Designing for Presence within P2P Systems

James Walkerdine, Lee Melville, Ian Sommerville Computing Department Lancaster University Lancaster, UK {walkerdi, l.melville, is} @comp.lancs.ac.uk

Abstract - There is an increasing interest in incorporating presence within Peer-to-Peer systems (P2P). However the diverse nature of P2P can have an effect on how easily presence functionality can be integrated within a design. This paper examines the key design issues that should be considered if presence is to be supported within a P2P system. In particular the paper discusses the affect the choice of underlying logical network architecture can have on these.

Keywords - Peer-to-Peer, Presence, Logical Network Architectures

I. INTRODUCTION

Peer-to-Peer (P2P) computing has become very popular in recent years. Essentially it can be thought of as a class of application that takes advantage of the resources and services that are available at the edge of the Internet [14]. Presence and awareness can play a key role within such applications.

This paper discusses the design issues that should be considered in order to provide presence support within a P2P system. A key focus of the paper is on the affect the choice of underlying logical network architecture can have on the provision of presence functionality. Resulting issues that designers may need to consider are identified, along with suggestions of possible ways to tackle them.

The ability to convey presence information is increasingly becoming an important aspect of many systems. In particular, presence has played a key role within the areas of CSCW (where it is commonly referred to as *awareness*), distributed systems (being aware of what services exist) and Grid computing (being aware of which nodes in the grid are available to carry out computational processing). In such systems resources and users are distributed, and presence acts as a mechanism for these to be aware of each other's current status.

A common definition for presence is the state that a user, application or hardware is in [1]. For example, a user specifying if they are free or busy. This information is made available to the rest of a system so it can be viewed by others entities (and reacted to if needs be).

The use of presence brings with it contextual information which in turn can provide advantages within

a system. For example, it can assist co-operation by allowing users to see whether or not other users are busy, or be used to optimise distributed computation by allowing a system to be aware of when a node is connected [2].

Presence can also play a role within industrial settings and can be particularly important for large organisations that are globally distributed. A notable problem that is often experienced is the amount of time it takes to resolve issues that involve people from more than one site [3]. In such circumstances, presence information could be used to alleviate problems by informing distant colleagues who is available, and when they are available [4].

The work that is presented in this paper stems from research that has been carried out during the EU funded P2P ARCHITECT project [15]. This project seeks to develop methods and tools to support softwaredeveloping organisations in building dependable P2P software systems. Presence support is one area that has been examined.

The paper begins by first providing an overview of presence within P2P, reviewing existing work within the area. The common P2P logical network architectures are then reviewed, followed by a discussion of the main sources of presence information within a P2P system. These are categorised into *peer* and *abstract* presence.

The paper will then move on and provide an overview of the key technical issues that need to be addressed in order for presence to be supported. The affect the choice of underlying logical network architecture can have on resolving these issues is examined.

The paper also discusses other more general design issues that may need to be considered, and how the choice of logical network architecture can also have an influence on these. The issues discussed include privacy, controlling information and real-time consistency.

II. PRESENCE WITHIN P2P

Presence has been incorporated into a number of P2P systems. Application domains that have benefited the most from it are typically those that support Instant Messaging (for example, ICQ[5] or MSN[6]) or the

sharing of files between users and peers (for example, Napster [7]). Although presence in such cases has been generally used to capture whether a user or peer is online/off-line, presence does not have to be limited to this and could convey location, contextual, activity or application-specific information.

More general work has also been carried out to define models, protocols and data formats for supporting P2P presence on an Internet scale. The Instant Messaging and Presence Protocol (IMPP) [16] and the eXtensible Messaging and Presence Protocol (XMPP) [17] are two of the main examples (with the latter using XML as its base). Support for programmers is also being provided with ongoing work such as the Presence Management project for Sun's JXTA P2P API [18].

Although such protocols and API's help the designers in providing presence support within a system, they do not consider the more general design issues that may affect the viability of presence support as a whole.

The nature of P2P means that there are numerous ways in which a system can be designed, deployed and operated. This is particularly the case with respect to the underlying logical network architecture that is used, which, as shown in [8][9], can have significant impact on the properties of a system (for example, security, maintainability, etc).

This paper discusses the implications the different types of logical network architecture can have on supporting presence.

