Theta and alpha activity to gaze cues in infancy

Theta- and alpha-band EEG activity in response to eye gaze cues in early infancy

Running title: Theta and alpha activity to gaze cues in infancy

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Theta and alpha activity to gaze cues in infancy

Abstract

In order to elucidate the development of how infants use eye gaze as a referential cue, we investigated theta and alpha oscillations in response to object-directed and object-averted eye gaze in infants aged 2, 4, 5, and 9 months. At 2 months of age, no difference between conditions was found. In 4- and 9-month-olds, alpha-band activity desynchronized more in response to faces looking at objects compared to faces looking away from objects. Theta activity in 5-month-old infants differed between conditions with more theta synchronization for object-averted eye gaze. Whereas alpha desynchronization might reflect mechanisms of early social object learning, theta is proposed to imply activity in the executive attention network. The interplay between alpha- and theta activity represents developmental changes in both kinds of processes during early infancy.

Keywords: infancy, eye gaze cues, theta synchronization, alpha desynchronization
1. Introduction

From very early on in life, eye gaze is an important cue influencing infants’ perception and attention. As it helps infants to direct their attention to relevant information in the environment, eye gaze direction, among other social cues (Bertenthal, Boyer, & Harding, 2014), affects information processing and facilitates social learning (Csibra & Gergely, 2006; Hoehl et al., 2009; Reid & Striano, 2007). Here, we measure oscillatory brain activity in response to eye gaze as a referential cue in early infancy.

Infants show an early sensitivity to eye gaze direction in relation to the location of objects. Nine-month-old infants look longer to object-directed gaze shifts than to non-object-directed gaze shifts (Senju, Csibra, & Johnson, 2008). Even younger infants differentiate between object-directed and object-averted eye gaze: event-related potentials (ERPs) in response to faces looking toward objects were compared to those for faces looking away from objects in 2-, 4- and 5-month olds (Hoehl, Reid, Mooney, & Striano, 2008; Hoehl et al., 2009). Whereas no effects on the Negative central (Nc) component were found in the youngest age group, infants at 4 and 5 months showed a larger amplitude for this component for object-averted gaze. As the Nc component is related to attention (Reynolds & Richards, 2005), it was concluded that infants allocated more attention to faces that looked away from objects, because this situation was less expected and more ambiguous to them. Moreover, it was only in the 4- and not in the 5-month-olds that a larger positive slow wave (PSW) was found for object-directed looks. The PSW is related to memory updating processes (Nelson, 1997; Webb, Long, & Nelson, 2005). Thus, eye gaze may have facilitated building memory representations for cued objects. In the aforementioned cross-sectional approach, the studies by Hoehl and colleagues (2008; 2009) highlight developmental changes in the way infants process eye gaze and its relation to objects.
Similar developmental changes have been revealed by behavioral studies. Already at 3 months of age, infants are sensitive to triadic interactions (Striano & Stahl, 2005). Their ability to follow gaze shifts of strangers increases between 4 and 6 months (Gredebäck, Fikke, & Melinder, 2010). At the same time, infants’ joint attention skills gradually develop (Striano & Bertin, 2005) and their ability to use social cues to encode new information advances. In a live paradigm measuring looking times, infants at 7 and 9 but not at 4 and 5 months of age showed enhanced object processing in a joint attention situation (Cleveland, Schug, & Striano, 2007; Cleveland & Striano, 2007). Studies that presented similar stimuli on a screen found that infants were able to use social cues for object learning already at 4 months (Hoehl, Wahl, & Pauen, 2014; Reid & Striano, 2005; Reid, Striano, Kaufman, & Johnson, 2004; Wahl, Michel, Pauen, & Hoehl, 2013). These studies compared ERPs and looking times in response to objects that were previously cued by another person’s eye gaze and/or head turn with objects that were not cued. Cued objects were processed more efficiently whereas uncued objects were more novel to infants when they were presented to the infant a second time. This was reflected in enhanced amplitudes of either the PSW or the Nc as well as in longer looking times to previously uncued objects. Eye gaze cues guided infant attention and thereby facilitated object learning. The age discrepancy between live and video-based studies may be due to the different types of paradigms and dependent variables. A video-based presentation condenses information on a small screen and this may help infants to focus on the stimuli. The setting in a live paradigm is more complex as infants are interacting with a real person who, inevitably, covers more space. Furthermore, the dependent variable in the live studies was the overt behavior of the infant, whereas video-based studies mostly applied ERPs and/or eye tracking.

