1	From altered synaptic plasticity to atypical learning: a computational model of Down
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# From altered synaptic plasticity to atypical learning: a computational model of Down syndrome

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#### Abstract

6 Learning and memory rely on the adaptation of synaptic connections. Research on the 7 neurophysiology of Down syndrome has characterized an atypical pattern of synaptic 8 plasticity with limited long-term potentiation (LTP) and increased long-term depression 9 (LTD). Here we present a neurocomputational model that instantiates this LTP/LTD 10 imbalance to explore its impact on tasks of associative learning. In Study 1, we ran a 11 series of computational simulations to analyze the learning of simple and overlapping 12 stimulus associations in a model of Down syndrome compared with a model of typical 13 development. Learning in the Down syndrome model was slower and more susceptible 14 to interference effects. We found that interference effects could be overcome with 15 dedicated stimulation schedules. In Study 2 we ran a fourth set of simulations and an 16 empirical study with participants with Down syndrome and typically developing 17 children to test the predictions of our model. The model adequately predicted the 18 performance of the human participants in a serial reaction time task, an implicit learning 19 task that relies on associative learning mechanisms. Critically, typical and atypical 20 behavior was explained by the interactions between neural plasticity constraints and the 21 stimulation schedule. Our model provides a mechanistic account of learning 22 impairments based on these interactions, and a causal link between atypical synaptic 23 plasticity and associative learning.

Key words: neurocomputational model, Down syndrome, LTP/LTD balance,associative learning, implicit learning, serial reaction time task.

26 **1. Introduction** 

Down syndrome (DS) is the most common genetic cause of intellectual disability with an incidence estimated along different populations between 1 in 319 and 1 in 1000 live births (Morris, Alberman, Mutton, & Jacobs, 2012; Wiseman, Alford, Tybulewicz, & Fisher, 2009). It is caused by a total or partial trisomy of chromosome 21.

6 Behavioral studies have documented atypical learning, memory and language 7 acquisition in individuals with DS (Costanzo et al., 2013; Karmiloff-Smith et al., 2016; 8 Pennington, Moon, Edgin, Stedron, & Nadel, 2003; Wishart, 1993). Synaptic plasticity 9 is considered a core mechanism underlying these processes (Hofer & Bonhoeffer, 2010; 10 Neves, Cooke, & Bliss, 2008). This has led to extensive research on synaptic plasticity 11 in mouse models of DS to understand the altered neurobiological mechanisms and to 12 explore possible ways to normalize synaptic function (Andrade-Talavera, Benito, 13 Casañas, Rodríguez-Moreno, & Montesinos, 2015; Begenisic et al., 2014; Fernandez & 14 Garner, 2007; Martínez-Cué et al., 2013; Rueda, Flórez, & Martínez-Cué, 2012; Scott-15 McKean & Costa, 2011). However, the relationship between altered synaptic plasticity 16 described in animal models and behavioral deficits observed in patients is not fully 17 understood and has been minimally studied.

In this paper, we present a neurocomputational model of DS that is based on findings about altered neuronal plasticity observed in mouse models. Our main objective is to give insights into how specific and well-documented alterations in synaptic plasticity affect end state processes such as learning and memory; here we focus on exploring impairments in simple forms of associative learning in DS.

Long-term potentiation (LTP) and long-term depression (LTD), are two processes suitable for studying increase and decrease, respectively, in synaptic strength resulting from neuronal activity (Lüscher & Malenka, 2012; Malenka & Bear, 2004).

According to theories of synaptic plasticity (Bienenstock, Cooper, & Munro, 1982),
 LTP occurs when presynaptic activity coincides with strong postsynaptic depolarization
 above a threshold value. When presynaptic activity persistently fails to produce
 depolarization in the postsynaptic neuron beyond this threshold, LTD occurs (Bear, 1995).

6 This modification threshold, or LTD/LTP crossover point, is elevated in a 7 number of animal models of intellectual disability (Meredith, Holmgren, Weidum, 8 Burnashev, & Mansvelder, 2007; Meredith & Mansvelder, 2010). A direct consequence 9 of an elevated threshold is an altered balance of brain plasticity that enhances LTD at 10 the expense of LTP (Fig. 1). Accordingly, several lines of research have consistently 11 reported increased LTD and reduced LTP in animal models of DS (Andrade-Talavera 12 et al., 2015; Begenisic et al., 2014; Kleschevnikov et al., 2004; Martínez-Cué et al., 13 2013; Siarey et al., 1999).



14

15 Fig 1 The consequence of a comparatively elevated LTD/LTP crossover threshold that 16 results in increased LTD and limited LTP in response to the same amounts of post-17 synaptic activity coincident with pre-synaptic activity.

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19 Synaptic plasticity in mouse models of DS has been mostly studied within the20 hippocampus. For example, hippocampal LTP is impaired in the segmental trisomic

1 Ts65Dn mouse, the most used and best characterized model of DS (Davisson et al., 2 1993; Rueda et al., 2012). Particularly, in the dentate gyrus and CA1 regions LTP is 3 reduced when compared with euploid controls (Begenisic et al., 2014; Kleschevnikov 4 et al., 2004; Martínez-Cué et al., 2013; Siarey et al., 1999; Siarey, Stoll, Rapoport, & 5 Galdzicki, 1997). Furthermore, this mouse model shows increased levels of LTD (Scott-6 McKean & Costa, 2011; Siarey et al., 1999), suggesting that the atypicality in LTP 7 arises from an increased LTD/LTP crossover threshold. Convergent evidence of limited 8 LTP and/or increased LTD has been reported from three other mouse models of DS: 9 Tc1, TsiCje and Ts1Rhr (Andrade-Talavera et al., 2015; Belichenko et al., 2009; 10 O'Doherty et al., 2005; Siarey, Villar, Epstein, & Galdzicki, 2005).