III. P2P LOGICAL NETWORK ARCHITECTURES

Before analysing how the choice of P2P logical network architecture can have an affect on the provision of presence, a brief summary of these architectures is provided. Our previous work involved an investigation into the more commonly used logical network architectures, and resulted in a classification as depicted in figure 1. A more detailed review and analysis of this classification has been presented in our previous work [8][9], but a summary is provided here.

Decentralised Architectures

Direct communication – All nodes within the network are equal and autonomous. No single node maintains any control over the network. Each node can communicate directly with each other. Each node is aware of each other. As a result of these characteristics, scalability is an issue.

Structured indirect communication - All nodes within the network are equal and autonomous. No single node maintains any control over the network. However, it is not necessarily the case that all nodes can communicate directly with one another. Communication could be routed via other nodes. Nodes are connected together in a structured manner (for example, hierarchical, star, ring, etc). A degree of management may be required to ensure the structure persists.

Unstructured indirect communication - All nodes within the network are equal and autonomous. No single node maintains any control over the network. However, it is not necessarily the case that all nodes can communicate directly with one another. Communication could be routed via other nodes. No structure is forced onto the architecture and so it can expand in an unpredictable manner. The discovery service becomes particularly important in this architectural model. Freenet [19] is an example of a P2P system that uses this type of logical network architecture.

Semi-centralised Architectures

Single centralised index server - A single node acts as a lookup for all other nodes within the network. All other nodes are equal and autonomous. All nodes can communicate directly with each other, but the index node typically facilitates this. These index nodes are a single point of failure for the architecture. Napster is an

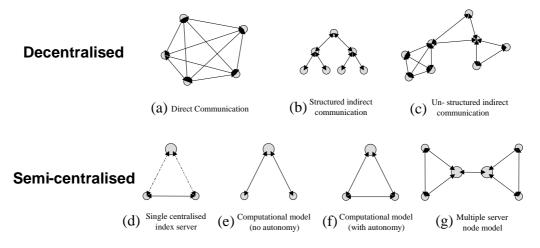


Figure 1 - P2P Architectures

example of a P2P system that uses this type of architecture.

Computational model (no autonomy) - A single node acts as a focal point for all other nodes within the network. The remaining nodes do not possess their own autonomy. All communication is via the server node, if at all. Arguably not a true P2P architecture. The server node is a single point of failure for the architecture. Seti@home [20] is an example of a system that uses this type of architecture.

Computational model (with autonomy) - A single node acts as a focal point for all other nodes within the network. The remaining nodes retain a degree of autonomy. Nodes could communicate directly with one another (typically facilitated by the server node). The server node is a single point of failure for the architecture.

Multiple servers' model - It is not necessarily the case that only one server node can exist within the network. This allows for the possibility of hybrid architectures. For example, server nodes connect together via a direct communication architecture, but collectively act as a single server node within a semi-centralised architecture.

The rest of this paper focuses on the provision of presence within P2P systems and begins by providing an overview of the key sources of presence information within a P2P system.

IV. SOURCES OF PRESENCE INFORMATION WITHIN P2P SYSTEMS

It is possible to split presence sources within a P2P system into two main categories, *peer presence* and *abstract presence*.

Peer presence represents information that is available about the peers that are located on the network. This information typically includes whether or not the peer is currently online, but can also include other information such as the IP address of that peer or perhaps information about its network connectivity.

Abstract presence represents information that is available about the entities that utilise the peers, or

represents information that is available about the peers' environment. Types of abstract presence can include users, resources or even the peer's physical environment.

- User presence represents information that is available about the user of a peer. This typically focuses on whether or not the user is online, but can also represent information about a users state. For example, this could be whether or not the user is busy, or are away from their computer. There are some issues that need to be considered with user presence, however. For example, although the peer may be connected to the network, the user could have registered themselves as being off-line. Furthermore, it is perfectly possible that multiple users may make use of a single physical peer, i.e. share a computer. One common solution for tackling such difficulties is to provide each user with a unique ID (as done by many Instant Messenger applications, e.g., ICQ, MSN, etc).
- Resource presence represents information that is available about the resources that are connected to the peer. What constitutes a resource is difficult to fully define, however they do seem to fall into two categories, internal and external resources. An internal resource can be regarded as being those resources that contribute to the actual system, for example processing power, hard disc space, bandwidth, services, etc. External resources can be regarded as being those resources that are independent of the system and play no role within its function. These, for example, can be MP3's or documents that can be shared. Typically a P2P system supports the communication/utilisation of external resources. For example, Napster or Gnutella [10].
- *Physical presence* represents information about the physical environment of the peer. This could include location information [11], audio and video information (for example, with the use of web cams) and information about the current environment (for example, what web site is the user currently browsing, what file are they

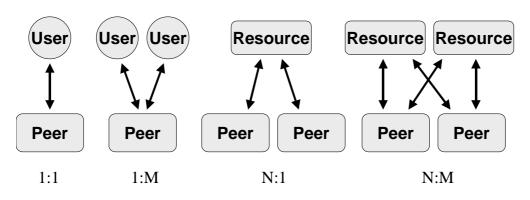


Figure 2. Possible relationships between the abstract and peer layers

editing, etc).