The aforementioned studies show developmental changes in the way infants make use of social cues. One possible mechanism behind these changes is how infants are able to
control their attention. At 4 months of age, infants supposedly react to eye gaze cues due to an automatic shift of attention (Hoehl, Wahl, et al., 2014; Moore & Corkum, 1998). During the following two months, it has been proposed that an attention network starts to monitor and integrate infants’ own and others’ gaze direction and behavior. Between 7- and 9-months, infants are able to internally control their shifts of attention (Mundy & Newell, 2007; Petersen & Posner, 2012).

As results of studies investigating the use of social cues differ depending on the paradigm used, the current study makes use of the same paradigm for all age groups in a cross-sectional design with infants aged 2, 4, 5, and 9 months. As in the study by Hoehl et al. (2008), infants saw static images of faces either looking toward or away from an object while their EEG was measured. So far, the neural processing of eye gaze–object relations in infancy has only been investigated using ERPs. In the current study we analyze oscillatory changes to further clarify underlying neural mechanisms of how social information is processed.

Based on the literature, the alpha- and the theta-band are likely to be sensitive to eye gaze-object relations: Theta-band activity in adults lies between 4 and about 7 Hz (Klimesch, 1999; Saby & Marshall, 2012). Theta in infants, that we refer to in the current study, is primarily defined between 3 and 6 Hz and the frequency range does not seem to change between 4 and 12 months (Saby & Marshall, 2012; Stroganova & Orekhova, 2007). Theta synchronization may imply activity of the frontal cortex including an attention network involved in executive and voluntary control of attention as it has been proposed by Posner and Petersen (Bazhenova, Stroganova, Doussard-Roosevelt, Posikera, & Porges, 2007; Orekhova, Stroganova, & Posikera, 1999; Petersen & Posner, 2012; Posner & Petersen, 1990). It has been suggested that this attention system emerges at around 4-6 months and allows infants to monitor the relation between their own and others’ gaze direction and goal-directed behavior (Mundy & Newell, 2007). Frontal theta activity decreases with age. This
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decrease is proposed to reflect maturation processes in the attention system as the system gets increasingly effective (Orekhova et al., 1999). If theta activity implies executive control of attention, it would be expected to vary with developmental changes in response to social cues. Therefore, we expect to find no differences between conditions in theta synchronization in the 2- and 4-month olds as the executive attention network should not be developed yet. In 9-month-olds, the network should have matured and be more efficiently functioning (Orekhova et al., 1999). As theta decreases in older infants, we expect little or no difference in theta synchronization between conditions. Changes in theta activity may reflect the development of this system which occurs at around 5-6 months of age and we therefore expect theta effects specifically in this age group.

Alpha desynchronization in adults has been related to attentional mechanisms that actively suppress distracting information to focus on relevant input (Ward, 2003). In a live triadic joint attention interaction, Lachat, Hugueville, Lemaréchal, Conty, and George (2012) reported attenuated alpha signal power (11-13 Hz) in adult participants that jointly attended to the same stimulus. This result was interpreted as reflecting higher arousal induced by mutual attentiveness. Hoehl, Michel, Reid, Parise, and Striano (2014) recently showed similar effects in 9-month-old infants in a live paradigm. Here, alpha (5-7 Hz) desynchronized in response to novel objects only when these objects were presented in a joint attention situation (Hoehl, Michel, et al., 2014), indicating that alpha-band activity varied depending on the social context in which stimuli were perceived. Alpha desynchronization was therefore suggested to relate to early social learning processes in infants (Hoehl, Michel, et al., 2014). Enhanced alpha desynchronization may indicate that attention is focused on the relevant object (here an object that is cued by eye gaze). Thereby it could enable or at least facilitate object learning in such situations. Similar processes might take place already at 4 months as infants differentiate between eye gazes toward and away from objects and build stronger
memory representations for cued objects (Hoehl et al., 2008; Hoehl, Wahl, et al., 2014; Reid & Striano, 2005; Reid et al., 2004; Wahl et al., 2013). In the current study, eye gaze that is directed toward an object identifies it as an object that is of high relevance for the infant. Thus, we expect desynchronization to occur in response to object-directed gaze starting at 4 months of age in the alpha-band frequency range 4-10 Hz, which is the typical range for alpha in infants (Marshall, Bar-Haim, & Fox, 2002; Stroganova, Orekhova, & Posikera, 1999).

