Stochastic webs and quantum transport in superlattices: an introductory review

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Stochastic webs were discovered, first by Arnold for multi-dimensional Hamiltonian systems, and later by Chernikov et al. for the low-dimensional case. Generated by weak perturbations, they consist of thread-like regions of chaotic dynamics in phase space. Their importance is that, in principle, they enable transport from small energies to high energies. In this introductory review, we concentrate on low-dimensional stochastic webs and on their applications to quantum transport in semiconductor superlattices subject to electric and magnetic fields. We also describe a recently-suggested modification of the stochastic web to enhance chaotic transport through it and we discuss its possible applications to superlattices.

Keywords: stochastic webs; quantum transport; superlattices; separatrix chaos

1 Introduction

Stochastic webs exist in the phase spaces of Hamiltonian systems, that is, in the space formed by the coordinates and momenta of a dynamical system evolving in the absence of dissipation. They consist of a network of very thin thread-like regions within which the dynamics is chaotic, whereas the dynamics remains regular everywhere else. Although the concept seems abstract and mathematical at first sight, stochastic webs are now known to arise in a number of practical contexts, including for example plasma physics [1], ultra-cold atoms in optical lattices [2–4] and electrons in semiconductor superlattices (SLs) [5–11]; they have also been considered in connection with celestial mechanics [12]. The importance of the chaotic threads is that they can transport matter and energy effectively over long distances [13, 14]. In this brief and rather informal review we aim to introduce the general reader to stochastic webs, explaining what they are and discussing their recent developments and applications, taking electron transport in semiconductor SLs as our example.

We start (Section 1.1) from the definition of a Hamiltonian system, its dimensionality and integrability. Then (Section 1.2) we consider the effect of perturbations of the integrable system, which brings us to the concept of a chaotic (stochastic) layer, in particular related to resonances. The latter allows us to explain in the beginning of Section 2 the onset of the Arnold stochastic

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web in multi-dimensional systems. The main purpose of this section is to discuss the more sophisticated nature of low-dimensional stochastic webs which are, however, still related to the concept of resonance. In Section 3, we explain the limitations of the transport through the low-dimensional web and suggest a subtle way of overcoming these limitations. Finally, in Section 4, we discuss a rather unexpected application of the stochastic web concept to quantum electron transport in nanometer-scale semiconductor SLs in the presence of electric and magnetic fields. Section 5 draws conclusions.

1.1 Hamiltonian systems

Hamiltonian systems play an important role in physics, chemistry, biology and engineering, and form a fundamental class of dynamical systems [15–17]. They are defined by the following dynamical equations:

\[
\frac{dp_i}{dt} = -\frac{\partial H}{\partial q_i}, \quad \frac{dq_i}{dt} = \frac{\partial H}{\partial p_i}.
\]  

(1)

If the Hamiltonian \( H \) does not depend on time \( t \), while depending only on the momenta \( \vec{p} \equiv (p_1, \ldots, p_N) \) and coordinates \( \vec{q} \equiv (q_1, \ldots, q_N) \), then it is called \( N \)-dimensional. If it also depends on time \( t \), then it has the dimension \( N + \frac{1}{2} \). A remarkable property of any Hamiltonian system is the equality of its full and partial derivatives with respect to time:

\[
\frac{dH}{dt} = \frac{\partial H}{\partial t}.
\]  

(2)

In particular, for time-independent Hamiltonians, \( H(\vec{p}, \vec{q}) \) is conserved along the trajectory.

In general, the equations of motion (1) may not be integrable in quadratures\(^1\) [15–17], whence the importance of integrable systems, i.e. those time-independent Hamiltonian systems for which a transformation \( \{\vec{p}, \vec{q}\} \leftrightarrow \{\vec{I}, \vec{\theta}\} \) exists such that

\[
H(\vec{p}, \vec{q}) = \tilde{H}(\vec{I}).
\]  

(3)

\( I_i \) are called actions while \( \theta_i \) are called angles. It follows from (3) that \( \vec{I} \) is conserved:

\[
\frac{dI_i}{dt} = -\frac{\partial \tilde{H}}{\partial \theta_i} = 0,
\]  

(4)

while the angles \( \theta_i \) change with constant speeds (for a given \( \vec{I} \)),

\[
\frac{d\theta_i}{dt} = \frac{\partial \tilde{H}(\vec{I})}{\partial I_i} \equiv \omega_i(\vec{I}),
\]  

(5)

which are called frequencies.

Note that angles \( \theta_i \) are cyclic variables i.e. \( \vec{p} \) and \( \vec{q} \) are periodic functions of \( \theta_i \) with a period \( 2\pi \) for any \( \theta_i \) [1, 15–17]. Thus, Eqs. (4) and (5) correspond to periodic or quasi-periodic motion. The simplest and most often used example of an integrable system is a one-dimensional one, which will be described in more detail below.

\(^1\)When the solution of a differential equation expressible in terms of a formula involving integrations, it is said to be solvable by quadrature.
Stochastic webs and quantum transport

Figure 1. Schematic diagram to show a weak distortion of the majority of trajectories by a weak time-periodic perturbation: the blue line shows the trajectory of the unperturbed system, while the red line shows the stroboscopic Poincaré section of the trajectory of the perturbed one.

Figure 2. (a). The separatrix of the pendulum \( H = H_0 \equiv p^2/2 - \cos(q) \); the separatrix corresponds to \( H = H_s \equiv 1 \). (b). The chaotic layer (replacing the separatrix) in Poincaré section of the ac-driven pendulum: \( H = H_0 - 0.01q \cos(t) \).

