manager, or were associated with the service in the selected
 4 locality in a stakeholder role including local commissioners and policymakers, providers of
 5 health and social care service(s) to older people in the locality, or other locally identified
 6 stakeholders.

7

8 Table 2. Inclusion and exclusion criteria.

<i>Older person inclusion and exclusion criteria</i>	
Inclusion criteria	Exclusion criteria
Receiving the service in the selected locality	Lack capacity to consent to participate in the research, as assessed by site staff or involved clinicians.
Aged ≥ 50 years, no maximum age. This age was set by Age UK as the minimum age to receive their services.	Unable to participate in a qualitative interview using English, as assessed by site staff.
<i>Family carers (including bereaved carers) inclusion and exclusion criteria</i>	
Inclusion criteria	Exclusion criteria
Identified (by the older person) as the family carer of an older person receiving (or who has received, in the case of bereaved carers) the service in the selected locality.	Lack capacity to consent to participate in the research, as assessed by site staff or the person taking consent.
Aged ≥ 18 years, no maximum age	Unable to participate in a qualitative interview using English, as assessed by site staff or the person taking consent.
	For bereaved carers, those who Age UK staff identify has having adverse, complex, or prolonged grief reactions following the death of the person they cared for.

9

10 ***Sample***

1 A convenience sample of older people referred to, and receiving care from, the service in each
2 location was obtained, and if available, their family carer. A sample size of up to 10 older
3 people and 5 family carers was anticipated per case. All those providing direct care as part of
4 the new service model, and a purposive sample of stakeholders to include a range of people
5 from different professional backgrounds with an interest in the service, were invited to
6 participate.

7 ***Recruitment***

8 Those providing the service distributed recruitment packs (invitation letter, participant
9 information sheet, reply slip). Older people who indicated interest were contacted to arrange a
10 face-to-face visit, where written consent was obtained. Older people were asked to pass a
11 recruitment pack to a family carer of their choice. Staff and stakeholder participants received
12 information about the study either directly from the research team, or via the service managers.
13

14 ***Data collection***

15 Six forms of data collection were used:

- 16 1. *Individual interviews*: Older people and/or their family carers were interviewed to
17 explore their experience of receiving the service. Initial interviews were face to face,
18 with follow up telephone interviews offered approximately 3-4 months later.
19 Demographic data were collected at the first interview. All interviews were conducted
20 by SD or CW, digitally audio-recorded and transcribed.
- 21 2. *One-off focus group interviews* in each case study site with staff and stakeholders to
22 explore views on the service. These were conducted by CW or SD and digitally audio-
23 recorded and transcribed, and details only of the roles of participants collected.
- 24 3. *Non-participant observation* of a service or other relevant meeting within each case
25 study site were conducted by SD and facilitated an understanding of service processes.
- 26 4. *Non-patient specific documentary materials such as service brochures* were collected
27 from each case-study site to understand how the service was conceptualised and
28 publicised, referral and other service processes.
- 29 5. *Service provision data*: Anonymised aggregated data were provided by the sites
30 summarising demographic information on all referrals received.
- 31 6. *Deliberative panel discussion workshop*: A cross-case deliberative panel was held with
32 staff key workers and stakeholders, together with selected professional and lay experts.
33 This consisted of brief presentations of core findings followed by small group facilitated

1 discussions. The panel was facilitated by CW, NP and SP. The purpose of the
2 deliberative panel workshop was to discuss the emerging findings of the case studies, to
3 facilitate interpretation and identify key themes.

4 ***Data analysis***

5 Framework analysis, used in previous palliative care case studies and which facilitates
6 integration of different forms of data, was used to facilitate within and cross case pattern
7 matching²¹⁻²³. The approach involves a systematic five-stage process of familiarisation,
8 identifying a thematic framework, indexing, charting, and mapping and interpretation. An a
9 priori thematic framework²² was informed by four theoretical propositions developed from an
10 initial scoping review of qualitative literature examining outcomes of services for older people
11 with similar features:

- 12 i) Enriching relationships: Relationships engendered feelings of being more cared for,
13 respected, loved, and secure.
- 14 ii) Greater autonomy and perceived control: People felt more empowered, understood,
15 consulted.
- 16 iii) Knowing more: More involved and informed. The intervention promoted a greater
17 level of engagement and knowledge in the patient about their condition.
- 18 iv) Improved mental health: People felt less anxious or stressed. They could also be
19 more confident, more independent and more assertive.

