

Some remarks on James–Schreier spaces

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Abstract

The James–Schreier spaces V_p , where $1 \leq p < \infty$, were recently introduced by Bird and Laustsen [5] as an amalgamation of James’ quasi-reflexive Banach space on the one hand and Schreier’s Banach space giving a counterexample to the Banach–Saks property on the other. The purpose of this note is to answer some questions left open in [5]. Specifically, we prove that (i) the standard Schauder basis for the first James–Schreier space V_1 is shrinking, and (ii) any two Schreier or James–Schreier spaces with distinct indices are non-isomorphic. The former of these results implies that V_1 does not have Pełczyński’s property (u) and hence does not embed in any Banach space with an unconditional Schauder basis.

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1 Introduction

Let $1 \leq p < \infty$. By the p^{th} Schreier space, denoted S_p , we understand the Banach space obtained by completing c_{00} (the vector space of finitely supported scalar sequences) with respect to the norm

$$\|x\|_{S_p} := \sup \left\{ \left(\sum_{j=1}^k |\alpha_{n_j}|^p \right)^{\frac{1}{p}} : k, n_1, \dots, n_k \in \mathbb{N}, k \leq n_1 < n_2 < \dots < n_k \right\}, \quad (1.1)$$

where $x = (\alpha_n)_{n \in \mathbb{N}} \in c_{00}$. The space S_1 is the one which is usually known as *the* Schreier space in the literature; it was formally introduced by Beauzamy and Lapresté [3], building on ideas from Baernstein’s thesis [2], which in turn were inspired by Schreier’s seminal construction [9].

The Schreier spaces have recently been amalgamated with James’ quasi-reflexive Banach spaces [6] by Bird and Laustsen [5]. More precisely, for $1 \leq p < \infty$, the p^{th} James–Schreier space, denoted V_p , is the completion of c_{00} with respect to the norm

$$\|x\|_{V_p} := \sup \left\{ \left(\sum_{j=1}^k |\alpha_{n_j} - \alpha_{n_{j+1}}|^p \right)^{\frac{1}{p}} : k, n_1, \dots, n_{k+1} \in \mathbb{N}, k \leq n_1 < n_2 < \dots < n_{k+1} \right\}, \quad (1.2)$$

where $x = (\alpha_n)_{n \in \mathbb{N}} \in c_{00}$. We refer to [5] for the background and motivation behind these spaces, as well as a thorough study of their fundamental properties. The purpose of this paper is to resolve two problems left open in [5].

First, it was shown in [5] that $(e_n)_{n \in \mathbb{N}}$, where $e_n \in c_{00}$ is the sequence with 1 in position n and 0 elsewhere, is a Schauder basis for V_p for each $p \geq 1$ and, moreover, that this basis is *shrinking* (meaning that the associated sequence of biorthogonal functionals $(e'_n)_{n \in \mathbb{N}}$ is a Schauder basis for the dual space V'_p) whenever $p > 1$. The question of whether or not the basis $(e_n)_{n \in \mathbb{N}}$ is shrinking for $p = 1$ was left open; in Section 2 we answer this question in the positive. As a consequence, we deduce that V_1 does not have Pełczyński's property (u) and hence does not embed in a Banach space with an unconditional Schauder basis.

Second, regarding embeddings and isomorphisms of Schreier and James–Schreier spaces, it was proved in [5] that:

- (i) for each $p \geq 1$, S_p is isomorphic to a complemented subspace of V_p ;
- (ii) for each $p > 1$, V_p does not embed in S_q for any $q \geq 1$; this result extends to the case $p = 1$ by the conclusions of Section 2 of the present paper.

We complete this picture in Section 3 by proving that, for $q > p \geq 1$, no subspace of V_q is isomorphic to S_p , and consequently no subspace of S_q is isomorphic to S_p , and no subspace of V_q is isomorphic to V_p . In particular, $S_p \not\cong S_q$ and $V_p \not\cong V_q$ whenever $p \neq q$.

2 The standard basis for the first James–Schreier space is shrinking

As the title indicates, the aim of this section is to prove the following result.