1.2 Perturbed Hamiltonian systems

It is natural to pose the question: what is the effect of a weak perturbation on an integrable system? For the majority of cases, the answer is given by the Kolmogorov-Arnold-Moser (KAM) theory [15]: most of the trajectories are just weakly distorted by a weak perturbation while remaining regular. Let us illustrate this by an example of a one-dimensional system weakly perturbed time-periodically. In this case, it is convenient to present the trajectory in the stroboscopic Poincaré section \([1, 15–17]\), i.e. presenting states of the system \( \{ p(t), q(t) \} \) only at the discrete sequence of instants \( t = t_n \equiv t_0 + nT \) where \( t_0 \) is some initial instant, \( T \) is the perturbation period and \( n = 0, 1, 2, \ldots \). If the trajectory is “just weakly distorted while remaining regular”, then, in particular, the unperturbed trajectory and the Poincaré section of the perturbed one have the same dimension of 1 (i.e. they are just lines), and the same topology, while just slightly deviating from each other (Fig. 1).

There are, however, two kinds of situation for which KAM-theory is not valid. The first of these relates to the separatrices of the unperturbed systems. Let an integrable system possess a separatrix i.e. the line (or surface, or hyper-surface in the general multi-dimensional case) that separates trajectories of a different topology in the phase space\(^1\): e.g. in the example shown in Fig. 2(a), the separatrix separates closed trajectories (corresponding to oscillations inside the separatrix loops) from open trajectories (corresponding to the running coordinate below or above the separatrix loops). If the system is perturbed time-periodically\(^2\), then the separatrix is replaced by a chaotic trajectory. In Poincaré section, the chaotic trajectory lies within a chaotic layer (Fig. 2(b)): the latter has a complicated (fractal) structure but its outer boundaries are well defined and the region delineated by these boundaries has the dimension 2, unlike the

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\(^1\)More rigorously, the separatrices may be defined as follows [18]. Let the integrable system possess a saddle i.e. a hyperbolic point in the one-dimensional case (i.e. an unstable stationary point with an exponential dynamics of trajectories approaching it), or a hyperbolic invariant torus in higher-dimensional cases. The stable (incoming) and unstable (outgoing) manifolds are called separatrices.

\(^2\)In multi-dimensional cases, a time-independent perturbation also may give rise to the invalidity of the KAM-theory near the separatrix.
dimension 1 of regular trajectories. Thus, even the appearance of the Poincaré section allows us
to distinguish immediately between regular and chaotic trajectories, unless of course the width of
the chaotic layer is less than the accuracy provided by the numerical integration of equations of
motion. The theoretical prediction of the width in energy of the chaotic layer has a long and rich
history. Its description on a physics level of rigour may be found in the book by Zaslavsky [17].
Studies that are more mathematically rigorous have recently been reviewed [19]. The maximum
width of the layer and other significant features (high peaks) of the width as function of the
perturbation frequency have recently been described [20, 21].

Another characteristic situation where the KAM-theory is invalid relates to resonances, i.e. to
areas of the phase space where at least one of the following conditions holds

\[ n\omega_i(\vec{I}_r) = m\omega_j(\vec{I}_r), \]  
\[ n, m = \pm 1, \pm 2, \pm 3, \ldots, \] 
\[ i, j = 1, 2, 3, \ldots, N, \quad i \neq j, \] 
\[ N = 2, 3, 4, \ldots, \]

or

\[ n\omega_i(\vec{I}_r) = l\omega_f, \]  
\[ n = \pm 1, \pm 2, \pm 3, \ldots, \] 
\[ i = 1, 2, 3, \ldots, N - \frac{1}{2}, \] 
\[ N = \frac{3}{2}, \frac{5}{2}, \frac{7}{2}, \ldots, \]

where \( \omega_f \) is the frequency of the corresponding time-periodic perturbation while \( l \) is the number
of the Fourier harmonic that may exist for the time-periodic perturbation (e.g. for a monochro-
nmatic perturbation, only \( l = 1 \) is relevant).

The rigorous treatment of motion in the resonance range is rather complicated, being related
to the Poincaré-Birkhoff theorem and homoclinic and heteroclinic trajectories and tangencies
[22, 23]. We do not consider it here. Rather, we give a brief interpretation of the resonance-related
chaos in physical terms\(^1\). For the sake of clarity, consider an ac-driven 1D Hamiltonian system
whose frequency of eigenoscillation \( \omega \) increases monotonically with the energy of eigenoscillation
\( E \equiv H_0(p, q) \), while the perturbation frequency \( \omega_f \) exceeds the minimum of \( \omega(E) \), as shown in
Fig. 3:

\(^1\)This was given for the first time by Chirikov [24] (a clear presentation of the issues in question can be found e.g. in [17]).
\[
H = H_0(p, q) - h q \cos(\omega_f t),
\]
\[h \ll 1,\]
\[\omega_f > \omega_0 \equiv \min\{\omega(E)\}.
\]

Then there necessarily exists an energy \(E_r\) such that the resonance condition (7) with \(n = l = 1\) holds true:
\[
\omega(E_r) = \omega_f.
\]

Consider motion for energies close to \(E_r\). Let us transform from variables \(\{p, q\}\) to action-angle variables \(\{I, \theta\}\), so that the Hamiltonian becomes
\[
H(p, q) \equiv \tilde{H}(I, \theta) = \int_{I_{\text{min}}}^{I} d\tilde{I} \omega(\tilde{I}) - h \sum_n q_n(I) \cos(n\theta) \cos(\omega_f t)
\]
\[
= \int_{I_{\text{min}}}^{I} d\tilde{I} \omega(\tilde{I}) - \frac{1}{2} h q_1 \cos(\theta - \omega_f t) + \ldots
\]
\[
= \tilde{H}_0(I, \tilde{\theta} \equiv \theta - \omega_f t) + V_f(I, \tilde{\theta}, t),
\]
\[I(p, q) \equiv I(E) = \frac{1}{2\pi} \int p(q, E) \, dq, \quad E \equiv H_0(p, q),
\]
\[\theta(p, q) = \omega(E) \int_{-\pi}^{\pi} \frac{1}{p(q, E)} \, dq,
\]
\[q_n \equiv q_n(I) = \frac{1}{2\pi} \int_0^{2\pi} d\theta q \cos(n\theta).
\]

