

1 *Type of the Paper: Article*

2 **Electrospun produced 3D matrices for covering of** 3 **vascular stents: paclitaxel release depending on fiber** 4 **structure and composition of the external** 5 **environment**

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18 **Abstract:** Paclitaxel is a natural, highly lipophilic anti proliferative drug widely used in medicine.
19 We have studied the release of tritium-labeled paclitaxel (³H-PTX) from matrices destined for the
20 coating of vascular stents and produced by the electrospinning method from the solutions of
21 polycaprolactone (PCL) with paclitaxel (PTX) in hexafluoroisopropanol (HFIP) and/or solutions of
22 PCL with PTX and human serum albumin (HSA) in HFIP or HFIP-dimethyl sulphoxide (DMSO)
23 blend. The release of PTX has been shown to depend on the solvent and the composition of
24 electrospinning solution, as well as the composition of the surrounding medium, particularly the
25 concentration of free PTX and PTX-binding biomolecules present in human serum. It was shown
26 that 3D matrices can completely release PTX without weight loss. Two-phase PTX release from
27 optimized 3D matrices was obtained: ~27% of PTX was released in the first day, another 8% were
28 released over the next 26 days. Wherein ~2.8%, ~2.3%, and ~0.25% of PTX was released on day 3, 9,
29 and 27, respectively. Considering PTX toxicity, the rate of its diffusion through the arterial wall,
30 and the data obtained the minimum cytostatic dose of the drug in the arterial wall will be
31 maintained for at least three months.

32 **Keywords:** drug release; electrospinning; paclitaxel; polycaprolactone; 3D matrix.

33

34 **1. Introduction**

35 Nano- and microfiber-assisted drug delivery is actively studied worldwide. It is used
36 in specific areas, such as tissue engineering [1] but also to solve general problems of drug
37 delivery, such as enhancement of drug solubility [2] and tailoring of the kinetics of drug
38 release [3]. Electrospinning (ES), i.e., the formation of polymer filaments from melts or
39 solutions of polymers in a strong electric field, is a suitable method for the incorporation of
40 drugs into the fibers and is frequently used for production of drug-enriched matrices. Nano-
41 and microfibers produced by ES have a large surface area. Furthermore, their porosity,
42 hydrophilicity/hydrophobicity, ability participate in to ionic and nonionic interactions, as

43 well as diameter and ultrastructure of the fibers can be tailored. In addition, it is possible to
44 use polymers possessing different degradation rates [4]. The most convenient is ES of
45 polymer solutions which can employ one or more polymers and drugs, emulsions and
46 suspensions of drugs [5]. Fiber surface and porosity are usually modified by adding
47 water-soluble biopolymers or low-molecular-weight components to the ES solution or using
48 water- or thermo-induced phase separation [6]. The fibers of different structure can be
49 produced by ES, including hollow, coaxial, and (multi)double-layered fibers combined with
50 drugs introduced in different manners. These fibers can be produced simultaneously forming
51 multi-fiber matrices or several different types of fibers, which can be arranged layer-by-layer
52 in order to form multilayered matrices with different properties assigned to each layer [7].
53 The benefits of this approach are readily acknowledged, and electrospinning is widely used
54 to fabricate drug-loaded materials, including bactericidal antibiotic dressings [8], growth
55 factor-loaded 3D matrices capable of inducing cell proliferation and wound healing [9], and
56 matrices with cytostatic drugs, used as anti-adhesive membranes [10].

57 Recently, electrospun matrices were suggested as coatings for bare-metal esophageal
58 [11] and vascular stents [12]. The high incidence of restenosis requires the reassessment and
59 improvement of current practices for the remodeling of stented arterial regions with
60 bare-metal stents [13]. Restenosis is caused by the proliferation of smooth muscle cells of the
61 arterial wall, endothelial cells or cells of atherosclerotic plaques induced by the mechanical
62 action on the remodeled vessel, damage of the endothelial layer and other surrounding cell
63 layers/tissues [14]. Induction of local inflammation in the stent region also promotes cell
64 proliferation and neointima growth [15]. Drug-eluting stents covered with anti-proliferative
65 or anti-inflammatory drugs such as sirolimus and paclitaxel (PTX) were proposed to reduce
66 the proliferation of surrounding cells. PTX is a natural, highly lipophilic, water-insoluble
67 compound, which exhibits a cytotoxic antimitotic effect by activating the assembly of
68 microtubules from tubulin dimers, stabilizing microtubules, and inhibiting the reorganization
69 of the microtubular network in the interphase and during mitosis [13]. The lipophilicity of
70 PTX allows its accumulation in the altered atheromatous vascular wall. The usual dose of
71 PTX in paclitaxel-eluting stents is approximately $3 \mu\text{g}/\text{mm}^2$ [16]. Excessive doses were
72 shown to induce the formation of aneurysms [17]. Therefore, blending of PTX with polymers
73 was proposed to increase the effect of PTX i.e. short-term and long-term toxicity, while using
74 lower concentrations of PTX. For example, the Eluvia stents (Boston Scientific Corporation,
75 PTX with poly(vinylidene fluoride-co-hexafluoropropylene) only contain $0.167 \mu\text{g}/\text{mm}^2$ PTX
76 [18].

77 In this work, we studied the release of PTX from the 3D matrices prepared from a
78 solution of polycaprolactone (PCL) containing human serum albumin (HSA) intended for the
79 coating of bare-metal stents. Such matrices have good mechanical characteristics and an
80 extended region of elastic deformation, i.e. if used as a coating, they will not exert additional

81 loads on struts after stent expansion during installation. Moreover, the slow degradation rate
82 of PCL may allow it to provide a lasting mechanical protection of the vessel lumen from
83 stenosis. It is implemented in a similar way in the Inspire MD CGuard™ stents [19]. Release
84 of PTX from matrices can be slowed by adding HSA, which binds PTX at $K_d = 1.43 \times$
85 10^4 M^{-1} [20] and acts as the main carrier of PTX in the human body. In addition, HSA has
86 been shown to reduce platelet adhesion and increase thromboresistance and
87 hemocompatibility of blood-exposed surfaces [21, 22]. With the task of creating a coating
88 that can prevent cell proliferation for as long as possible, we developed and fabricated 3D
89 matrices enabling prolonged PTX delivery. 3D matrices were produced by electrospinning
90 and characterized by general methods including tensile strength, SEM, XPS, contact angle,
91 etc, PTX release was studied using tritium-labeled PTX.

92 2. Materials and Methods

93 2.1. Production and quality control of tritium-labeled paclitaxel

94 Tritium-labeled PTX (^3H -PTX) was synthesized by thermoactivated tritium exchange
95 as described earlier [23]. ^3H -PTX was purified from by-products by reverse phase
96 chromatography on a C18 column using a gradient of acetonitrile in water (25-100%). The
97 radiochemical purity of the resulting compound was evaluated by autoradiography after thin
98 layer chromatography (TLC) on Kieselgel 60 F254 plates (Merck, Germany, 25 Alufolien 20
99 x 20 cm) in a chloroform-methanol-water mixture (19 : 1 : 0.1, $R_f \sim 0.7$). Radioactivity of the
100 preparation was measured on a Tri-Carb 2800 TR β -counter (PerkinElmer, USA) in a
101 “ULTIMA GOLD LTT” scintillator (Perkin Elmer, USA). An aliquot of the sample (0.1 mL)
102 was thoroughly mixed with a scintillator (0.9 mL), and radioactivity was measured at the
103 same time after preparation of the mixture.

