Organizational Climate and Culture:
Reflections on the History of the Constructs in *JAP*

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Abstract

We review the literature on organizational climate and culture paying specific attention to articles published in the *Journal of Applied Psychology (JAP)* since its first volume in 1917. The article traces the history of the two constructs though JAP has been far more important for climate than culture research. We distinguish four main periods: the pre-1971 era, with pioneering work on exploring conceptualization and operationalizations of the climate construct; the 1971 – 1985 era, with foundational work on aggregation issues, outcome-focused climates (on safety and service) and early writings on culture; the 1986 – 1999 era, characterized by solidification of a focused climate approach to understanding organizational processes (justice, discrimination) and outcomes (safety, service) and the beginnings of survey approaches to culture; and the 2000 – 2014 era, characterized by multi-level work on climate, climate strength, demonstrated validity for a climate approach to outcomes and processes, and the relationship between leadership and climate and culture. We summarize and comment on the major theory and research achievements in each period, showing trends observed in the literature and how *JAP* has contributed greatly to moving research on these constructs, especially climate, forward. We also recommend directions for future research given the current state of knowledge.

*Keywords*: organizational climate, organizational culture, focused climates, process climates, leadership, levels of analysis
Organizational Climate and Culture: Reflections on the History of the Constructs in *JAP*

The study of climate and culture has its historical roots in Gestalt psychology, social anthropology and organizational theory—climate and culture are thus multi-parented constructs. Only recently have there been significant attempts to formally integrate the theory and research on climate and culture (Ehrhart, Schneider & Macey, 2014; Kuenzi & Schminke, 2009; Kummerow & Kirby, 2014; Schneider, Ehrhart & Macey, 2011) perhaps because scholars from these different heritages have both different conceptual and methodological approaches. We focus on the Gestalt psychology heritage of organizational climate, the one primarily associated with publications in *JAP*. The Gestalt tradition emphasized that climate is a composite of many perceptions and experiences; literally a Gestalt (a whole) is formed out of many observations and experiences. While there have been some differences in definitions around the edges, organizational climate research has implicitly or explicitly adhered to the notion that it is a summary perception derived from a body of inter-connected experiences with organizational policies, practices and procedures (e.g., from leadership and HR practices, and so forth) and observations of what is rewarded, supported and expected in the organization with these summary perceptions becoming meaningful and shared based on the natural interactions of people with each other (See Table 3.1, p. 64 in Ehrhart et al., 2014; Denison, 1996; James & Jones, 1974; Jones & James, 1979). Organizational climate research, emerging mostly from scholars trained in psychological methods has almost entirely used employee survey methods, with those surveys focusing explicitly on observable experiences that people have in work settings.
Organizational Climate and Culture

Culture is a relative latecomer in JAP. Culture has had numerous definitions but an integrated version of these definitions would be as follows: Culture is defined as the shared values and basic assumptions that explain why organizations do what they do and focus on what they focus on; it exists at a fundamental, perhaps preconscious, level of awareness, is grounded in history and tradition and is a source of collective identity and commitment (See Table 4.3, p. 131, in Ehrhart et al., 2014; Martin 1992; Pettigrew, 1979; Schein, 1985). Emerging from more sociological and anthropological origins, the methods employed by early culture researchers were qualitative in nature, emphasizing the importance of immersion in the setting to be studied and seeking the explicit and implicit ways in which culture is transmitted to members and the collective effects of the culture on the shared attitudes and behaviors of those there.

The goal of the present article is to review the literature on organizational climate and culture, paying special attention to articles published in JAP. In order to identify these articles, first we conducted an electronic search in the Social Science Citation Index covering the time period between 1917 and 2014 focused on articles in JAP. In this search, we used broad keywords (such as “climate” and “culture”) and more specific ones (e.g., “organizational culture”, “work-unit culture”, “department culture”, “work-team culture”, “work culture”, and “organizational climate”, “work-unit climate”, “work-team climate”, “psychological climate”, “aggregate climate”, “climate perceptions”). Second, we complemented our electronic search with a visual inspection of JAP’s lists of content and additional Google Scholar searches on terms such as “work environment” and “social context.” Third, we examined the abstracts and content of the articles we found to choose those that really investigated our two focal topics. Finally, based on our own knowledge of the field we included citations from sources other than
Although the frequency of publications on culture in *JAP* is still rare, we strive to integrate the organizational culture perspective into the review in an attempt to be historically meaningful and relatively comprehensive and to set the stage for the potential integration of the constructs and research in the future. The article unfolds with an historical bent, dealing in our first era with pioneering work on the social context in organizations up to 1971 as reviewed comprehensively by Campbell, Dunnette, Lawler, and Weick (1970). The second era (1971 – 1985) forms the modern foundation for much contemporary work. This period included climate issues having to do with levels of analysis and data aggregation, the relationship between climate and job satisfaction, and a series of papers on what we will call “focused climates” (e.g., climate for service, climate for safety) that broke from the tradition of generic or molar approaches which broadly addressed employee well-being. During this period, no articles published in *JAP* explicitly focused on organizational culture, but a major event in this period was the publication in *ASQ* by Pettigrew (1979) explicating the social anthropological perspective of culture for the study of work organizations. The term organizational culture was not new (Katz & Kahn, 1966, had used it) but it had not been presented in detail or with the case study methods so central to it.

Our third era covers the period 1986 – 1999 when climate researchers expended much effort on clarifying the meaning of the aggregation of survey data and when the emphasis on focused climates notably increased. In addition, systematic work began on the antecedents of climate, especially regarding the role of leadership. In the world of practice, the term culture became dominant, as it is to this day, perhaps due to early writings about such exciting analogies as tribes, rites and rituals (e.g., Deal & Kennedy, 1982) that captured the imagination of
management. The literature on culture in this era was very well covered in books by Trice and Beyer (1993) and Martin (1992) and researchers continued to grapple with the scope and definition of culture. Publications in *JAP* with a primary focus on organizational culture were infrequent.