Here, the dots “…” denote terms that vary with time much faster than the preceding term: they are denoted in the next equality as \(V_f\). Thus, allowing for the resonance condition (9), we may introduce the slow angle \(\tilde{\theta} \equiv \theta - \omega_f t\) and present the original Hamiltonian as a sum of an “autonomous” part \(\tilde{H}_0(I, \tilde{\theta})\) and the time-dependent (fast-oscillating) part \(V_f(I, \tilde{\theta}, t)\). It is easy to check by direct substitution into the corresponding Hamiltonian equations of motion that the dynamics of the variables \(I\) and \(\tilde{\theta}\) is governed by the Hamiltonian
\[
\tilde{\mathcal{H}} = \tilde{H} - \omega_f I.
\]

Taking into account that the perturbation is small \((h \ll 1)\) and, therefore, that the variation of \(I\) around the resonance value \(I_r \equiv I(E_r)\) is also small, we may approximate the function \(\omega(I)\) near the resonance value as
\[
\omega(I) \approx \omega_f + \omega'_r (I - I_r),
\]
\[\omega'_r \equiv \frac{d\omega}{dI} \bigg|_{I = I_r}.
\]

From (10)-(12), we ultimately obtain the approximate auxiliary Hamiltonian governing the dy-
namics of \{I, \tilde{\theta}\}:
\[ \tilde{H}(I, \tilde{\theta}, t) = \frac{1}{2}\omega'(I - Ir)^2 - \frac{1}{2}hq_1 \cos(\tilde{\theta}) + V_f(I, \tilde{\theta}, t) \]
\[ \equiv \tilde{H}_0(\tilde{I} \equiv I - Ir, \tilde{\theta}) + V_f. \tag{13} \]

It represents the sum of a pendulum-like, autonomous Hamiltonian \(\tilde{H}_0(\tilde{I}, \tilde{\theta})\) and a time-periodic (fast-oscillating) part \(V_f\) that plays the role of a perturbation. The pendulum-like part \(\tilde{H}_0\) possesses a separatrix (cf. Fig. 2(a)) while the perturbation-like part \(V_f\) tends to destroy the separatrix, replacing it with an exponentially narrow chaotic layer.

Thus we have shown that a resonance is necessarily associated with a narrow chaotic layer.

2 Stochastic webs

In the example considered above, the chaotic layer associated with the resonance provides only a narrow (\(\propto \sqrt{h}\)) variation of energy (or, equivalently, of action). Thus, there is no significant transport in energy. Let us pose a question: could there be situations when a perturbation provides for chaotic transport through a large range of energies? We now describe the three stages of conceptual evolution that led to a positive answer to this question.

2.1 Multi-dimensional web

First, Arnold showed in 1964 [25] through rather simple topological arguments (also presented clearly in [17]) that, if the system is multi-dimensional (namely, if \(N \geq 5/2\)), and if the so called non-degeneracy condition \(\det(\partial^2 H/\partial I_i \partial I_j) \neq 0\) is fulfilled (in other words, if the system is sufficiently nonlinear), then resonances necessarily intersect with each other, forming an infinite web in the phase space along which an exponentially slow chaotic diffusion occurs.

2.2 Low-dimensional webs

Secondly, Chernikov et al. published an important series of papers in the late 1980s. We shall review just three of the more important of them, concentrating on the model of a harmonic oscillator subject to a plane wave, which will be relevant to our discussion of semiconductor SLs below. A good review of a broad range of the early work on low-dimensional stochastic webs may be found in [1]; more recent work is reviewed in [26] (see also [17]).

The main idea of Chernikov et al. is that a stochastic web may arise even in low-dimensional systems \((N = \frac{3}{2}; 2)\) provided that the non-degeneracy condition is lifted, in other words, in this case, if
\[ \frac{d\omega}{dT} = 0, \tag{14} \]

while the perturbation in the equation of motion is resonant and coordinate-dependent.

2.2.1 Cobweb

We now consider the best known example of a low-dimensional stochastic web, the skeleton of which in \(p - q\) Poincaré section has a form resembling that of a cobweb (Figs. 4(a), 5(b)). We

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1\(\tilde{I}\) plays the role of a generalized velocity while \(\tilde{\theta}\) plays the role of the generalized coordinate. Note that the generalized potential contains the small multiplier \(h\), so that the maximal absolute value of the “velocity” \(\tilde{I}\) is proportional to \(\sqrt{h}\) and, therefore, is small too.
suppose that a harmonic oscillator is perturbed by a resonant plane wave [27]:

\[ \ddot{q} + \omega_0^2 q = \epsilon \frac{\omega_0^2}{k} \sin(kq - \nu t), \]

\[ \nu = n\omega_0, \quad n = 1, 2, 3, ... \]

This particular model has a number of applications, especially to plasma physics [1] and to semiconductor SLs, as shown below in Sec. 4. In order to understand the origin of the stochastic web shown in Fig. 4, we

(i) transform to polar coordinates \( \{\rho, \theta\} \) or, equivalently, to action-angle variables \( \{I, \theta\} \):

\[ q = \rho \sin(\theta), \quad p \equiv \dot{q} = \omega_0 \rho \cos(\theta), \]

\[ \rho \equiv \sqrt{\frac{2I}{\omega_0}}, \]

(ii) make use of the formula [28]

\[ \cos(x \sin(\theta) - y) = \sum_{m=0}^{\infty} J_m(x) \cos(m\theta - y) \]  

where \( J_m(x) \) is a Bessel function of the \( m \)th order\(^1\).