Atypical synaptic plasticity in DS has also been described in other brain areas outside the hippocampus. For example, a lack of LTP in the Ts65Dn mouse was observed in the intrastriatal cholinergic system (Di Filippo et al., 2010), which is a major relay of cortical information flow through the basal ganglia.

15 While mice showing altered LTD/LTP are known to also show behavioral 16 impairments (Begenisic et al., 2014; Costa, Stasko, Schmidt, & Davisson, 2010), it is 17 still unknown what are the computational properties emerging from neural networks 18 with exaggerated LTD and limited LTP, and how this atypical pattern of strengthening 19 and weakening in synaptic connections produces less efficient computations resulting in 20 impaired learning and memory. Answering this question requires an understanding of 21 the pathway from neural plasticity constraints to end-state cognitive processes in both 22 typical and atypical populations.

Here, in Study 1, we present a neurocomputational approach to explore how the altered pattern of synaptic plasticity in DS impacts on tasks of associative learning. For our purpose, we developed two artificial neural networks. One of the networks

1 simulates DS (DS model), and this model is compared with one simulating typical 2 development (TD model). In a series of three simulations we evaluate learning of simple 3 (AB) and overlapping (AB and BC) stimulus associations. In Study 2, to explore the 4 predictive validity of our model, we run an additional set of simulations and an 5 empirical study with DS and TD participants. We analyze the performance of both 6 computational models and human participants in a Serial Reaction Time (SRT) task. In 7 this task, which is usually considered an implicit learning task (Vicari, Verucci, & 8 Carlesimo, 2007), associative learning is assessed through reductions in response times 9 as participants are exposed to a repeated sequence of stimuli. We have chosen this 10 experimental task because first, it provides an index of associative learning between 11 arbitrarily related stimuli (Keele, Ivry, Mayr, Hazeltine, & Heuer, 2003; Nissen & 12 Bullemer, 1987) and thus is well suited to translate predictions of associative learning 13 from our computational model to empirical tests. Second, the reviewed studies of DS 14 mouse models have stressed hippocampal and striatal dysfunction of synaptic 15 mechanisms, and recent evidence shows that these brain structures are involved in learning during SRT tasks (Aizenstein et al., 2004; Doyon et al., 1997; Eichenbaum, 16 17 2013; Ergorul & Eichenbaum, 2006; Schendan, Searl, Melrose, & Stern, 2003). Indeed, 18 with neuroimaging techniques it has now been possible to observe engagement of the 19 hippocampus and fronto-striatal networks in both explicit and implicit learning 20 (Degonda et al., 2005; Schendan et al., 2003; Yang & Li, 2012). Finally, there are 21 previous studies reporting performance of DS participants during SRT tasks (Bussy, 22 Charrin, Brun, Curie, & des Portes, 2011; Mosse & Jarrold, 2010; Vicari, Bellucci, & 23 Carlesimo, 2000; Vicari et al., 2007) that allow us to evaluate the extent to which our 24 computational simulations and empirical results converge and extend previous findings.

#### 1 **2.** Study 1: Neurocomputational Model

2 Our model for learning stimulus associations was implemented in an artificial 3 neural network composed of one layer of fully interconnected units (Fig. 2a). A series 4 of learning tasks were simulated. Each task consisted of a number of trials where 5 patterns of stimulation were presented as input to the network through binary code (0 6 and 1). Stimuli were designated with letters; for example, A, B, C. Representation of 7 stimuli in the network was localist, that is, activation of a single unit represented one 8 informative element from the environment (e.g., one stimulus): Units Ua, Ub and Uc 9 represented stimulus A, B, and C, respectively. The activation values of units ranged 10 from 0 to 1.



11

Fig 2 The neural network architectures. Artificial neurons are represented by circles, and connections by lines linking neurons. (a) Layer of fully interconnected artificial neurons used in simulations 1-3. (b) The architecture that includes Ur to simulate the Serial Reaction Time task in Simulation 4.

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Each connection between neurons was characterized by a weight value. Tosimplify the interpretation of our first three simulations, connection weights were

interpreted as associative strengths (AS) between stimuli. The development and
 maintenance of AS were conceptualized as measures of learning and memory.
 Connection weights close to 1 were interpreted as high AS between stimuli, and weights
 close to 0 were interpreted as low AS between stimuli.

5 During each simulation, after presenting the pattern of stimulation, activation 6 propagated to the whole network through the connections. Each unit performed a 7 weighted sum of all inputs it received from the other active units, and transformed this 8 net-input into an activation/output value through a sigmoid function (Equation 1).