20 This framework iteratively developed throughout the analysis. Analysis was primarily
21 conducted by SD (health services researcher), with cross checking and agreement of coding
22 with CW (researcher with palliative care nursing background), and discussion with NP and SP
23 (researchers with nursing and psychology backgrounds) to debate areas of disagreement. Cross
24 case pattern matching follows to identify thematic factors associated with challenges and
25 successes in influencing the experience of older people whilst taking account of context. All
26 qualitative analyses were managed using NVivo™ software.

27 **Results**

28 Twenty-three service users and five informal carers participated across the cases, their
29 demographic information, and that of all referrals received are found in Table 3.

30 Table 3. Demographic information on all referrals to the services from inception to end of data
31 collection period, and of interview participants in the study

	Site 1		Site 2		Site 3	
Service users	Referred to service	Study participants (n=10)	Referred to service	Study participants (n=6)	Referred to service	Study participants (n=7)
Number referred	74	NA	102	NA	23	NA
Time period for referral receipt	14 months		14 months		8 months	
Mean age	81	82	86	89	80	80
Range	(52-100)	(67-97)	(44-97)	(82-93)	(56 to 93)	(67-86)
Male	30	2	36	4	6	1
Female	44	8	65	2	17	6
Missing data			1			
Married	Data not recorded	2	Data not recorded	1	Data not recorded	1
Divorced		1		-		2
Widowed		7		4		2
Not disclosed				1		2
Live Alone	Data not recorded	7	Data not recorded	4	Data not recorded	5
Do not live alone		3		2		1
Not disclosed						1
White British	69	8	71	5	5	6
White Other	2	-	-	-	2	1
Black-	-	-	-	1	1	-
Caribbean	3	-	-	-	-	-
East Asian	-	2	1	-	-	1
Missing data			30		15	
<i>Primary diagnosis</i>					Data not recorded	

Cancer	11	1	12	-		1
Respiratory	14	3	6	-		2
Cardiac	6	2	9	1		1
Neurological	7	1	3	1		-
Dementia	3	2	2	-		-
Frailty	0	1	12	-		-
Musculoskeletal	6		6;	1		-
Other	9		12	-		-
Unknown/ missing data	18		40	3		3
Mean number diagnoses	3.5	2.9	2	1	Data not recorded	2
Range	(1-7)	(1-5)	(1-2)	1-3		1-3
Informal carers						
Number		3		1		1
Relationship to service user		Spouse; carer; friend.		Son		Spouse

1

2 In site 1 follow up interviews were conducted with four service users and one informal carer
3 and in site 2 with two service users but were not possible in site 3 due to deterioration or death
4 of participants. Initial interviews lasted a mean of 26.3 minutes (range 8.4 – 45.3). The two
5 focus groups lasted 56.3 and 71.1 minutes. The focus group in site 1 had 7 participants (3
6 provider staff, 4 external stakeholders), and in site 3 had 5 participants, all provider staff. A
7 full day deliberative panel workshop included a number of different discursive elements across
8 the day. Participants for the deliberative panel are summarised in Table 4.

9 Table 4. Participants in the deliberative panel

Participants in Deliberative Panel	Number
Staff from service funders headquarters	n=5
End-of-life care service site staff	Site 3 =2 Site 2 n= 3

	Site 1 n= 2
NHS representatives	Site 2 n=1 Site 1 n=2
PPI representative	n=1
Researchers	n=3
Total	19

1

2 The cross-case analysis is presented here, as the three overarching themes identified from the
3 analysis. First, organisational identity, exploring how and why the services conceptualised and
4 organised themselves, and how they fitted with existing service provision structures. Second,
5 flexible provision, how and why services were provided, their focus, and the type of care
6 offered. Third, the impact and experience of the service, how people experienced the services,
7 and what the impacts of the service were for users.