Using (16) and (17), it is not difficult to show that the Hamiltonian of a harmonic oscillator perturbed by a plane wave can be represented in action-angle variables as

\[ H(I, \theta, t) = \omega_0 I + \epsilon \frac{\omega_0^2}{k^2} \sum_{m} J_m(k\rho(I)) \cos(m\theta - \nu t). \]  

Note that, due to the resonance condition \( \nu = n\omega_0 \) in (15), the term in the sum in (18) corresponding to \( m = n \) is nearly constant compared to other terms in the sum. So, similarly to \(^2\)

\(^2\)For parameters, we use the same notation as Zaslavsky [1] and Chernikov et al. [27] while the coordinate is denoted as \( q \) (instead of \( x \) in [1, 27]; cf. Fig. 4(a)) in order to match the notation in other sections and in some figures from other works reproduced below.

\(^1\)Note that \( J_m(x) \) is an oscillatory function of \( x \) with gradually decreasing amplitude as \( x \) increases. At \( x \sim 1 \), the period of oscillation is \( \sim 2\pi \) while the amplitude is \( \sim 1 \). For large \( x \), the Bessel function asymptotically approaches the function \( \sqrt{2/(\pi x)} \cos(x - (2m + 1)\pi/4) \).
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the case of nonlinear resonance considered in Section 1.2 above, it is this term that provides the major contribution to the dynamics. The other terms in the sum play the role of fast-oscillating perturbations. So we again introduce a slow variable, the angle $\tilde{\theta} \equiv n\theta - vt$. It is also convenient to introduce the normalized action $\tilde{I} \equiv I/n$. The dynamics of the slow variables $\{\tilde{I}, \tilde{\theta}\}$ is then governed by the auxiliary Hamiltonian $\tilde{H} \equiv \tilde{H}_s + \tilde{V}_f$, $\tilde{H}_s \equiv \tilde{H}_s(\tilde{I}, \tilde{\theta}) = \frac{\epsilon n}{k^2\omega_0^2} J_n(k\rho(\tilde{I})) \cos(\tilde{\theta})$, $\tilde{V}_f \equiv \tilde{V}_f(\tilde{I}, \tilde{\theta}, t) = \frac{\epsilon n}{k^2\omega_0^2} \sum_{m \neq n} J_m(k\rho(\tilde{I})) \cos\left(\frac{m}{n} \tilde{\theta} - \left(1 - \frac{m}{n}\right)vt\right)$.

Thus, $\tilde{H}_s$ is an autonomous Hamiltonian that determines the main features of the motion of $\{\tilde{I}, \tilde{\theta}\}$, while $\tilde{V}_f$ plays the role of a fast-oscillating perturbation.

It is straightforward to show that the autonomous Hamiltonian $\tilde{H}_s$ possesses a single infinite grid-like separatrix corresponding to the zero value of $\tilde{H}_s$ (Fig. 5, left panel). The vertical filaments of the grid correspond to $\tilde{\theta}$ being equal to odd multiples of $\pi/2$ while the horizontal filaments correspond to zeros of the relevant Bessel function, separatrix : $\tilde{H}_s = 0$ : $\tilde{\theta} = (2j + 1)\frac{\pi}{2}$, $j = 0, \pm 1, \pm 2, \ldots$, $\tilde{I} = \tilde{I}_i$, $i = 0, 1, 2, \ldots$, $J_n(k\rho(\tilde{I}_i)) = 0$.

Note that the grid-like separatrix does not depend on the amplitude of the original perturbation. Rather its form is an inherent property of the harmonic oscillator driven by the resonant plane wave.

The fast-oscillating term $\tilde{V}_f$ replaces this grid-like separatrix by the narrow chaotic layer. If the separatrix (20) is represented in the Poincaré section $p - q$, it takes precisely the cobweb form shown schematically in the right-hand panel of Fig. 5. Thus we have achieved the primary goal of this subsection, to explain the onset of the cobweb-like stochastic web.

Figure 5. Left panel: schematic representation of the grid-like separatrix of the Hamiltonian $\tilde{H}_s$, as defined in Eqs. (20); saddles are shown by dots. Right panel: schematic representation of the same separatrix in Poincaré section $p - q$. 

\[
\begin{align*}
\dot{I} &= -\frac{\partial \tilde{H}}{\partial \tilde{\theta}}, \quad \dot{\tilde{\theta}} = \frac{\partial \tilde{H}}{\partial \tilde{I}}, \\
\tilde{\theta} &= n\theta - vt, \quad \tilde{I} = I/n, \\
\tilde{H} &= \tilde{H}_s + \tilde{V}_f, \\
\tilde{H}_s &= \frac{\epsilon n}{k^2\omega_0^2} J_n(k\rho(\tilde{I})) \cos(\tilde{\theta}), \\
\tilde{V}_f &= \frac{\epsilon n}{k^2\omega_0^2} \sum_{m \neq n} J_m(k\rho(\tilde{I})) \cos\left(\frac{m}{n} \tilde{\theta} - \left(1 - \frac{m}{n}\right)vt\right).
\end{align*}
\]
2.2.2 Width of the cobweb

Transport through the web is affected, not only by the shape of the web’s skeleton, but also by its width, i.e. the width of the chaotic layer (Fig. 6). An exact calculation of the width is a complicated task that we will not undertake here. Rather, we will make a rough estimate sufficient to lead us to definite qualitative conclusions.

Before doing so, we make a general comment about the width in the case of a 1D system with a separatrix that is being perturbed by a time-periodic perturbation. The width depends strongly on the ratio $\omega_f$ between the frequency of the perturbation $\omega_{\text{perturbation}}$ and the frequency of small-amplitude eigenoscillations $\omega_{\text{unperturbed}}$. A schematic representation of the typical dependence is shown in Fig. 7. This figure will be discussed in more detail in Section 3. In the present context it is sufficient to emphasize that, if $\omega_f$ is large, then the width of the chaotic layer is exponentially narrow.

Let us now turn to the case of the web. As seen from (19), the characteristic frequency of the perturbation $\tilde{V}_f$ is $\sim \omega_0$. On the other hand, the unperturbed Hamiltonian $\tilde{H}_s$ is proportional to the small parameter $\epsilon$. Therefore, even without a careful analysis of its oscillations, it is clear that the frequency of oscillation in any cell of its grid-like separatrix is also small. Thus, we conclude that the ratio $\omega_f$ between the perturbation frequency and the eigenfrequency is large, so that the width of the layer should be exponentially small. This conclusion is confirmed both by careful theoretical analysis and by numerical simulations [1, 27].