104 2.2. Preparation of 3D matrices by electrospinning.

105 Electrospinning solutions were prepared using stock solutions of 9% PCL and 1%
106 HSA (Sigma-Aldrich, USA) in 1,1,1,3,3,3-hexafluoroisopropanol (HFIP, Sigma-Aldrich,
107 USA). The HSA concentration in matrices is given as weight percentage (wt/wt) of total
108 matrix weight. PTX (Sigma-Aldrich, USA) was dissolved in HFIP or DMSO
109 (Sigma-Aldrich, USA) and added to the matrix ($\sim 0.46 \mu\text{g}/\text{cm}^2$, which corresponds to 0.36
110 $\mu\text{g}/\text{disk}$). DMSO (3 or 6%, v/v) was added to the solution of polymers. ^3H -PTX was diluted
111 with unlabeled PTX to provide at least $26000 \text{ cpm}/\text{cm}^2$ (or $20000 \text{ cpm}/\text{disk}$, 10 mm diameter
112 disk, $\sim 0.785 \text{ cm}^2$). To produce the 3D matrices with ^3H -PTX, a homemade electrospinning
113 device with an airproof chamber and exhaust HEPA filter was used, equipped with a
114 Spellman SL 150 (30 kV, Spellman, USA) power supply. Matrices of thickness of 150–180
115 μm were prepared using a drum collector 2 cm in diameter and 5.2 cm in length (32.6 cm^2)
116 under the following conditions: feed rate, 1.2–1.4 mL/h; capillary-collector distance, 19–20
117 cm; voltage, 23–25 kV; collector rotation speed, 300 rpm; temperature, 23–25°C; humidity,

118 25–35%. After fabrication, 3D matrices were removed from the collector, dried in vacuum
119 under 10 Pa for 12 h and stored in sealed zip-lock polyethylene containers at 4°C.

120 2.3. Characterization of matrices

121 2.3.1. Mechanical testing of matrices

122 Strain-stress diagrams were obtained using a universal Zwick/Roell Z100 (Germany)
123 test bench as described in ISO 7198:1998 [24]. Electrospun matrices were carefully cut into
124 10×50 mm rectangular shapes and placed between holders at a distance of 2–2.5 cm. Tensile
125 strength testing was conducted at a rate of 10 mm×min⁻¹ at room temperature (21–23°C). At
126 least four specimens of each sample were tested. The residual load after two-fold elongation
127 of matrices was measured in the same way, with the difference that after matrix elongation,
128 the load was removed, the clamps of the tearing machine were returned to their original
129 position (l_0), and the load was re-measured after two-fold elongation of the matrix.

130 2.3.2. Study of 3D matrix surface microstructure

131 The microstructure of the matrix surface was studied by scanning electron
132 microscopy (SEM) as described earlier [25]. The fiber diameter and pore size were evaluated
133 from the SEM images according to ISO 7198:1998 [24]. To assess the stability of the fiber
134 structure, the 3D matrices were incubated in phosphate buffered saline (PBS)
135 (Sigma-Aldrich, USA) or human plasma at room temperature for 27 days. After the
136 incubation, the matrices were rinsed with H₂O, air-dried, and examined by SEM.

137 2.3.3. X-ray photoelectron spectroscopy

138 The X-ray photoelectron spectroscopy (XPS) study was performed on a SPECS
139 electron spectrometer equipped with a PHOIBOS-150 MCD-9 hemispherical analyzer and a
140 non-monochromatic twin Mg-Al source (SPECS GmbH, Germany). To avoid thermal
141 degradation of the sample, the X-ray gun was positioned at a distance of 30 mm from the
142 sample holder and operated at MgK α irradiation power of 70W or less. The spectra were
143 recorded with pass energy set to 50 eV (survey scans) and 10 eV (high-resolution ones).
144 Before the measurements, the energy scale was calibrated using the Au4f_{7/2} (84.00 eV) and
145 Cu2p_{3/2} (932.67 eV) peaks from gold and copper foils. The residual gas pressure during the
146 spectra acquisition did not exceed 3×10^{-7} Pa. The samples were mounted on steel sample
147 holders by using 3M[®] copper conductive double-sided adhesive tape. Quantitative data
148 processing was performed using the XPSPEAK software version 4.1 and atomic sensitivity
149 factors reported previously [26]. To study the influence of medium on 3D matrices, they
150 were incubated in water or ethanol for 48 h, as described in 2.4, rinsed, air-dried, and stored
151 as described in 2.2.

152 2.3.4. Additional physicochemical characteristics of matrices

153 The contact angle was measured on a Drop Shape Analyzer–DS A25 (Kruss GmbH,
154 Germany) using water as a solvent (drop volume, 1 μ L; shooting speed, 160 frames per

155 second). The porosity of the matrices was evaluated using matrix volume and PCL density
156 according to the formula:

157 $\text{Porosity (\%)} = [1 - D_a/D_p] \times 100$, where D_a is apparent density (matrix weight/matrix
158 volume) and D_p is the polymer density.

159 SEM data was also used for porosity calculations as described in ISO 7198:1998
160 according to the formula:

161 $\text{Porosity (\%)} = [A_p/(A_m + A_p)] \times 100$, where A_p is pore area and A_m is matrix area.

162 Water absorption and weight loss of matrices were evaluated as described in ISO 7198:1998
163 according to the formulas:

164 $\text{Water absorption (\%)} = (W_w - W_o)/W_d \times 100$;

165 $\text{Weight loss (\%)} = (W_w - W_d)/W_o \times 100$, where W_w is weight after wetting, W_o is
166 weight before wetting, and W_d is weight after wetting and drying under vacuum. The
167 accuracy of measurements considering 3D matrices weight and accuracy of weighting was
168 about 1.5%. Weight loss was evaluated after 27 days of incubation of 3D matrices in PBS as
169 described in 2.3.2.

170 The drying rate of the matrices was evaluated after soaking the matrices in water for
171 24 h, followed by weight measurements on a microbalance with an accuracy of ± 0.1 mg.

172 2.4. Assessment of paclitaxel release

173 To evaluate the PTX release, 10 mm disks were excised from matrices by die cutting,
174 weighed with an accuracy of ± 0.1 mg, and placed in wells of a 48-well plate. Disks were
175 covered with 250 μ L of PBS or EDTA-stabilized human plasma (HBP). The plate was sealed
176 with a sealed adhesive film (Microseal® 'B' PCR Plate Sealing Film, adhesive, Bio-Rad,
177 USA) to prevent drying, followed by incubation on a Titramax 1000 shaker (Heidolph,
178 Germany) at 37°C and platform rotation speed of 200 rpm for different times up to 27 days.
179 Two types of ^3H -PTX release kinetics were evaluated. For Series 1 the matrices were
180 incubated with the solution for 20 min, 60 min, 3 h, 9 h, 27 h, 3 days, 9 days, and 27 days
181 without medium replacement. For Series 2 at each time point the supernatant was removed,
182 the matrix was rinsed, and incubated in a fresh replacement of the respective solution until
183 the next time point, when the same procedure was repeated. After the incubation, the
184 matrices were washed with distilled water and air-dried at room temperature. Radioactivity
185 of the supernatants was measured in duplicate as described in section 2.1. The concentration
186 of PTX in the solution was calculated from the specific radioactivity of the preparation,
187 assuming that one disc contained ~ 0.36 μ g of PTX.