9 for net\_input > 0, 
$$a_i = 1 / 1 + \exp^{-net_input_i}$$
 (1)

 $a_i = a_i$ 

Else,

10

- 11 where  $a_i$  is the activation value of unit *i*
- 12

#### 13 2.1. Learning algorithm

All connection weights between neurons  $(W_{ij})$  were initially set at 0 to simulate no previous learning between stimuli. After presenting each stimulation pattern, Hebbian modifications updated the value of  $W_{ij}$ . Hebbian algorithms usually assume that coactivation of neurons leads to proportional strengthening in their connections (Bliss, Collingridge, & Morris, 2007); this formalization captures LTP (Equation 2).

19 
$$W_{ij}(t+1) = W_{ij} + \beta (a_i * a_j)$$
 (2)

where  $a_i$  and  $a_j$  are the activation values of units *i* and *j*, respectively, so that coactivation equals  $a_i^*a_j$ .  $W_{ij}$  is the connection value between units *i* and *j*.  $\beta$  is the learning rate.

Our model included an LTD analogous adaptation as well: decays in connection
 weights resulting from low coactivation values. A threshold parameter (θ), motivated by

the notion of the LTD/LTP cross-over threshold, determined whether coactivation led to
 strengthening or weakening of connections between co-active neurons.

- Since a main aim of our computational implementation was to explore the effects of altered LTD/LTP on basic associative learning, we kept our model as simple as possible. However, more complex neurophysiological theories of synaptic plasticity, captured in computational models like the BCM model (Bienenstock et al., 1982) and the XCAL algorithm (O'Reilly, Wyatte, & Rohrlich, 2014), have stressed the relevance of homeostatic coactivation threshold adjustments. In our model, we therefore included a self-adjusting value ( $\lambda$ ) that modulated the model's synaptic adaptation:
- 10
- 11

If 
$$(a_i^*a_j) > \theta$$
, then  $\lambda = (a_i^*a_j) - W_{ij}$  (3)

else 
$$\lambda = -W_{ij}$$

12 The function of  $\lambda$  is to stabilize changes in W<sub>ii</sub>, prevent connections from 13 becoming too strong, and to allow for simulating metaplasticity, which involves 14 modifying the properties of synaptic plasticity in response to its adaptation history 15 (Abraham, 2008). For above- $\theta$  values,  $\lambda$  depends on the difference between the 16 coactivation and W<sub>ij</sub> and is computed by subtracting the current value of W<sub>ij</sub>, from the 17 current coactivation. For example, when two units a<sub>i</sub> and a<sub>i</sub> are maximally coactive (both 18 active with value 1) and the weight between them is 0,  $\lambda$  is 1 and allows for maximal 19 learning. As the connection weight between coactive units increases,  $\lambda$  gets smaller and 20 dampens weight adaptation. In cases where coactivation of two units is smaller than 21 their connection weights, or when coactivation does not reach the threshold  $\theta$ ,  $\lambda$  is 22 negative and leads to synaptic weakening. The interaction between  $\theta$  and  $\lambda$  allows the 23 network to approach a homeostatic adjustment of synaptic weights by making changes 24 in W<sub>ij</sub> dependent on the co-occurring activation of neurons and the previous state of W<sub>ij</sub> 25 (Equation 4).

$$W_{ij}(t+1) = W_{ij} + \lambda \beta (a_i^* a_j)$$
(4)

2 Changes in weights were defined by direction and magnitude. Direction 3 depended on the interaction between the levels of activation, the value of  $\theta$ , and 4 previous learning history. Magnitude was determined by a rate of change parameter  $(\beta)$ .

5

#### 6 2.1.1. Modeling DS

7 We modeled DS through a relatively increased coactivation threshold (TD 8 model:  $\theta = 0.65$ ; DS model:  $\theta = 0.7$ ) for connection strengthening and a lower rate of 9 change (TD model:  $\beta = 0.25$ ; DS model:  $\beta = 0.2$ ). Increasing  $\theta$  in our model leads to 10 restricting gains and enhancing decays in W<sub>ii</sub>, simulating the altered LTP/LTD ratio in 11 DS. Reducing  $\beta$  simulated slowness in the rate of change for DS simulations. This was 12 biologically motivated by structural abnormalities in DS neural networks with impact 13 on computing power, including reduction of synapse density, inhibitory predominance, 14 and abnormal growth of dendritic spines (Ayberk Kurt, Ilker Kafa, Dierssen, & Ceri 15 Davies, 2004; Dierssen, 2012; Dierssen et al., 2003). To provide stochasticity to our 16 model,  $\beta$  fluctuated from trial to trial according to a normal distribution with  $\mu = 0.25$ and  $\sigma = 0.14$  in TD simulations, and  $\mu = 0.2$  and  $\sigma = 0.11$  in the DS simulations. We 17 18 decided to incorporate stochasticity to our simulations through variable learning rates 19 rather than through noise in connection weights or activation values. This was to allow 20 for transparent interpretations of learning in the model, based on observing the changes 21 in connection weights.

22

The TD and DS models were run 5 times in each simulation and the mean value 23 of AS was calculated.

24

25 2.1.2. Simulation 1. Learning of association AB 1 To examine in principle how the development of AS between co-presented 2 stimuli is affected by the atypical parameters for connection strengthening in DS, we 3 analyzed the simplest possible scenario by stimulating the models with two stimuli, A 4 and B, simultaneously for a total of 25 repetitions (i.e., 25 AB trials).