Moreover, the analysis of oscillations near the elliptic points inside the cells of the separatrix of $\tilde{H}_s$ shows that, for cells far from the centre, the frequency of oscillation possesses the following property [1, 27]

$$\omega_{\text{unperturbed}} \propto \frac{\epsilon}{I^{3/4}},$$  \hspace{1cm} (21)

i.e. it decreases as the distance from the centre increases. Conversely, the ratio $\omega_f$ increases.
This means that the width of the layer decreases exponentially quickly as the distance from the centre of the web increases. This conclusion is confirmed by Fig. 4 above: even for the moderate $\epsilon$ used in this case, the width of the layer markedly decreases as the distance from the centre grows.

2.2.3 Inexact resonance

Natural questions to ask in relation to the cobweb are: what happens if the oscillator differs slightly from an ideal harmonic oscillator; and what happens if the resonance is inexact? The answers were given by Chernikov et al. [29] (see also [1]). They found that the effects of anharmonicity and inexact resonance are in fact similar. So in what follows we shall, for the sake of brevity, consider only the inexactness of the resonance:

$$\nu = n\omega + \Delta \omega, \quad \Delta \omega \ll \omega.$$  \hfill (22)

In this case, the autonomous resonance Hamiltonian reads as (cf. (19))

$$\bar{H}_s = \Delta \omega \bar{I} + \frac{\epsilon n}{k^2 \omega_0^2} J_n(k \rho(\bar{I})) \cos(\bar{\theta}).$$  \hfill (23)

As before, there are saddle points corresponding to different values of $\bar{I}$, namely different roots of the equation $J_n(k \rho(\bar{I})) = 0$. But this means that, unlike the resonance case ($\Delta \omega = 0$), the values of $\bar{H}_s$ at the saddles corresponding to different $\bar{I}$ are themselves different. This means that the single grid-like separatrix splits into infinitely many different separatrices (Fig. 8).

In order for at least two lowest separatrices to be connected, allowing chaotic transport within a unified structure (a web of a finite size, in the $p-q$ plane), the width of the chaotic layer should be more than, or of the order of, the difference in $\bar{H}_s$ between the two lowest separatrices:

$$\Delta \bar{H} \sim |\bar{H}_s(\bar{I}_2) - \bar{H}_s(\bar{I}_1)| \sim 2\pi |\Delta \omega|. \hfill (24)$$

Because $\Delta \bar{H}$ is exponentially narrow, as discussed in Section 2.2.2 above, the inequality (24) means that the stochastic web may be formed only if the perturbation frequency lies in an exponentially small vicinity of the resonance.

2.2.4 Uniform web

As already demonstrated above, the cobweb cannot in practice provide transport to arbitrarily large energies because of the exponentially fast decrease in the width of the chaotic layer with distance from the centre of the web. This limitation is overcome in another type of the stochastic web...
Figure 9. Example of a uniform web in $p - q$ Poincaré section [1].

Web, called the \textit{uniform web} [30] (see also [1]). Here, instead of being perturbed by a plane wave, the harmonic oscillator is perturbed by short kicks that are periodic in space and time such that the kick frequency is equal to the eigenfrequency of the oscillator or to one of its multiples:

$$\ddot{q} + q = -\epsilon \sin(kq) \sum_{n=-\infty}^{\infty} \delta(t - nT),$$

$$T = \frac{2\pi}{\nu}, \quad \nu = 1, 2, 3, \ldots$$

The web then covers the whole phase space uniformly, as shown in Fig. 9.

We note however that the width of the chaotic layer is still exponentially small if the amplitude of the perturbation is small [1, 29].

3 Modified stochastic webs

It is clear from the above discussion that a serious limitation affecting transport through any chaotic web is the exponential narrowness of the web’s chaotic layer, which leads to exponentially slow transport. Soskin et al. [21, 31, 32] recently suggested a way of overcoming this problem by making a subtle modification of the webs leading, in turn, to exponential growth in the width of the chaotic layer. We shall demonstrate this idea on our example of the cobweb, both because it is relevant to the application to the semiconductor SLs and because, in this case, it also leads to a dramatic increase in the size of the web.

3.1 Exact resonance case

We have found that there is an inherent limitation in the size of the cobweb. It does not relate to the inevitably finite time of numerical simulations, which places a practical limit on the distance over which the transport can be followed, but is characteristic of the cobweb itself. Our numerical simulations show (Fig. 10) that, for the given parameters, the inner two-and-a-half loops of the web are distinctly \textit{separated} from the adjacent outer one-and-a-half loops by regular trajectories. This might possibly be accounted for theoretically by consideration of higher-order approximations of the averaging method [33]. We may speculate that such an approach could show that, instead of a single infinite cobweb skeleton, there are many separate separatrices (of the one-and-a-half loop shape) lying closely together, but that they might then coalesce due to the chaotic layers dressing them as a result of the perturbation. Because the width of the layer decreases exponentially fast with increasing distance from the centre, this would mean that coalescence would occur only within a few inner loops. Just this is observed in Fig. 10, even despite that $\epsilon$ is moderate rather than small.
One may reasonably ask: Is there any subtle way to substantially increase the size of the web and to enhance transport through it?

In order to answer this question, let us recall the reason for the exponential narrowness of the chaotic layer. It follows from Fig. 7 that it is attributable to the frequency of the perturbation $V_f$ being much higher than the eigenfrequency of the unperturbed resonant Hamiltonian $\tilde{H}_s$. It is clear from Fig. 7 that the width of the layer would be much larger if we could manage to modify the original system in such a way that a new perturbation of the resonance Hamiltonian had a component whose frequency was of the order of, or less than, the eigenfrequency of the resonance Hamiltonian $\tilde{H}_s$. In fact, this may readily be accomplished in at least two different ways: (i) one can add to the original plane wave a small perturbation of the slightly shifted frequency (it can itself be e.g. a plane wave); (ii) one can modulate weakly the angle of the original plane wave at a low frequency. We demonstrate below only the second option (it will be especially convenient for realization of the phenomena in SLs, as shown in Section 4 below).