188 The influence of the matrix deformation on the ^3H -PTX release was studied as
189 follows. A strip of a 3D matrix was fixed in clamps, the distance between the clamps was
190 measured, and subsequently matrix was slowly stretched with a screw to double the
191 measured distance. After removing the load, linear sizes of the strip were measured in order
192 to recalculate amount of PTX per square cm, discs were excised from the deformed matrix,

193 and ^3H -PTX release was evaluated as described. All experiments were performed in
194 duplicate.

195 2.5. Statistical processing of data

196 Microsoft Excel 2010 was used to handle and process the experimental data.
197 Statistical analyses were performed using the Statistica 7.0 package (StatSoft Inc., USA).

198 3. Results and Discussion

199 3.1. Synthesis of radioactively labeled PTX

200 ^3H -PTX was synthesized by the thermoactivated tritium exchange as described earlier
201 [23]. The RP-HPLC-purified ^3H -PTX preparation was obtained with a specific radioactivity
202 of 1.5 mCi/mL (~ 0.3 Ci/mM of PTX). According to the TLC data, the compound was
203 homogenous and detected as one spot on the autoradiograph with R_f as non labeled PTX.
204 Preliminary tests were performed to determine the optimal conditions for the measurement of
205 the sample radioactivity in PBS and HBP. It was shown that the counting efficiency is
206 inversely proportional to the volume of the sample; after the addition of the sample to
207 scintillator at a ratio of 1:10 (v:v) no turbidity of the solution was detected, along with
208 maximal efficacy of tritium detection independently of sample origin (H^3 was detected with
209 practically equal efficacy both in PBS and in human plasma). Therefore, the radioactivity of
210 all samples was evaluated in the same way, i.e., 0.1 mL of the sample was diluted in the
211 scintillator up to a total volume of 1 mL. The molar concentration of PTX was evaluated
212 using the total specific radioactivity of the (^3H -PTX + PTX) preparation introduced into the
213 matrix, which was ~ 47000 cpm/nM.

214 3.2. Electrospinning and characterization of 3D matrices prepared from different mixtures of 215 PCL with HSA and solvents

216 HSA is known to be the main protein in the blood plasma, which binds PTX into two
217 types of complexes with a common binding constant $K=1.43 \times 10^4 \text{ M}^{-1}$ [20] and carries it in
218 the blood. In electrospun 3D matrices prepared from PCL-HSA mixtures a significant
219 amount of matrix-bound HSA is located on the fiber surface and remains exposed for a long
220 time [25]. We consider that such matrices could be used as a coating for bare-metal vascular
221 stents and delivery of PTX into the vascular wall.

222 Conditions for preparation of matrices from PCL with HSA, PTX, and DMSO by
223 electrospinning are presented in Table 1.

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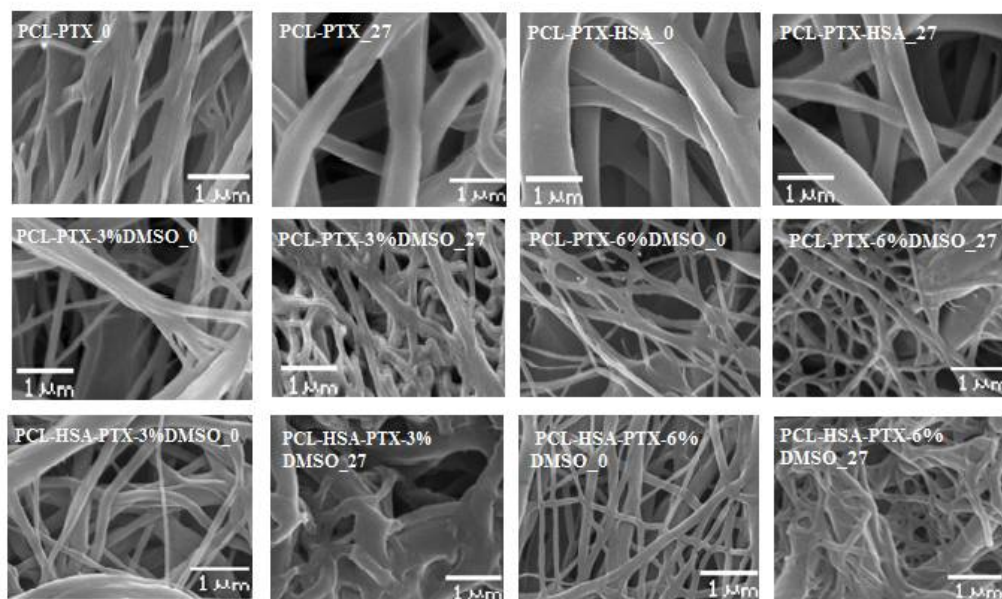
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229 **Table 1.** Electrospinning conditions for fabrication of 3D matrices

Matrix composition	Electrospinning parameters		
	Voltage, kV	Feed rate of the solution, mL/h	Distance between electrodes, cm
PCL/PTX	23.0	1.2	20
PCL/PTX/10% HSA	23.5	1.3	20
PCL/PTX/3% DMSO	23.0	1.3	20
PCL/PTX/6% DMSO	24.5	1.3	20
PCL/PTX/10% HSA/3%DMSO	24.5	1.4	20
PCL/PTX/10% HSA/6% DMSO	25.0	1.4	20

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231 The strength of the PCL-based matrices was 3-5.1 MPa depending on the
 232 composition of the electrospinning solution. The regions of elastic and plastic deformation
 233 for PCL-HSA matrices were $8 \pm 1.5\%$ and $290 \pm 16\%$, respectively, which indicated their
 234 strength and elasticity compared to matrices prepared without the protein. Residual load after
 235 two-fold elongation was 1.4 ± 0.16 to 0.35 ± 0.07 MPa in the dry matrices and 1.0 ± 0.11 to
 236 0.26 ± 0.24 MPa in the wet matrices prepared from PCL/PTX/10% HSA and PCL/PTX/10%
 237 HSA/6% DMSO, respectively. The strength of the coronary artery wall is 1–2 MPa
 238 depending on the age of the donor and the presence of atherosclerotic lesions [27]. The
 239 thickness of the coronary artery wall is at least 2 mm [28], and the thickness of the stent
 240 coating is 0.1–0.15 mm. Therefore, the residual load in the coating of the stent after its
 241 installation does not exceed 5% of the load provided by the vascular wall. If the coatings for
 242 the metal stents are prepared from the solutions of PCL with HSA and DMSO, the load
 243 applied by the matrix on the stent struts will be no more than 1.5–2% of the load from the
 244 wall of the stented artery. Hence, from a mechanical point of view, the applicability of
 245 PCL-HSA 3D matrices for covering of vascular grafts was demonstrated.