8 **Service organisational identity**

9 These services were often perceived as ‘outsider’ services, although this was not clear-cut:

10 *I think we are insiders, in that we are a community service, so we are*
11 *maybe within the community voluntary sectors providing a community*
12 *service, but I suppose outsiders in terms of we are not health professionals.*
13 *(Deliberative panel - staff)*

14 Being ‘outsiders’, compared to health and social care staff, could be perceived as an advantage:

15 *Speaker 1: the plus about us is, we are outsiders...we have a staffing that*
16 *is more fluid (...) and that fluidity is what is noticed very much by statutory*
17 *services isn't it.*

18 *Speaker 2: The flexibility we bring is exactly the opposite of an institutional*
19 *approach and, of course, our workers will do whatever. (Site 3 Focus group*
20 *- staff)*

21 The service staff also often regarded themselves as outsiders, and whilst this could be a source
22 of frustration in gaining credence with and access to health care providers (such as being able
23 to attend healthcare meetings, and the challenges of insufficient initial referrals), they

1 recognised that this enabled them to take risks, that would not be allowed by public service
2 professionals because of bureaucratic processes:

3 *We go, 'oh come on', and we just get it done..., risks work, they work very*
4 *much in favour of the clients, (...) we will do those things that can't be done*
5 *under that red tape. (Deliberative panel - staff)*

6 *You walk into someone's house and you know, they've got no food because*
7 *they haven't got a fridge, and you know, you're the only person that's going*
8 *to see them for the next week, as an organisation we'll go and get them a*
9 *fridge, and we'll carry the fridge into their house and we'll plug the fridge*
10 *in. But, you know, I think of the millions of risks attached to ... (Deliberative*
11 *panel – staff)*

12 'Risk taking' was almost universally perceived to be of benefit to clients. Typical risks were
13 unlikely to pose direct harm, but which, as in the examples above, circumvented 'red tape' to
14 meet expressed needs directly and rapidly in the way that a friend or neighbour might do.

15 The predicament of being an outsider was that it could be hard to establish credibility and form
16 a clear identity for the service. This could make it more difficult to gain a sympathetic audience
17 with potential referrers, particularly when the purpose of more flexibly provided services may
18 not be clear to them. The services struggled initially to gain referrals, and recognised that their
19 planned associations just with general practices needed to be widened.

20 ***Flexible provision***

21 The case study sites differed in the structure of their approach, within the overall initially
22 proposed service model. For example, site one had a more structured approach, restricting
23 their role to a narrower range of predefined tasks such as future care planning and assistance
24 with benefit applications. Whereas, in site three, more flexibility and autonomy could be seen
25 in how they interpreted their role and what to do with service users, for example in providing
26 more direct befriending services and a 'listening ear'. In site two their service had developed
27 away from a fixed approach toward becoming more flexible in their response to need:

28 *I think we started off with quite fixed criteria and within a very short time*
29 *we realised it's not going to work, and you do have to become more flexible,*
30 *don't you, and the things that you were perhaps thinking, like we were*

1 *saying about advance care planning and power of attorney...you thought*
2 *you would be really focusing on those. (Deliberative Panel staff member)*

3 Flexibility however, resulted in challenges expressing their service identity or purpose, despite
4 the benefits of responsiveness or addressing unanticipated needs:

5 *I think the holistic thing is important in this role, because if that...I've got*
6 *one client that I've done, I think, seven different things for him and that*
7 *varies from maximising his income, referral to occupational therapy,*
8 *getting him some rehabilitation at home, getting him out and socialising.*
9 *(Deliberative panel - staff)*

10 Lacking a clear, defined, identity and purpose was confusing both to referrers,
11 affecting referral streams, and to service users initially who could be confused about
12 the referral and its purpose.

13 ***Service impacts and experiences***

14 *Enriching relationships*

15 The relational aspects of care, and human contact, appear important. Service users expressed
16 satisfaction at being party to a new relationship, in some case likening it to a friendship,
17 providing much needed company and contact with the outside world. The relationship in and
18 of itself could be experienced as a profound impact of the intervention:

19 *Interviewer: What would you say have been the biggest benefits you've felt*
20 *from the service?*

21 *Service User: Just knowing them. Such satisfaction of knowing these*
22 *people. (Interview SIP4)*

23

24 The depth of the relationship could differ, but it was common for service users to emphasise
25 their appreciation for the relationship, irrespective of how formal or 'loose' the relationship
26 was:

27 *It's important for the likes of me to have that lovely regular but loose*
28 *connection, a little bit of a chat, a little bit of support, little bit of*

1 *understanding. A lot of understanding and to help where necessary, yeah?*
2 *(Interview S2P6)*

3 Service staff felt that it could take time to build up relationships to enable in-depth discussions,
4 but that this was not always possible when someone had a limited prognosis:

5 *Basically because of capacity, that the time it takes to do that kind of*
6 *work and the relationship...the time it takes to build up the relationship*
7 *to have that kind of conversation about end of life, really. (Site 1 Focus*
8 *Group - staff)*

9 Despite this, service user's trust for the service staff could reach a level where they felt that
10 they could act like a confidante for them, facilitating frank conversations that the service user
11 might feel uncomfortable having with friends or family:

12 *I didn't know who to turn to, or anything, but now I've got someone I can*
13 *turn to that I know I can... you know, you don't mind confiding in.*
14 *(Interview S2P4)*

15 Such relationships could affect people's state of mind:

16 *The most important thing is knowing that there's somebody there who you*
17 *can contact if you're unsure of any difficulties, and if they can't give you*
18 *the answer, they know somebody who can..... And I think that's very*
19 *important that you know that there's somebody out there, you know, who*
20 *can. (Interview S1P7)*

22 *Improved mental health*

23 Service users appeared to derive psychological benefits from their relationships with the
24 service staff:

25 *If you'd come before she started coming, you would have noticed a*
26 *difference in me, you know. I just didn't want to talk to people and, you*
27 *know. It's only a few visits, but it's so much. (Interview – S3P2)*

28 Others made explicit references to impacts such as alleviation from worries or anxiety:

1 *Very aware that, obviously, I've problems and worries and things, so she*
2 *put my mind at rest about a lot of those so I didn't feel at all intimidated by*
3 *her coming in to talk to me or asking me questions. (Interview S1P3)*

4 *Keeping me chirpy and not going down that pit of anxiety, she's there. She's*
5 *there. Yeah. (...). It's an extra, it's an extra part of being comfortable with*
6 *who I am and what I can do and what I can't do. (Interview S2P6)*

7 Service users also mentioned greater feelings of safety and security:

8 *It takes pressure off you because you feel... I know this sounds daft,*
9 *somebody my age, but you feel safer somehow and that's a big thing.*
10 *(Interview – S1P7)*

11 In more vivid terms, this service user described the feelings of protection and security he felt:

12 *I'm not standing on the end of a cliff feeling like I'm going to fall, you know*
13 *what I mean? And they come and help, it's like having a barrier and they*
14 *put a blanket round you and cuddle you. (Interview S1P9)*

15 *Financial impacts*

16 Service staff frequently supported service users to apply for financial benefits, making up a
17 considerable shortfall in the service user's finances:

18 *It [new financial benefit] will make a big difference to me, yeah, (...) That'll*
19 *pay the carers, but then I've got to live on my savings. (Interview S3P5)*

20

21 *Being part of the outside world*

22 For some individuals the company of the service staff or volunteers could constitute a rare
23 instance of social contact, and where they could be enabled to leave their homes and be part of
24 a world they had lost:

25 *I'm so used to not being out for so long, you know, that it's a treat for me*
26 *to sit here and think, you know. Well, when she asks me another time, you*
27 *know, one day, 'What shall we do?' I shall say, 'Well, let's go around and*

1 *have a look at the new café, ' It doesn't take much to please me if I go out.*

2 *(Interview S3P2)*

3 **Discussion**

4 *Summary of main findings*

5 The services occupied a distinct space in their local care landscape in providing a different, but
6 needed, form of care to older people towards the end of their lives. They identified challenges
7 articulating and defining the form of provision, and this resulted in subtle differences in service
8 scope, form of provision, and the degree of responsiveness and flexibility. Services were
9 perceived to be 'outside' the norm of service provision, but this enabled them to take more
10 risks, responding to need in ways not possible for traditional service providers. Service users
11 reflected this ambiguity, with some lack of clarity about the purpose of the service. However,
12 where a relationship developed, this was described as having a needed impact on feelings of
13 having a friend, on being part of, or re-engaging with, a community, and having someone to
14 turn to. There were impacts described on mental health issues such as general worries, anxiety
15 and depression, with people feeling safer and more secure.