The final era covers the period 2000 – 2014. These were heady days for climate research in *JAP* with about half of the approximately 100 total articles in *JAP* on climate having been published there in the last fifteen years. Climate researchers finally achieved some consensus on levels and aggregation issues with the publication of the Klein and Kozlowksi (2000) edited volume. Multilevel climate research began with considerable vigor and developed rapidly. Climate strength research also began in this period, highlighting the importance of the extent of agreement across employees (Lindell & Brandt, 2000). In addition, the focused climate perspective moved from a concern only for organizational strategic outcomes (safety, service) to a concern for organizational processes (justice, innovation) and there were articles linking leadership as an antecedent or moderator of climate – outcome relationships as well as multi-level studies. In the realm of culture, researchers continued to debate conceptualizations and measurement of culture and articles appearing in *JAP*, though still relatively rare, focused predominately on cultural values.

In Table S1 (provided as online supplemental material), we present the major foci of climate research in *JAP* with the citations organized by the four eras just mentioned. Table S1 will serve as a useful supplement to the text and as the article unfolds readers can see the ways in which the topics studied have matured and how they have been integrated (for example, with various foci, in relation to the role of leadership and in multi-level studies). As evident in Table S2 (also provided as online supplemental material), *JAP* has not been a major outlet for research on
organizational culture. Tables S1 and S2 present citations to essentially all publications related to organizational climate and culture that have appeared in JAP.

Most, but not all of these citations are also mentioned and cited in the text.

While there has been an increasing trend of cross-cultural studies in JAP, only those that specifically involved organizational culture were included in Table S2. We speculate that the paucity of research in JAP on organizational culture stems from (a) JAP being seen as an outlet for psychologically based research, (b) JAP’s clear focus on quantitative research and (c) JAP’s focus on tangible outcomes. In contrast, culture research was being done not by people trained in psychology, was clearly qualitative in orientation, and was more concerned with how culture is transmitted, subcultures, and the degree to which an organization is a culture or has a culture (Martin, 2002). Thus, we identify major publications on culture published outside of JAP because we conclude with thoughts on how research on both of these broad holistic ways of conceptualizing human organizations and behavior in them need to be integrated.

In what follows, citations with an asterisk are shown for the twelve articles that we collectively believe have been and are the most important articles published in JAP over the years. In the reference section each citation with an asterisk includes a one-sentence description of the contents of the article.

The Pre-1971 Period: Pioneering Work on the Social Context in Organizations

Climate. Our search found one article in JAP prior to 1970 that specifically referenced climate in which aggregated climate dimensions (termed psychological climate at the time) were related to departmental accidents (Keenan, Kerr, Sherman, 1951). Other terms besides climate for similar work included “situational characteristics” (Katzell, Barret, & Parker, 1961), “attributes of work” (Rosen, 1961), both JAP articles, and “environmental variation” (Forehand
& Gilmer (1964). Outside of JAP, Lewin and his colleagues (e.g., Lewin, Lippitt, & White, 1939) first used the term “social climate” to describe the atmosphere in the group created by leaders of young campers and McGregor (1960), one of his students, referred to “managerial climate” to describe the relationship between leaders and their followers at work. Fleishman (1953) invoked climate when describing the potential for the situation to determine the extent to which training was transferred back to the job while Pace & Stern (1958) studied climate in university settings. Early organizational psychologists like Argyris (1957), Schein (1965), Katz and Kahn (1966—who used the terms climate and culture interchangeably) and Likert (1967), all important commentators on this new focus on the situation through a psychological lens, implicitly or explicitly referred to climate. This early psychological lens is quite important and is retained today as climate is deemed to reside within the perceptions of individuals (Kozlowski & Klein, 2000). And it is instructive to note also that the rise of climate research in the late 1960s and early 1970s occurred simultaneously with development of the fields of organizational psychology and organizational behavior and their focus on more macro issues relevant for human behavior in the workplace (Schneider, Ehrhart & Macey, 2011).

In the world of more micro conceptual and measurement-based research, Litwin (1968) integrated the effects of the situation and motivation theory to develop a multi-dimensional measure of climate, one still used today (Burke, 2011). In addition, the Litwin and Stringer (1968) book provided chapters on both conceptual and empirical insights into what climate is, how it can be studied, and its future potential for understanding and influencing organizations to enhance their effectiveness. Schneider and Bartlett (1968) also published a measure of climate they had developed for assessing life insurance agency climate which contained similar dimensions to those of the Litwin work. An extensive review of what existed at the time by
(Campbell et al., 1970) was presented in a chapter titled “Environmental Variation and Managerial Effectiveness” and concluded that “…not much research has been forthcoming but there is considerable promise for the future” (p. 414).

In summary, the construct of climate was implicitly or explicitly invoked in some of the most important early writings in organizational psychology as an alternative to the exclusive focus on individual differences that had characterized Industrial Psychology to that time (Schein, 1965). This early thinking about and research on the social context provided an eclectic foundation for what later came to be defined as generic or employee well-being climate dimensions concentrating simultaneously on a broad variety of then-existing themes such as autonomy, support, supervisor relations, collaboration, and participation in decision-making. As Schein (1965, p. 3) put it: “…the organization is a complex social system which must be studied as a total system if individual behavior within it is to be truly understood.” It was this notion of the total organization, the Gestalt, rather than taking one issue at a time which seems to have stimulated subsequent research.

Culture. Organizational culture was not referenced in any JAP articles prior to 1970, although a handful of studies were conducted to examine the relevance of societal cultures (e.g., Lahiri & Srivasta, 1967). Organizational culture was not much addressed in the organizational science literature either, although recognition of the importance of the social system of the organization was evident in the Hawthorne studies during the 1930s, and in other seminal treatises (e.g., Parsons, 1951). Elements of what are now considered part of culture were beginning to be investigated such as ceremonials (Trice, Belasco & Alluto, 1969), setting the stage for extended research on organizational culture, in the following eras—though not much published in JAP.

**Climate.** Another term for this era might be “feeling the elephant.” That is, there were a variety of attempts to figure out how to measure climate and to what it might be related and no two articles used the same constructs or measures! In *JAP*, Schneider (1973), for example, published papers using different measures on: customer views of their bank branch’s “warm and friendly” climate, the climate experienced by Roman Catholic diocesan priests (Schneider & Hall, 1972), on Black-White differences in perceptions of university climate (Pfeifer & Schneider, 1974), and relationships between job satisfaction and organizational climate (Schneider & Snyder, 1975). Using the Schneider and Hall perspective, Cook and his colleagues (Cook, Walizer & Mace, 1976) examined the role of military unit climate on soldiers’ illicit drug use. Gavin (1975) addressed the interactional issue, exploring climate as a function of personal and situational characteristics. Friedlander and Greenberg (1971) also did some exploratory climate research, looking at the performance of the hard-core unemployed as a function of the climate in which they were eventually placed and Bowen and Kilmann (1975) designed a measure of climate in business schools. As the reader can see, researchers were exploring various parts of the elephant, the elephant being the whole thing—with all of this early research being done at the individual level of analysis.

