

1 Citizen science pioneers in Kenya – 2 a crowdsourced approach for hydrological monitoring

3 Authors

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19 Abstract

20 Although water is involved in many ecosystem services, the absence of monitoring data restricts the
21 development of effective water management strategies especially in remote regions. Traditional
22 monitoring networks can be expensive, with unaffordable costs in many low-income countries.
23 Involving citizens in monitoring through crowdsourcing has the potential to reduce these costs but
24 remains uncommon in hydrology. This study evaluates the quality and quantity of data generated by
25 citizens in a remote Kenyan basin and assesses whether crowdsourcing is a suitable method to
26 overcome data scarcity. We installed thirteen water level gauges equipped with signboards explaining
27 the monitoring process to passers-by. Results were sent via a text-message-based data collection
28 framework that included an immediate feedback to citizens. A public web interface was used to
29 visualize the data. Within the first year, 124 citizens reported 1,175 valid measurements. We identified
30 13 citizens as active observers providing more than ten measurements, whereas 57% only sent one
31 record. A comparison between the crowdsourced water level data and an automatic gauging station
32 revealed high data quality. The results of this study indicate that citizens can provide water level data
33 of sufficient quality and with high temporal resolution.

34 **Key words**

35 water resources management; hydrology; water level; East Africa; Sondu catchment; text message;
36 Kenya

37 **Highlights**

- 38 • Hydrological monitoring is costly and often not achievable for low-income countries
- 39 • Involving citizens in the monitoring process can increase the amount of data
- 40 • Citizens reported water level for a remote catchment regularly and with high quality
- 41 • Crowdsourced data can be a valuable additional data source

42 **1 Introduction**

43 Water provides crucial ecosystem services for human beings and comprehensive hydrological
44 knowledge is essential to manage this resource sustainably (Buytaert et al., 2014). However, water
45 management strategies can only be effective if they are based on reliable monitoring. The absence of
46 long-term data makes it difficult to develop sustainable management practices (Gilbert, 2010). While
47 the available water data pool is arguably sufficient in developed countries, low-income countries are
48 constrained by scarce data, restricting sustainable development (Buytaert et al., 2014). Ongoing
49 climate and land use change processes influence water availability and, as a result, regional and local
50 changes become more variable and difficult to predict (Jackson et al., 2001). Climate variability will
51 increase pressure on the development of sustainable water resource management strategies, especially
52 on the African continent (UNESCO, 2015). In addition, empirical evidence is required to advance our
53 understanding of hydrological processes, e.g. observations are necessary to improve hydrological
54 models (Royem et al., 2012). Fast developing African nations with an increasing water demand face
55 the largest constraints to acquire and manage water data (UNESCO, 2003). However, the installation
56 of comprehensive monitoring networks raise costs for technical equipment, personnel, management,
57 and maintenance (Mazzoleni et al., 2017), especially in remote areas, where accessing the sensors for
58 maintenance and data collection becomes a time-consuming task. In low-income countries, these
59 installations and running costs may prevent the establishment and maintenance of water monitoring
60 networks. The use of remote sensing technology to gain hydrological information as it is used to

61 monitor large waterbodies is also not suitable for small streams due to the spatial and vertical
62 resolution of the available data.

63 Citizen science projects have the potential to be a cost-effective way of gathering data and can reduce
64 laborious or costly research problems (Bonney et al., 2014; Gura, 2013; Pocock et al., 2014; Tweddle
65 et al., 2012). This seems to motivate decision-makers and non-governmental organizations worldwide,
66 who are engaging volunteers for various monitoring responsibilities. In general, citizen science is
67 described as a practice in which volunteers with no science background assist in conducting
68 research (Raddick et al., 2010), generating new scientific knowledge (Buytaert et al., 2014), or
69 collecting data without a direct integration into the scientific process (often referred to as
70 crowdsourcing). Besides reducing costs, citizen science projects are an opportunity to link scientific
71 work to the broader community. Involving the general public may increase public awareness and the
72 public's attitude towards the topic investigated (Chase and Levine, 2017). Referring to the US
73 National Science Foundation, citizen science projects are more readily funded, because they satisfy the
74 requirement for "broader impact on society" of research grants (Gura, 2013). Consequently, citizen
75 science publications have increased more than 10-fold within the last fifteen years (Tipaldo and
76 Allamano, 2016).

77 Incorporating the general public in data assimilation has a long history in science. For example, the
78 Christmas Bird Count by the National Audubon Society has been using eyewitness accounts to
79 discover the distribution and abundance of birds in the United States for over 100 years (Audubon,
80 2017). Lowry and Fienen established a crowdsourcing approach to collect water level data in the
81 U.S (Lowry and Fienen, 2013) by setting up a software called "Social.Water" (Fienen and Lowry,
82 2012). Starting with nine sites in 2011, their project monitors now more than 100 water level stations
83 in lakes and streams over the United States. Breuer et al. conducted a crowdsourcing campaign to
84 determine the spatial distribution of nitrogen solutes in German surface waters (Breuer et al., 2015).
85 Especially low-income countries in Africa, like Kenya, can profit from this method of data collection
86 to extend the spatial and temporal resolution of their monitoring networks. A wide range of actors,
87 including NGOs and scientific organisations are engaged in in citizen science studies and citizen
88 science increased its popularity in the media, with policymakers and the scientific community

89 (Pettibone et al., 2017). We chose Kenya to test this innovative way of data collection considering that
90 Kenya is recognized as the economic hub of East Africa. The fast economic growth in this region will
91 bring about new environmental concerns, challenging natural resource managers to adapt and to
92 implement appropriate mitigation strategies. However, investments in a monitoring infrastructure are
93 essential to make robust management decisions, but these investments are currently implemented at a
94 relatively low speed in Kenya. Nevertheless, integrating the general public in collecting hydrological
95 measurements is still an uncommon practice, since the measurements are more complex and often
96 require expensive techniques (Buytaert et al., 2016). To support efficient use of water resources,
97 sustainable water management and allocation plans have to be developed and implemented, thus
98 requiring effective and reliable monitoring data. However, the Kenyan water sector of Kenya does not
99 have the financial capacity to monitor natural resources with expensive high-tech equipment. New and
100 affordable technologies have the potential to engage new actors in the monitoring process,
101 transforming data collection from few data collectors toward a dynamic and decentralized network of
102 citizens scientists (Buytaert et al., 2016).

