Energy Efficiency Optimization with Energy Harvesting using Harvest-Use Approach

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Abstract—Energy harvesting is emerging as a promising approach to improve the energy efficiency (EE) and to extend the life of wireless networks. This paper focuses on energy-efficient transmission power allocation techniques for a point-to-point communication channel, equipped with a fixed-power battery as well as a harvest-use battery. Using the fact that the harvested energy does not consume from the fixed battery, EE is formulated as the ratio of Shannon limit (as a function of the sum of the power consumed from the fixed battery and the harvest-use battery) to the sum of the circuit power and power consumed from the fixed battery. For the considered energy harvest-use technique, a time switching approach is used that in each frame, it harvests energy for a period of time and transmits data for the rest of the frame time. Using the fact that the formulated EE is a quasi-concave function in transmission power, we use fractional programming to obtain the optimal power level, $P_u$, and in-turn, the maximum achievable EE. Analytical derivations show that the maximum achievable EE monotonically increases with harvested power, whereas, $P_u$ monotonically decreases with it. Simulation results show the effects of harvested energy, fixed-battery power limit, and time switching rate on the maximum achievable EE.

Index Terms—Energy harvesting, energy efficiency, convex optimization, fractional programming.

I. INTRODUCTION

Green wireless communication technologies have attracted significant attention in the last few years as to provide possible solutions to energy limitation problems in information and communication technologies (ICT) [1]. Specifically, due to the increase in the number of mobile users, demand for higher data rates, and the base station (BS) is required to increase its transmission power, which in turn, results in higher greenhouse gases, pollution, and also higher costs [2]. Furthermore, the battery technology has not progressed with the same pace, which has resulted in increasing gap between increasing demand for power and the battery advancements [3]. These facts have motivated research in investigating new ways to get energy from re-usable sources.

Energy efficiency (EE), which is defined as the data transferred per unit energy consumed, with unit of b/J/Hz, has recently received a great deal of interest [4]. Improving EE or maximizing throughput, has been investigated widely in literature, e.g., [5], [6], which show that increasing EE in many cases results in decreasing rate. In this trend, recently, energy harvesting has emerged as a new technology to improve EE, while maintaining the spectral efficiency (SE) [7].

Energy harvesting has indeed the capability of reducing the carbon consumption of high data rate wireless systems by reusing the energy which is available at the surrounding environment [8]. In addition, energy harvesting techniques are used to increase the life of battery limited devices, e.g, sensor nodes that are implemented in remote access areas [9]. Energy harvesting is, in fact, a low cost source of energy, which can provide self-sustainability to sensor networks [10], and hence, it can be regarded as a free of cost energy resource. Energy can be harvested from sources like solar, wind, vibrations and thermo-electric [11]. Another source of energy which can be harvested in communication devices is the radio frequency (RF) [12], [13]. We note that when RF harvested energy is used, the harvested energy level will be a random parameter that depends on the channel fading coefficients [14]. The harvested energy can be used to run devices with energy constrained sources [15].

From architectural point of view, there exist two main categories of energy harvesting techniques [15]: (a) harvest-store-use (HSU), where harvested energy can be accumulated for future use, and (b) harvest-use (HU). Specifically, in HU [16], energy cannot be stored and must be used immediately when it becomes available to the transmitter [17]. This technique is suitable for applications where nodes exchange short messages, e.g., sensor monitoring networks [18]. Even though the literature related to this technique is very limited, it is considered as a suitable approach for systems with limited battery storage [19]. Since in HU technique, the device cannot simultaneously transmit information data and harvest energy, a time switching technique that assures the source to either harvest energy or transmit information data is proposed in [20]. Time switching is necessary as the information and energy receivers in practice operate with different power levels [21].

In this paper, we propose an energy-efficient power allocation technique in a point-to-point Rayleigh flat-fading channel that is equipped with an energy harvesting battery source in addition to its fixed battery. HU technique is used with time switching approach. In the considered system, the Shannon limit is a function of the total transmission power, which is the sum of power consumed from the fixed battery and the HU battery. We formulate EE as a ratio of Shannon limit to the sum of the circuit power and the power consumed from the fixed-battery. We first look at an EE-maximization problem of a system without a fixed-battery power limit.