We therefore consider the modified system (cf. the original Eq. (15)):

$$\ddot{q} + \omega_0^2 q = \epsilon \omega_0^2 \frac{k}{\nu} \sin(kq - \nu t - h \sin(\Omega t)), \quad n = 1, 2, 3, ...,$$

$$h \ll 1, \quad \Omega \lesssim \omega_{\text{unperturbed}} \approx \frac{\epsilon \omega_0}{I^{3/4}}.$$

Of course, the latter inequality cannot be satisfied for an arbitrarily large $I$, but it can be true for a sufficiently high value of $I$ which greatly exceeds the original cobweb size in terms of $I$.

Repeating the same procedure used above in the derivation of Eq. (19), i.e. transforming to action-angle variables, introducing the slow angle $\tilde{\theta}$ and the auxiliary Hamiltonian $\tilde{H} \equiv nH - \nu \tilde{I}$ which governs the dynamics of $\{\tilde{I} - \tilde{\theta}\}$, we can derive:

$$\tilde{H} = \tilde{H}^{(\text{modified})} + \tilde{V}_f,$$

$$\tilde{H}^{(\text{modified})} \approx \tilde{H}_s + h \frac{\epsilon n}{k^2} \omega_0^2 J_n(k\rho(\tilde{I})) \sin(\tilde{\theta}) \sin(\Omega t)$$
Stochastic webs and quantum transport

Figure 11. Poincaré section for a trajectory of the system (28) with initial state $q = 0.1, \dot{q} = 0$ (at instants $t_n = nT$ where $T = 2\pi/0.02$ is the period of the modulation and $n = 1, 2, 3, \ldots, 600000$) for $h = 0$ (left panel) and $h = 0.1$ (right panel). A symplectic integration scheme of the fourth order is used, with an integration step $t_{int} = \frac{2\pi}{40000} \approx 1.57 \times 10^{-4}$, so that the inaccuracy at each step is of the order of $t_{int}^5 \approx 10^{-19}$. The left panel corresponds to the conventional case considered in [1, 17, 27]. The right panel demonstrates that the modulation, although weak, greatly enlarges the web size (note the different axes scales), thereby greatly enhancing the chaotic transport. The inset in the top right hand-corner plots the left-hand panel on the same scale, thereby illustrating the dramatic extent of this enlargement.

Figure 12. Dynamics of the energy for the same systems as in Fig. 11.

(in the derivation, we took into account in particular the smallness of $h$).

Unlike the original autonomous slow resonance Hamiltonian $\tilde{H}_s \equiv \tilde{H}_s(\tilde{I}, \tilde{\theta})$, the modified slow part of the Hamiltonian, i.e. $\tilde{H}_s^{\text{modified}}$, depends on time: it contains a term $\propto h$ which oscillates at a low frequency $\Omega$. It is this slowly oscillating additional term (rather than the former fast-oscillating perturbation term $\tilde{V}_f$) that now determines the width of the layer: the width is moderately small (due to the smallness of $h$ and $\epsilon$), rather than exponentially small as in the original setup. This exponential growth in the width of the layer gives rise to substantial growth in the size of the cobweb.

To illustrate the above ideas, we use the following example:

$$\ddot{q} + q = 0.1 \sin[15q - 4t - h\sin(0.02t)].$$  

For $h = 0$, this coincides with the conventional cobweb example developed in [1, 17, 27].

Comparison of the left and right panels of Fig. 11, corresponding to $h = 0$ and $h = 0.1$ respectively, reveals a 6-fold increase in the size of the web in terms of $q$ and $p \equiv dq/dt$:

$$n_{q,p} \approx 6.$$  

(28)
We emphasize that the modulation giving rise to this substantial increase is actually very small: its amplitude of 0.1 is about 60 times smaller than $2\pi$ which is the relevant scale for the angle.

The corresponding increase of the size in terms of energy is proportional to the square of $n_{q,p}$:

$$n_E = n_{q,p}^2 \approx 36. \quad (30)$$

Fig. 12 shows this explicitly and, in addition, demonstrates that the mode of transport is significantly changed.

### 3.2 Inexact resonance

Our idea of an additional small modulation of the angle of the plane wave is equally fruitful in the case of an inexact resonance. The frequency band (around the resonance) in which the web-like structure is formed may grow exponentially: instead of the exponentially narrow band found in the absence of modulation, we may have a moderately narrow band.

Moreover, there is a nontrivial spectral dependence of this growth: it reflects a universal mechanism for facilitation of the onset of chaos between adjacent separatrices, discovered recently by Soskin, Mannella and Yevtushenko [34]. To explain this mechanism, we use their example: it is a potential system with a spatially periodic potential possessing two barriers of different height within one period (Fig. 13(a)). Naturally, there are two kinds of separatrices (Fig. 13(b)). It was shown [34] that the frequency of oscillation $\omega$ as a function of energy $E$ possesses a local maximum $\omega_m$ between the separatrices and, moreover, $\omega$ is close to $\omega_m$ over most of the inter-separatrix energy range (Fig. 13(c)). The latter property is valid for any system with two or more separatrices and is particularly important in the present context.

If we perturb the system with a time-periodic perturbation of frequency slightly lower than $\omega_m$ then, due to the flatness of $\omega(E)$ over most of the inter-separatrix range of $E$, two nonlinear
resonances arise that are very wide in terms of energy. Even a rather small amplitude of perturbation may be sufficient for these nonlinear resonances to overlap with each other and with the separatrix chaotic layers, thus connecting the latter by the chaotic transport. This has been confirmed both theoretically and in numerical simulations. Consequently, a critical perturbation amplitude $h_{cr}$ is required for chaotic transport between the separatrix chaotic layers (which may be considered as the onset of global chaos between them). As a function of the perturbation frequency $\omega_f$, it possesses a deep minimum\(^1\) at a frequency approximately equal to $\omega_m$. This is not only seen in the simulations (Fig. 14) but is also well described by the theory [34].