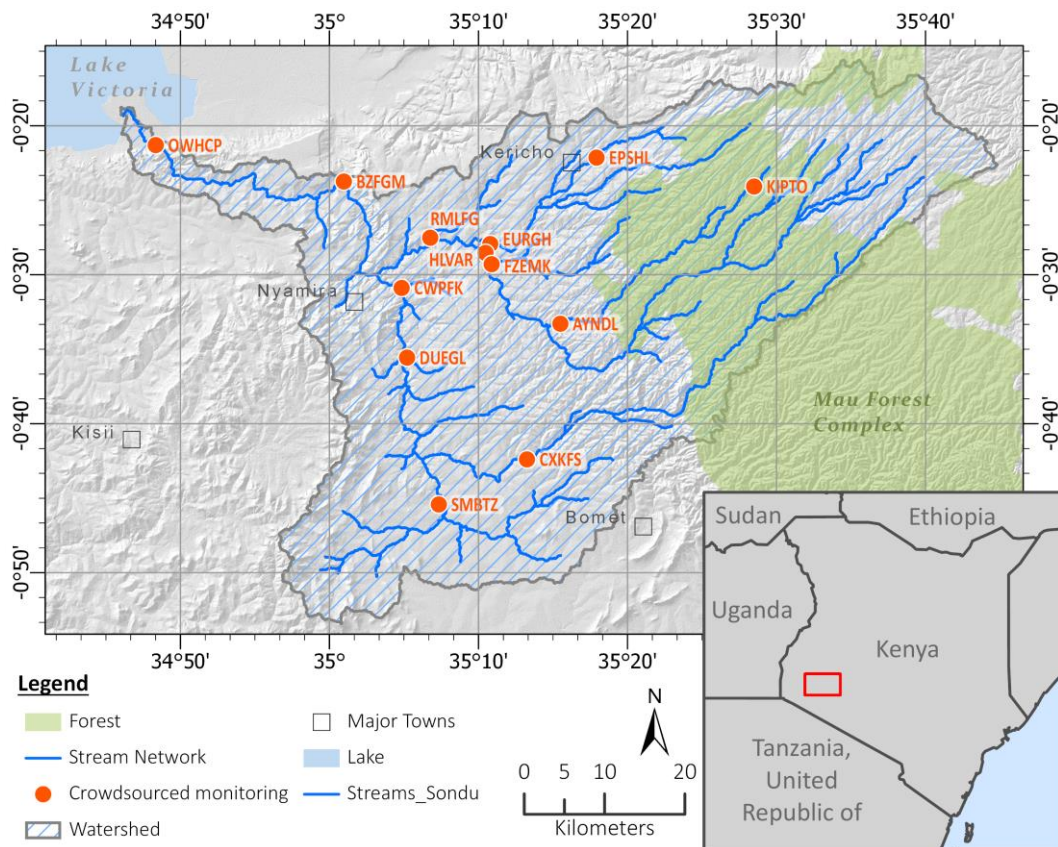
103 The objective of this study was to determine whether engaging the citizens in a water level monitoring
104 project is a suitable way to overcome data scarcity in remote catchments like the Sondu-Miriu River
105 basin in Kenya. There are three research questions framing this study:

- 106 (1) Is citizen science a suitable approach to gather water levels in a remote tropical region?
- 107 (2) Is a text-message-based monitoring platform sufficiently user-friendly to be accepted by
108 participants?
- 109 (3) Is the water level data gathered by the general public robust and trustworthy?

110 **2 Materials and Methods**

111 **2.1 Study area**

112 The study was conducted in the Sondu-Miriu River basin (3,450 km²) located in Western Kenya
113 (Figure 1). Elevation ranges from 1,140 m a.s.l. at the outlet of the basin at the Lake Victoria up to
114 2,900 m a.s.l. in the north-east region. The land use in the eastern region is dominated by smallholder
115 agriculture and subsistence farming cultivating e.g. maize, beans, cabbage and potatoes. The central
116 part of the basin is covered by the Mau Forest, Kenya's largest indigenous closed-canopy forest.
117 Commercial tea and eucalyptus plantations, established in the first half of the 20th century (Binge,
118 1962) characterize the overall landscape in the north around the town of Kericho. A mixed land use
119 pattern, consisting of smallholder agriculture and small settlements prevails towards Lake Victoria.



120

121 *Figure 1: The Sondu-Miriu River basin in Kenya, including the stream network, major towns, natural forest*
122 *areas, and the location of the crowdsourced monitoring stream gauging stations. The coordinates of the stations*
123 *and additional information can be found in Table 1. Reference grid displays coordinates in WGS 1984.*

124 The climate is influenced by the Intertropical Convergence Zone, resulting in a bimodal rainfall
125 pattern with longer rainy seasons from April to July and a shorter rainy season between October and
126 December. Monthly rainfall ranges from about 20 mm during the dry season to 180 mm during the
127 rainy season (Olang and Kundu, 2011). Annual rainfall ranges from 1,300 mm yr⁻¹ at the lower
128 altitudes of the study area, to 1,900 mm yr⁻¹ in the north-east region (Krhoda, 1988). The temperature
129 does not show significant seasonality, but correlates with altitude. Highest temperatures, with an
130 annual mean of 23°C have been recorded close to Lake Victoria (Vuai and Mungai, 2012), whereas
131 the upland area around Kericho has a mean annual temperature of about 16°C (Stephens et al., 1992).
132 Potential evapotranspiration rates range from 1,800 mm yr⁻¹ at the lower altitudes to 1,400 mm yr⁻¹ in
133 elevated areas (Krhoda, 1988). Nitisols are common at the higher altitudes, whereas Acrisols are
134 prevailing in the middle, and Regosols are mainly found at the lower parts of the basin (Vuai and
135 Mungai, 2012).

136 The Mau Forest Complex provides critical water related ecosystem services e.g. water storage, river
137 flow, flood mitigation, groundwater recharge, and micro-climate regulation (Benn and Bindra, 2011).
138 Poor implementation of land use policies have resulted in a rapid forest degradation. More than one-
139 quarter (100,000 ha) of the native forest have been lost within the last few decades (Khamala, 2010).
140 This land use change had a negative impact on the hydrological cycle, resulting in an noticeable
141 decline of discharge (Olang and Kundu, 2011).