2.1 Theorem. *The standard Schauder basis $(e_n)_{n \in \mathbb{N}}$ for V_1 is shrinking.*

The proof of Theorem 2.1 relies on two lemmas. Before presenting these, we recall some notation and terminology from [5]. Throughout, \mathbb{K} denotes the scalar field; either $\mathbb{K} = \mathbb{R}$ or $\mathbb{K} = \mathbb{C}$. We write $\text{card } A$ for the cardinality of a (typically finite) set A . Suppose that A is a subset of \mathbb{N} . We then write $A = \{n_1 < n_2 < \dots < n_k\}$ to indicate that A is finite and non-empty and that $\{n_1, n_2, \dots, n_k\}$ is the increasing ordering of A . We say that A is *admissible* if $1 \leq \text{card } A \leq \min A$ and *permissible* if $2 \leq \text{card } A \leq 1 + \min A$. Thus a typical admissible set has the form $\{n_1 < n_2 < \dots < n_k\}$, where $1 \leq k \leq n_1$, while a typical permissible set can be written as $\{n_1 < n_2 < \dots < n_{k+1}\}$, again with $1 \leq k \leq n_1$.

Now let $1 \leq p < \infty$. For $x = (\alpha_n)_{n \in \mathbb{N}} \in c_{00}$ and $A \subseteq \mathbb{N}$, let $\mu_p(x, A) := (\sum_{n \in A} |\alpha_n|^p)^{\frac{1}{p}}$. The p^{th} Schreier norm of $x \in c_{00}$ defined by (1.1) can then be expressed as

$$\|x\|_{S_p} = \sup\{\mu_p(x, A) : A \subseteq \mathbb{N} \text{ is admissible}\}.$$

Similarly, for $x = (\alpha_n)_{n \in \mathbb{N}} \in c_{00}$ and $A = \{n_1 < n_2 < \dots < n_{k+1}\} \subseteq \mathbb{N}$, where $k \in \mathbb{N}$, let $\nu_p(x, A) := (\sum_{j=1}^k |\alpha_{n_j} - \alpha_{n_{j+1}}|^p)^{\frac{1}{p}}$. The p^{th} James–Schreier norm of $x \in c_{00}$ from (1.2) is then given by

$$\|x\|_{V_p} = \sup\{\nu_p(x, A) : A \subseteq \mathbb{N} \text{ is permissible}\}.$$

We are now ready to embark on the proof of Theorem 2.1. The Schreier counterpart of this theorem — that the standard unit vector basis for S_1 is shrinking — is well-known; a proof can be found in [5, Proposition 3.10]. We shall follow a similar strategy here; more care is, however, required to construct a suitable embedding of V_1 into a space of the form $C(\Omega)$. It should be noted that our proof (specifically, Lemma 2.3) relies on the fact that the standard unit vector basis for S_1 is shrinking.

2.2 Lemma. (i) Let $A = \{n_1 < n_2 < \dots < n_{2k}\}$ be a permissible subset of \mathbb{N} of even cardinality. Then the functional

$$\eta_A: (\alpha_n)_{n \in \mathbb{N}} \mapsto \sum_{j=1}^k (\alpha_{n_{2j-1}} - \alpha_{n_{2j}}), \quad c_{00} \rightarrow \mathbb{K},$$

extends to a contractive functional on V_1 .

(ii) For each $x \in c_{00}$, there is a permissible subset A of \mathbb{N} of even cardinality such that $|\langle x, \eta_A \rangle| \geq \varepsilon \|x\|_{V_1}$, where

$$\varepsilon := \begin{cases} \frac{1}{2} & \text{for } \mathbb{K} = \mathbb{R} \\ \frac{1}{4} & \text{for } \mathbb{K} = \mathbb{C}. \end{cases} \quad (2.1)$$

Proof. (i). Linearity of η_A is clear, while contractivity follows from the fact that

$$|\langle x, \eta_A \rangle| \leq \sum_{j=1}^k |\alpha_{n_{2j-1}} - \alpha_{n_{2j}}| \leq \nu_1(x, A) \leq \|x\|_{V_1} \quad (x = (\alpha_n)_{n \in \mathbb{N}} \in c_{00})$$

because the set A is permissible.