These articles on climate thus generated interest in the levels of analysis issue for climate research. While the early organizational psychologists previously mentioned (such as Schein, Argyris, and Likert) had conceptualized the impact of climate on *organizational* performance, the early empirical journal articles on climate were invariably conducted at the individual level of analysis, probably because they were done by Industrial Psychologists imbued with the individual differences approach to research. The issue was as follows: If climate is an attribute of
the setting but it is perceived by the individuals in the setting, how can research at the setting level of analysis be conducted? From a purely methodological standpoint the issue basically was a question of the reliability of the aggregate of individual perceptions of the situation to form a setting-level index of climate. James and Jones (1974) clarified the issue conceptually by calling individual level climate studies “psychological climate” and studies at the unit (organizational) level of analysis, “organizational climate.” The distinction was important because it gave climate researchers a shared terminology to clarify at what level of analysis a specific study was done. Often forgotten is that James and Jones expressed caution about aggregating individual level perceptions to form organizational climate indices unless they were shown to be related to “objective measures.” They (James & Jones, 1974, pp. 1108-1109) put their cautions this way: “Therefore, it is recommended that considerable attention be directed to the development of objective measures of organizational climate variables. If perceived measures are to be used as organizational attributes, then it is strongly suggested that the accuracy of perceptions of organizational climate be ascertained by determining their relationships to objective measures.” Of course, James and colleagues subsequently did very important work on data aggregation statistics (see their JAP paper: James, Demaree & Wolf, *1984), and later work on organizational climate (Jones & James, 1979) always emphasizing the ideas that climate is a perception that resides within an individual, and only when perceptions are shared can there be a higher-level climate.

Schneider (1975) suggested that items in climate surveys should be written to describe the level of analysis to which data would be aggregated (my organization, management of this organization, policies of this place)—and in personal perception terms (my supervisor; my pay; I feel) for studies of individual level climate experiences. Publications in JAP were early
contributors to this “levels of analysis” issue. For example, Drexler (1977) was early in his study of within-organization homogeneity of climate perceptions and James (1982) and his colleagues (James, Demaree, & Wolf, *1984) provided one answer to the question of agreement measurement by developing a direct index of within-unit agreement which they called $r_{WG}$; this became a necessary index to report in subsequent climate research (Bliese, 2000).

Schneider (1975) argued that climate studies should be studies of a climate for something; a climate for service or a climate for safety. In essence, he argued that climate measures were too unfocused in the nature of the situational variables they addressed and that the bandwidth of the measures was too broad to capture the narrower bandwidth of the criteria to be predicted. His emphasis on a climate for something (a focused climate measure) yielded such research with convincing validity evidence.

For example, Zohar (*1980) described the development of a focused safety climate measure including employee perceptions of management attitudes towards safety, effects of safety behavior on promotion and status within the organization, and so forth and it was significantly related to safety inspectors’ rankings of organizations’ safety practices and accident prevention programs. This article provided the basis for a continuing stream of research on safety climate in *JAP* by Zohar and others to be reviewed later. Schneider and colleagues (Schneider & Bowen, 1985; Schneider, Parkington & Buxton, 1980) revealed validity for employee perceptions of service climate against customer experiences in branch banks and Abbey and Dickson (1983) observed similar validity for a climate for innovation in semi-conductors. An early study of leadership and climate in *JAP* related the situational favorableness dimension of Fiedler's contingency model to the Burns and Stalker mechanistic-organic dimension of organizational climate (Csoka & Louis 1975) and showed that high-LPC (least preferred co-
worker) leaders performed most effectively in organic situations, and low LPC leaders most effectively in mechanistic situations.

In summary and as seen in Table S1 for articles published in *JAP*, the 1971 – 1985 era for climate was early-on characterized by attempts to understand the climate elephant by approaching it from several vantage points with a beginning of studies at different levels of analysis. Articles about methods issues regarding levels of analysis and the need to do research at the organizational level of analysis appeared as did concern for the validity of climate measures for specific organizational outcomes (see the chapter by Payne & Pugh, 1976).

**Culture.** Studies published in *JAP* (see Table S2) during this era focused on cross-cultural or societal differences rather than on organizational culture. Nevertheless, there was rhetoric on absence culture and changes in organizational culture (Nicholson, Brown & Chadwick-Jones, 1977) and the importance of a supportive culture for transfer of training (Hand & Slocum, 1972).

Pettigrew’s 1979 article published in *ASQ* influenced many subsequent articles, books, and practitioner-oriented pieces. For example, special issues of *Organizational Dynamics* and *Administrative Science Quarterly* were devoted to organizational culture in 1983, the first edition of Schein’s (1985) book on organizational culture and leadership appeared (now in its fourth edition); and an edited volume summarized papers from a conference held on organizational culture (Frost, Moore, Louis, Lundberg & Martin, 1985). Books more directed to managers were also prominent with Deal and Kennedy’s (1982) drawing close parallels between tribal life and corporate life. Peters and Waterman’s (1982) book, perhaps more than other popular books of the time, probably because it was based on their descriptions of how successful companies operated, had considerable influence on companies being interested in this culture idea.
Culture researchers were also trying to understand the elephant, tackling it from different perspectives and using different methods. What researchers in neither culture nor climate realized was that culture and climate are features of the same elephant – together they represent the higher-order social-psychological fabric of the organization. Climate researchers were becoming increasingly focused on narrow (focused) features of the elephant with their quantitative measurement often missing the “whole” elephant while culture researchers were grappling qualitatively with what the whole elephant means as an entity and what it represents but doing so in a piecemeal fashion. Quinn and Rohrbaugh (1983) published their competing values framework suggesting that for some organizational outcomes some culture patterns were more effective than for other outcomes, and a variety of other foci occupied culture researchers. For example, some focused on the larger gestalt of culture (e.g. Schein, 1985), others on the meaning making process or specific elements such as symbols, rites, and rituals (e.g., Smircich, 1983; Trice & Beyer, 1984), and others took a functional perspective in relationships to performance indicators (e.g., Wilkins & Ouchi, 1983). Interestingly some began to overtly question whether there was a unifying single organizational culture (Martin & Siehl, 1983); none of these articles appeared in *JAP*.