1 As storing energy for future use always be beneficial, HSU is being considered for an ongoing future work. The work presented in this paper paves the way for considering more complicated EH techniques in future.
maximizes EE. We analytically prove that EE increases with harvesting power, whereas, $P_u$ decreases with it. We further prove that in a system with fixed-battery power limit of $P_{max}$, the optimum operational power should be set at $\min(P_u, P_{max})$. The effect of harvested energy along with time switching rate is analytically investigated in the paper. Closed-form expressions for maximum EE are derived.

II. SYSTEM MODEL

We consider a point-to-point communication over a wireless fading channel with a total bandwidth of $B$. The channel is block fading where, the channel gain remains invariant in each fading-block and is independent from one fading-block to another. The channel state information (CSI) is estimated at the receiver and is assumed to be fed back to transmitter through an error-free feedback channel.

The transmitter is equipped with a fixed battery and an additional energy-harvesting battery, as shown in Fig. 1. HU technique, which implies that harvested energy is utilized by the transmitter whenever it becomes available, is considered in this paper. The proposed model can replenish energy from additional energy sources, e.g., radio frequency. At transmitter, the device either harvests energy or transmits data. Therefore, time switching approach is used as shown in Fig. 2. For each frame transmission, $0 \leq \tau \leq 1$ is the fraction of time in which energy is harvested, and $1-\tau$ is the remaining time for information transmission. In this case, the information rate $R$ in units of b/s/Hz is given by

$$R = (1-\tau)\mathbb{E}\left(\log_2\left(1 + \frac{P(t) + k_{eh}\tau}{K_L}\right)\right),$$

where $P(t)$ is the instantaneous transmission power at time $t$, $\gamma$ is the channel power gain, $k_{eh}$ is the harvested energy, $\mathbb{E}(\cdot)$ indicates the expectation operator, $K_L = P_t \sigma_n^2 B$, with $P_t$ indicating the path loss, and $\sigma_n^2$ representing the additive white gaussian noise (AWGN) variance.

EE, which is defined as the number of bits per unit power consumed from the fixed battery, is formulated as ratio of information rate, $R$, to the sum of total transmission power $P(t)$, and circuit power, $P_c$, given by $P_{total}(t) = P_c + \frac{1}{\varepsilon}\mathbb{E}(P(t))$, where $\varepsilon$ is the power amplifier efficiency with the range of $0 \leq \varepsilon \leq 1$. Therefore, the maximum achievable EE, defined by $\eta$, can be expressed as

$$\eta = \max_{P(t) \geq 0} \frac{\mathbb{E}(R)}{P_c + \frac{1}{\varepsilon}\mathbb{E}(P(t))}, \tag{1}$$

III. ENERGY-EFFICIENT POWER ALLOCATION

Given that the rate and energy consumption are determined by the transmit power of a system, EE can be optimized by adaptively allocating power based on channel condition and the system requirements. The instantaneous transmit power $P(t)$ is, hence, replaced by $P(\gamma)$, which shows that the transmission power is a function of channel power gain $\gamma$. The channel power gain is assumed to be a Rayleigh fading with unit variance and the probability density function (PDF) given as $f_\gamma = e^{-\gamma}$.

A. Energy-Efficient Power allocation without Input Power Constraint

In this section, we consider an EE-maximization problem when no constraint is imposed on the total power of the fixed battery. The results of this section will pave the way for power-constrained EE-maximization problem considered in the next section. We start by formulation the EE-maximization problem, according to

$$\eta = \max_{P(\gamma) \geq 0} \frac{(1-\tau)\mathbb{E}_\gamma\left(\log_2\left(1 + \frac{P(\gamma) + k_{eh}\gamma}{K_L}\right)\right)}{P_c + \frac{1}{\varepsilon}\mathbb{E}(P(\gamma))},$$

where $\mathbb{E}_\gamma(\cdot)$ is the expectation operator as a function of channel power gain $\gamma$. Note that, the maximum achievable EE with additional harvesting energy is different from the traditional EE, as in numerator, the harvested energy $k_{eh}$ is added to total transmission power $P(\gamma)$. The addition of an extra harvested battery will help the transmitter to immediately use energy, when it is available.