5

# 6 2.1.3. Simulation 2. Learning of AB and BC (interleaved schedule)

The second simulation presented a more demanding task requiring learning of two overlapping associations, AB and BC, that share one common element (stimulus B). Learning in this kind of task, usually assessed through learning (i.e., recall) of wordpair associates, is known to be affected by interference effects (Ellenbogen, Hulbert, Stickgold, Dinges, & Thompson-Schill, 2006; O'Reilly & Munakata, 2000), resulting in decays in AS of one stimulus pair (AB) after being exposed to the second stimulus pair (BC). This simulation consisted of a total of 50 trials of alternating AB and BC trials.

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# 15 2.1.4. Simulation 3. Learning of AB and BC (blocked schedule)

In Simulation 3 we analyzed the effects of a different stimulation schedule for learning the two overlapping associations AB and BC. Twenty-five trials of each association were presented in separate blocks instead of interleaved in one stimulation block. Thus, we first presented 25 AB trials and then 25 BC trials.

20

# 21 2.2 Results

# 22 2.2.1 Simulation 1. Learning of association AB

Gains in AS between A and B were slower in the DS model compared with the TD model (**Fig. 3**). The main differences occurred during the first half of the training where the DS model always reached values below those shown by the TD model. In the TD model, around trial 14 the AS was close to 1 while a similar value was achieved by DS model only by trial 20; thereafter differences between the two models were virtually undetectable. In summary, in the simplest associative learning task our computational implementation describes a slower learning process in DS but that ultimately reaches the same level as in TD.



6

Fig 3 Changes in connection weights or associative strength between A and B in the TD
and DS models during Simulation 1. Error bars show the standard error of the mean.

9

# 10 2.2.2 Simulation 2. Learning of AB and BC (interleaved schedule)

Unlike in the previous simulation, where AS increased after each trial, in Simulation 2 we observed positive and negative changes in connection weights replicating learning interference effects (**Fig. 4a**). Notably, the interference effect in the TD model was minimal and did not preclude AS to reach values close to 1. In sharp contrast, in the DS model the interference was more marked, with bigger drops in connection weights that prevented a regular connection strengthening process. Connection weights in the DS model never surpassed a value of 0.76.



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Fig 4 Changes in connection weights or associative strength in the TD and DS models after (a) an interleaved presentation schedule of AB and BC trials, and (b) a blocked presentation schedule of AB and BC trials. Error bars show the standard error of the mean.

### 7 2.2.3 Simulation 3. Learning of AB and BC (blocked schedule)

8 The most notable difference in the results of Simulation 3 compared with 9 Simulation 2 is that the DS-Model achieved stronger and more stable AS in Simulation 10 3 (Fig. 4b). The only variation between simulations 2 and 3 was the order in which 11 trials were presented; in both tasks the overall amount of stimulation remained the 12 same. During Simulation 3 the final performance of DS was again analogous to that of 13 TD. When BC trials were introduced in training there was a decay in the AB associative 14 strength in both models. However, this decay was not catastrophic and a high AS was 15 maintained for the AB association despite this mapping being no longer presented.

16

#### 17 2.2.4 Analysis of parameters' effects

There are two changes in the learning algorithm between our DS model and TD
model (Table 1 shows the parameters for all simulations). We modeled DS by relatively

increasing the threshold parameter  $\theta$ , and decreasing the learning rate  $\beta$ . Varying two parameters makes it difficult to assign credit to the individual mechanistic effects of each parameter. Therefore, we were interested in observing how each parameter contributed to the observed effects in the atypical DS learning. Two main differences were observed in our simulations; 1) learning in the DS model is slower than learning in the TD model (particularly clear in simulations 1 and 3), and 2) interference effects are more prevalent in DS during interleaved schedules (Simulation 2).

8

Parameter	DS model	TD model	Associated effects
Learning rate ß	$\mu = 0.2, \sigma = 0.11$	$\mu = 0.25, \sigma = 0.14$	Learning is delayed with reduced ß values
Coactivation threshold $\theta$	0.7	0.65	Increased $\theta$ values lead to interference effects in interleaved schedules
Fixed connection between Uc and Ur (Simulation 4 only)	2.6	3	Smaller values in this connection capture the overall slowness in response speed in DS.

#### 9 **Table 1** Parameters used during simulations.

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11 We compared the 4 possible combinations of the two parameters (i.e., Typical  $\beta$ 12 typical  $\theta$ ; reduced  $\beta$  typical  $\theta$ ; reduced  $\beta$  increased  $\theta$ ; and typical  $\beta$  increased  $\theta$ ), for 13 simulations 1 and 2 (Fig. 5). The delayed, but ultimately successful, learning of AB 14 associations in DS was an effect of the reduced learning rate (Fig. 5a) irrespective of the setting for  $\theta$ . In the learning of overlapping associations (Fig. 5b) the observed 15 16 interference effects were caused by the altered cross-over threshold  $\theta$ , with little effect 17 of changes in learning rate. Thus, in our DS model, lowering the learning rate accounted better for learning delays, while increasing the LTP/LTD threshold accounted better for 18 19 learning interference effects.



Fig 5 Changes in connection weights or associative strength between A and B, and B and C in the neural network with different parameter values. The curves show the development of associative strength after (a) presentation of AB trials during Simulation 1, and (b) after the interleaved presentation schedule of AB and BC trials. Error bars show the standard error of the mean.