16 17 *What this adds to knowledge*

18 These services operate in a space that can be understood with reference to Habermas's
19 description of system and lifeworld^{24,25}. Habermas argues that as social complexity increases,
20 our economic and political systems become disconnected from the personal or family lifeworld.
21 These services could be seen as operating in a space between the (healthcare) system and the
22 (personal) lifeworld, where there could be discomfort or conflict if they bring the attitudes,
23 values and needs of the patient's lifeworld into a rule-bound and risk averse healthcare system.
24 Occupying these spaces can be experienced as ambiguous, where the social expectations that
25 may be between, say a nurse and a patient, are suspended, making this a 'liminal' or 'threshold'
26 space. Service users appeared to recognise these services occupied a different form of space,
27 with different expectations than of formal care services.

28 These concepts of system and lifeworld have been used to explore issues such as hospice
29 provision, community nursing and public involvement, where there is also perceived to be a
30 space, or shift in lifeworld²⁶⁻²⁸. The concept of liminality can both conceptualise the 'betwixt
31 and between' nature of the space between living and dying or where serious illness alters a

1 certain lifeworld ^{29,30}, and the flexible services that can operate between system and
2 lifeworlds³¹. Liminality expresses how they existed in the interstices between categories of
3 insider/outsider, inhabiting characteristics of being an outsider such as being risk-taking,
4 flexible, and exerting affective labour, while, simultaneously, exhibiting characteristics of
5 insider status such as being paid workers for a well-known charity, gaining access to service
6 users through family practitioners, and having a degree of expertise in their field. There was a
7 degree of agency and choice at work in how each service choose to work with liminality and
8 interpret the identity of their service, pushing their working practices towards structure or
9 flexibility, insider or outsider, and risk takers or risk averse services.

10 Engendering a feeling of safety, security and being cared for was also important to the
11 experience of receiving the service. Feeling safe is emerging as a core concept in many
12 healthcare decision making processes, including decision making about going to emergency
13 departments³² or being in hospital³³. There is evidence that home nursing services can enable
14 a feeling of safety³⁴, and that if neighbors are trusted, that engenders a feeling of safety that
15 improves self-reported health³⁵. Feeling safe and secure appears to protect against frailty³⁶.
16 Whilst we report that older people reported benefit from the relationship itself, rather than a
17 specific impact of the relationship, there is strong evidence that social relationships, loneliness
18 and social isolation affect mortality risk ^{12,37}. Whilst the ‘lifeworld’ places importance on
19 people and relationships, it is likely that this impact on health and wellbeing could influence
20 use of healthcare ‘systems’.

21 *Strengths and limitations of the research*

22 The case study approach enabled a multi-perspective understanding of how and why these new
23 service models had an impact on service user experience. However, we captured little of the
24 family carer view on these services and their impact, and it may be that family carers have
25 different opinions on services and their impact. Information about the study was given to
26 service users by the services, due to requirements of our research approvals. They may have
27 selected potential participants in unknown ways that introduces bias, for example those who
28 may have expressed positive opinions of services received. We do not know how many were
29 given information packs but chose not to participate. Our sample was primarily White British,
30 which reflects the users of these services, and typical users of many end of life care services³⁸.
31 Access to palliative care services for minority ethnic populations remains challenging³⁹, and
32 despite one case study being situated in an area with a considerable minority ethnic population,

1 it appears these services may not be the answer to addressing this inequitable access. It was
2 challenging to capture repeated interviews, primarily due to health deterioration or death, and
3 the planned longitudinal understanding is not present in this analysis. Only two of our initial
4 four theoretical propositions were fully supported by the data; those of enriching relationships
5 and improved mental health. Our initial scoping review drew from a number of studies on
6 advance care planning, given this was planned to be a focus of the intervention studied.
7 However, such planning conversations were not a key part of the service model in some
8 locations, and it may be this is why propositions on autonomy and knowledge were not
9 supported by these data.

10 *Recommendations for policy, practice and future research*

11 Policymakers and practitioners should consider facilitating or initiating such services as they
12 appear to have value. Account needs to be taken of ways of enabling sufficient time to allow
13 flexibility and reasonable risk taking that appear vital to success, even if referrals and service
14 usage increases. Evaluation should be integral, taking account of how contexts shape such
15 services, and consideration should be given to attributional and/or longitudinal designs to
16 strengthen the evidence base and enable appraisal of service outcomes such as on quality of
17 life.