246

247 **Figure 1.** Microstructure of 3D matrices (SEM, $\times 3000$ magnification). The digit following
 248 the matrix composition indicates the incubation time of the matrices in PBS.

249 SEM analysis revealed that all prepared matrices were composed of microfibers with
 250 diameter determined by the composition of the electrospinning solution (Figure 1). Matrices
 251 from PCL and PCL with HSA consisted of fibers with average diameters of 0.32 and 0.56
 252 μm , respectively (Table 2). The addition of DMSO decreased the average fiber diameter
 253 (Figure 1, Table 2). The diameter of the fibers in 5% PCL/PTX/3% DMSO/HSA matrices
 254 was $0.37 \pm 0.08 \mu\text{m}$, which was the highest of all matrices with DMSO (from 0.13 to 0.19
 255 μm). The fibers of all matrices had a smooth surface. Using $\times 40000$ magnification, with
 256 which structures as small as 20 nm can be resolved, no pores of diameter 10-20 nm could be
 257 detected on the fiber surface, although a slight roughness probably caused by the drying of
 258 the solvent was observed.

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270 **Table 2.** Physico-chemical properties of 3D matrices

No	Sample	Fiber diameter, μm	Pore diameter, μm	Porosity, %*	Contact angle, °**	Water absorption, %	Weight loss, %
1	5% PCL/PTX	0.31 ± 0.04	5.72 ± 2.42	78/54,1	127.33 (± 1.30)°	294 \pm 7	0
2	5% PCL/PTX/ 10% HSA	0.56 ± 0.09	2.66 ± 1.21	77/61.4	88.89 (± 3.03)°	589 \pm 16	0
3	5% PCL/PTX/ 3% DMSO	0.19 ± 0.03	2.01 ± 0.73	78/65	128.30 (± 2.18)°	400 \pm 11	0
4	5% PCL/PTX/ 6% DMSO	0.13 ± 0.02	0.97 ± 0.32	80/76.6	132.35 (± 3.11)°	238 \pm 9	0
5	5% PCL/PTX/ 3% DMSO/HSA	0.37 ± 0.08	1.97 ± 0.52	77/71	124.73 (± 3.49)°	750 \pm 13	0
6	5% PCL/PTX/ 6% DMSO/HSA	0.16 ± 0.03	1.35 ± 0.40	79/61.3	120.52 (± 2.66)°	883 \pm 15	0

271 The data are presented as the mean \pm error of the mean;

272 *the first and the second numbers are porosity calculated from the apparent matrix density
273 and the SEM data, respectively;

274 **the contact angle was evaluated as a mean of at least five measurements in different parts
275 of the matrix.

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277 The porosity of the fiber surface and the dependence of the pore size on
278 electrospinning conditions, such as a solvent, polymer, and wetting, were studied previously
279 [29, 30]. It was shown that pore formation is caused by the evaporation of the solvent and
280 cooling of the surface of a newly formed fiber (thermally induced phase separation, TIPS)
281 accompanying with condensation of water vapor (water induced phase separation, WIPS).
282 The occurrence of pores only on the fiber surface as demonstrated previously by TEM and
283 atomic force microscopy (AFM) is evidence in favor of WIPS rather than TIPS as the main
284 determinant of fiber porosity [31]. Obviously, the interplay of solvent diffusion in polymers,
285 polymer solubility in solvents, and phase transitions occurring for several polymers in the
286 same solvent can play an important role in the formation of the structure and the surface of
287 the fibers. Pore formation can lead to a significant increase in the surface area of electrospun

288 3D matrices up to $\sim 100\text{--}1000\text{ m}^2/\text{g}$ [30], which undoubtedly will affect the rate and extent of
289 drug release.

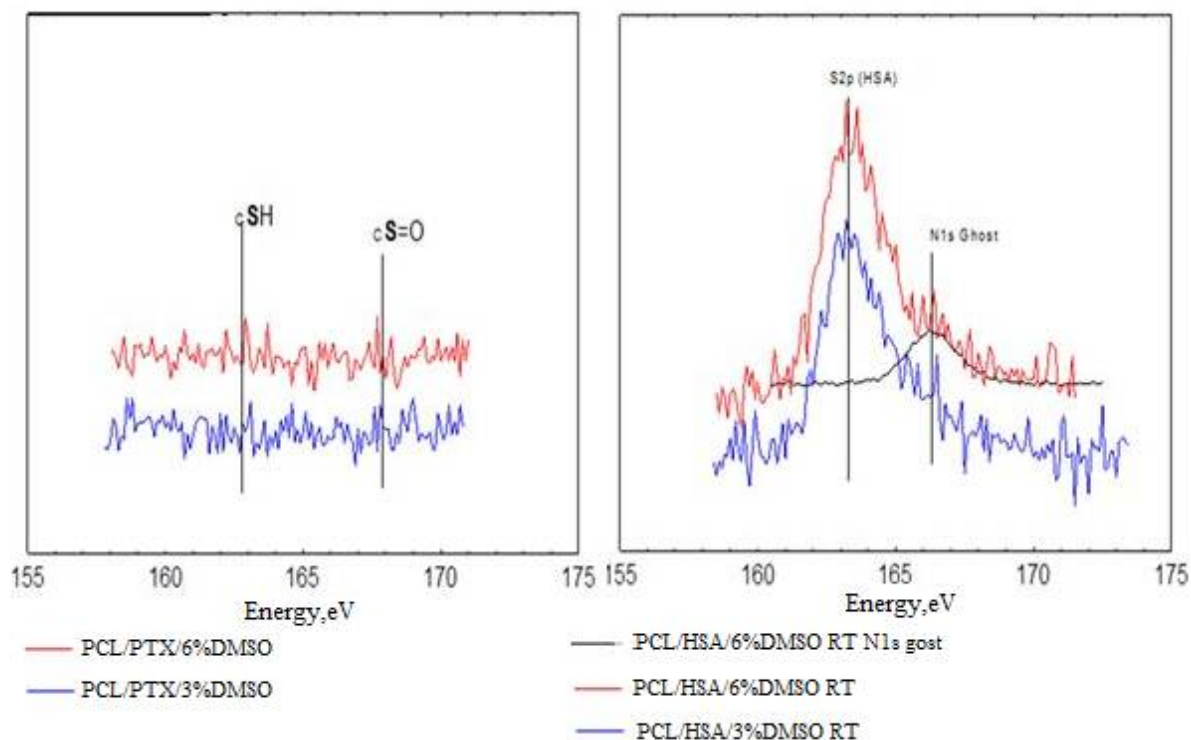
290 Notably, it is often postulated that the nanopores in fibers are tortuous rather than
291 straight and have a significant length [32]. Their size depends, among other factors, on the
292 type of solvent as well as protein concentration and solubility. It should be noted that in the
293 solvent systems, such as dimethylformamide/dichloromethane or dimethylformamide/
294 chloroform [33], proteins are poorly dissolved and are present in suspension. This fact can
295 significantly influence the fiber structure, exposition of the proteins on fiber surface and the
296 release of the protein from the fiber. In contrast, proteins including HSA are readily dissolved
297 in HFIP with the preservation of their 3D structure [25] and thus the structure of fibers
298 produced from HFIP should significantly differ from those previously mentioned.