7

# 8 2.2.5 Prediction of the Model

9 Results from simulations 2 and 3 were taken as a prediction of our 10 neurocomputational model for the learning of two overlapping associations in typical 11 and DS populations. The model predicts a series of learning outcomes for each 12 population depending on the training schedule used (interleaved trials and blocked 13 trials): an interleaved schedule should result in high AS between paired elements for 14 TD, but a low AS between paired elements in DS. The model predicts that this deficit in DS can be overcome by using a blocked schedule in which TD and DS participants both
 should develop high AS between paired elements.

3

#### 4 2.3 Discussion

5 We observed that when learning one association between two elements (A and 6 B) the TD and DS models performed similarly, with delayed learning in DS. This delay 7 resulted mainly from a relatively lower learning rate which modeled structural 8 abnormalities in DS neural networks, including reduction of synapse density, inhibitory 9 predominance, and abnormal growth of dendritic spines (Ayberk Kurt et al., 2004; 10 Dierssen, 2012; Dierssen et al., 2003).

11 Learning of two overlapping associations (AB and BC) was disrupted in DS 12 under an interleaved schedule, with learning of BC interfering with the previously 13 learned relation AB. In this computational implementation, the marked learning 14 interference in DS was mainly explained by the increased value in the coactivation 15 threshold for synaptic changes that captures an increased LTD/LTP crossover point. 16 Our model provides a mechanistic explanation for this learning interference: in the 17 model, activation spreads in the network through the learned connections, allowing a 18 process of pattern completion; that is, after training trials were presented, direct 19 stimulation of one neuron (e.g., Ub) was able to recall the activation of previously co-20 active units (e.g., Ua). Then, when a BC trial is presented the activation of Ub spreads 21 to Ua, producing a coactivation between Ua and Ub that triggers the learning 22 mechanism in the model. However, spreading activation alone, without direct 23 stimulation of Ua, does not produce full activation of Ua so that the coactivation value 24 will more likely be below  $\theta$ , leading to a weakening in the connection weight between Ua and Ub. The relatively lower  $\theta$  value of in the TD model leads to minimal and 25

progressively decreasing interference effects, while in the DS model, the relatively
 higher value of θ leads to impaired learning of AB and BC. Thus, here, atypical
 associative learning in DS is explained directly through an altered LTP/LTD cross-over
 threshold that has been found in mouse models of DS.

5 Based on the rationale of our mechanistic interpretation of learning interference 6 in DS, we evaluated a different stimulation schedule that minimizes interference effects by means of presenting separate blocks of AB and BC trials. Under this condition, the 7 8 DS model improved to a level indistinguishable from the TD model. This is because in 9 the first block, connections between A and B become strong enough to sufficiently 10 activate A through spreading activation when B and C are presented in the second 11 block. This higher activation of A then protects the connection (AS) between A and B 12 from atypical weakening in the second block.

13

### 14 **3. Study 2: Evaluation of model predictions**

Simulations 2 and 3 of Study 1 predicted different learning trajectories for DS and TD depending on the stimulation schedule. Particularly, the model predicts that the use of blocked schedules leads to developing high AS in both TD and DS, while the use of interleaved schedules leads to high AS in TD but low AS in DS. To test the predictions of our model we ran a fourth set of simulations and a Serial Reaction Time (SRT) task with human participants.

In a SRT task, participants see stimuli presented sequentially, and they respond as fast as possible whenever they see the target stimulus (e.g., stimulus C). When the target is constantly preceded by another stimulus (e.g., stimulus A), decreases in response times (RTs) to the target, relatively to baseline RTs, are inversely proportional to the AS between the predictor and the target (AC). By manipulating the number of

predictors and order of stimulation it is possible to measure AS between two
 overlapping associations (AC and BC) in blocked and interleaved schedules.

Previous studies with SRT tasks in DS individuals have converged on the
finding of well-preserved implicit learning during schedules that present one sequence
associated with one target (Mosse & Jarrold, 2010; Vicari et al., 2000, 2007). However,
there are no previous reports of learning two overlapping associations using SRT tasks
in DS.

8

9 *3.2 Methods* 

# 10 3.2.1 Model extension

We modeled an SRT task in which C was the target stimulus. In order to simulate RTs, a new accumulator unit Ur was added to the model (**Fig. 2b**). As in previous computational models simulating RTs (Usher & McClelland, 2001), Ur accumulated information over time about the presence of the target stimulus C. When the input to Ur surpassed a threshold, Ur became active, and this moment was registered as the RT of the model.

17 There were two sources of input for Ur. One was the activation of Uc, 18 representing direct stimulation of target C, and the second was the associative 19 connection between A and C, or B and C. This mechanism captured the modulatory role 20 of AS on RTs. Ur collected information according to Equation 4.

(4) 
$$d_{\text{Ur}} = [I_{\text{Ur}} + \text{learn.}_{\text{Ur}}] dt + \varepsilon_{\text{Ur}} \sqrt{dt}$$

Where *d*Ur is the change in activation of Ur during each time interval *dt*. Time intervals corresponded to 0.0125 seconds. Thus, activation of Ur was updated 80 times per second.  $\varepsilon_{\text{Ur}}$  is a Gaussian noise term with zero mean and variance  $\sigma^2$ . This parameter adds stochasticity to the rate of accumulation in Ur in accordance with psychological

1 theories of response times (Smith, 1995; Usher & McClelland, 2001). Changes in Ur 2 were driven by information from Uc activation  $(I_{Ur})$ , and by the AS between Uc and the 3 previously active unit, either Ua or Ub (learn.<sub>Ur</sub>). I<sub>Ur</sub> depended on the output from Uc 4 weighted by the connection between Uc and Ur; for this connection, we used fixed 5 values of 2.6 for DS simulations and 3 for TD simulations. These values were 6 empirically motivated by evidence that shows slower reaction times in DS participants 7 compared to TD controls in SRT (Vicari et al., 2000). For each simulation, the 8 activation value of Ur started at 0. During each time interval Ur collected information 9 and responded every time a threshold of 1.65 was surpassed. We ran five DS 10 simulations and five TD simulations.