142 **2.2 Data collection**

143 For this study, we installed thirteen locally-manufactured water level gauges at easily-accessible
144 locations selected in agreement with the local water management authority, e.g. at public bridges (
145 Table 1). Each monitoring site was equipped with a signboard placed next to the water level gauge
146 (Figure 2) explaining the monitoring process using pictures and instructions in English as well as
147 Swahili to invite passers-by to send data. Similar to the approach described by Fienen and Lowry
148 (2012), participants read the water level and sent a text message, containing their record and the
149 station-ID, which was indicated on the signboard. We aimed at keeping the method as simple as
150 possible to minimise barriers for participation. Neither special equipment (like a smartphone with a

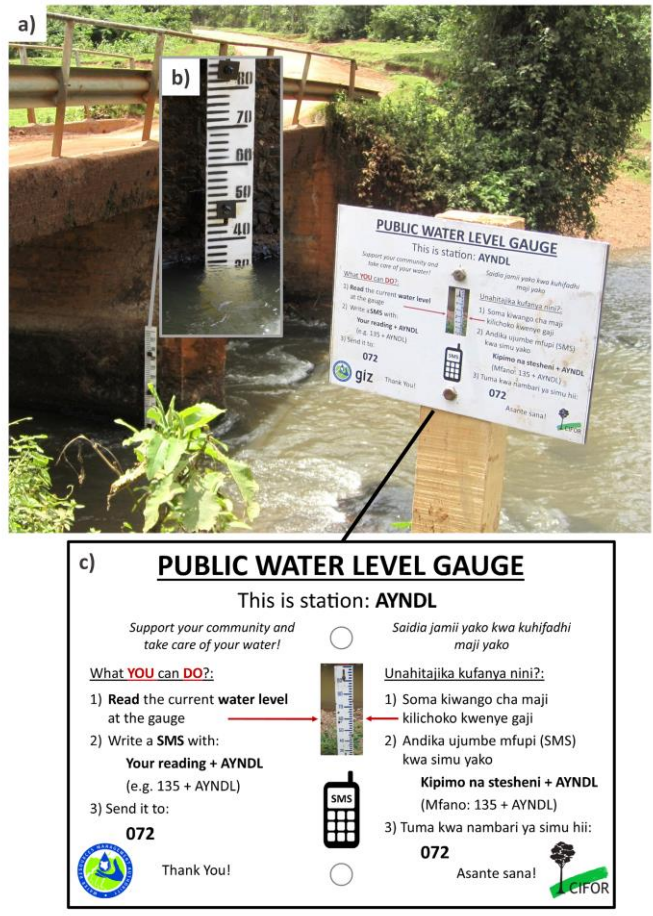
151 camera) nor a mobile Internet connection or registration was required. The text message service is an
 152 easy to use, stable, inexpensive (0.01 USD each message) and established method of communication
 153 in East Africa. In addition, the system was designed to allow real-time feedback by sending response
 154 text messages to the observer.

155 *Table 1. Station, site-ID, and geographical coordinates of the water level stations monitored in the Sondu-Miriu*
 156 *River basin, Kenya. Number of observations, the number of participants and the percentage of days with data for*
 157 *the period between April 2016 and March 2017 are given for every station.*

| Station name | site-ID | Coordinates ^a | | Observations | Participants | Coverage ^b |
|-----------------|---------|--------------------------|-----------|--------------|--------------|-----------------------|
| | | Latitude | Longitude | | | % |
| Kiptiget 1JA02 | AYNDL | -0.554822 | 35.258283 | 74 | 10 | 18.6 |
| Sondu 1JG05 | BZFGM | -0.395118 | 35.015983 | 178 | 18 | 44.9 |
| Kipsonoi 1JF08 | CWPFK | -0.514703 | 35.080172 | 27 | 8 | 7.1 |
| Kipsonoi 1JF06 | CXKFS | -0.708547 | 35.221307 | 90 | 12 | 15.1 |
| Kipsonoi 1JF07 | DUEGL | -0.592747 | 35.086642 | 29 | 11 | 7.9 |
| Kimugu 1JC03 | EPSHL | -0.368775 | 35.298784 | 50 | 24 | 12.1 |
| Ainabkoi 1JD04 | EURGH | -0.465570 | 35.179745 | 53 | 12 | 13.2 |
| Itare 1JB05 | FZEMK | -0.488137 | 35.181330 | 9 | 5 | 1.9 |
| Chemosit 1JB03 | HLVAR | -0.475725 | 35.174287 | 27 | 12 | 6.0 |
| Kuresoi | KIPTO | -0.401145 | 35.475240 | 434 | 15 | 74.2 |
| Sondu 1JG04 | OWHCP | -0.354440 | 34.805502 | 160 | 8 | 42.7 |
| Lisere-Ainapkoi | RMLFG | -0.458506 | 35.112567 | 32 | 7 | 7.4 |
| Lower Sisei | SMBTZ | -0.757450 | 35.122997 | 12 | 11 | 2.5 |

^a WGS 1984 UTM Zone 36 S

^b Percentage of the days between Apr 2016 and Mar 2017 with ≥ 1 observation per day



158

159 *Figure 2: Example of the signboard (c) placed next to a water level gauge (b) (station AYNDL) (a). Simple and*
 160 *precise instructions make it easy for interested citizens to participate. Every gauge has an individual sign*
 161 *showing the station-ID.*

162 To promote the project idea and assess its acceptance, several meetings were arranged with interested
 163 citizens at each site at the beginning of the project. These meetings were used to explain the
 164 measurement process and to train potential participants. It became evident that citizens, especially in
 165 the remote areas of the basin, had issues raising the money to send the data using their cell phones. To
 166 investigate if the lack of cash limits participation, we tested a reimbursement system for participants at
 167 the KIPTO station. The transmission costs (1 KES \approx 0.01 USD) were reimbursed twofold for every
 168 valid observation sent. This payment was completed by transferring an aggregated monthly amount as
 169 cell phone credit to each observer and was limited to a maximum of 60 KES (i.e. thirty observations).
 170 The amount was automatically calculated and disbursed using an SMS-server as described in the
 171 section below. All other stations were operated without any reimbursement. The initial costs for the

172 full monitoring network were low with approximately 6,000 USD for the gauges, mounting and sign-
173 boards. Minor running costs were caused by on-site meetings with observers, the SMS-response and
174 the webpage. The initial costs for simple pressure transducer to collect water level data automatically
175 are substantially higher and need a regular maintenance and data collection, which causes further
176 costs.