Culture researchers, emerging from the social anthropology tradition, were not concerned with levels of analysis issues. Organizations metaphorically were known to be tribes, societies, and literally cultures and were unambiguously appropriate units of analysis for research.

In summary, although there was a scarcity of articles focused on organizational culture in *JAP* in this period, a number of very important articles (Pettigrew, 1979) and books (Schein, 1985) appeared that paved the way for the development of culture research in the next era.

**The 1986 – 1999 Era: Focused Climates and the Culture-Climate Divide**
Climate. During this era, as shown in Table S1, there was less than one article per year on climate in JAP. With greater consensus about the measurement and levels issues, we believe researchers took a step back to consolidate accomplishments and consider the next big avenues for climate research; it was not until the most recent era, as we will see later, that climate witnessed an explosion of research in JAP. Though relatively infrequent, the research in JAP continued to expand its foci beyond further understanding of the generic or molar climate construct (e.g., Burke, Borucki, & Hurley, 1992) to articles on more focused climates.

Leadership as an antecedent of climate was identified by Kozlowski and Doherty (*1989) as a serious omission in climate research and in this era other researchers were just starting to get that message. For example, Hofmann & Morgeson (1999) focused on safety climate in relationships between LMX and OCB and West and Anderson (*1996) reported on a longitudinal study of hospital top management teams with team climate predicting the overall level of hospital innovation. These studies anticipated the expansion of research on the role of leaders as we will show in the next era and as is obvious in Table S1.

There was also research focused on outcomes like the climate for service. Schneider, Wheeler and Cox (1992) presented a content analysis of focus group sessions with employees that revealed the dimensions of what they called service climate “passion.” That project yielded the service climate measure used in Schneider, White and Paul (*1998) where, in a panel study, it was shown that service climate (a) was built on a foundation of organizational support to do service well, (b) was in turn related to customer satisfaction, and (c) which, in turn was related to service climate. This latter finding—bi-directional causality—has not received much attention in JAP or elsewhere with most studies being limited to cross-sectional designs. And there began research on what have been called process climates (Schneider et al., 2011) in JAP. For example,
the research on the climate of harassment and the role of leadership as one of its antecedents (Fitzgerald, Drasgow, Hulin, Gelfand & Kingsley, 1997).

A clarifying debate about the meaning and interpretation of $r_{WG(j)}$ also took place (Kozlowski & Hattrup, 1992; Schmidt & Hunter, 1989) with confirmation that $r_{WG}$ was developed as a measure of within-group agreement and not interrater reliability (James, Demaree & Wolf, 1993). Chan (*1998) outlined different composition models defining the relationships between the focus of survey items and aggregation using climate as the example, expanding thinking in both climate and levels of analysis issues more generally. This work provided key methodological and conceptual tools that contributed to the development of multilevel research in the next era.

In summary, research on organizational climate in *JAP* slowed during this period. In addition to clarification about the meaning of agreement for aggregation, importantly the research in this era set the stage for later articles examining the role of leadership and focused climates. The topics were just beginning to become even more focused with studies of climate antecedents and consequences.

**Culture.** The world of organizational culture research during this era (1986 – 1999) existed on parallel tracks to the world of climate with rare overlaps (Denison, 1996; Reichers & Schneider, 1990) and rare appearances in *JAP*. For the most part, during the first part of this era, the culture research being published was still more qualitative than quantitative. Several reviews and theories began to appear in *AMR* explicating the sensemaking process of cultural elements (e.g., Hatch, 1993) and delving further into the concept of culture strength and relationships to performance (e.g., Saffold, 1998). Culture researchers continued to struggle with definitions, (Verbeke, Volgering, & Hessels, 1998 identified 54), paradigms, scope, content, and types.
Schneider (1990) edited one of the first books to try to integrate climate and culture with authors from both domains represented and Pettigrew’s (1990) summary chapter is a delightful presentation of the differences in approaches to these two constructs.

Breaking from the anthropological tradition of qualitative case studies, researchers began to apply survey methods to study culture. A number of now popular measures were developed including the Organizational Culture Inventory (Cooke & Szumal, 1993), Denison’s Organizational Culture Survey (Denison & Mishra, 1985) based on Quinn & Rohrbaugh’s (1983) Competing Values Framework, the Work Practices Survey (Hofstede, Neuijen, Ohayv & Sanders, 1990) and the Organization Culture Profile (O’Reilly, Chatman & Caldwell, 1991).

This shift to quantitative survey methods allowed for more comparable studies of culture but at the same time began to blur the distinction between culture and climate, particularly in assessments that include perceptions of practices and routines (cf., Hofstede, et al. 1990; Denison & Mishra, 1985), the stuff of climate. For example, Schriber and Gutek (1987) developed a scale to assess practices in companies that promote a time-pressure culture, like demands for punctuality, deadlines, and schedules —that has received little follow-up (see Onken, 1999 for a review of this literature).

Two JAP studies explicitly included both culture and climate in this era. Rentsch (*1990) studied the ways in which the meaning attached to organizational policies (climate) may differ across subgroups (subcultures) in organizations. Climate researchers rarely dealt (or deal) with sub-climates in organizations and the meaning attached to the perceptions people have of their settings while in the world of culture this was and is a prominent issue (e.g., Martin, 1992; 2002). Tracey, Tannenbaum and Kavanagh (1995) integrated climate and culture when conceptualizing transfer of training. They found that the learning culture of a company mattered —the values,
norms, and expectations attached to learning—in addition to the transfer of training climate. Two studies (Morrison, 1993; Chao, O’Leary, Wolf & Klein, 1994) addressed another infrequent target of climate researchers, newcomer socialization, demonstrating that early understanding of organizational culture is important for newcomers’ later adjustment.

In summary, if we looked only at articles explicitly on culture published in *JAP* during this period, we might think that culture was infrequently addressed; we would be wrong as other journal outlets and books were the more usual outlets.