The EE-maximization problem can further be normalized with $K_L$, yielding

$$\eta = \max_{P(\gamma) \geq 0} \frac{(1-\tau)\mathbb{E}_\gamma\left(\log_2\left(1 + \frac{P(\gamma) + k_{eh}\gamma}{K_L}\right)\right)}{P_{cr} + \frac{1}{\varepsilon}\mathbb{E}(P(\gamma))}, \tag{2}$$

Fig. 1: System model.

Fig. 2: Time switching scheme for energy harvesting and information processing.
Here, the signal-to-noise, circuit-to-noise and harvest-to-noise power ratios are represented by $P_t(\gamma) = P_i(\gamma)/K_L$, $P_{ct} = P_i/K_L$ and $k_{ehr} = k_{eh}/K_L$. The maximization problem (2) involves maximization of a ratio of two functions of $P_t(\gamma)$, and is not concave [22]. However, the denominator of (2) is affine and numerator is concave in transmission power. The concavity proof of $R$ can be obtained using [23]. The EE-maximization objective function is, hence, a strictly quasi-concave function in $P_t(\gamma)$ with a unique global maximum. A general methodology is used for transformation of quasi-concave function into a concave optimization problem through fractional programming [22]. Using variable transformation with inverse power dissipation parameter for $t = P_{ct} + \frac{1}{\varepsilon}E_\gamma(P_t(\gamma))$, the EE-maximization problem is converted into

$$\eta = \max \ t^{-1} \left( (1-\tau)E_\gamma \left( \log_2 \left( 1 + \left( P_t(\gamma) + k_{ehr} \tau \right) \gamma \right) \right) \right)$$

subject to:

$$t^{-1} \left( P_{ct} + \frac{1}{\varepsilon}E_\gamma(P_t(\gamma)) \right) = 1$$

$$P_t(\gamma) \geq 0.$$  \hspace{1cm} (3)

The objective function in (3) is concave in $P_t(\gamma)$, continuously differentiable, and equality constraint is affine. Therefore, the Karush-Kuhn-Tucker (KKT) conditions are both sufficient and necessary for optimal solution. Considering the Lagrangian multiplier, $\lambda$, the Lagrangian function is formulated as

$$L(P_t(\gamma), t) = t^{-1} \left( (1-\tau)E_\gamma \left( \log_2 \left( 1 + \left( P_t(\gamma) + k_{ehr} \tau \right) \gamma \right) \right) \right) - \lambda \left( t^{-1} \left( P_{ct} + \frac{1}{\varepsilon}E_\gamma(P_t(\gamma)) \right) - 1 \right).$$

(4)

The stationary conditions are, hence,

$$\frac{\partial L(P_t(\gamma), t)}{\partial P_t(\gamma)} = 0 \Rightarrow (1-\tau)E_\gamma \left( \log_2 \left( 1 + \left( P_t(\gamma) + k_{ehr} \tau \right) \gamma \right) \right) - \frac{\lambda}{\varepsilon} = 0,$$

(5)

and

$$\frac{\partial L(P_t(\gamma), t)}{\partial t} = 0 \Rightarrow (1-\tau)E_\gamma \left( \log_2 \left( 1 + \left( P_t(\gamma) + k_{ehr} \tau \right) \gamma \right) \right) - \lambda \left( P_{ct} + \frac{1}{\varepsilon}E_\gamma(P_t(\gamma)) \right) = 0.$$  \hspace{1cm} (6)

Now, the EE-maximization power allocation strategy can be derived using (7), according to,

$$P_t(\gamma) = \left[ \frac{\varepsilon(1-\tau)}{\lambda} - \frac{1}{\gamma} - k_{ehr} \tau \right]^+, \hspace{1cm} (11)$$

where $[x]^+$ return the max($0, x$). We note that the power allocation (11) is different from traditional water-filling approach in a sense that (11) is scaled and shifted version of traditional water-filling power allocation. This is due to the presence of an additional harvested energy, $k_{ehr}$, and time switching parameter, $\tau$. The expectation in (10) can be solved to carry out a closed-form expression. In order to obtain $\lambda$, we insert (11) into (10) and solve the expectation operators, yielding

$$(1-\tau)E_\gamma \left( \log_2 \left( 1 + \left( P_t(\gamma) + k_{ehr} \tau \right) \gamma \right) \right) - \frac{\lambda}{\varepsilon} = 0.$$ \hspace{1cm} (7)