177 **2.3 Description of the SMS-Server**

178 2.3.1 General Approach

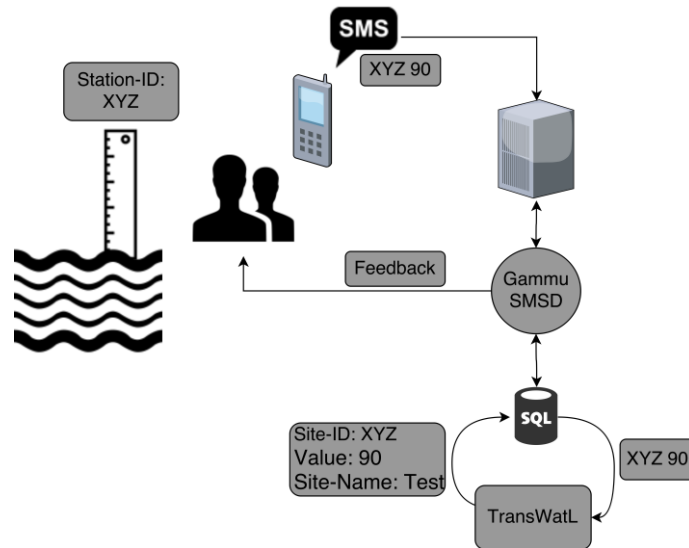
179 To collect and process the observations made by the citizens, we developed a software and hardware
180 framework based on the general approach described by Fienen and Lowry (2012). Both approaches
181 used text messages send by the observers to transmit the collected data and signboards placed next to
182 the water level gauges explained the system for interested passers-by. Furthermore, both systems
183 could handle spelling mistakes in the transmitted data using a text matching approach as described
184 below. To adapt the idea to the local requirements in Kenya, we extended and changed the general
185 approach. In contrast to the approach described by Fienen and Lowry (2012), where Google Voice is
186 used to receive the text messages, we developed our own server infrastructure based on a Raspberry Pi
187 2 Model B. This allowed us to use the server outside the U.S., where Google Voice is not available, to
188 avoid any dependency to the Google infrastructure and to provide a local cell phone number to ensure
189 low transmission costs for participants. Furthermore, this approach allowed us to extend the
190 functionality of the framework. We provided a real-time plausibility check of the data combined with
191 a direct feedback to the participant by sending a text message fully automated by the server and
192 imbedded a SQLite-database for data storing. In addition, we tested an automatic reimbursement
193 system, where observers at one station received a cost compensation depending on the amount of valid
194 data they sent. Further information regarding the technical implementation can be found in

195 Appendix 1.

196 2.3.2 Software

197 From the moment of sending an observation until the online presentation of the data, all transmitted
198 messages underwent a process described schematically in Figure 3 and

199 Appendix 1. Based on the result of the plausibility check, the Python script automatically sent a
200 feedback to the participant. Implausible data was flagged for further manual checking and the
201 processed data was stored in the database. If a reading was valid, the participant received an SMS
202 confirming the detected water level value and the station name associated with the site-ID.
203 Furthermore, the number of previously reported values for the same site was given with an
204 acknowledgment for the participation. If the water level sent was too high for the site, the participant
205 was informed that the reading is above the maximum gauge height. Similarly, the participant was
206 informed if the submitted site-ID did not coincide with a valid site-ID. Providing an immediate
207 feedback using the same communication channel had several advantages. First, the participants were
208 able to evaluate whether their contribution had the proper format or if they should check and resubmit
209 the observation. Second, giving feedback about the number of collected data at the site could be an
210 additional incentive and motivation to continue participating. The server was also used to calculate the
211 amount of monthly reimbursement based on the amount of valid measurements per month for every
212 participant were applicable. The reimbursement was then transferred automatically to the cell-phone of
213 each participant using an interface provided by the Kenyan network operator. A website ([www.uni-
214 giessen.de/hydro/hydrocrowd_kenya](http://www.uni-giessen.de/hydro/hydrocrowd_kenya)) was created to publish the crowdsourced data. On the website,
215 all processed data could be accessed with information about the individual monitoring sites. An
216 interactive plot allowed interested citizens and authorities to view the hydrograph at each site and to
217 download data for further use.



218

219 *Figure 3: Schematic view of the crowdsourced data collection process. Observers read the water level and send*
 220 *a text message containing the value and a specific site-ID to a central server. The server stores the data received*
 221 *in a SQLite-database and an algorithm programmed in Python further processes the raw data and gives*
 222 *individual real-time feedback to observers.*

223 2.4 Validation of data transmitted

224 To validate the crowdsourced data, a radar-based sensor (VEGAPULS WL61, VEGA Grieshaber KG,
 225 Schiltach, Germany) was placed twenty meters upstream of the KIPTO site, measuring water level
 226 data at ten-minute intervals. The hydrograph was inspected visually to estimate the quality of the
 227 crowdsourced collected data. Furthermore, the water levels at stations OWHCP and BZFGM, both
 228 located in the Sondu River, were evaluated and compared by assessing the difference of all
 229 standardized water levels collected on the same days for both stations.

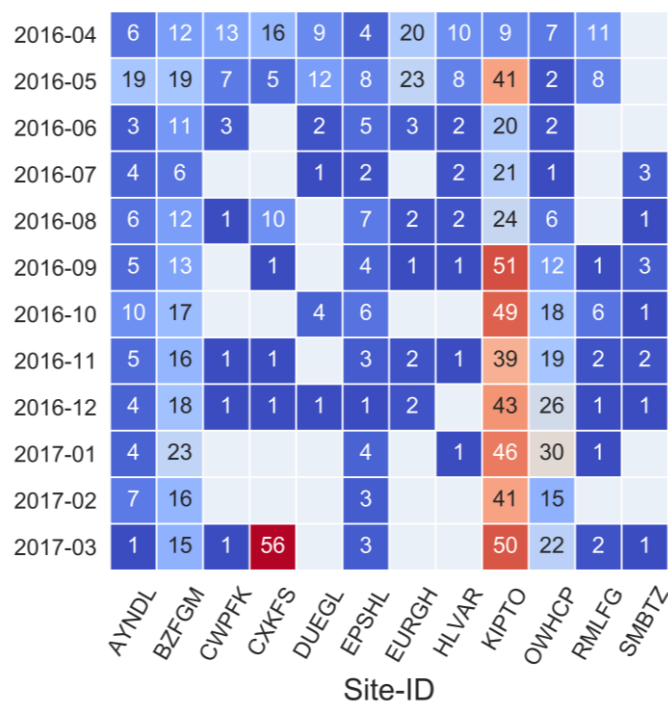
230 2.5 Telephone survey

231 A telephone survey was carried out to obtain information about the socio-economic background of the
 232 participants. All participants were contacted using the phone number provided during the data
 233 transmission and asked to answer questions related to the project. This survey enabled us to give an
 234 overview about the gender, age and educations status of the volunteers.