299 According to SEM, the incubation of matrices in PBS for 27 days had little effect on
300 the structure of matrices made of pure PCL, PCL with HSA, and PCL with 6% DMSO. These
301 results are consistent with the data obtained earlier, which showed that PCL matrices are
302 stable for 12–24 months [34].

303 Shrinkage of the material with thickening of fibers and increasing the number of
304 interfiber contacts were observed in the matrices produced from PCL with 3% or 6% DMSO
305 and with or without HSA. SEM demonstrates that the matrices with HSA tend to change
306 morphology more than those without the protein (Figure 1). Apparently, the hydration of the
307 fibers, which involves the hydration of the integrated HSA is the main factor. In other words,
308 HSA molecules within the fiber promote diffusion of the water, hydration and swelling. It
309 was demonstrated in our previous work that the size of HSA molecule in water exceeds its
310 size in HFIP, by applying small-angle X-ray scattering [25]. Since incubation does not
311 change the weight of the matrices, no significant degradation of the matrices can be observed.
312 However, the change and reorganization of the fiber structure and HSA hydration can have a
313 considerable effect on PTX release.

314 The concentration distribution of added components on the matrix surface was
315 evaluated by XPS (Figure 2). To evaluate the residual amounts of DMSO S2p XPS spectra of
316 sulfur were acquired. The use of S2p signals for spectroscopic analysis of PCL-HSA matrices
317 is rather complicated, since sulfur is a minor component of proteins. Fortunately, “organic”
318 (C-S-H) and sulfoxide (C-S=O) states of sulfur have substantially different S2p positions on
319 a binding energy scale. Indeed, the spectra of PCL-HSA samples have a distinct S2p peak at
320 163.3 eV attributed to protein sulfur due to both its binding energy value and the signal
321 intensity. At first glance, the smaller peak located at 166.3 eV could account for sulfur in
322 DMSO moieties. However, it definitely includes N1s a “ghost peak” resulting from
323 cross-contamination by AlK α radiation (ca. 1% in this case). The intensity of N1s ghost line
324 (black curve in Figure 2B) well matched the intensity of the peak 163.3 eV in S2p spectrum.
325 Thus, the samples carried no sulfur-containing moieties on their surface regardless of DMSO

326 content and treatment regimes, suggesting that PTX release could not be caused by the
 327 diffusion of DMSO from the matrices.



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 329 **Figure 2.** S_{2p} XPS spectra of the samples PCL + PTX + DMSO (A) and PCL + DMSO +
 330 HSA (B).

331 Table 3 shows that the HSA content of the surface layer slightly decreased inversely
 332 proportionally to DMSO concentration, which may indicate that HSA is transported by the
 333 HFIP flow during fiber drying. As shown earlier [25], incubation of matrices in physiological
 334 solution leads to a reorganization of the surface layer and an increase in the HSA
 335 concentration on the surface.

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347 **Table 3.** XPS data on HSA and PTX surface concentrations in electrospun 3D matrices
 348 obtained from different PCL/HSA or PCL/PTX blends

No	Sample of 3D matrix	Concentration of HSA or PTX, %	
		Initial matrix	Matrix after incubation in PBS
1	PCL/10% HSA	20*	24*
2	5%PCL/10%HSA/3%DMSO	18.9	27.1
3	5%PCL/10%HSA/6%DMSO	16.3	21.5
4	5%PCL/PTX	21.1	23.4
5	5%PCL/PTX/3%DMSO	15.1	23.9
6	5%PCL/PTX/6%DMSO	3.7	13.7

349 *According to (a study by) Chernonosova et al. 2017. [25]

350 According to XPS results, the PTX concentration in the surface layer of the
 351 PCL-based 3D matrices also decreased inversely proportionally to DMSO concentration in
 352 ES solution (Table 2). Only 3.7% of PTX was detected in the surface layer of
 353 5%PCL/PTX/6%DMSO 3D matrices in contrast to 21% in the matrices from pure PCL. The
 354 increase in the PTX concentration on the surface of electrospun fibers was previously
 355 described for matrices prepared from PCL in dichloromethane [11]. The authors attributed
 356 this finding to the effect of solvent drying during ES.

357 Similarly to HSA, PTX concentration in the surface layer increased after incubation
 358 of the matrices in the physiological solution, which was especially noticeable in the 5% PCL/
 359 PTX/6% DMSO matrices made (10% PTX concentration increase). Apparently, wetting of
 360 the matrices leads to a structural reorganization of the fiber surface, and low PTX solubility
 361 in water [34] results in its accumulation on the matrix surface. Unfortunately, one is unable to
 362 use XPS to measure concentrations of PTX and HSA simultaneously. Considering the ability
 363 of HSA to bind PTX (in aqueous solution) and potential hydrophobic interactions between
 364 PTX and HSA one can consider that HSA exposed at fiber surface can additionally retain
 365 PTX, slowing down its release out of fibers.

366 The detection depth of XPS is no more than 10 nm. In a 500 nm fiber, the 10 nm thick
 367 surface layer occupies approximately 8% of the total volume. Given that the percentage of
 368 PTX in the matrices is no more than 0.01%, even if all PTX were to concentrate in this layer,
 369 its concentration should not exceed 0.2%, as opposed to the 3.7-21% PTX concentration
 370 according to XPS data. For the weight balance to equalize, the PTX layer should have a depth
 371 of 1 nm (almost monolayer). Thus, a significant part of PTX is concentrated in the thin

372 surface layer of the fibers, which interfaces with the external medium and facilitates PTX
373 release into the solution.

374 The porosity of matrices calculated from the matrix volume and the density of the
375 polymer composition varied in the range 77-80% and was not significantly different for
376 matrices prepared from different solutions. The porosity evaluated by SEM was 54% to 76%,
377 and the pore size varied from 5.7 to 0.97 μm . The matrices synthesized from DMSO
378 solutions were less uniform, in terms of not only fiber diameter, but also the pore size (Table
379 2, Figure 1).

380 No weight loss of the matrices was detected after drying, even though matrices made
381 of PCL with 10% HSA are known to release the protein into solution [25]. This loss could not
382 be detected by gravimetric methods under the conditions of the experiment (the loss is
383 0.2-0.3% of the matrix weight, provided that the matrix weight is no more than 5 mg, and the
384 weight of released HSA is only 10-15 μg).