The current study investigates oscillatory brain activity in response to object-directed and object-averted eye gaze for synchronization in the theta range and for desynchronization in the alpha range. By studying 2-, 4-, 5-, and 9-month-old infants with the same paradigm, we expect to gain insights into how the processing of social cues develops and how attentional and social information processes change in early infancy (Cleveland et al., 2007; Cleveland & Striano, 2007; Striano & Stahl, 2005).

2. Method

2.1 Participants

The final sample consisted of 58 (32 female) 2-, 4-, 5-, and 9-month-old infants born full term (37-41 weeks) and within the normal range for birth weight (see Table 1 for detailed information about age, sex, and the number of trials included in the final analyses separately for each age group).

Another 79 infants were tested but excluded from the final sample due to fussiness (17) or failure to reach the minimum criterion of 10 artifact-free trials per condition (62). This inclusion criterion and the attrition rate of 58% are similar to other infant EEG studies (e.g. Elsabbagh et al., 2009; Southgate, Csibra, Kaufman, & Johnson, 2008). Data of 14 additional infants were distorted due to technical problems and, therefore, not analyzed. The group of 4-
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2-month-old infants consists of the sample reported in Hoehl et al. (2008) and the group of 2- and 5-month-olds of the sample reported in Hoehl et al. (2009). Both of these studies investigated ERP effects. On average, infants contributed 20 artifact-free trials to the grand average per condition.

Table 1. Sample information and overview of included trials per condition.

<table>
<thead>
<tr>
<th></th>
<th>2-month-olds</th>
<th>4-month-olds</th>
<th>5-month-olds</th>
<th>9-month-olds</th>
</tr>
</thead>
<tbody>
<tr>
<td>N</td>
<td>14</td>
<td>16</td>
<td>16</td>
<td>12</td>
</tr>
<tr>
<td>Sex</td>
<td>10 female</td>
<td>8 female</td>
<td>7 female</td>
<td>7 female</td>
</tr>
<tr>
<td>Mean age (mm.dd)</td>
<td>02.23</td>
<td>04.02</td>
<td>05.19</td>
<td>08.28</td>
</tr>
<tr>
<td>Age range (mm.dd – mm.dd)</td>
<td>02.07 – 03.00</td>
<td>03.21 – 04.09</td>
<td>05.02 – 05.29</td>
<td>08.21 – 09.09</td>
</tr>
<tr>
<td>Mean number; standard deviation (range) of included trials: object-directed condition</td>
<td>27; 16 (10-63)</td>
<td>19; 7 (10-37)</td>
<td>19; 10 (10-41)</td>
<td>14; 3 (10-20)</td>
</tr>
<tr>
<td>Mean number; standard deviation (range) of included trials: object-averted condition</td>
<td>27; 16 (10-62)</td>
<td>19; 8 (10-37)</td>
<td>20; 10 (10-41)</td>
<td>14; 3 (10-20)</td>
</tr>
</tbody>
</table>

2.2 Stimuli

Static portrait photographs of two female actors served as stimuli. Their eye gaze was shifted either to the left or to the right and a colorful object was presented next to the face on one side at the height of the pupils approximately 2 cm away from the eyes. Consequently, two different conditions were created: in the object-directed condition, the actor looked at the object and in the object-averted condition, the actor looked away from the object (see Figure
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1). Stimuli were 19.5 cm (12.4° visual angle) high and 25 cm (15.8° visual angle) wide measured from the ear of the actor to the end of the object on the opposite side.

(Figure 1 about here)

2.3 Procedure

During testing, infants sat on their mother’s lap while their EEG was recorded continuously and their behavior was filmed for offline coding. Stimuli were presented on a 70 Hz 17” screen at 90 cm viewing distance in a dimly lit, sound-attenuated, and electrically shielded cabin.