11

# 12 3.2.1.1 Simulation 4. Serial Reaction Time task

During simulation of the SRT the elements A, B and C were not presented at the same time but sequentially. To capture this characteristic of SRT, activation of Ua, Ub and Uc decayed from 1 to 0.9 every time that an element was removed and another element was presented to the network.

17 We programed 8 experimental phases. Phases 1 and 8 were used to measure 18 baseline RTs (i.e., the time between the presentation of C and the activation of Ur, when 19 C is not presented in a fixed sequence). Phases 2 to 7 were used to infer AS between AC 20 and BC by means of presenting sequences of stimulation where C was always preceded 21 by either stimulus A (AC trial) or stimulus B (BC trial). For the interleaved schedule, a 22 total of 48 AC and 48 BC trials were interleaved from Phase 2 to 7. For the blocked 23 schedule the 48 AC trials were presented during phases 2, 3 and 4, and the 48 BC trials 24 were presented during phases 5, 6 and 7. Each phase presented 16 trials.

Significant decreases in RTs during fixed sequences (phases 2 to 7) relative to
 baseline RTs (phases 1 and 8) were taken as evidence of learned associations between
 the predictors (A or B) and the target (C).

4

5 *3.2.2 Empirical study* 

6 *3.2.2.1 Participants* 

7 Our empirical study was prepared in accordance with the APA's Ethical 8 Principles of Psychologists and Code of Conduct, and approved by the Ethics 9 Committee of the School of Psychology at the National Autonomous University of 10 Mexico. Informed consent was obtained from the parents of all the participants, who 11 also gave assent before taking part in the study. Five individuals diagnosed with DS (2 12 females) were recruited from a center of education for people with learning disabilities. 13 The mean chronological age of DS participants was 18.04 years with SD = of 3.25 and 14 an age range between 12.8 and 21.5 years. In order to compare the performance of 15 individuals with a similar level of cognitive development, the mental age of the DS 16 group was estimated using an abbreviated form of the Wechsler Intelligence Scale for 17 Children (WISC-IV) that included the subtests Block Design, Matrix Reasoning and 18 Figure Completion. This short form is among the ten most recommended versions with 19 high reliability (0.93) and validity (0.83) values (Sattler, 2010). Five TD children (3 20 females) without diagnosis of intellectual disability were recruited from their 21 elementary school, with a chronological age (M = 6.5, SD = 0.31, age range 6.2-6.9 22 years) that matched the mental age of DS participants (M = 6.2 years, SD = 0.04, mental 23 age range 6.1-6.2 years).

24

25 *3.2.2.2 Serial Reaction Time task* 

1 TD and DS participants were tested in a SRT task designed to match the trials 2 and phases from our computational simulation of SRT. Two experimental sessions were 3 conducted on different days. The interleaved schedule was applied during one session 4 and the blocked schedule during the other session. The order in which protocols were 5 applied was counterbalanced.

During each session participants sat in front of a computer screen (23 inches) and a keyboard. The experimenter gave the following instruction to participants: "We will play a computer game. You will see different images on the screen. Press the space bar as fast as possible every time you see SpongeBob<sup>TM</sup>. An image of SpongeBob<sup>TM</sup> was shown on the screen to participants to make sure they recognized the character and then the experimental task started. One sequence of images was used as the element A, another sequence as the element B, and SpongeBob<sup>TM</sup> image as element C (**Fig. 6**).

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Fig 6 Images used for the Serial Reaction Time task. Circles were presented in the color
 indicated by the labels. SpongeBob<sup>TM</sup> was presented in its original color.

Note: \* The assignment of images in sequences A and B to the interleaved and blockedschedules was counterbalanced.

19

Each experimental session was divided into 8 phases. We obtained baseline RTs during phases 1 and 8, where the sequence of images was random and therefore the target was unpredictable. During phases 2 to 7 the images were always presented in one of two possible fixed sequences. One sequence (A) followed by the target C (AC trial), and another sequence (B) followed by the target C (BC trial). AC and BC trials were presented in blocked and interleaved schedules, depending on the experimental session, from phases 2 to 7 as in the computational simulation. Each image was presented a total of 16 times per phase. Each image was presented for 1 s and the inter-image interval was 0.8 s. In both sessions participants took a short break between phases 4 and 5.

8

# 9 *3.2.3 Statistical analysis*

During the fourth set of simulations and the empirical study we had a total of eight experimental conditions, resulting from applying the interleaved and blocked schedules to DS and TD populations in the computer models and the human participants. Each of these conditions was analyzed separately to search for evidence of learning through significant decreases in RTs during phases 2 to 7 relative to phases 1 and 8.