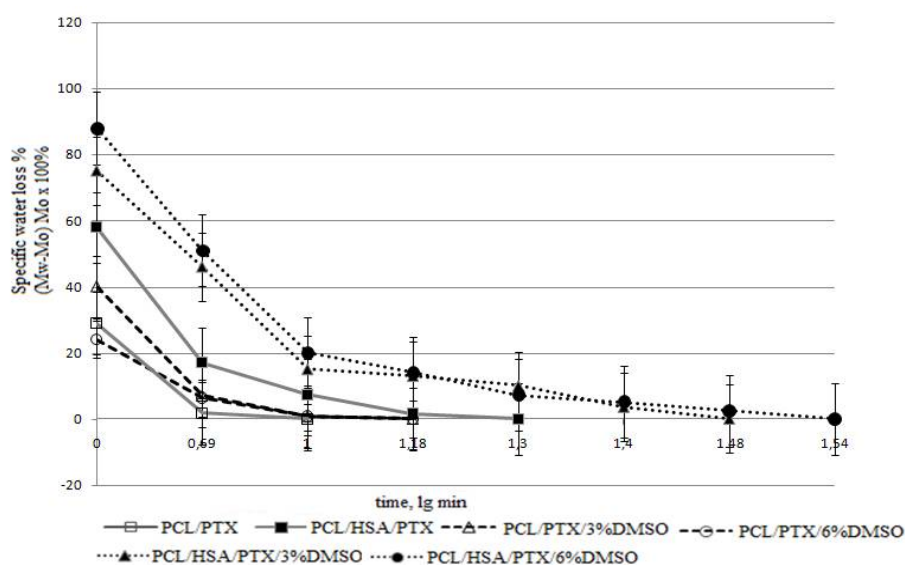
385 Water absorption varied from 294% for the pure PCL matrices to 883% for 5% PCL/
386 PTX/6%DMSO/HSA matrices. According to a previous study based on the Washburn's
387 equation, the time required to fill nanopores is several microseconds [35], which means that
388 the observed differences in water absorption cannot be caused by incomplete hydration of the
389 matrices. The water absorption value is inversely proportional to the contact angle of 3D
390 matrices and the fiber diameter. In addition, HSA-containing matrices retain more water than
391 those without the protein, which is likely caused by the higher hydrophilicity of the
392 HSA-containing matrices and the hydration of HSA within the fibers.

393 The surface of PCL-based matrices without HSA was hydrophobic (Table 2), they
394 were poorly wetted, and lost almost all water after the first drying period (Figure 3) [35]. The
395 second drying period for these matrices was very short, which can indicate a rapid transport
396 of water from the internal volume of the matrices and lack of interaction between water and
397 matrix surface.

398 PCL/HSA matrices had contact angle below 90° , indicating hydrophilicity. Provided
399 that the density of PCL is 1.021 g/cm^3 , the matrix adsorbed no less than 589% of water
400 (weight percentage) but quickly lost it during the first drying period. The hydrophilic fiber
401 surface retains the water to a greater extent, therefore, explaining the longer second drying
402 period typical for these matrices.

403 PCL/HSA/DMSO matrices were more hydrophilic compared to those without HSA
404 and absorbed significantly more water (Table 3). Apparently, the mass transfer of water in
405 these matrices is determined by not only by the flow from the hydrostatic drop pressure
406 occurring due to filling of pores of different radii (as described by Laplace's law) but also the
407 interaction of water with the fiber surface. Indeed, mass transfer may include
408 surface-diffusion flows, film formation, and the occurrence of disjoining pressure, which can
409 lead to fluid transfer in surface films when their thickness deviates from the equilibrium

410 value (surfaces with ultrastructural disturbances are filled preferentially) [35]. Matrices with
 411 HSA and DMSO exhibited pronounced first and second drying periods, with the latter lasting
 412 longer and resulting in a higher water loss as compared to matrices without DMSO (Figure
 413 3). Judging by this, the microstructure of such matrices has similar characteristics, although
 414 matrices with 6% DMSO had a lower contact angle and were more thoroughly filled with
 415 water. These matrices were also more heterogeneous in fiber diameter (Figure 1), consisted
 416 of thinner fibers and had a more branched surface.
 417



418
 419 **Figure 3.** Drying rate of 3D matrices. The data presented as means, error of the mean does
 420 not exceed 7%.

421 3.3. PTX release from matrices

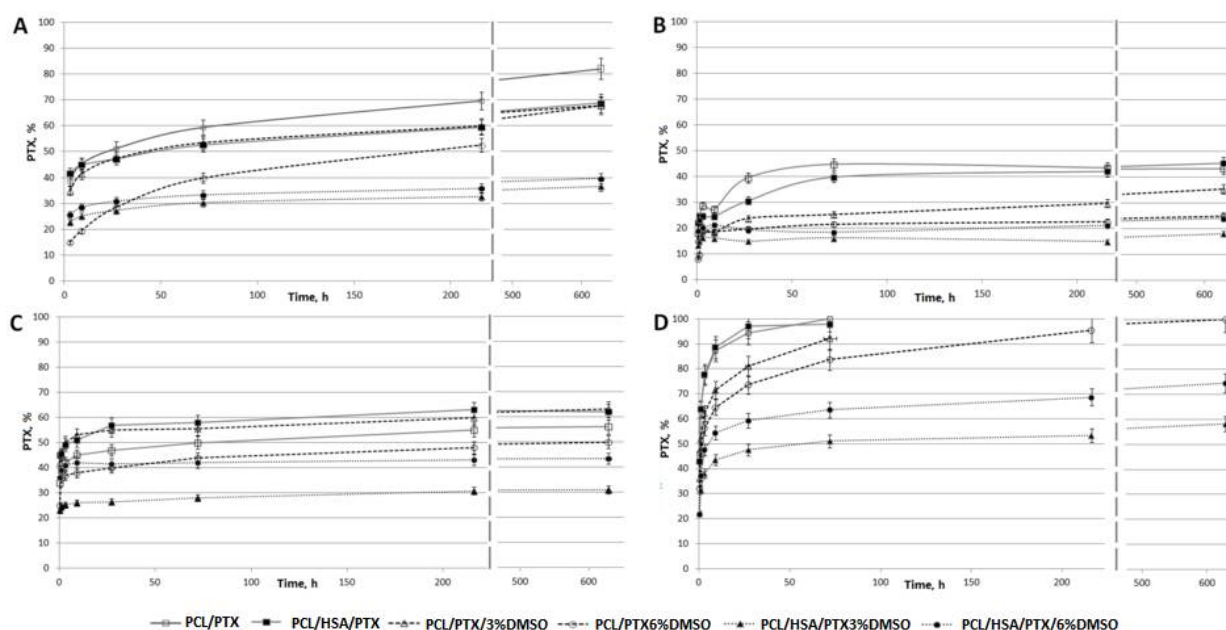
422 It is known that hydrophilic matrices have a lower capacity to adsorb proteins [36]
 423 and induce inflammation and, therefore, have advantages over hydrophobic matrices as
 424 materials for implants [37]. Introduction of HSA decreases the contact angle, thus making
 425 matrices more hydrophilic (Table 2) and increasing their hemo- and biocompatibility [21,
 426 22]. The introduction of 3% or 6% of DMSO in PCL matrices did not lead to a significant
 427 change in the contact angle but affected matrix structure, fiber diameter, and water
 428 absorption, thus effectively increasing the area of medium-matrix interface. The two-phase
 429 nature of drying and high interface surface characteristic of the matrices with HSA and
 430 DMSO compared to other 3D matrices allow one to hope that PTX can be released
 431 effectively from such matrices and that its release kinetics would also follow a two-phase
 432 profile.

433 As mentioned in “Materials and Methods”, PTX release from the matrices was
 434 studied without (1) or with the replacement of the media (2), i.e., the solution was replaced by
 435 the new portion after each time point. The selected conditions emulate PTX release into the

436 bloodstream (medium replacement) and in the vascular wall (no or slow replacement of
437 medium).