A trial consisted of a central attractor (a small triangular object) presented at the center of the screen for 500 ms followed by a stimulus image presented for 1000 ms. Before the next trial started, a white screen was presented with a random interval of 800 – 1000 ms (see Figure 1). Conditions were presented in a randomized order with the constraint that each condition was not presented more than twice in a row and the number of object-directed and object-averted pictures was balanced every 20 trials. A maximum number of 200 trials (100 per condition) was presented as long as the infant looked attentively to the screen. Testing was paused or stopped if the infant became fussy or inattentive to the screen.

2.4 EEG recording and analyses

EEG was recorded continuously during testing with 19 Ag-AgCl electrodes arranged according to the 10-20 system. Data were amplified via a Twente Medical System 32-channel REFA amplifier and sampling rate was set at 250 Hz. Data were analyzed using the custom made scripts collection “WTools” (available on request) and EEGLab (v. 10.2.5.5a). EEG was referenced to the vertex (Cz). Horizontal and vertical electrooculograms (EOG) were recorded bipolarly. Data were re-referenced offline to the averaged mastoids and were
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bandpass filtered from 2 to 65 Hz. The EEG signal was segmented into epochs of -1200 ms to 2000 ms around the onset of the stimulus. EEG data were rejected offline whenever the standard deviation within a 200 ms gliding window exceeded 80 µV at any electrode (Hoehl et al., 2008). Artifacts caused by eye movement were rejected based on EOG measures. Infants’ looking behavior was coded offline based on the recorded videos. Trials in which the infant did not attend to the screen were removed manually. Given that infants overtly shifted their eye gaze during the presentation of the stimulus image in only 7.97% of all presented trials, we did not analyze this behavior further.

Time-frequency analyses were conducted performing a continuous wavelet transformation. Complex Morlet wavelets were computed at 1 Hz frequency intervals for the frequency range 2 – 60 Hz. Total spectral activity was calculated performing convolutions with the wavelets on all channels. The absolute value of the result was computed and served as the dependent variable. The transformed epochs were averaged for each condition (see Csibra, Davis, Spratling, & Johnson, 2000; Hoehl, Michel, et al., 2014; Parise & Csibra, 2013). Furthermore, 1000 ms at the beginning and at the end of each segment were removed to avoid distortions due to the transformation. Baseline correction was performed at each frequency by subtracting the mean activity of 200 ms before stimulus onset from the signal.

The grand average was calculated for both conditions for each age group separately. The time-frequency range for statistical analyses for the theta and the alpha frequency range was based on visual inspection of the data and existing literature.

2.5 Theta activity

Visual inspection of the data revealed differences between conditions mainly in the lower frequency range. The theta 1 sub-band was defined as ranging between 3.6 and 4.8 Hz with a peak at 4.4 Hz (Orekhova, Stroganova, Posikera, & Elam, 2006). Theta activity in this
frequency range is more pronounced on frontal channels (Orekhova et al., 1999; Orekhova et al., 2006; Stroganova, Orekhova, & Posikera, 1998). Compared to alpha activity, the theta frequency range does not seem to shift with age (Saby & Marshall, 2012; Stroganova & Orekhova, 2007). Thus, the mean amplitude at 4 Hz at 400-800 ms after stimulus onset on fronto-central electrodes (F3, Fz, F4, FC3, and FC4) served as the dependent variable for all age groups (Orekhova et al., 2006).

2.6 Alpha activity

In infancy, alpha occurs on posterior-occipital channels in the frequency range 4-10 Hz with an increase in frequency with age (Marshall et al., 2002; Stroganova et al., 1999). Therefore, the time-frequency range for the analyses was chosen for each age group separately based on visual inspection of the differences between conditions. Mean amplitude of P3, Pz, P4, O1, and O2 served as the dependent variable. Consistent with the literature, the selected frequencies increased with age (Marshall et al., 2002; Stroganova et al., 1999). See Table 3 in the results section for an overview of the time-frequency ranges.

As no differences between channels are expected, the amplitude of the frontal channels F3, Fz, F4, FC3, and FC4 was averaged for theta activity and the amplitude of the posterior-occipital channels P3, Pz, P4, O1, and O2 was averaged for alpha activity for each condition. The two conditions were contrasted using paired t-tests separately for each age group. P-values are Bonferroni-Holm corrected.