(ii). Suppose that $x = (\alpha_n)_{n \in \mathbb{N}} \in c_{00}$ is non-zero. We shall first consider the case where $\alpha_n \in \mathbb{R}$ for each $n \in \mathbb{N}$. Choose a permissible set $B = \{n_1 < n_2 < \dots < n_{k+1}\} \subseteq \mathbb{N}$ of minimal cardinality such that $\|x\|_{V_1} = \nu_1(x, B)$. The minimality of card B ensures that:

(a) $\alpha_{n_j} \neq \alpha_{n_{j+1}}$ for each $j \in \{1, \dots, k\}$, because if $\alpha_{n_j} = \alpha_{n_{j+1}}$ for some j , then

$$\nu_1(x, B) = \nu_1(x, B \setminus \{n_j\});$$

(b) if $\alpha_{n_j} > \alpha_{n_{j+1}}$ for some $j \in \{1, \dots, k-1\}$, then $\alpha_{n_{j+1}} < \alpha_{n_{j+2}}$; the reason is that the assumption $\alpha_{n_j} > \alpha_{n_{j+1}} > \alpha_{n_{j+2}}$ would imply that

$$\begin{aligned} \nu_1(x, B) &= \sum_{\ell=1}^{j-1} |\alpha_{n_\ell} - \alpha_{n_{\ell+1}}| + (\alpha_{n_j} - \alpha_{n_{j+1}}) + (\alpha_{n_{j+1}} - \alpha_{n_{j+2}}) \\ &\quad + \sum_{\ell=j+2}^k |\alpha_{n_\ell} - \alpha_{n_{\ell+1}}| = \nu_1(x, B \setminus \{n_{j+1}\}); \end{aligned}$$

(c) similarly, if $\alpha_{n_j} < \alpha_{n_{j+1}}$ for some $j \in \{1, \dots, k-1\}$, then $\alpha_{n_{j+1}} > \alpha_{n_{j+2}}$.

Since $\nu_1(x, B) = \nu_1(-x, B)$, we may suppose that $\alpha_{n_1} > \alpha_{n_2}$; observations (b)–(c) then imply that $\alpha_{n_1} > \alpha_{n_2} < \alpha_{n_3} > \alpha_{n_4} < \dots$.

We now split in two cases, depending on the parity of k . For k even, we see that

$$\|x\|_{V_1} = \nu_1(x, B) = \sum_{j=1}^{k/2} ((\alpha_{n_{2j-1}} - \alpha_{n_{2j}}) + (\alpha_{n_{2j+1}} - \alpha_{n_{2j}})) = |\langle x, \eta_C \rangle| + |\langle x, \eta_D \rangle|, \quad (2.2)$$

where we have introduced $C := \{n_1 < n_2 < \dots < n_k\}$ and $D := \{n_2 < n_3 < \dots < n_{k+1}\}$. Each of these two sets is permissible and has even cardinality, and (2.2) implies that either $A := C$ or $A := D$ must satisfy $|\langle x, \eta_A \rangle| \geq \|x\|_{V_1}/2$.

When k is odd, a similar calculation shows that $\|x\|_{V_1} = |\langle x, \eta_B \rangle| + |\langle x, \eta_E \rangle|$, where $E := \{n_2 < n_3 < \dots < n_k\}$. Hence either $A := B$ or $A := E$ satisfies $|\langle x, \eta_A \rangle| \geq \|x\|_{V_1}/2$, and in both cases A is permissible and has even cardinality. This completes the proof in the real case.

Now suppose that $\mathbb{K} = \mathbb{C}$, and define $y := (\operatorname{Re} \alpha_n)_{n \in \mathbb{N}}$ and $z := (\operatorname{Im} \alpha_n)_{n \in \mathbb{N}}$. Then we have $x = y + iz$, so that $\|x\|_{V_1} \leq \|y\|_{V_1} + \|z\|_{V_1}$ and thus either $\|y\|_{V_1} \geq \|x\|_{V_1}/2$ or $\|z\|_{V_1} \geq \|x\|_{V_1}/2$. We consider the first case only; the second is similar. As y has real coordinates, the first part of the argument applies, yielding a permissible set A of even cardinality such that $|\langle y, \eta_A \rangle| \geq \|y\|_{V_1}/2$, and consequently we have

$$|\langle x, \eta_A \rangle| = |\langle y, \eta_A \rangle + i\langle z, \eta_A \rangle| \geq |\langle y, \eta_A \rangle| \geq \frac{\|y\|_{V_1}}{2} \geq \frac{\|x\|_{V_1}}{4},$$

as required. \square

2.3 Lemma. *For each bounded functional f on V_1 , the set $\mathbb{E}(f) := \{n \in \mathbb{N} : \langle e_n, f \rangle = 1\}$ is finite.*

Proof. For clarity, we write $(d_n)_{n \in \mathbb{N}}$ for the standard unit vector basis for S_1 in this proof, while $(e_n)_{n \in \mathbb{N}}$ denotes the standard basis for V_1 , as usual; thus $d_n = e_n$ as vectors, but we regard the former as an element of S_1 , while the latter belongs to V_1 .