The EE-maximization problem under an input power constraint with the constraint power level set at $P_{max}$ is considered. In this case, the EE-maximization problem is formulated, according to

$$\eta = \max \ \left[ \frac{\varepsilon(1-\tau)}{\lambda} - \frac{1}{\gamma} - k_{ehr} \tau \right]^+, \hspace{1cm} \frac{(1-\tau)E_\gamma \left( \log_2 \left( 1 + \left( P_t(\gamma) + k_{ehr} \tau \right) \gamma \right) \right)}{K_L} \hspace{1cm} (11)$$

subject to:

$$E_\gamma(P_t(\gamma)) \leq \frac{P_{max}}{K_L},$$ \hspace{1cm} (14)
SE-maximization problem, with a power level set at $P_u$. Therefore, (13) and (14) can be simplified to

$$\max_{P_r(\gamma) \geq 0} (1 - \tau) \mathbb{E}_\gamma \left( \log_2 \left( 1 + (P_r(\gamma) + k_{ehr}\tau)\gamma \right) \right)$$  \hspace{1cm} (15)$$

subject to:

$$\mathbb{E}_\gamma(P_r(\gamma)) \leq \frac{P_{\text{max}}}{K_L},$$  \hspace{1cm} (16)$$

$$\mathbb{E}_\gamma(P_r(\gamma)) \leq \frac{T_u}{K_L},$$  \hspace{1cm} (17)$$

Since, in SE-maximization problem, the optimum input power level is set at the boundary, the optimum operational power for (15) should be set at $\min(P_u, P_{\text{max}})$.

IV. NUMERICAL RESULTS

In this section, we numerically evaluate EE (b/J/Hz) and SE (b/s/Hz) under fixed-battery power constraint, with respect to harvesting power $k_{ehr}$, and time switching parameter $\tau$.

We start by plotting SE versus the harvesting power $k_{ehr}$ for various values of $\tau$ in a Rayleigh fading channel with $P_{ct} = 2$dB in Fig. 3. The figure shows that SE decreases with increase in $\tau$ and $k_{ehr}$. This verifies the results in Appendix A and Lemma 1 which imply that, the maximum EE is achieved at lower values of $P_u$ when $k_{ehr}$ increases. Since SE is a monotonically increasing function of $P_u$, we conclude that less SE can be achieved at the EE-optimal point when $k_{ehr}$ increases.

Fig. 4 and Fig. 5 are the SE-maximization plots with a power constraint limit set at $P_{\text{max}}$. Fig. 4 includes the plots for SE versus time switching parameter $\tau$, for various harvesting power values with $P_{\text{max}} = 0$dB. The figure shows that for the values of $k_{ehr} \leq 0$dB, SE monotonically decreases with $\tau$. This happens since $k_{ehr}\tau$ is much smaller than $P_{\text{max}}$, and the best use of time is to transmit information rather than to harvest energy. Similarly, with higher $k_{ehr}$, SE increases up to an optimal value, corresponding at a certain value for $\tau$, and then decreases towards zero.

Fig. 5 shows the impact of maximum average transmit power limit, $P_{\text{max}}$, on SE which is plotted versus the time switching parameter, $\tau$, at $k_{ehr} = 10$dB. As the energy harvesting parameter $\tau$ increases, SE increases until it reaches its maximum value, after which it decreases towards zero. For $P_{\text{max}} = 10$dB, SE is monotonically decreasing, whereas for the rest of the plotted figures with smaller values for $P_{\text{max}}$, the curve is bell-shaped. The figure further shows that the maximum SE is achieved at lower values of $\tau$ for smaller $P_{\text{max}}$.

Fig. 6 includes the plots for EE versus SE with $\tau = 0.8$. 

\hspace{1cm}Fig. 3: SE versus harvesting power $k_{ehr}$ for various values of $\tau$ for unconstrained EE-maximization case.