Although Peer and Abstract presence are conceptually distinct they are, in reality, intrinsically linked together as the abstract layer cannot exist without a peer layer. Abstract presence can essentially be considered as an extension of peer presence. However, the relationship between the two does not have to be one to one. This is illustrated in figure 2.

An example 1:1 relationship between peer and abstract layers is that of a single user making use of a single peer, e.g., a user utilising an instant messenger application on their PC.

An example 1:M relationship between peer and abstract layers is where multiple users make use of a single peer, e.g., more than one user utilising an instant messenger application on a shared PC.

An example N:1 relationship between peer and abstract layers is where more than one peer controls a resource, e.g., a hard disc that is shared between two peers.

An example N:M relationship between peer and abstract layers is where a resource is controlled by more than one peer and peers control more than one resource, e.g. peers might share a hard disc and a printer.

As the above illustrates, designing for presence (or awareness) is a complex issue and the considerable research within the area has examined issues such as synchronous and asynchronous presence, tightly and loosely coupled presence, etc [12]. However such issues are beyond the scope of the work presented in this paper and so have not been considered here.

V. PROVIDING PRESENCE AT THE PEER AND ABSTRACT LAYER

When considering presence within P2P systems, there are two key aspects of functionality that need to be taken into account. Firstly, the deployment of the presence information throughout the system and secondly, the system reacting when this information is changed. To an extent, both of these aspects will be affected by the choice of underlying logical network architecture that is used.

A degree of overlap also exists between these functionality aspects. In particular, whenever presence information is changed, it is likely that this altered information will then be redeployed around the system. Due to this fact, this paper focuses on the issues that deal with 'reacting to presence change', and in doing so will consider presence information deployment issues as well.

Reacting to changes in presence information can be further broken down into the following issues within the areas of: identifying when an information change can occur and then as a result of this, ensuring that interested parties have up to date information.

When presence information may change

Connection: When the entity providing the presence information is connected to a P2P network. *For example, a peer's state may change from off-line to on-line when the application is started*

Disconnection: When the entity providing the presence information is intentionally disconnected from a P2P network. *For example, an application is terminated and so the peer's state changes to off-line.*

Failure: When the entity providing the presence information is accidentally disconnected from a P2P network. *For example, if there is a power cut and the peer is accidentally disconnected from the network.* In this case it is likely that the peer would not have informed the rest of the network about its change in state. This means that it may be necessary to also provide an alternative mechanism for keeping interested parties up to date with the latest presence information.

Presence State Change: When the entity providing the presence information changes the information that it publishes. *For example, if a user changes their state from busy to free.*

When it may be necessary to ensure that interested parties are kept up to date

Awareness: When an entity connects to a P2P network it needs to be informed about the current presence information state of the network. *For example, when an instant messenger client is started, it is informed of which other (relevant) users are on-line.* Essentially this represents an entity being given the latest presence information when it connects to the P2P network.

Awareness Update: When the presence information being published by an entity changes. For example, if a user changes their state from busy to free. In this case interested parties need to be informed of this change. This can either be achieved by informing them of the change, or by republishing all the presence information.

The above lists indicate the main functionality issues that have to be considered in order to incorporate presence within a P2P system. The following section provides an overview of other, more general, design issues that may also need to be taken into account by designers. The paper will then move on to examine how the choice of logical network architecture can have an impact on the provision of presence functionality (and on tackling the above issues) within a P2P system.

VI. GENERAL PRESENCE DESIGN ISSUES

As well as the technical issues, there are also more general design issues that may need to be considered by designers when presence support is required. This section briefly examines some of the key ones, including:

- Controlling presence information
- Presence and privacy
- Presence consistency
- Presence as a mechanism to increase the dependability of a system

A. Controlling presence information

Ultimately the presence information that is made available to the rest of the network is controlled by the entity that provides it (not taking into account security issues, such as Trojans). Consequently information control mechanisms need to be considered and provided.