3. Results

3.1 Theta

No significant differences between conditions were found for the 2-, 4-, and 9-month-olds, all \( p_s \) > .431. However, the object-averted condition and the object-directed-condition
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differed significantly in the 5-month-olds, \(t\ (15) = -3.50, p = .012\). Theta synchronized more in the object-averted compared to the object-directed condition. Theta activity in both conditions did not differ from baseline, all \(ps > .195\). See Figure 2 and Table 2 for means and standard errors.

Table 2. Overview of the time range, the frequency and descriptive statistics of the analyses of theta activity.

<table>
<thead>
<tr>
<th>theta</th>
<th>2-month-olds</th>
<th>4-month-olds</th>
<th>5-month-olds</th>
<th>9-month-olds</th>
</tr>
</thead>
<tbody>
<tr>
<td>Time range</td>
<td>400-800ms</td>
<td>400-800ms</td>
<td>400-800ms</td>
<td>400-800ms</td>
</tr>
<tr>
<td>Frequency</td>
<td>4 Hz</td>
<td>4 Hz</td>
<td>4 Hz</td>
<td>4 Hz</td>
</tr>
<tr>
<td>Mean (standard error) [(\mu V)]</td>
<td>-0.32 (0.08)</td>
<td>-0.37 (0.08)</td>
<td>-0.23 (0.15)</td>
<td>-0.44 (0.18)</td>
</tr>
<tr>
<td>Mean (standard error) [(\mu V)]</td>
<td>-0.24 (0.11)</td>
<td>-0.30 (0.11)</td>
<td>0.38 (0.19)</td>
<td>-0.14 (0.29)</td>
</tr>
</tbody>
</table>

--- Figure 2 about here ---

3.2 Alpha

Whereas alpha activity in the object-directed and the object-averted condition was not different in the 2- and 5-month age groups (all \(ps > .619\)), there were significant differences between the conditions at the ages of 4 and 9 months \(t\ (15) = -3.46, p = .008\) for the 4-month-olds, \(t\ (11) = -2.73, p = .038\) for the 9-month olds). While both conditions in both age groups differed significantly from baseline (4-month-olds: \(t\ (15) = -7.22, p < .001\) for the object-directed condition and \(t\ (15) = -3.65, p = .006\) for the object-averted condition; 9-month-olds: \(t\ (11) = -6.01, p < .001\) for the object-directed condition and \(t\ (11) = -3.50, p = .015\) for the object-averted condition), the desynchronization was enhanced in the object-
directed compared to the object-averted condition at both ages. See Figure 3 and Table 3 for an overview of the means and standard errors.

Table 3. Overview of the time and frequency ranges and descriptive statistics of the analyses of alpha activity.

<table>
<thead>
<tr>
<th>alpha</th>
<th>2-month-olds</th>
<th>4-month-olds</th>
<th>5-month-olds</th>
<th>9-month-olds</th>
</tr>
</thead>
<tbody>
<tr>
<td>Time range</td>
<td>400-800ms</td>
<td>400-800ms</td>
<td>400-800ms</td>
<td>200-800ms</td>
</tr>
<tr>
<td>Frequency range</td>
<td>5-7Hz</td>
<td>5-8Hz</td>
<td>5-8Hz</td>
<td>6-8Hz</td>
</tr>
<tr>
<td>Mean (standard error)</td>
<td>object-directed condition [µV]</td>
<td>-0.22 (0.08)</td>
<td>-0.53 (0.07)</td>
<td>-0.46 (0.17)</td>
</tr>
<tr>
<td>Mean (standard error)</td>
<td>object-averted condition [µV]</td>
<td>-0.07 (0.09)</td>
<td>-0.34 (0.09)</td>
<td>-0.40 (0.15)</td>
</tr>
</tbody>
</table>