\hspace{1cm}Fig. 4: SE versus $\tau$ for various energy harvesting values, $k_{ehr}$, with $P_{\text{max}} = 0$dB.

\hspace{1cm}Fig. 5: SE versus $\tau$ for various values of $P_{\text{max}}$ with $k_{ehr} = 10$dB.
We proposed an energy-efficient power allocation technique for a transmitter that is equipped with an energy harvesting technique to achieve maximum EE and throughput simultaneously. Harvest-Use technique is used for an additional source of energy which is used immediately as it becomes available. A time switching approach in which the transmitter switches over time between harvesting energy and transmitting data is defined. We show that the optimal EE is derived through a scaled water-filling power allocation scheme. A system with more harvested power is shown to achieve higher EE at a lower transmission power. The illustrative results show significant enhancement in maximum achievable EE as a result of an additional harvesting power. The selection for the time switching parameter is shown to depend on the harvesting power and the circuit power values, e.g., when the harvesting power is much smaller than $P_{cr}$, the best option is to transmit for the whole time. Whereas, as $k_{ehr}$ increases, at a certain portion of time, we should set the time switching parameter so that we achieve the maximum EE. Harvest-Store-Use (HSU) technique, when the harvesting energy can be modelled as a random process, is considered for future work.

APPENDIX A

We want to prove that $P_u$ decreases with increase in harvested energy $k_{ehr}$, $\tau$. We note that rate, $R$, is a concave function of transmission power $E_r(P_t(\gamma))$, which is non-decreasing linear function of $P_t(\gamma)$. Hence, EE is a quasi-concave function of $E_r(P_t(\gamma))$, and its maximum can be achieved when $\eta' = 0$, with $\eta'$ indicating the first derivative of $\eta$ with respect to $E_r(P_t(\gamma))$. This means that EE monotonically increases with $E_r(P_t(\gamma))$ until it reaches its maximum and then it becomes a monotonically decreasing function of $E_r(P_t(\gamma))$.

Now let us consider a system with fixed $P_{cr}$ and energy harvested power value of $k_{ehr}, \tau$. We take the first derivative of $\eta$ of the system with respect to $E_r(P_t(\gamma))$, yielding,

$$
\eta' \bigg|_{\eta = E_r(P_t(\gamma))} = \frac{R'(\gamma)(P_{u1}^* + P_{cr} - k_{ehr} \tau) - R(\gamma)}{(P_{u1} - k_{ehr} \tau + P_{cr})^2} = 0.
$$

(18)

In more detail, (18) implies that for a system with $k_{ehr}, \tau$, $\eta$ is maximized when $E_r(P_t(\gamma)) = P_{u1}^*$, and as a result, $\eta' = 0$ at $E_r(P_t(\gamma)) = P_{u1}^*$. Now assume a system with higher energy harvesting power, i.e., $k_{ehr} \tau = k_{ehr} \tau + \Delta k_{ehr} \tau$, when $\Delta k_{ehr} \tau \geq 0$. In this system, the input power at which EE is maximized is achieved by $P_{u2}^*$. Now update (18) with $k_{ehr} \tau$ gives

$$
\eta' \bigg|_{\eta = E_r(P_t(\gamma))} = \frac{R'(\gamma)(P_{u2}^* - (k_{ehr} \tau + \Delta k_{ehr} \tau) + P_{cr}) - R(\gamma)}{(P_{u2} - (k_{ehr} \tau + \Delta k_{ehr} \tau) + P_{cr})^2}.
$$

(19)
Using (18), we can further simplify (19), according to
\[
\eta'(\gamma) = \frac{P_{1,\gamma}(\gamma) - P_{2,\gamma}(\gamma)}{P_{1,\gamma}(\gamma) - P_{2,\gamma}(\gamma)} = \frac{-R(\gamma)(\Delta k_{\text{ehr}})\tau}{(P_{1,\tau} - P_{2,\tau})} \leq 0.
\]
which shows \( \eta'(\gamma) \) is decreasing at \( \tau = k_{\text{ehr}} \), henceforth, \( \eta \) has already reached its maximum, which implies that \( P_{2,\gamma} \leq P_{1,\gamma} \).

REFERENCES