One possibility is to provide the entity with a range of information levels, ranging from the entity providing very little information to providing a substantial amount. Such an approach can be frequently seen in many distributed applications, where a user can specify as much presence information as they feel (for example, ICQ). In theory this can be further enhanced by also specifying who/what can have access to this information. For example, all users have access to some portion of the presence information, but only my friends have access to all of it.

Anonymity can also be important, as it allows for an entity to provide presence information without actually revealing whom it is originating from. For example, an entity can provide 50 MB hard disc space to the system, but its IP address remains hidden.

Controlling presence information, designing control mechanisms with which to do this, as well as considering the issues that may be involved, are important factors that need to be taken into account when incorporating presence within a system.

B. Presence and privacy

To a certain extent privacy is related to how the presence information is controlled. If the control mechanisms are sufficient then privacy should be less of a problem. However, there is always the possibility that the presence information that is published may be misused, for example, obtaining a users email address and then using it to send Spam, or a peer's IP address and then performing an attack on that machine.

Again this may be limited by controlling what information is being made available (i.e. not displaying email addresses). However, in some situations it might be necessary for such information to be made accessible in order for the P2P system to fully function. For example, in Napster IP addresses need to be shared in order for a peer to download files from another peer.

To reduce the possibility of information misuse in such circumstances suitable protection mechanisms need to be provided. This could include drawing upon techniques such as authentication or reputation tracking [13]. In this way an entity could be sure that only those who have been granted permission (and are trusted) can have access to the information.

If private information is to be published as presence information within a system, then it will become important to consider privacy issues, and to reduce the possibility of the information being misused.

C. Presence consistency

One issue that is likely to be important within a system is that of insuring the presence information is up to date and valid. Depending on the nature of the system, inaccurate presence information could result in critical situations, such as incorrect business decisions being made, or just time and effort being wasted.

In order to ensure a high level of validity any updates to the published information need to be done in a near instantaneous fashion, and the choice of logical network architecture will have an impact on this. Ultimately, though, it would need to be decided whether presence mechanisms are reliable enough, and whether they should be relied upon for such critical issues.

D. Presence as a mechanism to increase the dependability of a system

In our previous work as part of the P2P ARCHITECT project [8][9], we identified a number of dependability properties that can be possessed by P2P systems. It was pointed out that many of these properties would typically require some form of monitoring mechanisms in order for them to be properly resolved. Such properties included availability, fault tolerance and maintainability.

Incorporating presence within a system might provide one mechanism with which this monitoring can be achieved. Presence mechanisms could be piggy backed and used to monitor the availability of nodes within the system, or to identify faults that may occur so that the system can act accordingly.

Although presence could be utilised in this fashion, ultimately it needs to be decided whether presence mechanisms themselves, can be considered to be reliable, and if not, whether it is possible to make them reliable enough.

VII. ESTABLISHING PRESENCE SUPPORT IN A P2P ARCHITECTURE

Section III presented our classification of the more common underlying logical network architectures that are used in P2P systems. In our previous work [8][9], analysis of these different architectures revealed that *direct* and *indirect* communication decentralised systems possess significantly different properties (for example, their effect on scalability, fault tolerance, etc).

For this reason, in the discussion presented here, we have placed the architectures (from figure 1) into three categories: direct communication decentralised systems (encapsulates architecture a), indirect communication decentralised systems (architectures b and c), and semicentralised systems (architectures d, e, f and g). This section moves on to discuss ways in which the presence issues that were identified in section V can be satisfied within each architecture category. It also discusses how some of the general design issues from section VI can also be affected.

A. Presence within direct communication decentralised systems

Of all the different types of architecture, those that utilise direct communication between nodes are likely to always provide the best basis for supporting presence. Because each peer knows every other peer on the network it will be easier for presence information to be distributed to all peers immediately. Within such an architecture a central co-ordinator is not needed to organise such matters. However, as has been discussed elsewhere [8][9], the key drawback of this type of architecture is that of scalability. Because a peer would have to broadcast its presence information to every other peer on the network, such an architecture would become less suitable as the number of peers on the network increased. However if used in small-scale environments then it is the most ideal.