438 It should be noted that mathematical modelling of the mechanisms of drug delivery
439 controlled by diffusion, osmosis, swelling/dissolution processes, etc is well-developed [38].
440 The release of low-molecular-weight compounds (Rhodamine 610) from electrospun
441 matrices made of PCL [32] or bovine serum albumin (BSA) from PCL/BSA matrices [39]
442 was studied earlier. The delivery of rhodamine was shown to be limited by its diffusion from
443 fibers. The authors developed a theoretical model of the release and evaluated its parameters,
444 such as nanoporosity and desorption enthalpy. They showed that the release is saturable -
445 only a portion of rhodamine can be released, the precise amount depending on the fiber pore
446 structure. Diffusion of this part of the compound from the fibers took between two and our
447 days and the fibers no longer release rhodamine. Materials exhibiting this type of the release
448 are poor candidates for stent coatings. Moreover, the authors did not attempt to enhance the
449 bio- and hemocompatibility of the matrices and only studied the release of rhodamine and
450 protein molecules from synthetic polymers.

451 The kinetic curves of PTX release are presented in Figure 4. The release in PBS from
452 5%PCL/PTX and 5% PCL/PTX/10%HSA matrices appears to be similar to that described
453 earlier [32] with a saturation time of three days (Figure 4 A). However, some differences
454 were observed, which were probably caused by the low solubility of PTX (less than 0.3 mg/L
455 or 3.5 μ M in water). When incubated with medium replacement, PTX was released from the
456 fibers past the third day and for as long as up to 27 days, and the total amount released was
457 double the PTX release in PBS without medium replacement (Figure 4 B).



461 replacement after each time point; (C) Incubation of 3D matrices with human plasma without
462 medium replacement; (D) Incubation of 3D matrices with human plasma with medium
463 replacement after each time point.

464 In a fiber PTX can be found both in the volume and on the surface: $PTX_{total} =$
465 $PTX_{volume} + PTX_{surface}$. One can assume that without medium replacement, an equilibrium
466 between PTX on the surface and PTX in the solution is achieved during the first three days
467 (in PBS). Because of the low PTX solubility in water, the rate at which the equilibrium is
468 established is limited by its desorption from the matrix surface. In the case of medium
469 replacement, dissolved PTX is periodically removed, leading to additional desorption of
470 PTX from the fibers into the solution, thus increasing the amount of dissolved PTX over
471 time. Moreover, in HSA-containing matrices, the sorption/desorption on the fiber surface is
472 accompanied by the binding of PTX to HSA ($K_a = 1.43 \times 10^4 \text{ M}^{-1}$ [20]), which can retain PTX
473 both within and on the surface of the fibers.

474 During the incubation of matrices with plasma without replacement, the nature of the
475 release did not change but saturation was achieved in five–seven days (Figure 4 C) and more
476 PTX was released (55–60% vs 45%). When incubated with plasma replacement, PTX was
477 completely released from matrices in three days (Figure 4 D). Apparently, HSA in plasma
478 binds dissolved PTX, which prevents the resorption of PTX on the matrix surface. The
479 concentration of HSA-bound PTX can be evaluated by taking into account the following
480 factors: HSA concentration in plasma is $\sim 1 \text{ mM}$; PTX concentration is $\sim 0.82 \text{ }\mu\text{M}$; when 50%
481 of this compound is released from the matrix (MW_{PTX} is 854, the matrix contains $0.36 \text{ }\mu\text{g}$, the
482 solution volume is 0.25 mL); association constant is $K_a = 1.43 \times 10^4 \text{ M}^{-1}$. Using material
483 balance equations, the concentration of the PTX-HSA complex is calculated as $0.77 \text{ }\mu\text{M}$.
484 Thus, under these conditions, 94% of released PTX is associated with HSA. It is likely that
485 other biomolecules in plasma can also be involved, e.g., lipids can interact with fat-soluble
486 PTX on the surface or even within the matrix.

487 Since incubation with plasma replacement increased the PTX release to 100%, PTX
488 diffusion rate within the fibers/matrix did not limit the rate of PTX release. It should be noted
489 that, according to SEM data, the fiber structure of these 3D matrices also did not change
490 during long term incubation and PTX release into the solution (Figure 1) that is, the
491 degradation or reorganization of the matrix structure is not associated with long-term PTX
492 release.

493 The results indicate that 5%PCL/PTX and 5%PCL/PTX/10%HSA matrices are not
494 suitable for the prolonged delivery of the drug because they do not facilitate the long time
495 two-phase kinetics of PTX release and the drug is rapidly released when matrix is in contact
496 with human plasma. To obtain 3D matrices, which are capable of prolonged PTX release, it is
497 necessary to either change the fiber structure or alter the PTX distribution in the fibers. To

498 retain PTX in the fiber during the solvent drying step and/or obtain the porous fibers, DMSO
499 was added to the electrospinning solution because its nontoxic, has a high boiling point
500 (189°C), and dissolves PTX. In previous reports, the factor of matrix nanoporosity has been
501 theoretically explored [30]. The authors of this report used a similar solvent system for
502 electrospinning - a mixture of dimethylformamide ($T_{bp} = 153^{\circ}\text{C}$) with dichloromethane (T_{bp}
503 $= 40^{\circ}\text{C}$). It was shown that the addition of 3% DMSO in the electrospinning solution
504 significantly decreased the total PTX release into PBS (up to 25–35%) under conditions
505 without medium replacement (Figure 4 A). For matrices with 6% DMSO the PTX release
506 was slightly decreased, while almost no decrease of the total PTX release was observed in
507 medium replacement conditions (Figure 4 B). These data are consistent with XPS
508 demonstrating a decrease of PTX on the surface of 3D matrices electrospun from the
509 solutions with DMSO (Table 3). As in previous cases, the rate of PTX diffusion from the
510 fiber/matrix volume to its surface does not limit the rate of PTX transfer into the solution
511 since no change in the total release of PTX under the conditions with medium replacement
512 was detected.

513 In regards to PTX release into the plasma, the addition of 6% of DMSO slightly
514 decreased the release under the conditions with plasma replacement (Figure 4 D) but had no
515 effect on PTX release without replacement (Figure 4 C). It should be noted that the matrices
516 prepared from DMSO-containing solutions consist of thinner fibers as compared with the
517 other matrices. Moreover, 3D matrices produced from ES solutions with 6% of DMSO are
518 characterized by more hydrophobic surfaces, lower water adsorption, and lower amount of
519 PTX on the surface according to the XPS data as compared with the matrices prepared from
520 the solutions containing 3% DMSO.

521 Generally speaking the matrices prepared from solutions containing DMSO and HSA
522 are characterized by a slow release of PTX (Figures 4 C and 4 D). Matrices made from the
523 5%PCL/PTX/3%DMSO/10%HSA released ~30% and 60% of PTX in 28 days under the
524 conditions, both with and without medium replacement, respectively. The daily release of
525 PTX decreased from ~25% (day 1) to 0.05% (day 28) and from ~45% (day 1) to 0.26% (day
526 28) under the conditions, both with and without medium replacement, respectively. These
527 results indicate the effect of DMSO, which changes the matrix structure and the distributions
528 of both PTX and HSA in the fibers (Tables 2 and 3).