The situation is similar for modulation-assisted formation of the web in the case of inexact resonance between the plane wave frequency and that of the oscillator. To demonstrate this, we use the following example (the parameters correspond to those used in experiments on semiconductor SLs):

\[ \ddot{q} + q = \epsilon \sin[q - \nu t - h \sin(\Omega t)], \]
\[ \nu = 1.02292, \quad \epsilon = 0.573. \tag{31} \]

For $h = 0$, a stochastic web is not formed because the $\Delta \omega \equiv \nu - 1 \approx 0.023$ is too large for the

\(^1\)If the perturbation is parametric rather than additive, then the deepest minimum may occur at some multiple of $\omega_m$ rather than at $\omega_m$ itself [34].
chaotic connection of any separatrices of $\tilde{H}_s$ (23) to occur. We have calculated numerically the two lowest separatrices in the plane $\tilde{I} - \tilde{\theta}$ (cf. Fig. 8), and then obtained the frequency $\omega$ of oscillation of $\tilde{I}$ (or, equivalently, of the shift by $2\pi$ of $\tilde{\theta}$) as a function of the auxiliary energy $\tilde{E} \equiv \tilde{H}_s$; see Fig. 15. There is a local maximum that is clearly similar to that in Fig. 13(c).

Then we switch on the modulation of the wave angle and, for each given $\Omega$, increase $h$ gradually, until the web is formed i.e. until chaotic connection occurs between the first two separatrices $\tilde{H}_s$. This may be considered as the formation of the web. The spectral dependence of the corresponding critical amplitude is shown in Fig. 16. Similar to Fig. 14, it exhibits a deep minimum (note the logarithmic scale) at a frequency which is a little smaller than the local maximum of the dependence $\omega(\tilde{E})$: cf. Fig. 16.

4 Semiconductor superlattices in electric and magnetic fields

An application of the stochastic cobweb in nanoscience was recently identified and discussed in a series of publications by researchers from the University of Nottingham [5–8, 10]. They considered quantum electron transport in nanometre-scale 1D semiconductor SLs subject to a constant electric field along the SL axis and to a constant magnetic field (Fig. 17(a,b)). The spatial periodicity of the SL layers gives rise to minibands for the electrons (Fig. 17(c)). In the tight-binding approximation, the electron’s energy $E$ as a function of its momentum $\vec{p}$ in the lowest miniband is given by [5, 8]

$$E(\vec{p}) = \frac{\Delta [1 - \cos(p_x d/\hbar)]}{2} + \frac{p_y^2 + p_z^2}{2m^*},$$

(32)

where $x$ is oriented along the SL axis, $\Delta$ is the miniband width, $d$ is the SL period, and $m^*$ is the electron effective mass for motion in the transverse (i.e. $y$-$z$) direction.

Thus, the quasi-classical motion of an electron of charge $e$ in an electric field $\vec{F}$ and a magnetic field $\vec{B}$ can be described by:

$$\frac{d\vec{p}}{dt} = -e\{\vec{F} + [\nabla_p E(\vec{p}) \times \vec{B}]\}. \quad (33)$$

It was shown in [5] that, for a constant electric field along the SL axis $\vec{F} = (-F, 0, 0)$ and constant magnetic field with a given angle $\theta$ to the axis $\vec{B} = (B \cos(\theta), 0, B \sin(\theta))$, the dynamics of the $z$-component of momentum $p_z$ reduces to the equation of motion of an auxiliary harmonic oscillator
subject to a plane wave i.e. to the equation considered in the previous sections\textsuperscript{1,2}:

\begin{equation}
\ddot{p}_z + \omega_0^2 p_z = \epsilon \frac{\omega_0^2}{k} \sin(kp_z - \nu t + \phi), \quad (34)
\end{equation}

We emphasize that, despite its classical appearance, Eq. (34) has an inherently quantum origin: most of the parameters contain Planck's constant $\hbar$.

The dynamics of the system is fully determined by the dynamics of $p_z$. Fig. 18 shows how the trajectory of an electron in the $x$-$z$ plane changes with the angle of the magnetic field.

At $\theta = 0$, the plane wave has zero amplitude and the motion along the $x$- and $z$-directions is separable. Electrons undergo Bloch oscillations along $x$ (due to the presence of the constant electric field) and cyclotron motion about $\vec{B}$ (Fig. 18(a)). The motion is localized.

Tilting $\vec{B}$ produces nonlinear coupling of the Bloch and cyclotron motion: as $\theta \neq 0$, the plane wave in (34) acquires a non-zero amplitude. This causes some moderate delocalization of trajectories (Fig. 18(b)). The delocalization grows very fast (Fig. 18(c)) when $\theta$ reaches values corresponding to the integer values $r \equiv \omega_B / (\omega_c \cos(\theta))$, in other words to the resonance $\nu = n \omega_0$.

This strong delocalization in $x$ is a consequence of the onset of the stochastic web for the motion of $p_z$ (34).

It is remarkable that the quantum probability density $|\Psi(x, z)|^2$, calculated by solution of the Schrödinger equation in the SL model potential subjected to electric and magnetic fields should so nicely follow quasi-classical trajectories based on the dynamics of $p_z$ (34); see Fig. 18.

As shown in [5, 6], the delocalization of the electrons\textsuperscript{1} strongly affects their drift velocity $v_d$ and, as a consequence, the current $I$ and the current-voltage dependence $I(V)$. There are clear manifestations of the resonances, both in the theoretical curves $v_d(F)$, $I(V)$, $dI/dV$ (see Fig. 17(d), Fig. 19(c) and Fig. 19(d) respectively), and in the experimental curves $I(V)$ and $dI/dV$ (Figs. 19(a) and (b) respectively). Thus there is clear evidence for stochastic web formation in quantum electron transport, providing the basis for a conceptually new method for its control.