The fact that each peer is aware of all other peers' means that issues such as privacy and ensuring presence information consistency can also be tackled relatively easily. All peers would receive an update should presence information change, and likewise all peers can monitor each other to ensure a peer is trustworthy (or has a good reputation). The dependability of the system can also be enhanced by using such an architecture [8][9], although essentially it would mean that every peer would be monitoring the system (in order to keep all peers equal). The main disadvantage of this, however, would be the large network overhead that would be involved and this would increase as more peers are added.

Table 1, suggests solutions for satisfying the presence issues (from section V) within these types of P2P logical architectures.

TABLE I.	PROVIDING PRESENCE WITHIN DIRECT
COMMUNICAT	ION DECENTRALISED ARCHITECTURES

Issue	Solutions for direct	
Issue		
	communication decentralised architectures	
Connection	The entity broadcasts its	
Connection	presence information to all	
	peers (and thus to all entities	
	also on the abstract level), when	
	it connects to the network.	
Disconnection	The entity broadcasts the fact	
Disconnection	that it is disconnecting to all	
	peers (and thus to all entities	
	also on the abstract level).	
Failure	In this case it is unlikely that the	
T unui C	entity would have informed the	
	rest of the network about its loss	
	of connection. Unless peers	
	regularly broadcast their	
	presence information (and that	
	of any abstract entities they	
	might possess), or periodically	
	poll other peers for theirs, they	
	may be unaware that an entity	
	has been disconnected	
Presence State	The entity broadcasts any	
Change	changes to its presence	
	information to all peers (and	
	thus to all entities also on the	
	abstract level).	
Awareness	Because all peers are connected	
	to one another, when a peer (or	
	any abstract entities it might	
	possess) connects to the	
	network, it automatically	
	discovers what other presence	
	information exists on the network.	
Awaranasa Undata	Because all peers are connected	
Awareness Update	-	
	-	
	-	
	of this fact.	
	-	
	To take into account this	
	scenario it might be necessary	
	for the individual entities to	
	This would not happen, however, if the change were accidental (e.g., loss of power). To take into account this scenario it might be necessary	

		ping interv		other	at	regular
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B. Providing presence within indirect communication decentralised systems

Achieving presence within indirect communication decentralised systems is more difficult due to the lack of a central co-ordinator. This not only makes it difficult to co-ordinate the collection and publishing of presence information, but also to give peers, users and resources universal ID's within the system. Without these ID's it can be a difficult task to identify which entity's presence information has changed. Existing work within decentralised P2P systems has proposed ways in which to address the ID issue, with systems such as Pastry [22], Chord [21] and JXTA all generating ID's with a large range of possible values (for example 128 bit ID's). The problem with such an approach is that there is still no guarantee that an ID clash will not occur at some point, an issue that may be critical for some systems.

Furthermore, because this type of network can frequently change it may be difficult to achieve any form of real-time presence information updates or even for these updates to be received [23] - this, in turn, can affect presence information consistency. In theory, when presence information is broadcast onto the network it could be spread between peers using techniques similar to that used for searching or for peer discovery in indirect decentralised architectures. However, due to the dynamic nature of the architecture it cannot be guaranteed that all peers will receive this information, or that a peer that has received it once in the past, will receive it again (due to issues such as network partitioning, alternative message routing, etc).

This dynamic nature will also make it difficult to use system wide monitoring mechanisms that can help increase the dependability of the system, or to support reputation tracking and authentication.

As a result of these drawbacks, it is difficult to analyse the effects these types of architecture can have on the presence issues that have been identified. Table 2, however, suggests solutions for satisfying the presence issues within these types of P2P logical architectures.

TABLE II. PROVIDING PRESENCE WITHIN INDIRECT COMMUNICATION DECENTRALISED ARCHITECTURES

Issue	Solutions for indirect communication decentralised architectures
Connection	When an entity connects to the P2P network it will be able to publish its presence information but only to those other peers (and to their respective abstract entities) it is

	obtained from the local entities.
Awareness	It can be difficult to keep an entity's
	presence information up to date with
Update	
	interested parties. Because it cannot
	be assumed that the entity would be
	able to contact these parties directly
	to inform them of a change in the
	presence information, it is likely that
	a propagating broadcast method
	would need to be used.
	As highlighted previously, this does
	not provide any guarantees of
	reliability, and could potentially
	result in an interested entity not being
	informed of a change.
	An alternative strategy would be to
	make each interested entity attempt to
	obtain the current presence
	information itself. However, this can
	also add to the problems by
	swamping the network with traffic.