**The 2000 – 2014 Era: Multilevel Research and Culture-Climate Integration**

Both climate and culture research expanded substantially during this era. We believe for climate research this was largely prompted by the clarification of the aggregation and “levels of analysis” issues in the previous era, the development of multilevel theory and methods in organizations (Kozlowski & Klein, 2000), coupled with an increased attention by psychologically trained researchers to the importance of context in understanding employee behavior at work (e.g., Johns, 2006; Rousseau & Fried, 2001). During this era, research in *JAP* on focused climates expanded beyond what Ehrhart et al. (2014) called outcome or strategic climates (like service and safety) to what they called process climates. What they meant by process climates were issues such as justice and discrimination and harassment—processes surrounding the doing of everyday work. It is important to note that validity against both process and strategic criteria was revealed for focused climate measures in this period suggesting that a focused climate approach had merit. Cross-level and multilevel studies of climate became the norm. Empirical work on climate strength began (e.g., Lindell & Brandt, 2000; Schneider, Salvaggio & Subirats, *2002*). And studies increasingly examined leadership as an antecedent of climate.
Importantly, in this era, there was the beginning of a rapprochement between climate and culture researchers (Ehrhart et al., 2014; Ostroff, Kinicki & Muhammad, 2013; Zohar & Hofmann, 2012). The Ashkanasy, Wilderom and Peterson (2000) handbook did more perhaps than any other publication to show how the two topics might be integrated for further progress and it deserves much credit for stimulating the explosion of work that followed. Two important handbooks followed that have advanced understanding and potential integration of climate and culture, and which also reflect the depth of theory and research for these topics (Ashkanasy, Wilderom, & Peterson, 2011; Schneider & Barbera, 2014). In addition, in their integrative book Ehrhart et al. (2014) specifically note ways the constructs and approaches from each could be mutually beneficial. Even Schein (2011) began to use both constructs in his writings, indicating that both are useful, especially when they are carefully defined rather than used as vague abstractions. Researchers were finally beginning to see the “whole” elephant with culture and climate representing various facets of, and ways of feeling, the social fabric of organizational life. More than half of all culture research ever published in *JAP* emerged in this period and the same was true for climate research.

**Climate.** The publications in this period cover all of the foci described earlier across both process (e.g., justice) and outcome climates (e.g., safety). The main new development was the frequent appearance of multi-level studies beginning in 2000 with Zohar’s (2000) paper (the multi-level studies are bolded in Table S1). The most frequent climate issues in *JAP* in this era were: (1) safety climate, (2) service climate, (3) justice climate, (4) leadership and other antecedents of climate, (5) climate strength, (6) methods and multi-level issues with regard to these and other foci, and of course, (7) other topics studied.
Safety climate. Safety climate and leadership became a key focus with Zohar’s study (2000) which showed that perceptions of supervisory safety behavior significantly predicted subsequent ‘microaccidents.’ Barling, Loughlin, and Kelloway (2002) successfully examined role overload and transformational leadership as predictors of safety behavior mediated by safety climate. Hofmann, Morgeson, & Geras (2003) showed that safety climate moderated the relationship between LMX and safety OCB, and Zohar and Luria (*2005) found that when leaders focused on safety in interactions with employees, safety climate and later safety outcomes were improved. More complex models began to appear in 2006, incorporating mediators of relationships between safety climate and accidents such as safety motivation (Neal & Griffin, 2006). This research demonstrated that safety climate mediated relationships between leader behavioral integrity and errors (medical errors in Leroy et al. 2012) and, in a lagged design, between generic climates of employee support and accidents (Wallace, Popp & Mondore, 2006). An unusual study by Probst, Brubaker, and Barsotti (2008) revealed that safety climate predicted the gap between reported and actual injuries; and in a rare field experiment, targeted interventions through weekly feedback by supervisors were shown to change safety climate and safety outcomes (Zohar & Polachek, 2014).

Two meta-analyses of safety climate were published in JAP during this period. Christian et al. (2009) concluded that safety climate and safety performance were positively related to both individual safety knowledge and safety motivation. Beuse et al.’s (2010) meta-analysis suggested injuries were slightly more predictive of organizational safety climates than the reverse and these relationships were stronger for organizational than psychological safety climates. This was another indicant, as in Schneider et al. (*1998), of the potential importance of outcomes as
predictors of climate. These meta-analyses convincingly demonstrated validity for the climate approach to safety/accidents.

*Service climate.* Expanding on prior work on service climate, Susskind, Kacmar, and Borchgrevink (2003) showed the importance of support for developing a customer orientation which yielded customer satisfaction. There were several multi-level studies of service climate during this timeframe highlighting the role of leadership in fostering service climate (Liao & Chuang, 2007; Salvaggio, Schneider, Nishii, Mayer, Ramesh & Lyon, 2007). Studies also began exploring service climate as a mediator between customer orientation and customer-focused behavior (Grizzle, Zablah, Brown, Mowen, and Lee, 2009), between positive emotional displays and exhaustion (Lam, Huang, & Jansenn, 2010), and between engagement and customer satisfaction (Salanova, Agut and Peiró (2005). Ehrhart, Witt, Schneider and Perry (2011) revealed that the internal service quality that units receive from corporate functions (Human Resources, IT) moderates the relationship between unit service climate and customer satisfaction. Hong, Liao, Hu, and Jiang’s (2013) meta-analysis of the service climate literature revealed consistent validity, as with safety climate, this time against customer satisfaction for several different measures of service climate, numerous antecedents of service climate (including leadership), and several individual level effects.

*Justice climate.* Like service climate, the trend in justice climate research began to include more complex models with moderators and mediators and at different levels of analysis. Individual level perceptions of justice (distributive, procedural, interpersonal, and informational) were studied (e.g., Colquitt, Colon, Wesson, Porter & Ng, 2001; Masterson, 2001) including the development and validation of a justice climate measure (Colquitt, 2001). These studies provided a foundation for examining justice climate at higher levels of analysis.
Simons and Roberson (2003) were one of the first to formally study justice climate at the department- and organization-level revealing justice climates yielded increased organizational commitment, lower turnover rates and higher customer satisfaction. Colquitt (*2004) took an interesting cross-level approach by demonstrating that the positive relationship between individual procedural justice perceptions and role performance was moderated by the justice experienced by other team members. Liao and Rupp (2005) expanded on this work and showed that procedural and informational justice climates experienced at the work group level had cross-level influences over and above individuals’ justice perceptions on employees’ commitment, satisfaction and citizenship behavior. Ambrose and Cropanzano (2003) did a longitudinal study on the justice reactions of employees to tenure and promotion decisions with, again, findings indicating that the fairness of decisions affected subsequent organizational attitudes.