18 **Conclusions and Implications**

19 Flexible, responsive, person-centred services, operating in the liminal space between the
20 person's life world and formal health and care systems, appear to have benefit for older people
21 thought to be towards the end of their lives. The benefit is likely to be in aspects such as
22 developing relationships, feeling connected and safe, and wellbeing. These benefits may have
23 an impact on mental health, mortality and service use.

24

25 **References**

- 26 1. Bylander J. Community-Focused Health Care For The Seriously Ill. *Health Affairs*.
27 2019;38(3):344-346. doi:10.1377/hlthaff.2019.00111
- 28 2. Meier DE, Back AL, Berman A, Block SD, Corrigan JM, Morrison RS. A National Strategy
29 For Palliative Care. *Health Affairs*. 2017;36(7):1265-1273. doi:10.1377/hlthaff.2017.0164
- 30 3. Penders YW, Gilissen J, Moreels S, Deliens L, Block LVd. Palliative care service use by older
31 people: Time trends from a mortality follow-back study between 2005 and 2014. *Palliative*
32 *Medicine*.0(0):0269216317720833. doi:doi:10.1177/0269216317720833
- 33 4. Walshe C, Todd C, Caress A, Chew-Graham C. Patterns of access to community palliative care
34 services: a literature review. *Journal of Pain and Symptom Management*. 2009;37(5):884-912.

- 1 5. Aldridge M, Bradley EH. Epidemiology And Patterns Of Care At The End Of Life: Rising
2 Complexity, Shifts In Care Patterns And Sites Of Death. *Health Affairs*. 2017;36(7):1175-1183.
3 doi:10.1377/hlthaff.2017.0182
- 4 6. Council. GM. *Treatment and care towards the end of life: good practice in decision making*.
5 London: General Medical Council 2010.
- 6 7. Abel J, Walter T, Carey LB, et al. Circles of care: should community development redefine the
7 practice of palliative care? *BMJ Supportive & Palliative Care*. 2013;3(4):383-388.
- 8 8. Sallnow L, Richardson H, Murray SA, Kellehear A. The impact of a new public health approach
9 to end-of-life care: A systematic review. *Palliative Medicine*. 2016;30(3):200-211.
10 doi:10.1177/0269216315599869
- 11 9. Lewis J, DiGiacomo M, Currow D, Davidson P. Social capital in a lower socioeconomic
12 palliative care population: a qualitative investigation of individual, community and civic
13 networks and relations. *BMC Palliative Care*. 2014;13(1):30.
- 14 10. Lewis JM, DiGiacomo M, Currow D, Davidson P. A social capital framework for palliative
15 care: supporting health and well-being for people with life-limiting illness and their carers
16 through social relations and networks. *J Pain Symptom Manag*. 2013;45(1):92-103.
- 17 11. Ornstein KAK, A.S; Bollens-Lund, E; Wolff, J.L;. A National Profile Of End-Of-Life
18 Caregiving In The United States. *Health Affairs*. 2017;36(7):1184-1192.
19 doi:10.1377/hlthaff.2017.0134
- 20 12. Holt-Lunstad J, Smith TB, Layton JB. Social Relationships and Mortality Risk: A Meta-
21 analytic Review. *PLoS Med*. 2010;7(7):e1000316. doi:10.1371/journal.pmed.1000316
- 22 13. Di Pollina L, Guessous I, Petoud V, et al. Integrated care at home reduces unnecessary
23 hospitalizations of community-dwelling frail older adults: a prospective controlled trial. *BMC*
24 *Geriatr*. 2017;17(1):53. doi:10.1186/s12877-017-0449-9
- 25 14. Eklund K, Wilhelmson K. Outcomes of coordinated and integrated interventions targeting frail
26 elderly people: a systematic review of randomised controlled trials. *Health Soc Care*
27 *Community*. 2009;17(5):447-458. doi:10.1111/j.1365-2524.2009.00844.x
- 28 15. Randall S, Daly G, Thunhurst C, Mills N, Guest DA, Barker A. Case management of
29 individuals with long-term conditions by community matrons: report of qualitative findings of
30 a mixed method evaluation. *Prim Health Care Res Dev*. 2014;15(1):26-37.
31 doi:10.1017/s1463423612000667
- 32 16. Yu DS. Effects of a Health and Social Collaborative Case Management Model on Health
33 Outcomes of Family Caregivers of Frail Older Adults: Preliminary Data from a Pilot
34 Randomized Controlled Trial. *J Am Geriatr Soc*. 2016;64(10):2144-2148.
35 doi:10.1111/jgs.14259
- 36 17. Oeseburg B, Wynia K, Middel B, Reijneveld SA. Effects of case management for frail older
37 people or those with chronic illness: a systematic review. *Nurs Res*. 2009;58(3):201-210.
38 doi:10.1097/NNR.0b013e3181a30941
- 39 18. Hallberg IR, Kristensson J. Preventive home care of frail older people: a review of recent case
40 management studies. *J Clin Nurs*. 2004;13(6b):112-120.
- 41 19. You EC, Dunt D, Doyle C, Hsueh A. Effects of case management in community aged care on
42 client and carer outcomes: a systematic review of randomized trials and comparative
43 observational studies. *BMC Health Serv Res*. 2012;12:395. doi:10.1186/1472-6963-12-395
- 44 20. Yin RK. *Case study research. Design and Method*. Third ed. Thousand Oaks: Sage; 2003.
- 45 21. Walshe C. The evaluation of complex interventions in palliative care: An exploration of the
46 potential of case study research strategies. *Palliative Medicine*. 2011;25(8):774-781.
- 47 22. Ritchie J, Spencer L. Qualitative data analysis for applied policy research. In: Bryman A,
48 Burgess RG, eds. *Analysing qualitative data*. London: Routeledge; 1994.
- 49 23. Walshe CE, Caress AL, Chew-Graham C, Todd CJ. Case studies: a research strategy
50 appropriate for palliative care? *Palliative Medicine*. 2004;18:677-684.
- 51 24. Habermas J. *The Theory of Communicative Action, Volume One: Reason and the*
52 *Rationalization of Society* (Cambridge: Polity). 1984.
- 53 25. Habermas J. *The theory of communicative action, Volume 2: Lifeworld and system: A critique*
54 *of functionalist reason*. 1985.