235 **3 Results**

236 **3.1 Received data**

237 Between April 1st, 2016 and March 31th, 2017, 124 different participants reported 1,175 valid
 238 measurements. The amount of observations for each person varied from one (56.8% of the observers)
 239 to 224 transmitted values for the most active participant. Apart from station FZEMK, which was
 240 damaged during a flood event and therefore excluded from the analysis, citizens regularly reported
 241 measurements for most of the stations (Figure 4).

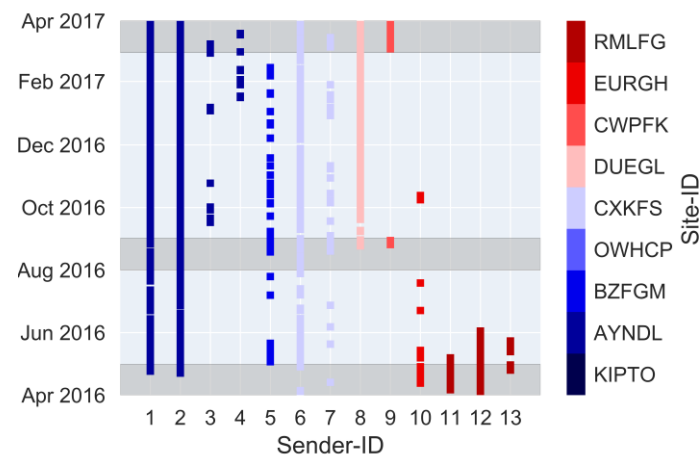


242
 243 *Figure 4: Monthly aggregated valid data for each station in the Sondu-Miriu River basin, Kenya, between April*
 244 *2016 and March 2017. Dark blue indicates low activity, dark red very active months, and months without data*
 245 *received are grey.*

246 It is noteworthy that even when some stations did not receive data for two or three months, these
 247 stations became active again (e.g. CXKFS, RMLFG). Most observations were reported after installing
 248 the gauges, when the citizens showed high interest in the project and the functionality of the system.
 249 Station KIPTO received the most measurements with 434 valid readings reported by fifteen different
 250 observers, followed by BZFGM and OWCHP with 178 and 160 observations, respectively. The station
 251 with the lowest amount of data was SMBTZ with only twelve received measurements (

252 *Table 1*). The number of participants at each station did not vary greatly and ranged from seven
 253 individual observers at RMLFG to 24 observers at EPSHL.

254 Observers who reported more than ten water level records during the project period were considered
 255 active observers (AOs). Figure 5 gives an overview of the temporal resolution and the behaviour of the
 256 13 identified AOs. Six observers continued transmitting values throughout the entire observation
 257 period, whereas the other seven AOs only sent messages for a certain period.



258
 259 *Figure 5: Temporal resolution of water level data in the Sondu-Miriu River basin in Kenya reported by active*
 260 *observers (more than ten observations during the observation period) in the period from April 2016 to March*
 261 *2017. Every dot represents a measurement from the observer (Sender-ID). The related station is indicated by the*
 262 *colour as described in the colour ramp to the right. Grey rows mark wet periods with more than 120 mm*
 263 *precipitation per month.*

264 While most of the AOs began participating during the initial project phase, some AOs joined after the
 265 project was already in progress. AOs were consistently sending data from one station, i.e. they did not
 266 move within the study area. The majority of AOs transmitted data for the full observation period.
 267 Some of them also resumed their work after long intervals without any transmission. Only a few AOs
 268 left the project after six to eight weeks. The wet periods, defined as months with more than 120 mm
 269 precipitation, did not influence the behaviour of the AOs, i.e. the amount of observations neither
 270 increased nor decreased during wet periods. Even though new participants joined in from time to time,
 271 most data was generated by AOs sending several readings each month. Only the minority of data
 272 (17%) was generated by random passers-by sending less than ten values.

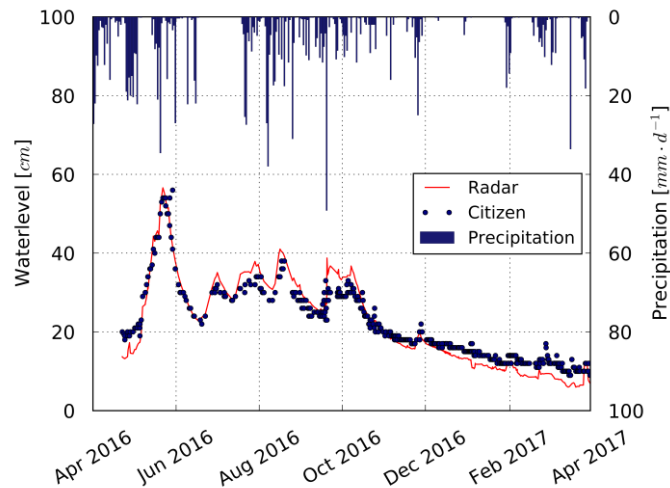
273 Even though we aimed at keeping the system as simple as possible, not every text message provided
 274 by the citizens contained valid or interpretable data. Fifty-nine messages were marked as invalid (5%).
 275 Most of these errors were induced by misuse (e.g. citizens trying to apply for a job as regular gauge
 276 readers), mistyping as well as omitting the station-ID or the value. While the latter type of error can be
 277 handled by the system providing an immediate response to the observer, the first type of error causes
 278 unusable data, which were excluded from further analysis. Table 2 **Fehler! Verweisquelle konnte**
 279 **nicht gefunden werden.** shows typical text messages containing invalid data detected and marked by
 280 the system.