In terms of mediation and moderation, justice climate was found to moderate the relationship between LMX differentiation and withdrawal behavior (Erdogan & Bauer, 2010), commitment to supervisor and OCB (Walumbwa, Hartnell & Oke, 2010), proactive personality and OCB (Li, Liang & Crant, 2010), and reactions to victim’s wrongdoing (Aquino, Tripp & Bies, 2006). Justice climate was also shown to mediate relationships between leadership attributes and individual level or team outcomes (e.g., Cole, Carter & Zhang, 2013; Stoverink, Umphress, Gardner, & Miller; 2014; Walumbwa, et al., 2010). In a moderator study, Yang, Mossholder and Peng (2007) found that unit-level procedural justice climate interacted with unit-level power distance in explaining individual employees’ organizational commitment and OCB after controlling for individual-level perceptions.

More novel studies on the role of leadership in justice were those pertaining to trickle-down effects of justice perceptions from leaders to employees (e.g., Ambrose, Schminke and
Mayer, 2013; Masterson, 2001). Further, this latest era also produced studies examining predictors and outcomes of justice perception trajectories over time (Holtz and Harold, 2009; Hausknecht, Sturman, & Roberson, 2011; Yang & Diefendorff, 2009). Meta-analyses of individual level justice perceptions (Colquitt, et al. 2013) and group level justice climate (Whitman, Caleo, Carpenter, Horner & Bernerth, 2012) supported this growth in research and the importance of justice climate for individual and group outcomes, again revealing the validity of a focused climate approach, this time for a process climate.

**Leadership.** Following the initial work on leadership as an antecedent of climate in the prior era, a number of studies already reviewed examined the role of leaders in safety climate (Barling, et al., 2002; Hofmann et al., 2003; Zohar, 2000; Zohar & Luria, *2005), service climate (Liao & Chuang, 2007; Salvaggio et al., 2007) and justice (Ambrose, et al., 2013; Erdogan & Bauer, 2010). Chen and Bliese (2002) reported a study in military combat units and showed that individual level role clarity and psychological strain were stronger predictors of individual self-efficacy than was leadership “climate” but that leadership “climate” was a stronger predictor of group level efficacy. However, the researchers in this latter study referred to ‘leadership climates’ – a confusing term we recommend avoiding since leadership is an antecedent generally of climate or culture not a type of climate or culture (see also Zohar & Luria, *2005, for another example where leadership is said to be climate).

Over and above the impressive work on leadership as an antecedent of climate, cited earlier by topical focus (e.g., on safety climate, service climate and justice climate), the first *JAP* papers appeared examining leader influences on climate for innovation (Eisenbeiss, van Knippenberg, & Boerner, 2008), managers’ goal orientation for their units (Dragoni & Kuenzi, 2012) and manager-team member disagreements about climate (Bashur, Hernández, &
González-Romá, 2011). Leadership, as suggested by Kozlowski and Doherty (*1989), has now been clearly established as a major driver of climates of all kinds and is a key focus for climate theory and research.

**Climate strength and agreement.** Another component of the levels issue emerged in *JAP* during this period having to do with climate strength (extent of agreement within units on climate perceptions). Several studies showed that climate strength enhanced the relationship between unit climate and different attitudinal and behavioral unit-level outcomes (González-Romá, Peiró, & Tordera, 2002; Schneider et al., *2002*). Other studies examined the antecedents of climate strength, showing that social interaction among unit members, transformational leadership, leader’s informing behavior, homogeneity and simplicity of supervisory action patterns, organizational structure, and social network characteristics were all related to climate strength (Dickson, Resick & Hanges, 2006; González-Romá et al., 2002; Klein, Conn, Smith & Sorra, 2001; Zohar & Luria, *2005*; Zohar & Tenne-Gazit, 2008). Interestingly, Zohar and Luria (*2005*) revealed that climate strength at the organization and work group levels tend to be aligned, similar to Schneider et al. (*2002*) who showed climate strength alignment between employee perceptions and customer satisfaction in bank branches. Further, a distinct climate concept referred to within-unit climate dispersion, called climate uniformity or the pattern of agreement on climate perceptions, was proposed and its relationship with team processes and performance investigated (González-Romá & Hernández, 2014). The meta-analysis of the justice climate research by Whitman et al. (2012) revealed that high climate strength enhanced the justice climate-unit effectiveness relationship as predicted. Finally, Bashur and colleagues’ (2011) findings, in a unique twist on strength research, indicated that team outcomes will be highest when both manager and employees’ perceptions of climate are high and in agreement.
**Methods.** In addition to multilevel studies and strength, there were other methodological contributions published in *JAP* during this period. For example, Ostroff, Kinicki and Clark (2002) demonstrated that response bias between climate and other variables is more pronounced in correlations between aggregates and could be mitigated by splitting the sample in half for each unit. Their recommended procedure is now a universal requirement in organizational climate research when the data to be linked emerge from the same sample.

The interest in investigating methods affecting within-group agreement led Klein, Conn Smith and Sorra (2001) to examine the influence of item wording. They found that the use of a group (e.g., “We”, “Our work team”, “The team members”) versus individual (e.g., “I”) referent in descriptive items (the type of items used in climate surveys) increased within-group agreement. Extending the results reported by Klein and colleagues (2001), Whitman et al.’s (2012) meta-analysis on work unit justice climate showed that the climate-effectiveness relationship was stronger when the referent of climate items was the work unit rather than the individual. Finally, statistical significance tables for $r_{WG}$ and the average deviation index (AD) were developed (Dunlapp, Burke & Smith-Crowe, 2003; Smith-Crowe, Burke, Cohen & Doveh, 2014). After this impressive work, climate (and other “higher-levels”) researchers could hardly base their decisions about within-unit agreement on popular rules-of-thumb.