It suffices to prove that each of the sets $\mathbb{E}(f) \cap 2\mathbb{N}$ and $\mathbb{E}(f) \cap (2\mathbb{N} - 1)$ is finite. To verify the first of these assertions, we note that, by [5, Proposition 4.10], we have a bounded operator $\Phi: S_1 \rightarrow V_1$ given by $\Phi d_n := e_{2n}$ for each $n \in \mathbb{N}$. Denoting by Φ' the adjoint of this operator, we find

$$\langle e_{2n}, f \rangle = \langle \Phi d_n, f \rangle = \langle d_n, \Phi' f \rangle \rightarrow 0 \quad \text{as } n \rightarrow \infty$$

because the basis $(d_n)_{n \in \mathbb{N}}$ for S_1 is shrinking, and consequently the set $\mathbb{E}(f) \cap 2\mathbb{N}$ is finite.

The second assertion is an easy consequence of this. Indeed, by [5, Proposition 4.18(i)], the left shift given by $\Lambda e_1 := 0$ and $\Lambda e_{n+1} := e_n$ for each $n \in \mathbb{N}$ defines a contractive operator on V_1 . Since $\langle e_{2n-1}, f \rangle = \langle \Lambda e_{2n}, f \rangle = \langle e_{2n}, \Lambda' f \rangle$ for each $n \in \mathbb{N}$, we see that $\mathbb{E}(f) \cap (2\mathbb{N} - 1) = (\mathbb{E}(\Lambda' f) \cap 2\mathbb{N}) - 1$, and the latter set is finite by the first part of the proof (applied to the functional $\Lambda' f$ instead of f). \square

Proof of Theorem 2.1. By a standard characterization of shrinking bases (*e.g.*, see [1, Proposition 3.2.7]), we must prove that every normalized block basic sequence $(u_n)_{n \in \mathbb{N}}$ of the standard basis $(e_n)_{n \in \mathbb{N}}$ for V_1 is weakly null.

The Banach–Alaoglu Theorem implies that the set

$$\Omega := \{f \in V_1' : \|f\|_{V_1'} \leq 1 \text{ and } \langle e_n, f \rangle \in \{0, \pm 1\} \text{ (} n \in \mathbb{N} \text{)}\}$$

is a compact Hausdorff space when equipped with the weak*-topology inherited from the dual space V_1' of V_1 . By the definition of this topology, the mapping $Ux: \Omega \rightarrow \mathbb{K}$ given by $(Ux)f := \langle x, f \rangle$ for each $f \in \Omega$ is continuous for each $x \in V_1$, so it induces a mapping $U: V_1 \rightarrow C(\Omega)$ which is easily seen to be linear and contractive. Moreover, the functional η_A from Lemma 2.2(i) belongs to Ω whenever A is a permissible subset of \mathbb{N} of even cardinality, so Lemma 2.2(ii) implies that U is bounded below by the number ε given by (2.1). In other words, U is an isomorphism of V_1 onto its image inside $C(\Omega)$. Since the weak topology on the image of U is just the restriction of the weak topology on $C(\Omega)$, we conclude that the sequence $(u_n)_{n \in \mathbb{N}}$ is weakly null in V_1 if and only if $(Uu_n)_{n \in \mathbb{N}}$ is weakly null in $C(\Omega)$.