281 *Table 2. Examples for typical text messages containing errors or invalid readings. All messages have been*
 282 *automatically marked as invalid by the SMS-server. Some sentences have been partly corrected for spelling and*
 283 *grammar.*

| No. | Message | Problem |
|-----|---|---|
| 1 | The level of water is 155 | Station-ID missing |
| 2 | Wish to work with you. Kindly consider me when a chance arise. Thanks in advance | Applying for a job |
| 3 | What do you give me if I am sent the waterlevel everyday? | Applying for a job |
| 4 | Chemosit bridge 135+160=295 | Real name of the site. Two readings at once (-> Invalid time stamp) |
| 5 | 176 | Station-ID missing |
| 6 | 30 ml | Station-ID missing |
| 7 | Hi I'm Vincent, I am at KUREXOI NORTH. I am happy to express your support for water as source of life | Requested further information about the project |
| 8 | When you will be back again? I want to join you as an environmental volunteer | Requested information about the project |

284 3.2 Data quality and validation

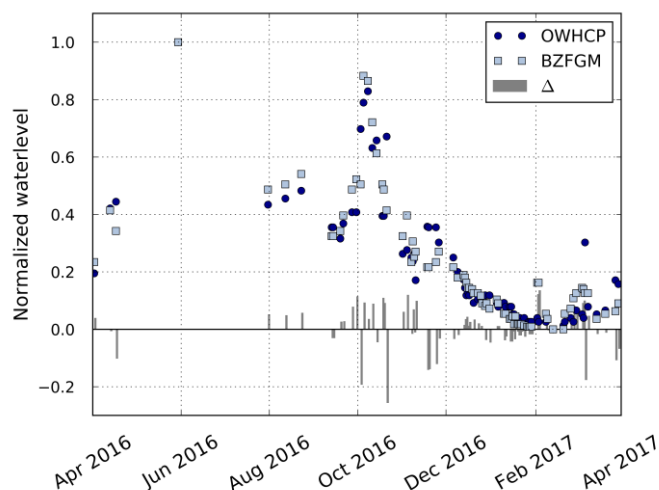
285 Comparison of data recorded by the radar sensor and the crowdsourced data at Station KIPTO showed
 286 similar trends in both datasets (Figure 6). Given that the radar was installed upstream, the observations
 287 from the radar and from the participants cannot be compared precisely, even when the shape and
 288 condition of the riverbed was almost similar. The citizen reported water levels systematically deviate
 289 from the water levels recorded by the radar during high-flow and low-flow conditions was related to
 290 the different cross-sections between the two locations. The visual comparison of the radar data with
 291 the crowdsourced water levels depicted a good agreement. Both datasets showed similar behaviour to
 292 rainfall events in terms of rising and falling water levels. Both high flow and base flow conditions
 293 were measured accurately by the citizens.



294

295 *Figure 6: Time series of citizen-transmitted and validation data at the KIPTO catchment in the period from April*
 296 *2016 to March 2017. Validation data generated by a VEGA radar sensor is displayed as a red line, the citizen*
 297 *science data is displayed using blue dots. The blue bars show daily rainfall data measured by an ECRN-100*
 298 *tipping bucket 120 meters to the north-west of the gauge.*

299 As a second benchmark, we compared the data of two stations: BZFGM and OWHCP, which is
 300 located 35.5 km downstream of station BZFGM, both within the Sondu River. Because of the
 301 proximity of the stations without significant tributaries flowing into the river between these stations,
 302 we expected a uniform trend for both hydrographs when comparing measurements recorded on the
 303 same day. Due to the distance between stations, we assume that the observers did not know one
 304 another. Therefore, we considered the samples independent. Data collected by the citizens would be
 305 reliable if the measurements reported were correlated. In contrast, we would expect a weak correlation
 306 if the crowdsourced data contained large random errors. To make the data of both stations comparable,
 307 we normalized the water level readings and plotted them together with the differences between both
 308 observations (Figure 7). With this transformation we are now able to compare the water level changes
 309 of both stations taking into account that the riverbed between this two stations is different (and
 310 therefore give a systematic bias of the absolute values). Both stations clearly followed the same
 311 trend and did not show a distinctive drift over the year. The difference between the normalized water
 312 level of the two stations moved around the zero line suggesting a reliable and unbiased data
 313 acquisition for these stations.



314
 315 *Figure 7: Standardized water level data and their differences (Δ) observed on the same day for two nearby*
 316 *stations (OWHCP and BZFGM) close to the outlet of the Sondu-Miriu river basin in Kenya between April 2016*
 317 *and March 2017. The water levels transmitted for both stations follow the same trend and do not show a*
 318 *deviation over the time indicating reliable data reported by citizens.*

319 3.3 Socioeconomic background of the participants

320 During the telephone survey, 87 observers were reached and agreed to participate. From thirteen
 321 identified AOs, twelve could be contacted by phone. One AO, who was active from January to March
 322 2017 was not reachable and the phone number was not online anymore. *Table 3* shows the distribution
 323 of gender, age and education of the twelve AOs in comparison to 75 observers which contributed less
 324 than ten values.

325 *Table 3: Gender, age and education level of 87 observers contacted during a telephone-survey campaign. The*
 326 *data was divided in answers provided by active observers, which transmitted more than ten values (AO) and*
 327 *observers which reported ten or less observations (Other)*

| | | AO ($n = 12$) | Other ($n = 75$) |
|---------------|-----------|-----------------|--------------------|
| Gender [%] | Female | 25 | 3 |
| | Male | 75 | 97 |
| Mean Age | | 40 | 33,5 |
| Education [%] | Primary | 50 | 20 |
| | Secondary | 42 | 36 |
| | High | 8 | 37 |
| | No Answer | 0 | 7 |

328 The survey showed that the AOs in our study were older and of lower educational background. Three
 329 out of five women became an AO, while two reported less than ten observations.

330 **4 Discussion**

331 In this study, we tested whether involving citizens in the monitoring process could help to overcome
332 the low spatial and temporal resolution of water level data. After one year of water level monitoring
333 conducted by volunteers, we were able to assess the overall performance of this innovative data
334 collection method in a remote tropical catchment.

335 **4.1 Motivation and participation of citizens**

336 High enthusiasm was shown by participants, which resulted in more than 1,100 valid data points for
337 thirteen monitoring sites within the observation period from April 2016 to March 2017. The thirteen
338 most AOs reported 83% of all data. Only 17% were reported by citizens, which sent ten or less values.
339 This indicates that especially some persons identify themselves with the project and the idea of
340 monitoring their environment. Whereas most of the AOs participated over the full project period, some
341 new observers joined the project later. We attribute the increase in participation to a recruitment by
342 other motivated observers, who were positive about the project. In combination with the
343 socioeconomic background of the AOs and all participants we conclude that the active participation is
344 not depending on the actual education level but rather induced by their personal perception of and
345 dependency on their environment. Especially citizens who depend on local water resources are
346 expected to be interested in increasing their understanding of their environment and to participate in
347 local political decisions to ensure a sustainable use of their resources (Overdeest et al., 2004). We
348 experienced a similar behaviour during our field campaigns, where especially farmers of smallholder
349 areas were interested in monitoring their water resources. Besides the increment of data, the
350 participation of citizens can potentially lead to other positive side-effects. It has been observed that
351 participants who increase their understanding of local resources, motivate neighbours and form
352 opinions to support local policies (Overdeest et al., 2004). At the same time, low participation rates at
353 some stations can be attributed partly to the transmitting cost of 0.01 USD per text message, which
354 was paid by the volunteers. Especially in rural areas, participants expressed that they might be unable
355 to participate due to costs. Buytaert et al. (2014) described that observers in low-income countries
356 often derive an income from their engagement in citizen-science projects. These authors argue, that the