Schulte, Ostroff, Shmulyian, and Kinicki (*2009) proposed that climate should be studied as a gestalt system, as opposed to independent dimensions and demonstrated the utility of considering configurations or classifying units based on their pattern of high and low scores across all unit-level climate dimensions, as opposed to the traditional approach of using independent dimensions in a regression, for understanding organizational outcomes.
Other topics receiving attention. Several studies supported direct, mediating, or moderating roles for team climate measures such as support for innovation and climate for excellence in studies of team innovation (Chen, Farh, Campbell-Bush, Wu & Wu, 2013; Eisenbeiss, van Knippenberg, & Boerner, 2008; Hulsheger, Anderson, & Salgado, 2009). Other climate foci in this era included the climate for implementation in companies where Klein, Conn and Sorra (2001) identified the resources required to effectively implement new technology and Dragoni (2005) showed that leaders have multi-level effects on the climate for goal orientation experienced by individuals and work groups.

Work on discrimination and diversity climate appeared more often in JAP including sexual harassment (Offerman & Malamut, 2002). An important experimental study of racist attitudes and climate for racial bias was reported by Ziegert and Hanges (2005) who showed how implicit racist attitudes interacted with a climate for equality or for racial bias to predict discrimination attitudes, suggesting the importance of not relying on explicit measurement techniques to assess socially censured attitudes and climate perceptions. In addition, there were several studies again revealing the importance of discrimination and diversity climate variables for outcomes such as attitudes, turnover and performance (Chen, Liu, & Portnoy, 2012; Homan, Van Kippenberg, Van Kleef, & De Dreu, 2007; Miner-Rubino & Cortina, 2007; Pugh, Dietz, Brief, & Wiley, 2008).

In summary, research on organizational climate in JAP during this last period reveals the breadth of the climate construct and the increased theoretical and methodological complexity researchers brought to its study. Studies identified new mediators and moderators in the antecedents-climate-outcomes sequence, uncovering some of the mechanisms (like leadership, like climate strength) involved. The different research methodologies used (e.g., network
Organizational Climate and Culture

Analysis, polynomial regression, multilevel studies, trajectory modeling, and configurational analysis contributed to answering new questions and suggesting new research lines. Moreover, the different meta-analyses on focused climates (safety, justice, discrimination and service) provided sound empirical evidence about the importance of a climate approach for understanding organizational processes and outcomes.

Culture. Early in this period, culture researchers focused on symbolism and artifacts in organizations as well as acculturation and socialization, but coverage of these topics did not appear in JAP (but see Alvesson, 2002, and Martin, 2002, for reviews of culture research in this era). In a comprehensive review published in the Academy of Management Annals five prominent conceptualizations of culture were demarcated: culture as values, culture as stories, culture as frames, culture as toolkits, and culture as categories (Giorgi, Lockwood & Glynn, 2015). No mention of climate was made in this review. Schein (2015) recently criticized culture research for focusing on isolated specific elements such as norms or stories, because culture is all of them and a more complex, holistic gestalt phenomenon.

But there was an increase in publications on organizational culture in JAP in this era (see Table S2) asking questions not typical of the emphases of climate scholars. For example, Aquino and Lamertz, 2004) presented a conceptual piece on victimization at work as being a product of both dyadic role relationships and the larger culture of the organization. Also taking a culture perspective—this time regarding error management—Van Dyck, Frese, Baer and Sonnentag (2005) showed that the norms and practices of an error management culture can influence firm performance. In a project targeted on the joint effects of HR practices and culture, Toh, Morgeson and Campion (2008) demonstrated that company cultural values and HR practices are conceptually and empirically distinct, but related, suggesting that different bundles of HR
practices are likely to exist in different organizational cultures. There was also research on the issue of alignment between sub-cultures that might exist at different organization levels. Ostroff, Kinicki, and Tamkins’ (2003) chapter introduced the concept of alignment strength and Bezrukova, Thatcher, Jehn, and Spell (2012) showed that cultural alignment between work-team and department-level culture moderated the negative relationship between informational faultlines and group performance. In a similar vein, this time connecting leadership to cultures, Gelfand, Leslie, Keller and DeDrue (2012) demonstrated that leaders’ conflict management behaviors (avoidant, collaborative, dominating) were correlated with a commensurate unit level conflict culture and the conflict cultures were differentially related to unit outcomes. Note that these research papers all approached culture using survey methods while grounding the efforts in culture theory and concepts.

Consistent with the traditional emphasis on quantitative studies in *JAP*, perhaps the most notable culture piece published in this era in *JAP* is the meta-analysis by Hartnell, Ou, and Kinicki (*2011) examining relationships between culture and firm effectiveness using the Competing Values Framework (Quinn & Rohrbaugh, 1983) as a conceptual foundation. They revealed that (a) the values do not always compete but are correlated and (b) they all relate positively to various outcome criteria. Interestingly, the large majority of the studies included in the meta-analysis were conducted prior to 2005, highlighting a recent decline in culture research in the literature in general (Ehrhart et al., 2014). And, of course, because this was a meta-analysis the studies that were included used quantitative measures of culture, suggesting the potential for rapprochement between culture and climate.

**Conclusions and Thoughts About Future Directions for Research**
The climate and culture research endeavors of the last 50 years represent a major success story. The humble beginnings in climate research, simultaneously investigating a potpourri of social-organizational variables (support, conflict, work characteristics, autonomy) in the 1960s progressed to the dynamism associated with culture in the late 1970s and 1980s. The last 15 years of persuasive climate research (especially in *JAP*), has advanced our understanding of how the collective perceptions and interpretations of people in relation to their shared work environment translate into a range of important team and organizational outcomes. Overall, research has produced considerable conceptual and empirical progress with important practical applications.

From the work on climate, we know now that the aggregated perceptions of people and their descriptions of the foci of polices, practices and behavior, both within and across levels, are valid for understanding team and organizational outcomes. Thus, these perceptions of what happens in settings have important empirical potency for a range of outcomes that matter – safety, justice, discrimination, innovation and (in health care) even patient mortality (West, Topakas, & Dawson, 2014). Further, these findings have important useful practical applications because they identify the policies, practices and behaviors that make up the climate that has been shown to be valid for important outcomes. From the work on culture, though not published much in *JAP*, we have learned that symbols matter for the values they connote; that subcultures in organizations have consequences; that qualitative methods can be useful in identifying the history and traditions that influence current experiences; and that leaders are a potent source of the culture of an organization. In summary, we have made considerable progress in understanding the culture and climate elephant by designing a variety of ways to explore it and
these explorations have yielded tangible foci for ways to help climates and cultures to emerge and evolve in organizations.