- 1 26. Maguire K, Britten N. 'You're there because you are unprofessional': patient and public
 2 involvement as liminal knowledge spaces. *Sociol Health Illn.* 2018;40(3):463-477.
 3 doi:10.1111/1467-9566.12655
- 4 27. West E, Onwuteaka-Philipsen B, Philipsen H, Higginson IJ, Pasman HRW. "Keep All Thee
 5 'Til the End": Reclaiming the Lifeworld for Patients in the Hospice Setting. *Omega (Westport).*
 6 2017;30222817697040. doi:10.1177/0030222817697040
- 7 28. Pearce C, Phillips C, Hall S, et al. Contributions from the lifeworld: quality, caring and the
 8 general practice nurse. *Qual Prim Care.* 2009;17(1):5-13.
- 9 29. MacArtney JI, Broom A, Kirby E, Good P, Wootton J. The Liminal and the Parallax: Living
 10 and Dying at the End of Life. 2017;27(5):623-633. doi:10.1177/1049732315618938
- 11 30. Blows E, Bird L, Seymour J, Cox K. Liminality as a framework for understanding the
 12 experience of cancer survivorship: a literature review. 2012;68(10):2155-2164.
 13 doi:10.1111/j.1365-2648.2012.05995.x
- 14 31. Vanderstichelen S, Cohen J, Van Wesemael Y, Deliens L, Chambaere K. The liminal space
 15 palliative care volunteers occupy and their roles within it: a qualitative study. *BMJ Support*
 16 *Palliat Care.* 2018. doi:10.1136/bmjspcare-2018-001632
- 17 32. Henson LA, Higginson IJ, Daveson BA, et al. 'I'll be in a safe place': a qualitative study of the
 18 decisions taken by people with advanced cancer to seek emergency department care. *BMJ*
 19 *Open.* 2016;6(11):e012134. doi:10.1136/bmjopen-2016-012134
- 20 33. Robinson J, Gott M, Frey R, Gardiner C, Ingleton C. Predictors of patient-related benefit,
 21 burden and feeling safe in relation to hospital admissions in palliative care: A cross-sectional
 22 survey. *Palliat Med.* 2018;32(1):167-171. doi:10.1177/0269216317731991
- 23 34. Leine M, Wahl AK, Borge CR, Hustavenes M, Bondevik H. Feeling safe and motivated to
 24 achieve better health: Experiences with a partnership-based nursing practice programme for in-
 25 home patients with chronic obstructive pulmonary disease. *J Clin Nurs.* 2017;26(17-18):2755-
 26 2764. doi:10.1111/jocn.13794
- 27 35. Cain CL, Wallace SP, Ponce NA. Helpfulness, Trust, and Safety of Neighborhoods: Social
 28 Capital, Household Income, and Self-Reported Health of Older Adults. *Gerontologist.*
 29 2018;58(1):4-14. doi:10.1093/geront/gnx145
- 30 36. Cramm JM, Nieboer AP. Relationships between frailty, neighborhood security, social cohesion
 31 and sense of belonging among community-dwelling older people. *Geriatr Gerontol Int.*
 32 2013;13(3):759-763. doi:10.1111/j.1447-0594.2012.00967.x
- 33 37. Holt-Lunstad J, Smith TB, Baker M, Harris T, Stephenson D. Loneliness and social isolation
 34 as risk factors for mortality: a meta-analytic review. *Perspect Psychol Sci.* 2015;10(2):227-237.
 35 doi:10.1177/1745691614568352
- 36 38. Walshe C, Chew-Graham C, Todd C, Caress A. What influences referrals within community
 37 palliative care services? A qualitative case study. *Social Science & Medicine.* 2008;67(1):137-
 38 146.
- 39 39. Gunaratnam Y. *Ethnicity, older people and palliative care.* London 2006 2006.