529 Thus, the composition of ES solution and outside medium significantly affects the
530 rate of the PTX release. The removal of dissolved PTX due to the medium replacement
531 and/or binding to HSA, both prevent its resorption and lead to the fast diffusion of PTX from
532 the fibers and its complete release from the matrix. Thus, desorption of PTX from fibers is
533 the rate-limiting stage of PTX release. The results for matrices produced from ES solution
534 with DMSO show that increased hydrophobicity of 3D matrices reduces desorption of PTX
535 from the fiber surface. In addition, HSA in PCL fibers has a more pronounced PTX retention

536 effect. 3D matrices produced from solutions of PCL, HSA and DMSO in HFIP exhibited a
537 pronounced two-phase kinetics profile of PTX release into PBS or human plasma. The first
538 phase is the fast release due to desorption of PTX from the surface, the second stage is the
539 slow PTX release caused by its interaction with HSA located within the hydrophobic fibers.

540 Earlier, it was shown that rhodamine was only partly released into aqueous solutions
541 from electrospun fibers prepared from PCL solutions in
542 dimethylformamide/dichloromethane mixture, and a portion of rhodamine localized in the
543 solid polymer phase, is never released [32]. Our results demonstrate that PTX can completely
544 diffuse from the matrices prepared from PCL or PCL/HSA in HFIP with or without DMSO
545 when the matrices are incubated with the human plasma (Figure 4 D). According to the XPS
546 data (Table 2), a significant amount of PTX is exposed on the matrix surface, and the
547 incubation of matrices with aqueous solutions led to a release of PTX (Figure 4) and
548 reorganization of the surface layer, resulting in a further increase in the PTX concentration on
549 the matrix surface (Table 2). Apparently, the structure of the fibers prepared from HFIP
550 solutions and chemical properties of PTX allow this compound to be redistributed in the
551 fibers. In any case, the matrices capable of complete PTX release are optimal for facilitating
552 its delivery.

553 The data on structure of 3D matrices (Tables 2 and 3) are strongly correlate with
554 release of PTF from fibers. Actually, fibers able to accumulate PTX in their bulk and
555 swelling during incubation demonstrated slower PTX release kinetics. It is interesting to note
556 that water loss by matrices correlates with kinetics of PTX release (Figures 3 and 4).

557 Stretching of the matrices to the point of two-fold elongation did not affect the PTX
558 release. Both the nature of the release and the amount of released PTX per one unit of the
559 matrix weight remained unchanged. However, the elongation of the matrices led to plastic
560 deformation because of the small area of elastic deformation (7–10%), and the linear size of
561 the matrices increased to 125–185% after loading. The amount of PTX released from
562 elongated matrices was proportionally lower compared to untreated matrices. It is necessary
563 to take this into account when optimizing the cytostatic dose of the drug for stent coating.

564 The nature of PTX release from 3D matrices containing HSA and DMSO and
565 electrospun from HFIP solutions enables their use as coatings for vascular stents intended to
566 prevent restenosis and proliferation of cell in the vascular wall. The perivascular delivery of
567 PTX at concentrations from 20 to 230 μM under the conditions of internal pressure ensures
568 its efficient accumulation in the artery walls, with predominant localization in the adventitia
569 area [40]. According to some authors, the diffusion coefficient for PTX in arterial wall varies
570 from $1 \times 10^{-8} \text{ cm}^2/\text{s}$ to $4.87 \times 10^{-6} \text{ cm}^2/\text{s}$ [41]. It is interesting to note that the diffusion
571 coefficient for HSA (the main transporter of PTX) in the aortal wall (evaluated without
572 regard to potential binding in the adventitia) is $1.06 \times 10^{-8} \text{ cm}^2/\text{s}$ [42] and the protein is
573 retained in the region of elastin fibers localized in the smooth muscle layer of the adventitia

574 [43]. In addition, it has been shown that elastin itself binds PTX and can promote its retention
575 in the artery wall [44]. The accumulation of PTX in this layer can be mediated by PTX
576 binding to both HSA and elastin. The toxic PTX concentration against smooth muscle
577 myocytes is ~ 10 nM [45]. Providing that the matrix contains $0.46 \mu\text{g}/\text{cm}^2$ of PTX and 1% of
578 it is released daily, the PTX concentration in the wall will be equal to or higher than its toxic
579 concentration. One should take into account the initial accumulation of PTX in the wall, its
580 low solubility, and the binding with the components of the extracellular matrix. Thus, the
581 coating of bare-metal stents with scaffolds electrospun from the solutions of PCL with HSA
582 and DMSO and containing $0.46 \mu\text{g}/\text{cm}^2$ of PTX can be toxic against vascular wall myocytes
583 for at least three months. Herewith, the PTX dose, which is released during the first days after
584 the stent implantation, will make it possible to eliminate the proliferation of activated cells,
585 and high PTX concentration will compensate for its diffusion through the partially destroyed
586 artery wall immediately after implantation. Furthermore, the data on the complete PTX
587 release from the matrices produced from the HFIP solutions of PCL allows one to hope for an
588 even more prolonged release of PTX from the fibers. It should also be noted that the change
589 in the structure of the matrices during incubation, their shrinkage, and fiber aggregation,
590 resulting in the reduction of the phase interface, could prolong the PTX release from the
591 fibers and thus assist the cytotoxic effect of drugs introduced in such matrices.

592 4. Conclusions

593 The physicochemical properties of electrospun matrices prepared from the solutions
594 of PCL with PTX in HFIP and their blends with HSA and DMSO were studied. It was shown
595 that 3D matrices produced from a HFIP solution of PCL with PTX, HSA and DMSO are the
596 most suitable to be used as coatings for bare-metal stents because they are not expected to
597 exert any significant additional stress on the stent beams, exhibit long time two-phase
598 kinetics of PTX release, and thus are expected to be able to maintain a cytotoxic PTX
599 concentration in the vascular wall for at least three months. It was shown that PTX can be
600 completely released from these matrices without fiber degradation. The use of plasma as the
601 external medium accelerated PTX release, while two-fold elongation of 3D matrices did not
602 interfere with the kinetics of the release. Thus, PCL-based 3D matrices containing HSA, PTX
603 and DMSO can be used for the production of coated vascular stents with prolong delivery of
604 PTX.

605 Author Contributions:

606 The authors wish it to be known, that in their opinion, the first two authors should be regarded
607 as joint First Authors.

608 The authors make the following individual contribution: K. A. Kuznetsov – investigation, 3D
609 matrix production and PTX release study; A. O. Stepanova – investigation, matrix
610 characterization and PTX release study; R. I. Kvon – investigation, XPS study; T. E.L.
611 Douglas – writing - review and editing, discussion of the data obtained; N. A. Kuznetsov –

612 formal analysis; V. S. Chernonosova – investigation, visualization; Ivan A. Zaporozhchenko
613 – investigation, validation; M. V. Kharkova – methodology; I. V. Romanova – investigation,
614 PTX labeling; A. A. Karpenko – resources, methodology; P. P. Laktionov – supervision,
615 project administration, funding acquisition, writing.

616 **Funding:**

617 This research was funded by Russian Scientific Foundation, grant number 18-15-00080.

618 **Conflicts of Interest:**

619 The authors declare no conflict of interest. The funders had no role in the design of the study;
620 in the collection, analyses, or interpretation of data; in the writing of the manuscript, or in the
621 decision to publish the results.

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