Of considerable significance is the fact that the important theoretical and methodological articles in *JAP* on data aggregation, survey item writing and climate strength have been extended to other emergent constructs (e.g., Klein & Kozlowski, 2000; Ostroff & Fulmer, 2014). Indeed, climate research has been described as a crucible for multi-level theory (Kozlowski & Klein, 2000). The concept of climate strength is a further and widely accepted innovation in our understanding. Similarly, an important finding from several multi-level studies (e.g., Dragoni & Kuenzi, 2012; Liao & Rupp, 2005) was that higher level aggregate variables explained additional variance in individual outcomes beyond that explained by analogous individual-level counterparts. This indicated further that the social context of climate does have a gestalt or emergent group effect as originally, at least implicitly, hypothesized (Kozlowski & Klein, 2000).

We have also made major progress in understanding the broad range of social-organizational issues that seem to determine climate and culture. For example, we know that socialization processes, team processes and leadership together play central roles in shaping climate and culture. Both climate and culture research now document the significant role of leadership in the development of cultures or climates of interest. Following Schneider’s (1975) call for focused strategic climates, researchers began examining more focused, perhaps narrower, facets of climate (service safety, justice) demonstrating the multiplicity of climate facets that exist. Culture researchers (e.g., Trice & Beyer, 1993) followed suit either focusing on a subset of culture elements including myths and symbols, leadership, and subcultures or theorizing about culture types and dimensions such as conflict culture and error culture. These focused culture and climate approaches have been useful for understanding narrow effectiveness criteria. But, it
is not time to be sanguine; there is now a need to investigate climates with multiple referents and
multiple climates within organizations just as culture scholars have grappled with the concept of
sub-cultures in organizations. And there is a need to understand better how climate and culture
naturally change over time and how to change them when such change is deemed necessary.

In fact, with all of our progress we still have scant knowledge about how organizational
climate and culture change over time within a firm’s life cycle (Ehrhart et al., 2014). Are there
any patterns of change? For example, how do they become stronger—become shared from
unshared—over time and through what mechanisms? Are there boundary conditions on which
kinds of climate and culture emerge—by industry, by market segment and so forth? Schein
(1985, and subsequent volumes) has written about this issue vis a vis culture and Aldrich (1999)
has done so for organizational life-cycles in general but the issue of such change has not been
emphasized in either camp and future research needs to address this if we want to understand
how climate and culture develop over time.

With regard to planned change, based on the work on antecedents of climate and culture
we have some insights indicating that interventions that seek to change climate and culture must
focus on leadership. The fact that leadership emerges as a significant antecedent across a range
of climate types indicates it has fundamental rather than simply facet-specific importance. And
the fact that what leaders attend to, reward, monitor and talk about focuses their followers’
attention and efforts (Schein, 1985) reinforces this notion. The field experiment by Zohar and
Polachek (2014) on leader attention to safety issues offers an excellent example of such applied
work. It may be time to focus leadership training and development on the implementation of
policies and practices that will build the traditions, symbols, socialization experiences and
everyday behaviors to achieve both the processes and subsequent outcomes desired. The need for
future research to assess the relative effectiveness of interventions to change culture/climate, including their subcultures and their perhaps simultaneous competing foci, is important not only for practitioners who face this challenge, but for advancing our theoretical knowledge about organizations.

Perhaps the greatest research challenge is to address the long-standing artificial divide between culture and climate theory and research. Climate and culture are metaphors we use to describe the complex social systems that are organizations. There are no clearly demarcated components called climate and culture. Rather they are perspectives on the same entity – the complex system that is an organization - the whole elephant. Perhaps it is time to return to the total gestalt by examining the system of multiple climates and sub-culture aspects simultaneously (see Ostroff & Schulte, 2014 for a configural method for doing so, Rentsch, 1990 for a mixed method approach, and Schneider, et al. (1998) for a survey-based approach) which would be important for broader effectiveness criteria such as overall performance or productivity.

Recent proposals to integrate culture and climate in studies such as through Schneider et al.’s (2011) “climcult model” deserve attention in future research to re-explore the impact of the total social context, the original stimulus for such approaches to understanding organizational behavior. In doing so, we predict that JAP will be the journal of choice for such integrative approaches to culture and climate though of course the challenge will be to develop integrative theories and methodologies rather than to compete over who is more right. Our view, based on our reading of the research to date, is that we should be attempting an integration, a symbiosis or rapprochement of conceptual differences. This is a big and exciting challenge.


*Defined different composition/aggregation models and referents with climate surveys as the focus


The reliability and validity of the Organizational Culture Inventory. *Psychological Reports, 72*(3c), 1299-1330.


Meta-analysis of survey research on a model of organizational culture


Developed $r_{wg}$ to examine within-group agreement on climate perceptions


An early focus on the leadership and climate relationship


One of the first to study climate and culture simultaneously and used mixed methods


Studied climate for innovation in a longitudinal study of hospital top management teams


First in a fruitful series of robust studies on the validity of safety climate


Studied climate at multiple levels and showed that climate strength at the organization and work group levels tend to be aligned


Table S1: *JAP* Organizational Climate Articles Sorted by Date of Publication and Focus of the Article*

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**2000-2015 Era: Multilevel Research and Culture-Climate Integration**

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**Zohar & Luria, 2005**

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**Zohar & Tenne-Gazit, 2008**

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NOTES: See the article’s reference section for complete references. If the same citation appears in different columns, then the article had more than one focus (e.g., Hoffmann & Morgeson (1999) is both safety climate and the role of leadership). Citations in italics indicate meta-analysis. Citations in bold are multi-level studies. Citations preceded by an asterisk indicate one of 12 most important JAP articles on climate and culture.
Table S 2: *JAP* Organizational Culture Articles Sorted by Date of Publication and Focus of the Article*

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**2000-2015 Era: Multilevel Research and Culture-Climate Integration**

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