40

41 **Appendix 1:**

42 **Exploring a New Model of End-of-Life Care for Older People that Operates in the Space** 43 **Between the Life World and the Healthcare System: A Qualitative Case Study**

44 **Data collection topic guides and schedules**

45 1) *Individual interviews with service users:*

1 A topic guide rather than a fixed schedule guided but not constrained the interviews, ensuring
2 that interviews were driven by participant issues. Interviews were conversational to aid
3 developing rapport to explore complex and potentially emotional issues. The topic guide
4 evolved as categories are discovered through the interviews and analysis. Interviews were
5 terminated at participant request or if the interviewer is concerned about the participant.

6 Interview topics:

7 a) Summarising experiences as patient/carer to set agenda, level of disclosure and
8 terminology.

9 b) Probing events i.e. could you tell me a bit more about what happened then? How
10 do you feel about that? Did you get support from anyone at this time? What do you
11 think you learned from this experience?

12 c) Exploring the experience of the Age UK Later Life service, what was helpful, what
13 could be improved? Understanding perceptions of how the service works with any
14 other care providers.

15 d) Understanding what they consider to be important in later life, why, and whether
16 the Age UK service has enabled this.

17

18 2) *Focus groups*

19 For stakeholder participants a focus group was held towards the end of the data collection
20 period within each site, facilitated by two research team members.

21 The purpose was to explore stakeholder views on the Age UK Later Life service, how people
22 experience it, and its perceived impact. The topics of the focus group were primarily
23 determined by participants and their interactions. Topics introduced to the group for discussion
24 included exploring processes of the service provision (patient identification, referral, service
25 receipt, interaction with other services), perceptions of impact and service outcomes.

26 3) *Deliberative Panel Discussion Workshop*

27 The purpose of the deliberative panel discussion workshop was to draw upon the emerging
28 findings of the case studies, to discuss the implications for implementation of similar Age UK
29 or other services, including identifying facilitators and barriers to change, in different socio-
30 political, cultural and economic environments. The deliberative workshop drew upon
31 anonymised case study data, to raise specific questions using a structured format, in facilitated

- 1 'roundtable' discussions. Three discussions were held, in two groups, across the day. These
- 2 sequentially focused on stimulus data and discussion on the following topics:
- 3 a. What is the service for? Who might benefit?
- 4 b. Concepts and challenges of the service
- 5 c. What does an ideal service look like?