4. Discussion

In order to investigate developmental changes in neural mechanisms underlying the processing of eye gaze-object relations in early infancy, we presented infants (2, 4, 5, and 9 months old) with faces that were either looking away from or toward objects while EEG was measured. Differences between conditions in the theta and the alpha frequency bands were investigated for each age group. In line with studies showing that 4-8-month-old infants differentiate between object-directed and object-averted gaze shifts with regard to looking times and ERPs (Hoehl et al., 2008; Hoehl et al., 2009; Senju et al., 2008), we have shown that theta and alpha oscillations are sensitive measures to investigate this social cognitive ability in these age groups.
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Theta synchronization is suggested to reflect the involvement of an executive attention network and internal attentional processes (Bazhenova et al., 2007; Orekhova et al., 1999). We expected theta activity to alter with the development of this network. We found differences between conditions only in the 5-month-old infants. At that age this attention network is thought to develop (Mundy & Newell, 2007). Theta activity synchronized more in the object-averted than in the object-directed condition. It is important to note that theta activity in the 5-month-olds did not differ from baseline. The difference in theta synchronization between conditions must therefore be interpreted very cautiously.

Alpha desynchronization has been shown to be sensitive to attentional mechanisms that enable the brain to suppress irrelevant input and focus on relevant information in adults (Ward, 2003). Cues such as eye gaze signal objects that can be relevant for a beholder (Frischen, Bayliss, & Tipper, 2007; George & Conty, 2008; Hoehl et al., 2009; Senju & Johnson, 2009). Enhanced alpha desynchronization may reflect the attentive processing of such information. We speculate that it, as such, enables or facilitates early social learning mechanisms in infants. This is in line with studies that relate alpha desynchronization to joint attention in infants and adults (Hoehl, Michel, et al., 2014; Lachat et al., 2012). As infants at 4 months of age are already sensitive to looker-object relations and use eye gaze for facilitated object learning (e.g., Reid et al., 2004), we expected alpha desynchronization in response to object-directed eye gaze from 4 months onwards. This expectation was partly fulfilled as alpha desynchronized more in the object-directed condition in 4- and 9-month-olds, but not at 2 and 5 months of age. Taken together with the results on theta activity, substantial developmental changes in the neural processing of object-looker relation have been detected in the current study.

As we did not find a difference between conditions on both frequency bands at 2 months of age, we can only speculate about the neural processes occurring at this age. Infants
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at this age show nearly no overt gaze following behavior. The tendency to follow another
person’s gaze, and, therefore, the ability to detect object-looker relations, develops between 2
and 4 months of age (Gredebäck et al., 2010). Thus, infants at 2 months of age might simply
not detect differences between conditions. Alternatively, it is possible that infants are able to
differentiate between the conditions but our methodology was not capable of detecting this.
In line with the current results, no ERP effects have been observed using the same stimuli in
2-month-olds (Hoehl et al., 2009).

At 4 months of age, infants showed enhanced alpha desynchronization in the object-
directed compared to the object-averted condition. Alpha desynchronization is a sensitive
measure for attentional mechanisms that suppress irrelevant information and therefore focus
attention on relevant information (Ward, 2003). Social cues such as eye gaze or head turn can
guide infants’ attention and can lead to enhanced memory encoding of cued objects in 4-
month-olds (Hoehl, Wahl, et al., 2014; Hood, Willen, & Driver, 1998; Reid & Striano, 2005;
Reid et al., 2004; Wahl et al., 2013). Thus, alpha desynchronization in the object-directed
condition may reflect focused attention to gaze cued objects and thereby be related to social
learning processes (Hoehl, Michel, et al., 2014).