One solution for supporting presence within indirect communication architectures would be to create smaller groups of entities. These groups could then communicate with each other in a direct way, but also be linked to other groups. However, to achieve this it is likely that a degree of management would be needed. Ultimately this could result in upsetting the equality of the network, potentially moving it from a pure P2P ideal and more towards a semi-centralised system.

C. Providing presence in semi-centralised systems

It is easier to achieve presence within semicentralised based systems than with some of the more decentralised alternatives (in particular with indirect communication decentralised systems) due to the central foci that exist within the system. These foci can be used to capture and publish the presence information that exists, and because all peers within the system will be in direct contact with them, this information should be reasonably consistent and up to date. Obviously the negative side is that these foci become points of failure. Should they go down then this presence information will be lost from the network.

A semi-centralised structure is also better suited for supporting system monitoring and authentication mechanisms. This can make it easier to develop techniques to track information misuse, as well as mechanisms to help increase the dependability of a system.

A number of existing P2P systems already use this approach for providing presence, including instant

messaging applications such as ICQ and MSN, as well as file sharing applications like Napster.

Table 3, suggests solutions for satisfying the presence issues within these types of P2P logical architectures.

TABLE III.	PROVIDING PRESENCE WITHIN SEMI-
CEN	NTRALISED ARCHITECTURES

Issue	Solutions for somi controlised	
Issue	Solutions for semi-centralised architectures	
Connection	The entity informs a server node of	
Connection	-	
	its presence information when it	
D : (!	connects to the network.	
Disconnection	The entity informs a server node of	
	the fact that it is disconnecting from	
	the network.	
Failure	In this case it is unlikely that the	
	entity would have informed a server	
	node about its loss of connection.	
	This suggests that as well as	
	presence information updates	
	coming from the relevant entities,	
	the server node(s) would also need	
	to make regular checks on the	
	presence state of the network.	
Presence	The entity informs a server node of	
Stage Change	any changes to its presence	
	information.	
Awareness	The server nodes would most likely	
	be used to store and distribute	
	presence information around the	
	network. As a result, when an entity	
	connects to the network to obtain	
	current and relevant presence	
	information, it would need to send a	
	list of the entities it is interested in,	
	to one of these server nodes. The	
	server node would then return the	
	current presence information for	
	these entities.	
Awareness	Because the server nodes would	
Update	most likely be used to store and	
~ puit	distribute presence information	
	around the network, they need to be	
	kept informed of what entities are	
	interested in (i.e. who is interested	
	in who).	
	To achieve this each entity would	
	need to register these interests with	
	a server node. In this way, when a	
	•	
	change occurs the relevant	
	interested parties can be kept up to	

date. The main danger with this
approach is that amount of
information the server may end up
having to store, especially if a poor
structure is not adopted.
An alternative strategy would be to
make each entity poll a server node
for the current presence
information. This, however, can
result in swamping the network and
server node.

Within this architecture an entity could try and obtain current presence information itself by contacting the relevant entities directly. However, this would rely on using previous information about the entities that may have become out of date (peer address, for example). It is, however, a viable alternative to solely relying on the server nodes.

VIII. CONCLUSIONS

This paper has examined the key design issues in supporting presence in P2P systems. It has provided an initial overview of presence within P2P environments, attempted to identify its main characteristics, and briefly discussed related work. It has also identified the key technical design issues that would need to be satisfied within a P2P system, and has discussed how the different logical network architectures can have an effect on these.

Overall (when scalability is taken into account) semicentralised architectures provide the best foundation for supporting presence. The server nodes within a semicentralised system can be used to capture and distribute presence information around the network, and because all nodes connect to the server nodes there are no problems with regards to providing entities with ID's or not being able to contact all parts of the network.

Although, generally, decentralised architectures are less suitable for supporting presence, this is not the case for direct communication decentralised systems. Because all nodes connect to all other nodes, this architecture can also be a viable alternative for supporting presence. Obviously the downside (as reported elsewhere [8][9]) is that this architecture is not really scalable.

As well as the discussion, this paper has also highlighted general design issues that may need to be considered if presence support is desired. These have focused on controlling the presence information, ensuring privacy (if desired) and the importance of keeping presence information up to date. The possibility has also been raised of whether presence could be used as a mechanism to improve a P2P systems dependability.

The work presented in this paper is quite abstract in nature and has been based on a systematic analysis of P2P architectures and their characteristics. Future work will focus on performing a practical evaluation, with implementations of the different architectures being used to gather more concrete results that can then be further analysed.

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