Each condition included 8 experimental phases; each phase presented 16 times the target stimulus. We obtained the mean RTs to the target for each trial across the five participants or runs of the computational models. The mean RTs were treated as repeated measures across the 8 experimental phases and analyzed through repeatedmeasures ANOVA. Where we found a main effect of the experimental phase we analyzed pairwise differences among phases with the Bonferroni method. Those comparisons with p-values lower than 0.05 were considered statistically significant.

23

# 24 **3.3 Results**

# 25 3.3.1 Simulation 4. Serial Reaction Time task

1 Significant decreases in RTs during phases 2 to 7 (interleaved or blocked 2 sequences) compared with phases 1 and 8 (baseline RTs) where taken as evidence of 3 learning the AC and BC associations. U-shaped curves can be observed in those conditions where learning took place (Fig. 7). A repeated-measures ANOVA, for each 4 5 computational model in each training schedule, revealed the establishment of 6 associative learning in both schedules presented to the TD model (Table 2). For the DS 7 model, learning only occurred after the blocked schedule. We found no evidence of 8 learning during the interleaved schedule in the DS simulation. This pattern of results 9 was used as a prediction of performance by TD and DS participants in the SRT task.



10 11

Fig 7 Mean reaction times during the Serial Reaction Time task. Error bars show thestandard error of the mean.

14

15 *3.3.2 Empirical study*.

16 The mean RTs of the TD and DS participants replicated the pattern of our 17 simulation results (**Fig. 7**). Differences in RTs between baseline phases and phases with 18 fixed sequences were analyzed with a repeated-measures ANOVA. These analyses revealed learning of AC and BC associations in TD participants in both the interleaved and the blocked schedule (**Table 2**). Meanwhile, DS participants showed evidence of learning AC and BC during the blocked schedule, but not during the interleaved schedule, as predicted by our model. The model also captured the result that responses of DS participants overall were slower in comparison to TD. Thus, the model accounted for the human data both qualitatively and quantitatively.

7

8 Table 2 Summary of statistical analysis for the serial reaction time task. The final 9 column provides the experimental phases in which reaction times differed significantly 10 (Bonferroni test). Significant differences were mostly observed between Phase 1 and 11 Phases 2 to 7, and between Phase 8 and Phases 2 to 7. Additionally, there is a 12 significant difference between Phases 2 and 5 in the computational simulation of TD 13 under the interleaved schedule. This difference shows that under this schedule there are 14 small gains in learning during the initial phases, but these gains accumulate across 15 phases to produce notable reductions in response times during the final phases.

Source	Group	Condition	Repeated Measures ANOVA F(df), p	Phases with Significant Differences with Bonferroni Method (p < 0.05)
Computational	Typical	Blocked		
Simulations	Development	Schedule	11.6 (7,105), <i>p</i> < 0.001	Phase 1 vs: 2,3,4,5,6,7
				Phase 8 vs: 2,3,4,5,6,7
		Interleaved Schedule	11.0 (7,105), <i>p</i> < 0.001	Phase 1 vs: 3,4,5,6,7 Phase 8 vs: 3,4,5,6,7 Phase 2 vs: 5
	Down Syndrome	Blocked Schedule	6.4 (7,105), <i>p</i> < 0.001	Phase 1 vs : 3,4,6,7 Phase 8 vs : 4,6
		Interleaved Schedule	.82 (7,105), <i>p</i> = 0.57	None
Human	Typical	Blocked	18.9 (7,105), <i>p</i> < 0.001	Phase 1 vs: 2,3,4,5,7,8

Participants	Development	Schedule		
				Phase 8 vs:1,2,3,4,5,6,7
		Interleaved Schedule	9.5 (7,105), <i>p</i> < 0.001	Phase 1 vs: 5,6,7 Phase 8 vs: 2,3,4,5,6,7
	Down Syndrome	Blocked Schedule	9.7 (7,105), <i>p</i> < 0.001	Phase 1 vs: 3,4,5,6,7 Phase 8 vs: 3,4,5,6,7
		Interleaved Schedule	1.7 (7,105), <i>p</i> = 0.11	None

### 1 3.3.3 Individual differences in the SRT task

2 Due to the small sample size of our empirical study, we were interested in 3 analyzing to what extent the performance of each participant matched our 4 computational predictions. To do so we analyzed learning through the same repeated-5 measures ANOVA approach, but instead of using the mean RTs across participants for 6 each trial, we analyzed mean RTs across the 16 trials in each of the 8 phases for each 7 participant. Since the 5 TD and 5 DS participants were individually analyzed for the 8 interleaved and blocked schedules (i.e., 10 participants in 2 conditions), this resulted in 9 20 new analyses.

10 The computational simulations predicted learning of the sequences in both 11 schedules for TD participants, and learning of the sequences in DS participants during 12 the blocked schedule, but not during the interleaved schedule. The predictions were 13 confirmed in 17 of the 20 cases. Three outlier cases were: DS Participant 4 who showed 14 evidence of learning in one experimental phase of the interleaved schedule, DS 15 Participant 2 who did not show learning in the blocked schedule, and TD Participant 4 16 who did not show learning in the blocked schedule.