At the same age, no difference between conditions was found in the theta range. So
far, studies that have related theta synchronization to attentional processes have all
investigated slightly older infants (Bazhenova et al., 2007; Orekhova et al., 1999; Orekhova
et al., 2006; Stroganova et al., 1998). Theta synchronization has nonetheless been discussed
to be related to the involvement of an attention network that is responsible for an executive
control of attention that emerges between 4 and 6 months of age (Bazhenova et al., 2007;
Mundy & Newell, 2007; Orekhova et al., 1999). As attention is thought to be guided
automatically by social cues at four months, it is possible that this attention network is not yet
involved in processing social cues in our sample.
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Similarly to the 4-month-old infants, 9-month-olds also showed enhanced alpha desynchronization in the object-directed condition but their theta activity did not differ between conditions. At that age, infants are able to use joint attention interactions for enhanced object processing (Cleveland et al., 2007; Striano, Reid, & Hoehl, 2006) and alpha desynchronization has been observed in joint attention interactions (Hoehl, Michel, et al., 2014). As in the 4-month-olds, eye gaze direction in the object-directed condition may guide infants’ attention to a relevant object, thus attention is focused on that object and alpha desynchronization could reflect these processes. In comparison to the younger age group, 9-month-olds are increasingly able to monitor their own and another person’s attention (Mundy & Newell, 2007). This additional skill improves the infant’s ability to detect and analyze the looker-object relationship and thereby to differentiate between object-directed and object-averted eye gaze. However, even in this older age group, it is likely that automatic shifts of attention are still part of gaze cueing effects as it is known that they still exist in typically developing children and in adults (Friesen, Ristic, & Kingstone, 2004; Senju, Tojo, Dairoku, & Hasegawa, 2004). Alpha desynchronization during infancy could potentially relate to social object learning guided by the mechanisms that are present at each specific age: automatic cueing of attention in 4-month-olds and, additionally, more volitionally controlled shifts of attention at 9 months of age. It is worth highlighting that in 4- and 9-month-olds alpha desynchronized when compared to baseline in both conditions. This might be due to both conditions conveying information about an object-looker relation, but it is only in the object-directed condition that eye gaze direction and object location match. This matching enables the infant to relate another person’s eye gaze to the object, which may lead to a focusing of attention on this stimulus. This, in turn might trigger processes similar to those found in adults in situations with mutual attentiveness (Lachat et al., 2012), that are reflected in enhanced alpha desynchronization. No difference in theta activity was found in the 9-
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month-olds. As joint attention skills are better developed at that age and the attention network matures, we assume that infants can easily detect differences between conditions without or with less effort of an internal control of attention (Orekhova et al., 1999).

Whereas 4- and 9-month olds show no difference in theta activity but exhibit an enhanced alpha desynchronization, 5-month-olds show the reversed pattern: theta activity differed between conditions with enhanced theta synchronization in response to object-averted eye gaze, but alpha-band activity did not.

Why do the 5-month-olds differ in their response from the 4- and 9-month olds? The attention network, being related to theta synchronization, is assumed to develop precisely at that age (Mundy & Newell, 2007). Furthermore, at the same age, gaze following abilities and joint attention skills improve but are not yet fully developed (Gredebäck et al., 2010; Striano & Bertin, 2005). Moreover, the ability to use a joint attention context to learn about objects develops (Cleveland et al., 2007) and the reaction to social cues is changing from automatic shifts of attention to additional voluntary mechanisms. Five-month-old infants are just developing social abilities and might, therefore, be extremely sensitive to social cues and also to the disrupted relation between object and eye gaze in the object-averted condition. Thus, this condition may require more attentional control. In line with ERP results showing that only attentional processes and not memory processes are affected when a disturbed looker-object relation is presented to infants at that age (Hoehl et al., 2009), differences in theta activity but not in alpha were found in the current study.

Here, we investigated how processing of object-directed and object-averted eye gaze develops during infancy measuring oscillatory brain activity. While alpha desynchronization in 4- and 9-month-olds is probably reflecting focused attention that may enable early social learning processes, theta synchronization at 5 months may reflect the development of an executive attention network, and therefore, the transition from a rather automatic shift of...
attention in reaction to social cues to an enhanced deliberate control. The interplay between alpha- and theta-band activities represents striking developmental changes in infants’ neural processing of social information. Future research is needed to investigate whether the differences in oscillatory brain activity are indeed related to the encoding or learning of new information.
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Theta and alpha activity to gaze cues in infancy


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Figure captions

Figure 1. Examples of a trial in the object-directed condition (top) and the object-averted condition (bottom).

Figure 2. Mean time-frequency spectrum averaged across 5 fronto-central channels showing theta activity in the object-directed condition, the object-averted condition and the difference in theta activity between the object-directed – object-averted condition in 2-, 4-, 5- and 9-month-olds. The rectangle marks the analyzed time window at 4Hz.

Figure 3. Mean time-frequency spectrum averaged across 5 posterior-occipital channels showing alpha activity in the object-directed condition, the object-averted condition and the difference in alpha activity between the object-directed – object-averted condition in 2-, 4-, 5- and 9-month-olds. The rectangle marks the analyzed time-frequency range.