357 concept of sending data voluntarily is not well developed, and that it may be necessary to reward
358 people at local wages for motivation. We found that paying a small reward that covers the costs
359 significantly increases the overall participation rate. In comparison to the other stations, the amount of
360 data reported for station KIPTO, where a reimbursement system was set up, is seven times larger than
361 the average of reported data from stations without reimbursement system and 2.5 times larger in
362 comparison with the second most active station BZFGM. By paying back the transmission costs
363 twofold, the motivation of the observers may remain strong over a longer period. The same behaviour
364 was observed for station OWHCP, where the amount of data transmitted significantly increased after
365 August 2016 (Figure 4). Instead of a reimbursement centrally paid by the project, interested water
366 users organized an own reward system by collecting a contribution from several users to reimburse
367 one person recording the water level data. However, a real payment or reward was not necessary, since
368 the intrinsic motivation of the participants seemed to be sufficient when lack of money was overcome.

369 Transmitting the observations using simple cell phones and text messages turned out to be stable and
370 reliable without major technical problems. Text messages are a common way of communication and
371 significantly lowered the technical barrier to contribute and send data. The use of this communication
372 channel was widely accepted. Furthermore, the participants were able to send text messages without
373 additional training. The SMS-server was available most of the time. Only during the initial phase we
374 faced minor problems caused by unstable drivers of the GSM-modem used, resulting in a loss of data
375 for some transmitted values. This issue was fixed by changing the GSM-modem. Furthermore, the
376 feedback loop allows participants to identify whether their observation was correctly received. We
377 occasionally faced phone network coverage issues. Due to the location of the water level gauges in
378 valleys, mostly in remote areas, the network coverage at the monitoring point was sometimes weak.
379 However, those stations with restricted network availability did not turn out as a limited factor for data
380 contribution. Observers took the readings of the water level and waited until they reached an area with
381 network coverage to send their messages. This led to a minor deviation of the time of the record since
382 the time stamp is generated from the text message header. However, we expect that the observers
383 sending messages after a couple of minutes rather than waiting several hours. In comparison to more

384 sophisticated methods, like using smartphones, we believe that this approach produces more and, in
385 turn, more reliable results in a low-income country because wrong data and outliers become obvious.

386 **4.2 Data accuracy and suitability**

387 The quality and temporal resolution of the crowdsourced data is important to assess their usefulness.
388 The comparison of the citizen data with data measured by an automatic radar sensor at station KIPTO
389 revealed a high correlation between these datasets. Intensive training of the participants was not
390 necessary to ensure high quality data. Fienen and Lowry (2012) obtained a RMSE (4.88×10^{-3} m)
391 between crowdsourced data and a pressure transducer, from which the authors concluded, that the
392 observations of relatively simple parameters can be efficiently conducted by citizen scientists. From
393 83 citizen science studies evaluated by Aceves-Bueno et al. (2015), only one study reported an
394 insufficient data quality. Our results showed that citizens provided data comparable to conventional
395 data loggers. From over 1,000 recorded data points, less than 5% were invalid and therefore not
396 useable for further analysis. In most cases, these errors were caused by participants trying to submit or
397 inquire additional information that cannot be handled automatically by the system. In these cases, a
398 personal interaction with the participants is necessary. The research team or data managers of citizen
399 science projects should evaluate this additional information to recognize further demands of the
400 participants. Regarding the temporal resolution, we observed a large variability between the stations.
401 While some stations have data for 50, and even up to 75% of the days per year, other stations only
402 received data for less than 15% of the days per year.

403 It seems that citizens cannot deliver the same temporal resolution as modern automated monitoring
404 equipment. However, hydrological models can play an important role to fill gaps in irregular
405 measurements taken by citizens. Seibert and Vis (2016) evaluated whether stream level data without
406 an established rating curve would be sufficient to calibrate a simple hydrological model using the
407 Spearman rank correlation coefficient. The authors observed, that a water level time series is already
408 sufficient to obtain a good model performance in wet catchments where precipitation is higher than the
409 potential evapotranspiration. The Sondu-Miriu River basin has both: wet areas in the elevated parts
410 and dry areas towards Lake Victoria, making it a good place to test this approach. In a recent study van

411 Meerveld et al. (2017) demonstrated, that this approach is applicable also with a reduced vertical
412 resolution of stream level data. Seibert and Beven (2009) demonstrated, that a few discharge
413 observations were already sufficient to calibrate a model for several catchments in Sweden. After
414 adding 32 observations, the authors did not obtain an improvement of the average model performance.
415 In a follow up study Pool et al. (2017) showed, that already twelve strategically sampled discharge
416 measurements have the potential to calibrate simple hydrological models across the eastern US.
417 Mazzoleni et al. (2017) demonstrated, that (synthetic) crowdsourced discharge data complements
418 traditional monitoring networks when used for flood forecasting even when the crowdsourced data
419 were characterized as asynchronous. In a review written by Assumpção et al. (2017) the authors
420 concluded that crowdsourced data can be integrated in hydrological models and improve their overall
421 performance. Other studies reveal that citizen are particularly interested in monitoring extreme events,
422 which could be a valuable support in the flood risk assessment (Le Coz et al., 2016). Based on our
423 experience and that of others in different regions, we see a great potential to use crowdsourced water
424 level data to extend conventional monitoring networks.