To prove the latter statement, by the Jordan Decomposition, it suffices to verify that $\langle Uu_n, \lambda \rangle \rightarrow 0$ as $n \rightarrow \infty$ for each state λ on $C(\Omega)$. The Riesz Representation Theorem implies that λ is given by

$$\langle g, \lambda \rangle = \int_{\Omega} g \, d\rho \quad (g \in C(\Omega))$$

for some probability measure ρ on Ω . Now we observe that:

- (a) for each $f \in \Omega$, the sequence $((Uu_n)(f))_{n \in \mathbb{N}} = (\langle u_n, f \rangle)_{n \in \mathbb{N}}$ is 0 eventually; the reason is that, on the one hand, Lemma 2.3 implies that the set $N := \mathbb{E}(f) \cup \mathbb{E}(-f)$ is finite, and by the definition of Ω , $\langle e_n, f \rangle = 0$ for each $n \in \mathbb{N} \setminus N$, while on the other the fact that $(u_n)_{n \in \mathbb{N}}$ is a block basic sequence of $(e_n)_{n \in \mathbb{N}}$ implies that there is a natural number n_0 such that $u_n \in \text{span}\{e_j : j > \max N\}$ whenever $n \geq n_0$;
- (b) the constant function 1 is ρ -integrable and dominates $(|Uu_n|)_{n \in \mathbb{N}}$.

In particular, (a) implies that the sequence $(Uu_n)_{n \in \mathbb{N}}$ converges pointwise to 0 on Ω , and so, by Lebesgue's Dominated Convergence Theorem, we have

$$\langle Uu_n, \lambda \rangle = \int_{\Omega} Uu_n \, d\rho \rightarrow \int_{\Omega} 0 \, d\rho = 0 \quad \text{as } n \rightarrow \infty,$$

as required. □

2.4 Remark. The fact that the basis for V_1 is shrinking is in sharp contrast to the situation for the first James space J_1 . Indeed, J_1 is isomorphic to ℓ_1 , so no basis for it can be shrinking. Lohman and Casazza [7] have generalized James' construction to produce quasi-reflexive spaces from Banach spaces with a symmetric basis other than ℓ_p for $p \geq 1$; however, as in James' classical case, they only establish that the basis for their new spaces is shrinking when $p > 1$ (see [7, Theorem 9]).

Finally in this section we observe that V_1 does not have the property (u) introduced by Pełczyński [8], thus answering another question left open in [5]. Indeed, since we now know that the standard basis for V_1 is shrinking, we can copy the proof of [5, Theorem 6.3] verbatim to reach the desired conclusion.

2.5 Theorem. *The first James–Schreier space V_1 does not have Pełczyński's property (u) and hence does not embed in any Banach space with an unconditional basis. In particular, V_1 does not embed in S_p for any $p \geq 1$.*

3 Any two Schreier or James–Schreier spaces with distinct indices are non-isomorphic

Rather than establishing the results stated in the title of this section directly, we take a unified approach based on the following, slightly more general, lemma. As in the proof of Lemma 2.3, we write $(d_n)_{n \in \mathbb{N}}$ for the unit vector basis for S_p , while $(e_n)_{n \in \mathbb{N}}$ denotes the standard basis for V_q .

3.1 Lemma. *Let $q > p \geq 1$, and let N be an infinite subset of \mathbb{N} . Then no subspace of V_q is isomorphic to the subspace $\overline{\text{span}}\{d_n : n \in N\}$ of S_p .*

Proof. Assume towards a contradiction that $R: \overline{\text{span}}\{d_n : n \in N\} \rightarrow V_q$ is a bounded operator which is bounded below by some $\delta > 0$. Then, on the one hand, we have $\|Rd_n\|_{V_q} \geq \delta$ for each $n \in N$, while on the other the sequence $(d_n)_{n \in N}$ is weakly null because $(d_n)_{n \in \mathbb{N}}$ is a shrinking basis for S_p , and therefore $(Rd_n)_{n \in N}$ is also weakly null. Hence the Bessaga–Pełczyński Selection Principle [4] implies that a subsequence of $(Rd_n)_{n \in N}$ is a basic sequence equivalent to a block basic sequence of $(e_n)_{n \in \mathbb{N}}$; that is, there exist a strictly increasing mapping $\sigma: \mathbb{N} \rightarrow N$ and a bounded operator $T: \overline{\text{span}}\{Rd_{\sigma(n)} : n \in \mathbb{N}\} \rightarrow V_q$ such that T is bounded below by some $\varepsilon > 0$ and $(TRd_{\sigma(n)})_{n \in \mathbb{N}}$ is a block basic sequence of $(e_n)_{n \in \mathbb{N}}$. By [5, Lemma 4.13], this means in particular that we have a bounded operator $U: \ell_q \rightarrow V_q$ given by $Uf_n = TRd_{\sigma(n)}/\|TRd_{\sigma(n)}\|_{V_q}$ for each $n \in \mathbb{N}$, where $(f_n)_{n \in \mathbb{N}}$ denotes the standard unit vector basis for ℓ_q . Thus, we conclude that