# 1 **3.4. Discussion**

We designed an empirical study and a fourth set of simulations to test 2 3 predictions of the TD and DS models. We evaluated learning of AC and BC 4 associations in DS and TD participants with an interleaved and a blocked schedule in a 5 SRT task. The participants performed as predicted by our model. The group of TD 6 participants showed learning in both schedules, and the group of DS participants learned 7 only under the blocked schedule. Remarkably, although the overall number of trials 8 between the blocked and interleaved presentation schedules remained the same, the two 9 protocols resulted in significantly different outcomes in DS participants, and this 10 outcome was predicted by the model.

11 Due to the small number of participants in our empirical study, special caution 12 should be taken when generalizing our set of results. The present data stresses a 13 difficulty to learn overlapping associations in interleaved schedules by DS participants. 14 Nonetheless, one participant with DS did show evidence of learning in the last phase of 15 interleaved sequences. Notwithstanding the number of participants tested, our empirical 16 study replicates previous findings of learning in SRT tasks by DS participants, by 17 showing well preserved abilities when the procedure requires learning of only one 18 sequence at a time (i.e., blocked schedules; Mosse & Jarrold, 2010; Stefano Vicari 19 et al., 2000, 2007).

To our knowledge, only one study has reported interference effects in a SRT task in DS participants (Bussy et al., 2011); however, in this study interference resulted from interleaving the target sequence with baseline random phases, and learning was documented during the first presentations of the target sequence. Our study is the first one to compare, in a SRT task, the effects of two schedules; blocked and interleaved, for the learning of two sequences.

# 2 **4. General Discussion**

3 We explored, with a computational model, the link between altered synaptic 4 plasticity in Down syndrome and atypicalities in associative learning tasks. This model 5 was motivated by reports of increased LTD and decreased LTP found in animal models 6 of DS (Andrade-Talavera et al., 2015; Begenisic et al., 2014; Belichenko et al., 2009; 7 Kleschevnikov et al., 2004; Martínez-Cué et al., 2013; O'Doherty et al., 2005; Scott-8 McKean & Costa, 2011; Siarey et al., 1999, 1997, 2005). While an atypical LTD/LTP 9 balance has been correlated with cognitive and behavioral impairments, here we 10 explored a causal link from neurophysiological mechanisms to cognitive functions, 11 through neurocomputational modeling.

12 The learning process described by our model of DS varied from being delayed to 13 showing qualitatively different learning trajectories when compared with our model of 14 TD. Differences in learning between the simulated populations did not only depend on 15 the intrinsic properties of their learning mechanisms, but also on their interaction with 16 the environmental regularities and learning history, in this case, the sequence in which 17 stimulation was presented. As an implication for the conceptualization of intellectual 18 disability and cognitive development, this work is in accordance with developmental 19 approaches, since it highlights the interaction between learning mechanisms, biological 20 constraints and experience with the environment in shaping the learning trajectory 21 (Karmiloff-Smith, 1998; Mareschal et al., 2007; Westermann et al., 2007).

Our computational implementation shows that altered synaptic properties in DS make it more difficult to learn and maintain information about stimulus regularities as the complexity of the environment increases. While here we have focused on simple associative tasks, future research should analyze the impact of associative learning

atypicalities in domains where individuals with DS face more complex stimulation regularities, as in the case of word learning. It has been argued that early word learning relies on associative learning (McMurray, Horst, & Samuelson, 2012), and on this view, difficulty in this domain in DS could be explained on the same basis as our results presented here.

6 Our model also predicted correctly that adapting the learning schedule can 7 overcome some of the learning atypicalities observed in DS. This prediction was 8 confirmed in an empirical study evaluating performance in a SRT task. Our study is the 9 first one to analyze selective impairments in DS during this implicit learning task, and it 10 suggests that implicit learning in DS is susceptible to interference effects and selection 11 of the training schedule. We consider this finding as an important contribution for future 12 research on the design of behavioral interventions. Implicit learning has traditionally 13 been regarded as relatively well preserved in DS (Dierssen, 2012; Mosse & Jarrold, 14 2010; Vicari et al., 2007); however, our study stresses a sensitivity to interference 15 effects and supports the notion that teaching strategies should not only focus on 16 increasing the amount of stimulation and skills, but also make sure that previous skills 17 are well consolidated (Wishart, 1993). Our computational model also provides a tool to 18 explore what aspects of associative learning would benefit from pharmacological 19 therapies intended to normalize synaptic plasticity (Begenisic et al., 2014; Martínez-Cué 20 et al., 2013).

21

# 22 **5.** Conclusion

We have presented a neurocomputational model that provides a mechanistic account of how increased LTD and limited LTP in Down syndrome have disruptive effects in learning and memory of simple and overlapping stimulus associations,

1 making it more difficult to acquire and maintain information about stimulation 2 regularities. Our model suggests that, by using dedicated training schedules in these 3 kinds of learning tasks, individuals with DS nevertheless have the potential to establish 4 functional neural networks that can support information processing similar to that 5 observed in TD. Our model provides an integrative approach situated at the intersection 6 of research with mouse models and behavioral descriptions of intellectual disability. It 7 also has potential extensions for studying cognitive impairments in populations where 8 similar abnormalities in LTD/LTP are presumed from research with animal models, as 9 is the case in Fragile X syndrome and autism (Ebert & Greenberg, 2013; Huber, 10 Gallagher, Warren, & Bear, 2002; Meredith et al., 2007), Angelman syndrome (Jiang 11 et al., 1998), and Alzheimer's disease (Moreno-Castilla et al., 2016). 12

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