425 **4.3 Towards citizen-based monitoring**

426 One of the two most commonly cited reasons for unsuccessful management strategies is the lack of
427 proper monitoring data (Aceves-Bueno et al., 2015). We argue that the simplicity and cost-
428 effectiveness of our method has the potential to create new insights in the hydrological cycle and can
429 support the decision process of local water managers. We agree with Buytaert et al. (2014), that data
430 collected by citizens can create new hydrological knowledge and help to identify the human impacts
431 on the water cycle, especially in remote regions. Involving the general public in monitoring can
432 increase drastically the amount of environmental observations. It is necessary that scientists and
433 resource managers accept the data collected by the general public to use them for further analysis
434 (Freitag et al., 2016). Based on 83 peer-reviewed published papers on citizen science case studies in
435 natural resource management settings, Aceves-Bueno et al. (2015) concluded, that in 41% of the
436 studies the data gathered by the general public was used to make management decisions. We conclude
437 that using data collected by citizens for simple measurements should be taken into account as a

438 valuable data source. Moreover, citizen science projects should not only be considered as possible data
439 source, but also as a great opportunity to support citizens in generating further knowledge about their
440 environment and, additionally, to bring often complex research projects closer to the communities. It
441 has been observed, that crowdsourced based monitoring increases the volunteers' awareness of their
442 local resources and a multiplier effect, where volunteers share the knowledge gained with other
443 community members (Storey et al., 2016). We also noticed these multiplier effects in our projects
444 where new volunteers stepped in and actively contributed data, most likely after being motivated by
445 other observers.

446 Overall, the results of our study indicate that citizens have the ability to record water level data of a
447 sufficient quality and quantity. However, prospective experiments should be conducted to analyse
448 further the precision of the citizen science data. We plan to install additional automatic water level
449 sensors next to the citizen monitoring stations to investigate the long-term precision and accuracy of
450 the crowdsourced data. As a next step, we will test the usefulness of the crowdsourced data for
451 hydrological modelling and upscaling purposes. We plan to set up and run simple models and compare
452 if the increased spatial resolution of the data collected by citizens has the potential to increase the
453 model performance. Furthermore, we plan to assess if only the water level data is useful to calibrate
454 models in a tropical catchment using the method described by Seibert and Vis (2016) To overcome
455 poor participation due to text message costs that have to be covered by observers, we suggest to
456 establish a toll-free number, which allows observers to transmit their data without any costs.
457 Alternatively, if a toll-free number cannot be established, the influence of a reward system on the data
458 quality and quantity should be systematically tested. Finally, we plan to investigate whether the
459 framework presented in the study can be used to collect more sophisticated data like water quality
460 parameters.

461 **5 Conclusion**

462 The increasing demand for water makes it necessary to use this resource more efficiently based on
463 sustainable management strategies and monitoring solutions. Citizen science programs are promising
464 cost-efficient methods to monitor environmental resources, which make them especially suitable for

465 low-income countries to overcome their sparse data resolution. Since today's citizen science studies
466 are mostly located in high-income countries, we are enthusiastic to motivate the scientific community
467 to conduct citizen science studies in low-income countries. Overall, our study shows that involving the
468 local community in the water level data collection in a remote Kenyan basin generates good quality
469 data and is promising to deliver new insights into the hydrological processes. It is important to
470 understand the driving factors that keep participants motivated. Giving feedback to the participants is
471 necessary, since it keeps the participants updated and prevents raising unrealistic expectations
472 associated with the monitoring, management plans or rewards. By using the text message system for
473 the data collection, we were able to give fast and individual feedback.

474 We conclude that:

475 (1) The interest and motivation of the citizens can be considered as one of the leading reasons to
476 decide whether a citizen science approach is applicable. Our research has shown that it is
477 possible to engage community members to conduct water level monitoring resulting in more
478 than 1,000 measurements within the first year.

479 (2) Text messages are a common way of communication in Kenya and were accepted as a method
480 to contribute data. Since this method does not rely on expensive smartphones or an Internet
481 connection, this approach lowers the technical barrier of participation. A small reimbursement
482 covering the costs has the potential to improve participation.

483 (3) Crowdsourced data can be a valuable additional data-source to monitor water resources. Data
484 delivered by citizens is reliable, consistent and of similar quality to data collected by an
485 automatic radar.

486 For the Sondu-Miriu River basin in particular the collected water level data has the potential to support
487 the development of water allocation plans, which becomes evermore essential due to the increasing
488 water demand in this region. The basin currently does not have a sufficient water allocation plan,
489 which can be attribute to the data scarcity in this region. Local Water Resource User Associations
490 could profit from additional data to develop small-scale sub-catchment management plans, which are
491 part of their assignment. Members of Water Resource User Associations expressed their interest in the

492 data for this purpose during personal talks with the authors. Coupled with river discharge data, this
493 data can furthermore be used to develop strategies to prevent or mitigate flood-related disasters, which
494 affects people living in the lower part of the basin in particular. This population suffers from floods
495 and droughts and it can be expected that these effects will increase with ongoing climate change.

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505 **Appendix 1**

506 This appendix gives further information about the technical implementation of the developed SMS-
507 server handling the data reported by citizens. The server was connected to the local cell-phone
508 network using a mobile broadband modem (ZTE MF 190) and a SIM-card from a local mobile
509 network operator. The power supply was ensured by connecting the server to the local electricity
510 network. Additionally, a 10,000 mAh powerbank was connected, acting as an uninterrupted power
511 supply. In case of power cuts, the powerbank was able to provide electricity for another 24 hours. To
512 handle the incoming text messages we used the Gammu SMS Daemon (Gammu SMSD), which
513 collected the text messages from the modem and stored them in a SQLite database using the ‘libdbi
514 backend’. SQLite was chosen because of its high performance and the absence of multi-user-access
515 needs on the server. However, more complex database systems, like MySQL or PostgreSQL, could be
516 easily integrated if required. After receiving and storing the raw data, data was further processed to
517 ensure consistency using a Python script developed for this project. This script retrieved the raw data

518 from the database, extracted the specific site identifier (site-ID) as well as the transmitted water level
519 value and verified the data plausibility. Data became implausible if the new water level value was
520 higher than the gauge height at the associated site or if the submitted site-ID did not match any of the
521 existing site-IDs. If the script detected questionable data, the observation was flagged to allow a
522 manual correction where applicable. To avoid errors caused by mistyping, the submitted site-ID was
523 extracted and compared with all existing site-IDs using the Levenshtein Distance. As a result, the most
524 likely site-ID was returned with a matching factor ranging from zero (no similarity) to one-hundred
525 (perfect match). We used the python package “fuzzywuzzy“ (Cohen, 2016), to implement the
526 Levenshtein distance calculation and to determine the differences between the string sequences of the
527 incoming station name and the existing stations. A regular expression (`(\d+[\.,]?\d*)`) was applied to
528 extract the water level value from the text message. If a message contained more than one value, only
529 the first value was extracted for further analysis.

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