$$\|U\| \|T\| \|R\| n^{\frac{1}{q}} \geq \left\| U \left(\sum_{j=n}^{2n-1} \|TRd_{\sigma(j)}\|_{V_q} f_j \right) \right\|_{V_q} \geq \varepsilon \delta \left\| \sum_{j=n}^{2n-1} d_{\sigma(j)} \right\|_{S_p} = \varepsilon \delta n^{\frac{1}{p}}, \quad (3.1)$$

where the final equality follows from the admissibility of the set $\sigma([n, 2n-1] \cap \mathbb{N})$ on which the vector $\sum_{j=n}^{2n-1} d_{\sigma(j)}$ is supported. Rearranging (3.1), we obtain

$$\frac{\|U\| \|T\| \|R\|}{\varepsilon \delta} \geq n^{\frac{1}{p} - \frac{1}{q}},$$

which is a contradiction because the left-hand side is independent of n , while the right-hand side tends to infinity as $n \rightarrow \infty$. \square

3.2 Theorem. *Let $q > p \geq 1$. Then:*

- (i) *no subspace of V_q is isomorphic to V_p or S_p ;*
- (ii) *no subspace of S_q is isomorphic to S_p or V_p .*

Proof. (i). Taking $N = \mathbb{N}$ in Lemma 3.1, we see that no subspace of V_q is isomorphic to S_p . Since V_p contains a subspace isomorphic to S_p by [5, Proposition 4.10], this in turn implies that no subspace of V_q can be isomorphic to V_p .

(ii). If S_q contained a subspace isomorphic to S_p , then by the above-mentioned result from [5], V_q would also contain a subspace isomorphic to S_p , contradicting (i). Finally, for similar reasons S_q cannot contain a subspace isomorphic to V_p . \square

3.3 Corollary. *Let $p, q \geq 1$ be distinct. Then $V_p \not\cong V_q$ and $S_p \not\cong S_q$.*

3.4 Remark. (i) The fact stated in Theorem 3.2(ii) that no subspace of S_q is isomorphic to V_p when $q > p \geq 1$ is actually true without any restrictions on $p, q \geq 1$. The reason is that the James–Schreier spaces all fail to have Pełczyński’s property (u) by Theorem 2.5 and [5, Theorem 6.3], while each Schreier space has an unconditional basis.

- (ii) The conclusion of Lemma 3.1 (and thus that of Theorem 3.2) actually holds whenever $p, q \geq 1$ are distinct. As this was not needed to prove our main result, Corollary 3.3, we just give a brief sketch of the argument, which is by contradiction. As in the proof of Lemma 3.1, we obtain a normalized basic sequence (u_n) in V_q which is equivalent to a subsequence of the unit vector basis for S_p . It follows that (u_n) is *Schreier* ℓ_p which means that there is a constant $C > 0$ such that $(u_i)_{i \in A}$ is C -equivalent to the unit vector basis of ℓ_p^k for every admissible subset A of \mathbb{N} , where $k := \text{card } A$.

There are now two cases. If, after passing to a subsequence, we have $\|u_n\|_{c_0} \rightarrow 0$ as $n \rightarrow \infty$, then a further subsequence of (u_n) is equivalent to the unit vector basis of c_0 ; the proof of this is similar to that of V_q being c_0 -saturated given in [5, Theorem 5.2]. Otherwise there exists $\delta > 0$ such that $\|u_n\|_{c_0} > \delta$ for each $n \in \mathbb{N}$. An easy computation then shows that $(u_{2i})_{i \in A}$ is $\frac{3}{\delta}$ -equivalent to the unit vector basis of ℓ_q^k for every admissible subset A of \mathbb{N} , where $k := \text{card } A$. Both cases contradict the fact that (u_n) is a Schreier ℓ_p sequence.

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