When scattering is included \textit{a priori} in the semiclassical equations of motion, the stochastic web, and stable islands that it enmeshes, evolve into limit cycles. These limit cycles also exhibit sharp resonant delocalization and their locations in phase space closely reflect the underlying web topology [8].

Finally, we pose a question: could the modification of the stochastic web discussed in the previous section be of use for the SLs? Its seems [21, 31, 32] that this is indeed the case. As shown in Section 3 above, modulation of the wave angle results in a large increase of the web size. It was noted in [5] that the delocalization in $x$ is proportional to the web size in terms of the energy of the oscillator in $p_z$, i.e. to $E = \dot{p}_z^2/2 + \omega_0^2 p_z^2/2$ (cf. Fig. 18). The only question is

\textsuperscript{1}The only small difference is the presence of a constant shift $\phi$ in the wave angle, but it is inessential.

\textsuperscript{2}The motion of electrons in a biased SL with a tilted magnetic field can also be linked to the ultra-fast Fiske effect observed for a Josephson junction coupled to an electromagnetic resonator [35].

\textsuperscript{3}Seemingly paradoxically, one must take account of scattering in the calculation: if the motion were purely Hamiltonian, the position of the electron averaged over time would be constant.
how the SL should be perturbed in order for the modulation term to appear in the dynamical equation for $p_z$. One suggestion [21, 31, 32] is that, in a manner similar to the derivation of Eq. (34), one can show that the modulation term in the equation for $p_z$ appears if an ac-component is added to the constant electric field:

$$ F \rightarrow F + F_{ac} \cos(\omega_{ac}t). \quad (35) $$

Then, the following modulation term is added in the wave angle in Eq. (34):

$$ h \sin(\omega_{ac}t), \quad h = \frac{F_{ac} \omega_B}{F \omega_{ac}}. \quad (36) $$

To compare the resulting equation with the example (28) that we studied numerically, we transform to normalized time

$$ t \rightarrow \tilde{t} \equiv \omega_0 t. \quad (37) $$
Then the equation of motion for $p_z$ is:

$$\frac{d^2 p_z}{dt^2} + p_z = \frac{\epsilon}{k} \sin(kp_z - \nu t + \phi + h \sin(\Omega t)), \quad (38)$$

$$\Omega = \frac{\omega_{ac}}{\omega_0}, \quad h = \frac{F_{ac}}{F} \frac{\nu/\omega_0}{\Omega},$$

where all other parameters are as in Eq. (34).

Thus, if the parameters are similar to those in (28), in particular: $h = 0.1$, $\Omega = 0.02$, $\nu/\omega_0 = 4$, then we will have an enlargement in $E$ as found for Eq. (28): $n_E \approx 36$. In order to achieve this, we need $F_{ac}/F = h\Omega/(\nu/\omega_0) = 1/2000$. This means that in order to achieve delocalization of the electron by a factor of about 40, we need to add to the constant electric field an ac-component of amplitude that is smaller than the constant component by a factor of 2000! We remind the reader that the reason for such a dramatic change when an ac-component is added is the exponentially strong enhancement of chaotic transport through the stochastic web due to the modulation of the wave angle.

Recently, the effects of stochastic web formation on the high-frequency (GHz-THz) performance of the SLs has been considered [10, 11]. Modulation of the $v_g(F)$ curves, induced by stochastic web formation, leads to the formation of multiple propagating electron accumulation
and depletion regions (charge domains), which greatly increase both the strength and frequency of the associated temporal current oscillations. Chaos-assisted motion through stochastic webs may, therefore, provide a mechanism for controlling the collective dynamics of electrons in SLs and, hence, for enhancing their THz performance by using single-particle miniband transport to tailor the shape of the $v_d(F)$ curves.

5 Conclusions

We have shown that, in general, there is a possibility for energy in a Hamiltonian system to be increased from small to rather large values as a result of transport through a stochastic web.

In a multi-dimensional system, the onset of a stochastic web is a common phenomenon, predicted by Arnold in 1964. In the present review, we have been more interested in the low-dimensional stochastic webs discovered by Chernikov et al. in the late 1980s. They occur in special situations: in a harmonic, or nearly harmonic, oscillator driven by perturbations periodic in time and space that are resonant, or nearly resonant, with the oscillator.

We emphasized that the stochastic cobweb can arise when the oscillator is driven by a weak resonant, or nearly resonant, plane wave. The exponentially small width of a strand of the web is a characteristic feature of all stochastic webs and it decreases exponentially fast as the distance from the centre of the cobweb increases. Moreover there is an inherent limitation on the size of the cobweb. Soskin et al. have suggested how to overcome the restriction in size of the cobweb and the exponential narrowness of its chaotic layer, just by slightly modifying the system by means of a small slow modulation of the angle of the plane wave.

The model of the stochastic web turned out to be directly relevant to the quantum transport of electrons in semiconductor SLs in constant electric and magnetic fields, as demonstrated by
Fromhold et al.: the quantum transport dynamics reduces to the model of the harmonic oscillator perturbed by a plane wave, where parameters are determined by the values of the electric and magnetic fields, by the angle between them, by the period of the SL, by the charge and the effective mass of the electron, and by Planck’s constant. At certain values of the parameters, in particular of the electric field, resonance occurs between the oscillator and the plane wave, resulting in the onset of the stochastic cobweb and, consequently, in a strong delocalization of the electron which, in turn, increases the current and gives rise to a peak in the dependence of the differential conductivity on voltage.

An addition to the constant electric field of a small slow ac-component results in the slow modulation of the plane angle and, therefore, promises to strongly increase the delocalization of the electron and to enhance a range of related phenomena.

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